



TRLIA
36th QUARTERLY WORK PLAN
FOR
UPPER YUBA RIVER LEVEE
IMPROVEMENT PROJECT

1. 36th Quarterly Work Plan (QWP) for quarter: July 1 to September 30, 2018
2. Date of Report: October 29, 2018
3. 36th Quarter Work Plan:

Quarterly Work Plan Summary*				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 11- ROW Acquisition	\$0	\$0	\$0	\$0
Elements 1 through 6, 8, 9 & 10	\$128,479	\$55,062	\$183,542	\$0
Total	\$128,479	\$55,062	\$183,542	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 11	\$0
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$0

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6 & 8 through 10	\$96,359
plus/minus State Share true-up for Prior Quarters [1]	-\$1,547,449
Total Estimated Funding for State Share at Start of 36th Quarter (negative represents surplus funding from prior quarters)	-\$1,451,089

[1] Estimated, see reconciliation of Prior State Funding below.

DWR has advanced funding for the construction on the WPIC through the 35th Quarter. Actual expenses documented to date, through the 34th Quarter (March 2018) and estimated expenses through the 35th Quarter are not expected to have utilized all of the funding that has been made available for the WPIC project. As a result, TRLIA projects that it will not have expended all of the Advance Funding provided and have excess funding available to cover expenses through the 36th Quarter. As result, no advance funding is requested with this Quarterly Work Plan.

Reconciliation of State Advance Funding for Construction
As of 34th Quarter - State Share: Based on 34th QPR plus 35th QWP
(as adjusted for schedule and other factors)

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 – 10) through 34 th Quarter [1]	\$35,107,247
35 th Quarter Total Non-Real Estate Forecasted Expenses	\$1,913,828
Total Expenses Forecasted through 35 th Quarter	\$37,021,074
State Share True-Up Calculations	
Total State Share of Above Expenses	\$25,914,752
Total State Funding Due (net of 10% Retention on Prior Expenses)	\$23,323,277
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234
2nd Quarter revised True-Up	\$92,631
16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917
18th Quarter Advance	\$87,124
18th Quarter True-Up	\$44,783
20th Quarter Advance	\$197,925
19th, 20th, 21st & WPIC True-Ups	\$706,809
22nd Quarter Advance	\$184,081
22nd Quarter True-Up	\$209,025
24 th Quarter Advance	\$1,271,711
25 th - 27 th Quarter Advance (Combined Net Payment)	\$6,221,988
33rd Quarter True-Up	\$290,928
34th - 35th Quarter Advances	\$1,883,993
Total State Share Funding Received	\$24,870,726
Estimated State Share Construction Funding Due as of 35th Quarter	-\$1,547,449

**As of 34th Quarter - Local Share: Based on 34th QPR plus 35th QWP
 (as adjusted for schedule and other factors)**

Local Share True-Up Calculations	
Local Share of Above Forecasted Expenses	\$11,039,883
Total Local Funding Credit Due	\$6,619,616
Funding To Date	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294
Local Credit Advanced (from 18th Quarter)	\$49,785
Local Credit True-Up (from 18th Quarter)	\$13,028
Local Credit Advanced (from 20th Quarter)	\$489,104
Total Credit Funding Received	\$6,619,616
Local Credit Due as of 35th Quarter [2]	\$0

[1] 34th Quarter QPR Costs Subject to review by DWR

[2] Local Credit is limited to available credit. Note: No local credit remains.

4. Project Element Information:

- As part of the completion of the Upper Yuba Levee Improvement Project (UYLIP) and commencement of the 200-Year Goldfields Project, at the start of Calendar Year 2018, TRLIA has re-evaluated its overhead allocation methodology to take into consideration remaining project costs in the same manner as had been considered previously. This update resulted in a change to overhead allocation to the UYLIP project. Commencing in January 2018, TRLIA began applying this new allocation to all costs allocated to grant funded projects.
- On November 3, 2017 (33rd Quarter) DWR approved a 5th Funding Agreement Amendment, which extended the Agreement date to June 30, 2019.
- During the 29th quarter Feature 3 Right of Way Acquisition from Element 9 was separated from Element 9 and made into its own Element (Element 11). This was done at the request of DWR.
- During the 25th quarter (October 27, 2015) TRLIA submitted a 4th funding agreement amendment to extend the UYLIP funding agreement to December 31, 2017 to allow the land transfer and WPIC construction work to be completed. DWR/DGS approved the 4th amendment on August 6, 2016.
- Effective the 23rd quarter the narrative text for completed Elements and Features has been

deleted from the report. The deleted items will continue to be shown in the schedule and financial update portions of the report.

- The UYLIP 3rd Funding Agreement Amendment was approved on September 24th Quarter. The 3rd Amendment added Project Elements 9 and 10, and extended the agreement date to June 30, 2016.
- On November 21, 2013 the UYLIP funding agreement was amended for the 2nd time to extend the agreement date to September 30, 2015. The extension was due to the time needed to close real estate.
- During the 16th quarter the Department of Finance began a financial audit of the UYLIP EIP funding agreement. The Audit was completed in the 20th quarter. No items were found by the audit team. The audit results are posted on the TRLIA website.
- At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4th Quarterly Work Plan (QWP) submitted on May 28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4th QWP that reflected the following changes.
 - A new Element 7: Right of Way Capital was added. This was previously Element 3: Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.
 - Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QWP is reflective of these modifications.

- **Engineering and construction matters:**
 - **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00**
 - Feature 3 Right of Way Support: TRLIA will continue work on this feature during the 36th Quarter. See Element 7 below for property acquisition information.
 - Feature 6: O&M Manual: There are no longer separate O&M Manuals for each Element. At the request of the CVFPB and USACE, one combined O&M Manual has been prepared for the entire RD 784 levee system and is under review by the USACE. See Element 3, Feature 6 for an update on the USACE review of this combined O&M Manual.

➤ **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**

- Feature 2.5 Right of Way Support (Project Elements 1 to 8): TRLIA real estate and legal consultants will be active during the 36th quarter finalizing right of way needs for the project. See Element 7 below for property acquisition information.
- Feature 3 Right of Way: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4th Quarterly Work Plan.
- Feature 4 Construction Management: Limited activity is anticipated to occur during the 35th quarter to address items required by settlement of eminent domain settlements (e.g. Dan Luis settlement), and to oversee PG&E power line relocation work that is being done to support a TRLIA eminent domain settlement. The PG&E work is being done under CVFPB encroachment permit 18695. The Dan Luis acquisition was recorded in the 24th Quarter. TRLIA met with PG&E in the 27th Quarter to discuss the process of issuing easements to PG&E and scheduling the final relocation work. TRLIA will assign utility Easements to PG&E in July 2018. After easements are assigned to PG&E, TRLIA will discuss the final relocation of the power line with PG&E and schedule the work.
- Feature 5 Environmental Mitigation: Compensatory elderberry mitigation was accomplished at the USACE Anderson Mitigation Site. Maintenance and monitoring of the site was not accomplished by TRLIA's contractor in 2017 and no Mitigation Monitoring Report was developed. TRLIA's contractor conducted two site visits in June 2018 to assess the site, and is developing a memo to document its findings and recommended path forward. TRLIA will take necessary contracting actions to facilitate actions required to meet its commitments.

Feature 6 O&M Manual Addendum: A detailed history of development of a new Unit 145 Supplemental O&M Manual is provided in previous quarterly reports. A Draft Unit 145 Supplemental O&M Manual was submitted to the CVFPB and USACE most recently in Quarter 26. TRLIA contacted USACE in Quarter 35 in an effort to revitalize their review efforts and complete the manual. No schedule was provided by USACE, although they did provide a list of tasks they needed to complete.

➤ **Element 6: Project Management, legal support, community relations support, land management support, and overhead**

- Activity is anticipated in all Features during the 36th quarter.

➤ **Element 7: Right of Way Capital**

- Feature 7-3.3 Right of Way (Project Elements 1 to 8):

- **Land Transfer:** TRLIA will continue to work with state during the 36th quarter on land transfer of the UYLIP property. During the 33rd quarter the funding agreement was extended (5th Amendment) to June 30, 2019 to accommodate land transfer.
- **Western Aggregates:** During the 36th quarter TRLIA will continue to negotiate with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, and to leave already existing railroad easements owned by Western Aggregates in place, because Western Aggregates has stated that it hopes to use its fee and easement interests along the levee to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow the existing easements owned by Western Aggregates to remain in place, and also agreed to allow TRLIA to grant new railroad easements to Western Aggregates over property that TRLIA acquires from Western Aggregates in fee.

In exchange for transferring fee title to the properties that TRLIA seeks to acquire in fee, Western Aggregates has requested TRLIA grant Western Aggregates a new floating railway easement on not only the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. TRLIA has brought on a mediator to help resolve the issues associated with this item.

In the 26th quarter TRLIA and Western Ag representatives met with senior DWR and CVFPB officials about this request. DWR is open to the proposed concept; no definitive response received from CVFPB staff at the time of this report.

This issue is listed in the Issue/Concerns portion of this report

- **Final Accounting Packages (FAPs) and Cadastral Requirements:** Tables 1 to 6 provide the status of Real Estate Property actions and Final Accounting Packages (FAPs). TRLIA will continue submitting Final Accounting Packages (FAP's) during the 36th quarter.

Table 1 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
1	14050	020-080-009	Western Aggregates, Inc.		approved
2	14051	021-041-006	Clara Willis, Et al	approved	approved
3	14052	021-041-007	Bernard J. Perez	approved	approved

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
4	14053	021-041-010	Lothar S. Klug, Et Al	approved	approved
5	14054	021-041-009	ROSA MARTHA VALDEZ	approved	approved
6	14055	021-041-012, 021-042-014	Steve Gilbert, Et Al	approved	approved
7	14056	021-042-027	Humam El Sharif	approved	approved
8	14057	021-0500-001	Orest Wesely, Et Al	approved	approved
9	14058	018-220-048, -049	COF Quail Hollow Land 35F LLC	approved	approved
10	14059	018-210-014	Stephanie Morris	approved	approved
11	14060	018-210-029	Robert G. Wood	approved	approved
12	14061	018-220-007	Howard R. Miller	approved	
13	14062	018-200-001, 018-220-030	Ajit S. Bains	approved	approved
14	14063	018-200-005, -007, 018-210-035	Anthony J. Luis, Et Al		
15	14064	018-200-008	Daniel J. Luis	Approved 5/12/17	approved
16	14065	018-190-002, 018-200-006	Richard G. Wilbur Trust	approved	approved
17	14066	018-140-040	Richard G. Wilbur Trust	approved	approved
18	14067	018-150-015, 018-190-001	Henry P. Smith Et Al	approved	approved
19	14068	018-190-110	Walbinder S. Sohal Living Trust	approved	
20	14069	018-190-107, 018-190-108	Sohal Family Trust	approved	approved
21	14070	018-150-035, 018-190-104	Michele R. Barker		approved
22	14071	018-150-032	Shintaffer Farms, Inc.	approved	approved
23	14072	018-190-109	Bhajan S. Sohal, Et Al	approved	approved
24	14073	021-021-001	Dunning Properties, LP		
25	14074	Portion of 018-220-056	R.B. Satori, LP (Wilbur, Richard G.)		
26	14075	018-220-056	Western Aggregates, LLC		
27	14079	020-410-001	Allen, Jason G.		
28	14080	Portion of 018-150-032 and -035	Federal Fruit Exchange	approved	approved

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
29	14081	018-230-028	Orchard Growers	approved	
30	14082	018-0220-028	Wilbur Trust	Parcel cancelled	
31	14083	018-0220-059	Green Rose		
32	14084	018-0220-060	Dunning Properties		
33	14085	018-140-041	Naumes, Inc.	approved	
34	14086	018-190-085	Rahul, Surjit S. & Parmjit	approved	
Total				24/33	21/33

Table 2 - Acquisition being negotiated:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRILIA has acquired. Parties are negotiating.
14073	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
14075	Western Aggregates, LLC	Negotiation					See comment for CVFPB 14050
14082	Wilbur Trust	Negotiation					
14083	Green Rose	Negotiation					
14084	Dunning Properties	Negotiation					

Table 3 - Negotiated Acquisitions – The following parcels have been acquired. Final Accounting Packages are being prepared.

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14063	Anthony J. & Zelma B. Luis	Settled		\$77,840.00	\$54,488.00	\$11,200	
14074	R.B. Satori, LP (Richard G. Wilbur)	Settled		\$35,692.00	\$24,985.00		COE 11/09/17; need Final Policy

Table 4 - Final Accounting Packages at DWR:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14070	Michele R. Barker	Settled	3/14/2017	\$224,686.36	\$157,280.45	\$67,406.00	
14079	Jason G. Allen	Settled	5/5/2017	\$413,492.65	\$289,444.86	Not invoiced yet	DB responded to DWR comments

Table 5 - Final Accounting Packages Approved:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14051	Ronnie C. Willis	Complete	approved	\$29,500.00	\$20,650.00	\$20,650.00	Approved 8/4/15
14052	Bernard J. Perez	Complete	approved	\$10,350.00	\$7,245.00	\$7,245.00	Approved 8/10/15
14053	Lothar S. & Brigitta M. Klug	Complete	approved	\$2,800.00	\$1,960.00	\$1,960.00	Approved 8/10/15
14054	Valdez	Complete	approved	\$5,200.00	\$3,640.00	\$1,820.00	Approved 8/4/15
14055	Steve and Denise Gilbert	Complete	approved	\$15,700.00	\$14,840.00	\$14,840.00	Approved 8/4/15
14055	Steve and Denise Gilbert	Complete	approved	\$5,500.00			Approved 8/4/15
14056	Humam El Sharif	Complete	approved	\$2,100.00	\$1,470.00	\$1,470.00	Approved 9/11/15
14057	Orest and Irene Wesely	Complete	approved	\$33,000.00	\$23,100.00	\$23,100.00	Approved 12/30/15
14059	Stephanie Morris	Complete	approved	\$7,900.00	\$5,530.00	\$4,060.00	Approved 8/10/15
14060	Robert Glenn Wood	Complete	approved	\$3,200.00	\$2,240.00	\$2,240.00	Approved 8/10/15

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14061	Howard R Miller	Complete	approved	\$1,400.00	\$980.00	\$980.00	Approved 8/10/15
14058	COF Quail Hollow Land 148T	Complete	approved	\$34,750.00	\$24,325.00	\$24,325.00	Approved 9/11/15
14062	Ajit S. Bains & Maria Del Carmen	Complete	approved	\$48,700.00	\$34,090.00	\$15,050	Approved 9/11/15
14064	Daniel J. Luis	Complete		\$46,477.00	\$32,533.90	\$5,670.00	FAP Approved 5/12/17 per Matt. In January, Dan Schwartz told BRI he would look again at the Luis description and see if they could accept it as is since TRLIA has made a strong effort to have the owner correct this.
14065	Richard G. Wilbur Trust	Complete	approved	\$48,270.00	\$33,789.00	\$16,100	Approved 9/11/15
14066	Richard G. Wilbur Trust	Complete	approved				Approved 9/11/15
14067	Henry P. Smith	Complete	approved	\$149,071.00	104,349.00	\$22,400.00	Approved 12/30/15
14068	Walbinder S. Sohal Living Trust,	Complete	approved	\$598,500.00	\$418,950.00	\$418,950.00	Approved 9/11/15
14069	Awtar S. Sohal & Sukhvir K. Sohal, Co-Trustees	Complete	approved	\$41,500.00	\$29,050.00	\$21,787.50	Approved 12/30/15
14071	Shintaffer Farms, Inc.	Complete	approved	\$5,500.00	\$3,850.00	\$437.00	Approved 8/10/15
14072	Bhajan S. & Rajwant K. Sohal	Complete	approved	\$598,500.00	\$418,950.00	\$418,950.00	Approved 9/11/15
14080	Federal Fruit Distributors	Complete	approved	\$800.00	\$560.00	\$392.00	Approved 9/11/15
14081	Orchard Growers	Complete	approved	\$500.00	\$350.00		Approved 8/10/15
14085	Naumes, Inc.	Complete	approved	\$3,000.00	\$2,100.00	\$175.00	Approved 8/10/15
14086	Rahul, Surjit S. & Parmjit	Complete	approved	\$500.00	\$350.00	\$175.00	Approved 8/10/15

Table 6 - Status of Relocation Actions: Approved with FAP

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
---------	----------------	------------------	----------------------	------------------------------------	----------

14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	Approved
14079	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	Approved
14079	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	Approved
14079	Jason G. Allen	Ed Widener	Complete	\$12,272.00	Approved
14079	Jason G. Allen	Jack Fouse	Complete	\$0	Approved
14079	Jason G. Allen	Les Begley	Complete	\$1,100.00	Approved
14079	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	Approved
14079	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	Approved
14079	Jason G. Allen	David Haughton	Complete	\$2,402.78	Approved
14079	Jason G. Allen	Jason G. Allen	Complete	\$24,754.99	Approved

➤ **Element 8 Closeout Process:**

- Feature 1: Closeout Process
 - On November 15, 2013 TRLIA submitted its initial draft of Closeout Documentation for the UYRLIP project for DWR’s review. As part of that submission, TRLIA requested a partial release of retention for the portion of the project that has been completed. DWR reviewed and provided comment on the request during the 25th and 26th Quarters. TRLIA restated this request and provided a revised analysis and requested partial retention release in February 2016. DWR submitted additional detailed comments on June 15, 2016 and TRLIA has responded to these requests on August 10, 2016. A partial retention release was finalized and transferred in early March 2018 and DWR provided a finalized approval letter dated March 5, 2018. This letter reflected an approved release of \$760,106. TRLIA’s retention release request was reflective of creditable interest in the amount of \$106,799. Combined this represents approved retention released in the amount of \$866,905. The tables in the quarterly financial reports are reflective 10% net of this approved retention amount.
 - Efforts to prepare an additional retention releases will continue this quarter.

➤ **Element 9 WPIC 200-yr Standard:**

- Feature 1 Design: Design activities for the project are complete. Construction updates are found in Feature 6 paragraph below.
- Feature 2 Permitting:

- See Attachment 1 for permits listing. All required permits have been obtained and TRLIA continues to accomplish required activities to comply with permits. Additional permitting and/or consultation may be required for construction activities necessary to resolve the DMM wall issue, although these efforts are likely limited. However, until such work is defined, the permits and consultations are speculative.
- Feature 3 Right of Way Acquisition: Moved to Element 11.
- Feature 4 Right of Way Support:
 - Right of Way support actions will continue the 36th quarter. See Element 11 for recap of acquisitions.
 - On August 14, 2015, the UYLIP Real Estate Plan was amended to update information on the UYLIP, including the identification of previously unknown parcels. The UYLIP acquisitions are listed in the first section of the updated plan. The WPIC Real Estate Plan was included in this amendment in Section 2 of the amended plan. DWR approved (April 14, 2016) the amended Real Estate Plan.
 - The engineering requirements were revised in the WPIC area. Appraisals have been updated and revised offers were made. Three rights of entry have been obtained. Additionally, 4 new Temporary Construction Easements have been acquired. The property owners have requested TRLIA to provide them with easements/rights for the levee area so that they can enforce security measures and reduce trespassing. TRLIA obtained approval of easement language from DWR. The property owners have agreed to the language. One escrow has closed and one escrow is still open. BRI will continue to work with the title company to close the escrow in the 37th quarter. One parcel is still outstanding and negotiations will continue.
 - Prior to the UYLIP 3rd funding amendment being approved, TRLIA filed an eminent domain action against Latigo Farms LLC to acquire property rights need for this element. During the Eminent Domain Settlement Conference in December 2016 TRLIA was able to reach settlement on this case. The court entered Judgment in Eminent Domain pursuant to the parties' stipulation on June 19, 2017, and the judgment has been paid. The Final Order of Condemnation was recorded March 15, 2018. Pursuant to the judgment, TRLIA has agreed to grant an access easement to Latigo. Additionally, TRLIA is required to install, maintain, and replace if necessary, a permanent fence approximately along the toe of the levee after completion of project construction. Permitting for the fence is underway. See also Feature 6.
- Feature 5 Construction Management: Construction management activities will continue during the 36th Quarter and are expected to continue in the 37th Quarter as the DMM wall issue is resolved.

- Feature 6 Construction: Construction was completed in Quarter 33. However, the Reach 1 DMM wall does not meet permeability specifications. Additional work related to resolution of the DMM wall issue is anticipated during Quarter 36, although not yet defined. This issue is being worked in consultation with CVFPB, DWR, and USACE. Additionally, outstanding construction includes installation of the Latigo cattle exclusion fence. This work has not been accomplished as USACE has not completed cultural consultation for the permit (19156). Installation of the fence will occur in 2018 or 2019, pending permit approvals.
- Feature 7 Updated O&M Manual: The O&M Manual update will be integrated into the RD784 system-wide manual (discussed under Element 3, Feature 6). Revisions to the O&M Manual have begun and will continue in Quarter 36.
- **Element 11: WPIC Right of Way Capital:** This Element was created in the 29th quarter. Acquisition efforts will continue in the 36th quarter.
 - Feature 3 Right of Way Acquisition: TRLIA submitted an update to the ULIP Real Estate Plan and the WPIC Real Estate Plan to DWR in August 2015. Early in the 27th quarter (April 14, 2016) DWR approved the amended Real Estate Plan. The parcels to be acquired are shown in Tables 7 & 8.

Table 7 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1	14087	Miller-Belza-Peck	1		approved
2	14088	Rue & Forsman Real Estate LLC	1		approved
3	14089	Latigo Farms LLC (Includes Uneconomic Remnant)	2		approved
4	14090	Alfaro Family Trust (Includes Uneconomic Remnant)	5		approved
			9	0	4

Table 8 – Property Acquisition Status

CVFPB	APN	OWNER	ESA Completed	Receipt of Legals and Plats (or revision)	Appraisal submitted to TRLIA	Appraisal submitted to DWR	Appraisal Approved by DWR	Just Compensation Approved	Offer Made	Comments
14090	014-270-084 014-270-105 014-270-106 014-350-065 014-360-002	Alfaro Family Trust	12/29/2014	1/28/2015	1/23/2015	1/23/2015		1/23/2015		Owners have not provided signed agreements; continuing to work with their attorney
14089	014-360-011 014-360-013	Latigo Farms, LLC	12/29/2014		8/31/2012				4/8/2013 9/25/2013	FOC obtained

CVFPB	APN	OWNER	ESA Completed	Receipt of Legals and Plats (or revision)	Appraisal submitted to TRLIA	Appraisal submitted to DWR	Appraisal Approved by DWR	Just Compensation Approved	Offer Made	Comments
14088	016-050-023	Rue & Forsman Real Estate, LLC	12/24/2014	1/28/2015						Agreement has been reached and documents have been forwarded to escrow
14087	016-070-018	Rio Oso Ranch	12/24/2014	1/28/2015	9/12/2014	10/21/2014		10/3/2014	10/15/2014	Escrow closed

- **Land Transfer:** TRLIA will continue to work with the State during the 35th quarter on land transfer of the WPIC properties. The 5th Funding Agreement Amendment was approved on November 3, 2017 which extended the agreement to June 30, 2019 to allow property transfer to occur.

- **Environmental matters:**

- See Project Element 3 Feature 5 Environmental Mitigation above for this information for Project Elements 1 to 8.
- See Project Element 9 Feature 2 Permitting for this information for Project Element 9.

- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**

- **Element 9:** See Element 9 Feature 2 above for status and attachment 1 for list.

- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.):**

- **Element 9 Feature 6:** Resolution of the DMM wall construction deficiency.

- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**

- **Issue 1:** Western Aggregate has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 26th quarter, TRLIA met with DWR and CVFPB Senior officials about this request. TRLIA has brought on a mediator to help resolve the issues associated with this item.

Recommended Solution: DWR support TRLIA as negotiations with the CVFPB transpire for the approval of the Western Aggregate floating easement request.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**
 - None this quarter
- **Any litigation, proceedings or claims relating to the Project:** None

5. Cost Information by Project Element:

With the 5th QWP, TRLIA evaluated the Overall Work Plan Budget and prepared a comprehensive updated the budget from the UYRLIP project. This updated reflected construction on the completed work within Element 1 as well as the work bid for Elements 3&4. The updated budgets took into consideration the savings in construction costs as well other minor adjustments in the Overall Work Plan individual feature budgets. As part of the 6th QWP, TRLIA submitted a Revised Overall Work Plan Budget that made some corrections to the budget submitted as part of the 5th QWP. With the 9th Quarterly Work Plan, TRLIA incorporated Element 1A into the Project and provided an additional update to the Overall Work Plan Budget.

With the 15th QWP, as noted above, TRLIA incorporated additional amendments to the budget to reflect accurate costs for the project related features as well as added the budget for Elements 8 and 9, the cost associated with Close out efforts as well as work related to 200-Year Compliance Actions on the WPIC respectively.

In the 18th quarter TRLIA shifted the remaining budget within the completed Element 1 to Element 1A to adjust for the budget exceeded within the Construction, Construction Management within Element 1A.

As Part of the 19th Quarter Work Plan, and at the same time an associated Amendment No. 3 to the Funding Agreement, TRLIA updated the Overall Work Plan Budget.

With the 25th Quarter Work Plan, based on actual costs incurred to date and updated project budgets for the WPIC and 1986 Break Site work, TRLIA shifted budget between elements.

With the 28 Quarter Progress Report, TRLIA added an Element 11 to reflect WPIC Right of Way Acquisition and moved Element 9 Feature 3 to this new Element.

This 35th Quarter work plan reflects all prior updates to the Overall Work Plan Budget.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed):**

No Additional changes beyond what has been previously noted within this Work Plan.

- **Projected costs compared to Overall Work Plan Budget**
 - **Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50**

No additional construction costs are forecasted to be incurred during the 18th quarter as the Element is **Complete**.

- **Element 1A: Landside Levee Improvement – Sta 7+00 to 8+20 (Shadpad Road)**
This Element was added as of the 9th Quarter. TRLIA expects to continue work on Right of Way activities in the 35th Quarter. No costs are projected during the quarter.
- **Element 2: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts**
This element only includes the preliminary alternatives analysis and CEQA/NEPA document preparation (that work only eligible for 50% cost share by the State). This element is **Complete** and there are no costs forecasted as part of this QWP. Upon completion of the 16th Quarter, approximately (100%) \$1,065,000 amended budget has been expended.
- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection Support)**
This element was revised in the 5th Quarter to include the preliminary design, permitting preparation and Right of Way Support work that was previously included in Element 2. The amended overall budget for Element 3 is \$10.3 million and the amount forecasted to be expended this quarter are nominal related to ROW Support efforts and O&M Manual Addendum updates. This amount, in conjunction with estimated prior expenses furthering Element 3 efforts, will result in having expended roughly 94% (\$9.67 million) of the Element's revised budget.
- **Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Sta 102+50 to 303+59**
No additional construction costs are forecasted to be incurred during the 16th quarter as the Element is **complete**. At the completion of the Quarter, TRLIA expects to have expended approximately 90% (\$8.7 million) of the revised budget for this element.
- **Element 5: Prior Completed Work**
This Element solely represents prior completed work submitted for crediting purposes. No additional costs in the element will be incurred during the funding agreement contract period.
- **Element 6 - Project Management, Legal, Community Relations support, Land Management, and Overhead:**
TRLIA has estimated the amount of overhead expenses to be incurred in the quarter and allocated the Yuba share of those forecasted expenses to Element 6. TRLIA expects to allocate approximately \$16,000 of Overhead and Admin expenses in the quarter. After the quarter is complete, TRLIA will have expended roughly 99% of the amended Element 6 budget (\$4.8 million).
- **Element 7 – Right of Way Capital:**
Right of Way acquisition activity will continue this Quarter. TRLIA has not forecasted expenditures this quarter, however, the timing of actual expenditures could

change. After the quarter is complete, TRLIA will have expended roughly 55% (\$2.9 million) of the revised Element 7 (\$5.4 million) budget.

➤ **Element 8 – Project Closeout efforts:**

This element was added in the 14th quarter. TRLIA expects to continue efforts in the 34th quarter and expects to expend \$2,000 on efforts associated with TRLIA’s preparation of additional releases of retention.

➤ **Element 9 – WPIC 200-Yr Standard:**

This element was added in the 15th quarter and the budget has been revised during this 25th Quarter. In the 31st quarter TRLIA commenced construction for 2017. TRLIA requested an advance provided at the start of the 27th quarter be reflective of the entire amount of construction expenses (through September 2016). This advance was provided and ensured that TRLIA, at the outset of entering into a construction contract has sufficient funds to meet the cash flow requirements of the construction contract. Because funds were not fully expended in prior quarters, advance funds remain available to be carried over into this construction season. The excess funding resulted in TRLIA not previously receiving True-Up payments because the excess funding has been applied to True-Ups. For the 35th quarter, TRLIA forecasted construction expenses remaining after expected work completed in the 34th Quarter and incurred delayed expenses associated with the DMM Wall Issue. Work associated with remaining efforts related to Construction, CM and ROW support for the 36th Quarter are estimated to be approximately \$140,000. This forecast takes into consider completed work and continued work to resolve the DMM issues.

➤ **Element 10 – Yuba ULDC Remediation 1986 Break Site:**

This element was added during the 20th quarter and the budget has been revised down this quarter based on actual construction contracts let to date. The total budget for this Element is now \$870,000. In the 28th quarter TRLIA does not expect to expend any funds as the Element is deemed **complete**.

The amount of funds sought from the State and the Amount of Local Funds intended to be expended: As presented in Item 3 of this 35th Quarter Work Plan no funds are requested from DWR:

Quarterly Work Plan Summary*				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 11- ROW Acquisition	\$0	\$0	\$0	\$0
Elements 1 through 6, 8, 9 & 10	\$128,479	\$55,062	\$183,542	\$0
Total	\$128,479	\$55,062	\$183,542	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 11	\$0
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$0

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6 & 8 through 10	\$96,359
plus/minus State Share true-up for Prior Quarters [1]	-\$1,547,449
Total Estimated Funding for State Share at Start of 36th Quarter <i>(negative represents surplus funding from prior quarters)</i>	-\$1,451,089

[1] Estimated, see reconciliation of Prior State Funding below.

The following tables present Element and Feature level details for the 36th Quarterly Work Plan:

Summary of TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2018

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Estimated Cumulative Expenses [2]			Forecasted Remaining Budget			Quarterly Forecast			Advance	
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	Total	State	Local	State Share	
Formula	a	b	c = a-b	d	e	f = d-e	g = a-d	h = b-e	i = c-f	j	k	l=j-k	l=k*75%	
Quarterly Advance Request Elements (Not Including Real Estate)														
Element 1: Flatten Waterside Levee Slope	\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	\$0	\$0	\$0	\$0	
Element 1A: Land Side Improvement (Shadpad)	\$1,060,000	\$742,000	\$318,000	\$1,063,212	\$744,248	\$318,964	-\$3,212	-\$2,248	-\$964	\$0	\$0	\$0	\$0	
Element 2: Pre-CEQA Determination Support	\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	\$0	\$0	\$0	\$0	
Element 3: Levee Improvements Support Work	\$10,301,168	\$7,210,817	\$3,090,350	\$9,647,080	\$6,752,956	\$2,894,124	\$654,088	\$457,861	\$196,226	\$18,000	\$12,600	\$5,400	\$9,450	
Element 4: Levee Improvements Construction	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	\$0	\$0	\$0	\$0	
Element 6: Admin & Overhead	\$4,901,913	\$3,431,339	\$1,470,574	\$4,819,834	\$3,373,884	\$1,445,950	\$82,079	\$57,455	\$24,624	\$16,167	\$11,317	\$4,850	\$8,488	
Element 8: Project Closeout Efforts	\$200,000	\$140,000	\$60,000	\$14,622	\$10,236	\$4,387	\$185,378	\$129,764	\$55,613	\$2,000	\$1,400	\$600	\$1,050	
Element 9: WPIC 200-Yr Standard (net of ROW)	\$15,171,000	\$10,619,700	\$4,551,300	\$12,302,829	\$8,611,980	\$3,690,849	\$2,868,171	\$2,007,720	\$860,451	\$147,375	\$103,162	\$44,212	\$77,372	
Element 10: Yuba ULDC Remediation 1986 Break S	\$870,000	\$609,000	\$261,000	\$406,615	\$284,631	\$121,985	\$463,385	\$324,369	\$139,015	\$0	\$0	\$0	\$0	
Sub-Total Elements 1 through 4, 6, 8 & 9	\$44,156,000	\$30,695,217	\$13,460,783	\$38,746,325	\$26,909,417	\$11,836,908	\$5,409,675	\$3,785,801	\$1,623,874	\$183,542	\$128,479	\$55,062	\$96,359	
Element 5 Credit [Additional Credit to Come from other Element Expenses Incurred Prior to October 20, 2009 not Shown Here]														
Element 5: Prior Completed Work	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589					
Real Estate Forecast for Quarter														
Element 7: Land Acquisition	\$5,373,838	\$3,761,687	\$1,612,151	\$2,966,303	\$2,076,412	\$889,891	\$2,407,535	\$1,685,274	\$722,260	\$0	\$0	\$0	\$0	
Element 11: WPIC - ROW Acquisition	\$609,000	\$426,300	\$182,700	\$205,420	\$143,794	\$61,626	\$205,420	\$143,794	\$61,626	\$0	\$0	\$0	\$0	
Subtotal Real Estate Forecast	\$5,982,838	\$4,187,987	\$1,794,851	\$3,171,723	\$2,220,206	\$951,517	\$2,612,955	\$1,829,068	\$783,886	\$0	\$0	\$0	\$0	
Local Credit Reconciliation														
Quarterly Advance Calculation										Total Local Share of Eligible Expenses this Quarter				\$55,062 (From Above)
75% of State Share of Eligible Elements										Estimated Total Local Credit				\$6,619,616 (Approved Local Credit Amount)
plus Local Share Subject to Credit														
Total														
										Local Credit Advanced (from 1st Quarter)				\$410,772
										Local Credit Advanced (from 2nd Quarter)				\$343,623
										Local Credit Advanced (from 3rd Quarter)				\$1,533,856
										Local Credit True-Up (from 2nd Quarter)				\$152,747
										Local Credit Advanced (from 4th Quarter)				\$2,622,994
										Local Credit True-Up (from 13th Quarter)				\$511,939
										Local Credit True-Up (from 14th Quarter)				\$329,594
										Local Credit True-Up (from 16th Quarter)				\$88,880
										Local Credit True-Up (from 17th Quarter)				\$73,294
										Local Credit Advance (from 18th Quarter)				\$49,785
										Local Credit True-Up (from 18th Quarter)				\$13,028
										Local Credit Advance (from 20th Quarter)				\$502,132
										Total Local Credit Received				\$6,632,644
										Cumulative Prior Credit Due				\$0
										Requested Local Credit to be Paid with Advance				\$0 (Limited to remaining credit available)
										Credit Remaining After Advance				\$0

"36Q YubaSumm"

[1] As reflected in the 19th Quarterly Work Plan and Proposed Amendment No. 3 for the Upper Yuba Project.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

Completed Element Tables have been removed from this Report. These Elements Include Elements 1, 2, 4 & 5

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2018

ELEMENT 1A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	36th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
	(Increased)														
Element 1A: Land Side Levee Improvement															
- Sta 7+00 to 8+20 (Shadpad Road)															
1A.1: Design	70%	\$80,000	\$56,000	\$24,000	\$78,792	\$55,155	\$23,638	\$1,208	\$845	\$362	2%	\$0	\$0	\$0	0%
1A.2: Permitting	70%	\$105,000	\$73,500	\$31,500	\$101,848	\$71,294	\$30,554	\$3,152	\$2,206	\$946	3%	\$0	\$0	\$0	0%
1A.3: Right of Way	70%	\$240,000	\$168,000	\$72,000	\$201,696	\$141,187	\$60,509	\$38,304	\$26,813	\$11,491	16%	\$0	\$0	\$0	0%
1A.4: Construction Management	70%	\$175,000	\$122,500	\$52,500	\$200,708	\$140,496	\$60,212	-\$25,708	-\$17,996	-\$7,712	-15%	\$0	\$0	\$0	0%
1A.5: Construction	70%	\$455,000	\$318,500	\$136,500	\$480,168	\$336,117	\$144,050	-\$25,168	-\$17,617	-\$7,550	-6%	\$0	\$0	\$0	0%
1A.6: O&M Manual addendum	70%	\$5,000	\$3,500	\$1,500	\$0	\$0	\$0	\$5,000	\$3,500	\$1,500	100%	\$0	\$0	\$0	0%
Subtotal Element 2		\$1,060,000	\$742,000	\$318,000	\$1,063,212	\$744,248	\$318,964	-\$3,212	-\$2,248	-\$964	0%	\$0	\$0	\$0	0%

"36QYubaElem1A"

[1] Revised per the Upper Yuba 25th Quarterly Work Plan. Updated per 28th QPR to reflect new Element 11.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2018

ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2018) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	36th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<small>*Based on Overall Workplan</small>															
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work															
3-2.3: Preliminary Design	70%	\$1,378,778	\$965,145	\$413,633	\$1,368,300	\$957,810	\$410,490	\$10,478	\$7,335	\$3,144	1%	\$0	\$0	\$0	0%
3-2.4: Permitting Preparation	70%	\$225,344	\$157,741	\$67,603	\$33,937	\$23,756	\$10,181	\$191,407	\$133,985	\$57,422	85%	\$0	\$0	\$0	0%
3-2.5: Right of Way Support	70%	\$2,935,767	\$2,055,037	\$880,730	\$3,130,858	\$2,191,601	\$939,258	-\$195,091	-\$136,564	-\$58,527	-7%	\$3,000	\$2,100	\$900	-2%
3.1: Final Design	70%	\$2,279,307	\$1,595,515	\$683,792	\$2,238,820	\$1,567,174	\$671,646	\$40,488	\$28,341	\$12,146	2%	\$0	\$0	\$0	0%
3.2: Permitting	70%	\$702,461	\$491,723	\$210,738	\$175,328	\$122,730	\$52,598	\$527,133	\$368,993	\$158,140	75%	\$0	\$0	\$0	0%
3.4: Construction Management	70%	\$2,175,000	\$1,522,500	\$652,500	\$2,182,930	\$1,528,051	\$654,879	-\$7,930	-\$5,551	-\$2,379	0%	\$0	\$0	\$0	0%
3.5: Environmental Mitigation	70%	\$415,091	\$290,564	\$124,527	\$445,649	\$311,954	\$133,695	-\$30,558	-\$21,391	-\$9,167	-7%	\$0	\$0	\$0	0%
3.6: O&M Manual addendum	70%	\$189,419	\$132,593	\$56,826	\$71,258	\$49,880	\$21,377	\$118,161	\$82,713	\$35,448	62%	\$15,000	\$10,500	\$4,500	13%
Subtotal Element 3		\$10,301,168	\$7,210,817	\$3,090,350	\$9,647,080	\$6,752,956	\$2,894,124	\$654,088	\$457,861	\$196,226	6%	\$18,000	\$12,600	\$5,400	3%

"36QYubaElem3"

[1] Revised per the Upper Yuba 25th Quarterly Work Plan. Updated per 28th QPR to reflect new Element 11.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2018

ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2018) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	36th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<small>*Based on Overall Workplan</small>															
Element 6: Project Management, legal support, community relations support, land management support, and overhead															
6.1 - TRLIA Salaries/Benefits	70%	\$1,750,714	\$1,225,500	\$525,214	\$1,849,079	\$1,294,355	\$554,724	-\$98,365	-\$68,855	-\$29,509	-6%	\$13,000	\$9,100	\$3,900	-13%
6.2 - TRLIA Consultant Exp.	70%	\$1,090,377	\$763,264	\$327,113	\$1,062,006	\$743,404	\$318,602	\$28,371	\$19,859	\$8,511	3%	\$1,200	\$840	\$360	4%
6.3 - TRLIA Legal Support	70%	\$364,235	\$254,964	\$109,270	\$343,437	\$240,406	\$103,031	\$20,798	\$14,559	\$6,239	6%	\$167	\$117	\$50	1%
6.4 - TRLIA Travel Expenses	70%	\$9,992	\$6,995	\$2,998	\$94	\$66	\$28	\$9,898	\$6,929	\$2,970	99%	\$0	\$0	\$0	0%
6.5 - TRLIA General Expenses	70%	\$256,643	\$179,650	\$76,993	\$304,370	\$213,059	\$91,311	-\$47,727	-\$33,409	-\$14,318	-19%	\$1,600	\$1,120	\$480	-3%
6.6 - TRLIA Insurance	70%	\$1,384,119	\$968,883	\$415,236	\$1,241,953	\$869,367	\$372,586	\$142,166	\$99,516	\$42,650	10%	\$0	\$0	\$0	0%
6.7 - TRLIA Travel - Vehicle Lease	70%	\$26,714	\$18,700	\$8,014	\$18,895	\$13,227	\$5,669	\$7,819	\$5,473	\$2,346	29%	\$200	\$140	\$60	3%
6.8 - TRLIA Memberships	70%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	100%	\$0	\$0	\$0	0%
Subtotal Element 6		\$4,901,913	\$3,431,339	\$1,470,574	\$4,819,834	\$3,373,884	\$1,445,950	\$82,079	\$57,455	\$24,624	2%	\$16,167	\$11,317	\$4,850	20%

"36QYubaElem6"

[1] Revised per the Upper Yuba 25th Quarterly Work Plan. Updated per 28th QPR to reflect new Element 11.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2018

ELEMENT 7

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2018) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	36th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
<small>*Based on Overall Workplan</small>															
Element 7: Right of Way Capital															
7-3.3 - Right of Way Capital	70%	\$5,373,838	\$3,761,687	\$1,612,151	\$2,966,303	\$2,076,412	\$889,891	\$2,407,535	\$1,685,274	\$722,260	45%	\$0	\$0	\$0	0%
Subtotal Element 7		\$5,373,838	\$3,761,687	\$1,612,151	\$2,966,303	\$2,076,412	\$889,891	\$2,407,535	\$1,685,274	\$722,260	45%	\$0	\$0	\$0	69%

"36QYubaElem7"

[1] Revised per the Upper Yuba 25th Quarterly Work Plan. Updated per 28th QPR to reflect new Element 11.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2018

ELEMENT 8

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	36th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
			<small>*Based on Overall Workplan</small>												
Element 8: Project Closeout Efforts															
8.1 - Project Close Out Efforts	70%	\$200,000	\$140,000	\$60,000	\$14,622	\$10,236	\$4,387	\$185,378	\$129,764	\$55,613	93%	\$2,000	\$1,400	\$600	1%
Subtotal Element 8		\$200,000	\$140,000	\$60,000	\$14,622	\$10,236	\$4,387	\$185,378	\$129,764	\$55,613	93%	\$2,000	\$1,400	\$600	1%

"36QYubaElem8"

[1] Revised per the Upper Yuba 25th Quarterly Work Plan. Updated per 28th QPR to reflect new Element 11.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2018

ELEMENT 9

Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	36th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axb <small>based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 9: WPIC 200-Yr Standard															
9.1 - Design	70%	\$1,371,000	\$959,700	\$411,300	\$1,349,101	\$944,371	\$404,730	\$21,899	\$15,329	\$6,570	2%	\$0	\$0	\$0	0%
9.2 - Permitting & Environmental	70%	\$759,000	\$531,300	\$227,700	\$1,501,636	\$1,051,145	\$450,491	-\$742,636	-\$519,845	-\$222,791	-98%	\$15,000	\$10,500	\$4,500	-2%
9.4 - Right of Way Support	70%	\$323,000	\$226,100	\$96,900	\$301,291	\$210,904	\$90,387	\$21,709	\$15,196	\$6,513	7%	\$15,000	\$10,500	\$4,500	69%
9.5 - Construction Management	70%	\$1,501,000	\$1,050,700	\$450,300	\$1,607,413	\$1,125,189	\$482,224	-\$106,413	-\$74,489	-\$31,924	-7%	\$117,375	\$82,163	\$35,213	-110%
9.6 - Construction	70%	\$11,167,000	\$7,816,900	\$3,350,100	\$7,493,389	\$5,245,372	\$2,248,017	\$3,673,611	\$2,571,528	\$1,102,083	33%	\$0	\$0	\$0	0%
9.7 - O&M Manual addendum	70%	\$50,000	\$35,000	\$15,000	\$50,000	\$35,000	\$15,000	\$0	\$0	\$0	0%	\$0	\$0	\$0	-100%
Subtotal Element 9		\$15,171,000	\$10,619,700	\$4,551,300	\$12,302,829	\$8,611,980	\$3,690,849	\$2,868,171	\$2,007,720	\$860,451	19%	\$147,375	\$103,162	\$44,212	5%

"36QYubaElem9"

[1] Revised per the Upper Yuba 25th Quarterly Work Plan. Updated per 28th QPR to reflect new Element 11.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba
For Period July/August/September 2018

ELEMENT 10

Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	36th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axb <small>based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 10: Yuba ULDC Remediation 1986 Break Site															
10.1 - Project Management	70%	\$110,000	\$77,000	\$33,000	\$20,487	\$14,341	\$6,146	\$89,513	\$62,659	\$26,854	81%	\$0	\$0	\$0	0%
10.2 - Geotechnical Explorations	70%	\$135,000	\$94,500	\$40,500	\$120,335	\$84,235	\$36,101	\$14,665	\$10,265	\$4,399	11%	\$0	\$0	\$0	0%
10.3 - Design	70%	\$40,000	\$28,000	\$12,000	\$363	\$254	\$109	\$39,637	\$27,746	\$11,891	99%	\$0	\$0	\$0	0%
10.4 - Construction	70%	\$475,000	\$332,500	\$142,500	\$252,122	\$176,485	\$75,637	\$222,878	\$156,015	\$66,863	47%	\$0	\$0	\$0	0%
10.5 - Construction Management	70%	\$110,000	\$77,000	\$33,000	\$13,308	\$9,316	\$3,992	\$96,692	\$67,684	\$29,008	88%	\$0	\$0	\$0	0%
Subtotal Element 10		\$870,000	\$609,000	\$261,000	\$406,615	\$284,631	\$121,985	\$463,385	\$324,369	\$139,015	53%	\$0	\$0	\$0	0%

"36QYubaElem10"

[1] Revised per the Upper Yuba 25th Quarterly Work Plan. Updated per 28th QPR to reflect new Element 11.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2018

ELEMENT 11

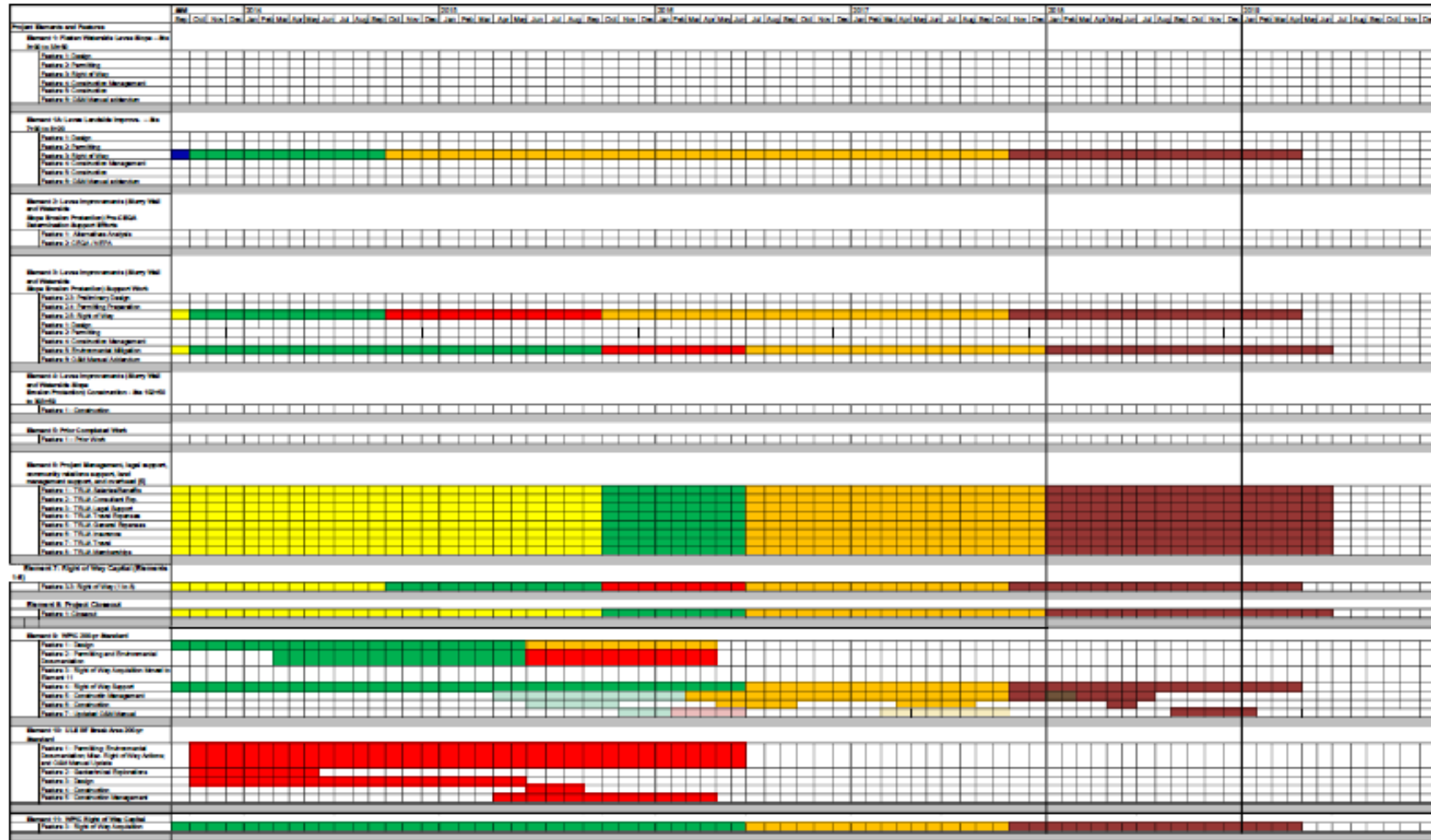
Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	36th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axb <small>based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 11: Right of Way Acquisition (WPIC)															
11-9.3 - Right of Way Acquisition (70%	\$609,000	\$426,300	\$182,700	\$205,420	\$143,794	\$61,626	\$403,580	\$282,506	\$121,074	66%	\$0	\$0	\$0	0%
Subtotal Element 11		\$609,000	\$426,300	\$182,700	\$205,420	\$143,794	\$61,626	\$403,580	\$282,506	\$121,074	66%	\$0	\$0	\$0	0%

"36QYubaElem8"

[1] Revised per the Upper Yuba 25th Quarterly Work Plan. Updated per 28th QPR to reflect new Element 11.

[2] Reflects estimated expenses through the 35th Quarter based on prior actual expenses through March 2018 (34th QPR) and estimated of expenses from January to March 2018 based on the 34th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

6. Schedule Information by Project Element: Shown in the figure below is a truncated Exhibit A reflecting Amendment 5



Blue: Original **Pattern:** Original, Not Viable **Yellow:** Amend 1 Change **Green:** Amend 2 Change **Red:** Amend 3 Change **Orange:** Amend 4 Change **Brown:** Amend 5 Change

- **A discussion on how the projected schedule compares to the original or last reported schedule:** No Changes

7. Attachments:

1. Updated Overall Work Plan Tab D Project Element 9: WPIC 200-Yr Standard permit list

Attachment 1
Tab D: WPIC 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> • Encroachment permit 19020-1 (landside fill) was issued in June 2016. • Encroachment permit 19020 (cutoff walls) was issued in February 2017.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> • Navigable waterways not affected
Corps	Section 404 Permit	Yes	<ul style="list-style-type: none"> • A 404 Individual Permit for the 19020-1 work was executed in June 2016. A 404 permit is not required for 19020.
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> • Wetland delineation has been completed.
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> • SPK issued a letter of permission for 19020-1 on May 10, 2016. • SPK issued a letter of permission for 19020 in February 2017.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	<ul style="list-style-type: none"> • CVRWQCB issued a 401 certification in May 2016.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> • Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) were submitted to the SMARTS website.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> • Contractor has submitted required documentation during construction. • Contractor will also submit monthly reports during the 2017 construction season.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> • Formal consultation with USFWS is complete.
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> • The project will avoid take of listed fish species

California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	Yes	<ul style="list-style-type: none"> The ITP was executed in May 2016.
DFW	Streambed Alteration Agreement	Yes	<ul style="list-style-type: none"> The SAA was executed in April 2016.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Cal-Trans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> The project design was refined to avoid encroaching upon Cal-Trans right-of-way, therefore Cal-Trans permit is no longer required.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	No	<ul style="list-style-type: none"> Coordination with the NAHC is underway as part of Section 106 and CEQA.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> Coordination with the SHPO as part of Section 106 is complete.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 is underway as part of construction.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County was obtained prior to construction.
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> The Final IS/MND and MMRP was adopted by the TRLIA Board in April 2016.
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> USACE has completed NEPA for 19020-1 and 19020.
Section 221 Credit	Credit under Section 221, as amended	No	<ul style="list-style-type: none"> The proposed work is not eligible for Section 221 credit as it is not being considered as part of an ongoing or authorized Federal project.

Union Pacific Railroad Company	Real Estate Consent Agreement	Yes	• Agreement was executed in May 2016 between TRLIA and UP.
-----------------------------------	----------------------------------	-----	---