



TRLIA
25th QUARTERLY WORK PLAN
FOR
UPPER YUBA RIVERLEVEE
IMPROVEMENT PROJECT

1. 25th Quarterly Work plan for quarter: October 1 to December 31, 2015
2. Date of Report: October 11, 2015
3. 25th Quarter Work Plan:

Quarterly Work Plan Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 9 - ROW Acquisition	\$168,000	\$72,000	\$240,000	\$0
Elements 1 through 6, 8, 9 & 10	\$470,555	\$201,666	\$672,222	\$0
Total	\$638,555	\$273,666	\$912,222	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 9	\$168,000
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$168,000

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6 & 8 through 10	\$352,916
plus/minus State Share true-up for Prior Quarters [1]	\$883,979
Total Funding for State Share at Start of 25th Quarter	\$1,236,895

[1] Estimated, see reconciliation of Prior State Funding.

Quarterly Local Credit Summary for Non-Real Estate Activity	
Local Credit Elements 1 through 6 & 8 through 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$0
Total Advance for Local Credit at Start of 25th Quarter	\$0

[1] See Reconciliation of Prior State Funding.

Reconciliation of State Advance Funding for Construction
As of 24th Quarter - State Share: Based on 23rd QPR plus 24th QWP
 (as adjusted for schedule and other factors)

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 – 10) through 22 nd Quarter [1]	\$24,375,744
24 th Quarter Total Non-Real Estate Forecasted Expenses [2]	\$1,016,456
Total Expenses Forecasted through 23 rd Quarter	\$25,392,200
State Share True-Up Calculations	
Total State Share of Above Expenses	\$17,641,178
Total State Funding Due (net of 10% Retention on Prior Expenses)	\$15,877,060
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234
2nd Quarter revised True-Up	\$92,631
16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917
18th Quarter Advance	\$87,124
18th Quarter True-Up	\$44,783
20th Quarter Advance	\$197,925
19th, 20th, 21st & WPIC True-Ups	\$706,809
22nd Quarter Advance	\$184,081
Total State Share Funding Received	\$14,993,081
Estimated State Share Construction Funding Due as of 23rd Quarter	\$883,979

**As of 24th Quarter - Local Share: Based on 23rd QPR plus 24th QWP
 (as adjusted for schedule and other factors)**

Local Share True-Up Calculations	
Local Share of Above Forecasted Expenses	\$7,494,734
Total Local Funding Credit Due	\$6,619,616
Funding To Date	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294
Local Credit Advanced (from 18th Quarter)	\$49,785
Local Credit True-Up (from 18th Quarter)	\$13,028
Local Credit Advanced (from 20th Quarter)	\$489,104
Total Credit Funding Received	\$6,619,616
Local Credit Due as of 25th Quarter [1]	\$0

[1] 23rd Quarter QPR Costs Subject to review by DWR., 24th QWP adjusted for actual expenses. Local Credit is limited to available credit. Note: No local credit remains.

4. Project Element Information:

- *Effective the 23rd quarter the narrative text for completed Elements and Features has been deleted from the report. The deleted items will continue to be shown in the schedule and financial update portions of the report.*
- The UYLIP 3rd Funding Agreement Amendment was approved on September 24th Quarter. The 3rd Amendment added Project Elements 9 and 10, and extended the agreement date to June 30, 2016.
- On November 21, 2013 the UYLIP funding agreement was amended for the 2nd time to extend the agreement date to September 30, 2015. The extension was due to the time needed to close real estate.
- During the 16th quarter the Department of Finance began a financial audit of the UYLIP EIP funding agreement. The Audit was completed in the 20th quarter. No items were found by the audit team. The audit results are posted on the TRLIA.
- At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4th Quarterly Work Plan (QWP) submitted on May 28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4th QWP that reflected the following changes.
 - A new Element 7: Right of Way Capital was added. This was previously Element 3:

Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.

- Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QWP is reflective of these modifications.

- **Engineering and construction matters:**

- **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00**

- Feature 3 Right of Way Support: TRLIA will continue work on this feature during the 25th Quarter. See Element 7 below for property acquisition information.
- Feature 6: O&M Manual: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. TRLIA revised and combined all O&M Addendums into a Comprehensive Addendum that was submitted to CVFPB and DWR in the 18th quarter. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of June 30, 2015 USACE has not provided their version of the manual to the CVFPB. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum. TRLIA and the CVFPB will continue to coordinate with USACE during the 25th Quarter to obtain feedback on the combined O&M Manual.

- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**

- Feature 2.5 Right of Way Support (Project Elements 1 to 8): TRLIA real estate and legal consultants will be active during the 25th quarter finalizing right of way needs for the project. See Element 7 below for property acquisition information.

- Feature 3 Right of Way: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4th Quarterly Work Plan.
 - Feature 4 Construction Management: Limited activity is anticipated to occur during the 25th quarter to address items required by settlement of eminent domain settlements (e.g. Dan Luis settlement), and to oversee PG&E power line relocation work that is being done to support a TRLIA eminent domain settlement. The PG&E work is being done under CVFPB encroachment permit 18695. The Dan Luis acquisition was filed in the 24th Quarter. Easements to PG&E are expected to be issued in the 25th Quarter. With these actions taken, TRLIA expects to discuss the final relocation of the power line with PG&E in this quarter and get the work scheduled.
 - Feature 5 Environmental Mitigation:
 - The additional elderberry seedling plantings were planted in the 14th quarter. Maintenance to ensure their establishment will continue in the 25th quarter. Maintenance for the additional seedlings will continue until April 2016. Monitoring will continue until 2018.
 - Feature 6 O&M Manual Addendum: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. TRLIA revised and combined all O&M Addendums into a Comprehensive Addendum that was submitted to CVFPB and DWR in the 18th quarter. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of June 30, 2015. USACE has not provided their version of the manual to the CVFPB. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum. TRLIA and the CVFPB will continue to coordinate with USACE during the 25th Quarter to obtain feedback on the combined O&M Manual.
- **Element 6:** Project Management, legal support, community relations support, land management support, and overhead
- Activity is anticipated in all Features during the 25th quarter. TRLIA has included estimated annual expenses for insurance premiums effective this quarter.

➤ **Element 7: Right of Way Capital**

- Feature 7-3.3 Right of Way (Project Elements 1 to 8):
 - DWR approved the Upper Yuba River Real Estate Plan on June 28, 2010.
 - TRLIA will continue to work with DWR on finalizing the updated and amended Real Estate Plan that submitted on August 14, 2015. The WPIC Real Estate Plan was included in this amendment in Section 2 of the plan.
 - Western Aggregate: During the 25th quarter TRLIA will continue to negotiate with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, and to leave already existing railroad easements owned by Western Aggregates in place, because Western Aggregates has stated that it hopes to use its fee and easement interests along the levee to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow the existing easements owned by Western Aggregates to remain in place, and also agreed to allow TRLIA to grant new railroad easements to Western Aggregates over property that TRLIA acquires from Western Aggregates in fee.

In exchange for transferring fee title to the properties that TRLIA seeks to acquire in fee, Western Aggregate has requested TRLIA grant Western Aggregates a new floating railway easement on not only the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. TRLIA has brought on a mediator to help settle this item. During the 25th quarter TRLIA will continue to negotiate with Western Aggregates on this item.

This issue is listed in the Issue/Concerns portion of this report

- **Final Accounting Packages (FAPs) and Cadastral Requirements:** Tables 1 to 6 provide the status of Real Estate Property actions and Final Accounting Packages (FAPs). TRLIA will continue submitting Final Accounting Packages (FAP's) during the 24th quarter.

Table 1 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
1	14050	020-080-009	Western Aggregates, Inc.		
2	14051	021-041-006	Clara Willis, Et al	approved	
3	14052	021-041-007	Bernard J. Perez	approved	
4	14053	021-041-010	Lothar S. Klug, Et Al	approved	
5	14054	021-041-009	ROSA MARTHA VALDEZ	approved	
6	14055	021-041-012, 021-042-014	Steve Gilbert, Et Al	approved	
7	14056	021-042-027	Humam El Sharif	pending	
8	14057	021-0500-001	Orest Wesely, Et Al	pending	
9	14058	018-220-048, -049	COF Quail Hollow Land 35F LLC	pending	
10	14059	018-210-014	Stephanie Morris	approved	
11	14060	018-210-029	Robert G. Wood	approved	
12	14061	018-220-007	Howard R. Miller	approved	
13	14062	018-200-001, 018-220-030	Ajit S. Bains	pending	
14	14063	018-200-005, -007, 018-210-035	Anthony J. Luis, Et Al		
15	14064	018-200-008	Danel J. Luis		
16	14065	018-190-002, 018-200-006	Richard G. Wilbur Trust		
17	14066	018-140-040	Richard G. Wilbur Trust		
18	14067	018-150-015, 018-190-001	Henry P. Smith Et Al		
19	14068	018-190-110	Walbinder S. Sohal Living Trust	pending	
20	14069	018-190-107, 018-190-108	Sohal Family Trust	pending	
21	14070	018-150-035, 018-190-104	Michele R. Baker		
22	14071	018-150-032	Shintaffer Farms, Inc.	approved	
23	14072	018-190-109	Bhajan S. Sohal, Et Al	pending	
24	14073	021-021-001	Dunning Properties, LP		
25	14074	Portion of 018-220-			

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
		056	Wilbur, Richard G.		
26	14075	018-220-056	Western Aggregates, LLC		
27	14079	020-410-001	Allen, Jason G.		
28	14080	Portion of 018-150-032 and -035	Federal Fruit Exchange	pending	
29	14081	018-230-028	Orchard Growers	approved	
30	14085	018-140-041	Naumes, Inc.	approved	
31	14086	018-190-085	Rahul, Surjit S. & Parmjit	pending	
Total				11	0

Table 2 - Acquisition being negotiated:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRILIA has acquired. Parties are negotiating.
14063	Anthony J. & Zelma B. Luis	Settlement Reached				\$11,200	Waiting for Final Order of Condemnation
14073	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
14074	Richard G. Wilbur	Negotiation					First Written Offer 3/7/13. TRILIA is updating the appraisal and will make a revised offer.
14075	Western Aggregates, LLC	Negotiation					See comment for CVFPB 14050

Table 3 - Negotiated Acquisitions – The following parcels have been acquired. Final Accounting Packages are being prepared.

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14064	Daniel J. Luis	Complete		\$46,477.00	\$32,533.90	\$5,670.00	Preparing FAP and Relocation FAP
14070	Michele R. Barker	Complete				\$64,750	Settlement documents submitted to escrow/ waiting on 1099s
14079	Jason G. Allen	Complete		\$210,000.00	\$147,070.00	Not invoiced yet	Preparing FAP and Relocation FAP

Table 4 - Final Accounting Packages at DWR:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14056	Humam El Sharif	Complete	submitted	\$2,100.00	\$1,470.00	\$1,470.00	To DWR 4/25/14
14057	Orest and Irene Wesely	Complete	submitted	\$33,000.00	\$23,100.00	\$23,100.00	To DWR 10/11/13
14058	COF Quail Hollow Land 148T	Complete	submitted	\$34,750.00	\$24,325.00	\$24,325.00	To DWR 4/17/13
14062	Ajit S. Bains & Maria Del Carmen	Complete	Submitted	\$48,700.00	\$34,090.00	\$15,050	To DWR 5/27/15
14065	Richard G. Wilbur Trust	Complete	Submitted	\$48,270.00	\$33,789.00	\$16,100	To DWR 1/26/15
14066	Richard G. Wilbur Trust	Complete	Submitted				To DWR 1/26/15
14067	Henry P. Smith	Complete	Submitted	\$149,071.00	104,349.00	\$22,400.00	To DWR 1/26/15
14068	Walbinder S. Sohal Living Trust,	Complete	Submitted	\$598,500.00	\$418,950.00	\$418,950.00	To DWR 1/22/14
14069	Awtar S. Sohal & Sukhvir K. Sohal, Co-Trusteess	Complete	submitted	\$41,500.00	\$29,050.00	\$21,787.50	To DWR 8/2/14
14072	Bhajan S. & Rajwant K. Sohal	Complete	submitted	\$598,500.00	\$418,950.00	\$418,950.00	TO DWR 1/22/14
14080	Federal Fruit Distributors	Complete	submitted	\$800.00	\$560.00	\$392.00	To DWR 4/25/14

Table 5 - Final Accounting Packages Approved:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14051	Ronnie C. Willis	Complete	approved	\$29,500.00	\$20,650.00	\$20,650.00	Approved 8/4/15
14052	Bernard J. Perez	Complete	approved	\$10,350.00	\$7,245.00	\$7,245.00	Approved 8/10/15
14053	Lothar S. & Brigitta M. Klug	Complete	approved	\$2,800.00	\$1,960.00	\$1,960.00	Approved 8/10/15
14054	Valdez	Complete	approved	\$5,200.00	\$3,640.00	\$1,820.00	Approved 8/4/15
14055	Steve and Denise Gilbert	Complete	approved	\$15,700.00	\$14,840.00	\$14,840.00	Approved 8/4/15
14055	Steve and Denise Gilbert	Complete	approved	\$5,500.00			Approved 8/4/15
14059	Stephanie Morris	Complete	approved	\$7,900.00	\$5,530.00	\$4,060.00	Approved 8/10/15
14060	Robert Glenn Wood	Complete	approved	\$3,200.00	\$2,240.00	\$2,240.00	Approved 8/10/15
14061	Howard R Miller	Complete	approved	\$1,400.00	\$980.00	\$980.00	Approved 8/10/15
14071	Shintaffer Farms, Inc.	Complete	approved	\$5,500.00	\$3,850.00	\$437.00	Approved 8/10/15
14081	Orchard Growers	Complete	approved	\$500.00	\$350.00		Approved 8/10/15
14085	Naumes, Inc.	Complete	approved	\$3,000.00	\$2,100.00	\$175.00	Approved 8/10/15
14086	Rahul, Surjit S. & Parmjit	Complete	approved	\$500.00	\$350.00	\$175.00	Approved 8/10/15

Table 7 - Status of Relocation Actions:

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	
14079	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	
14079	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	
14079	Jason G. Allen	Ed Widener	Complete	\$12,272.00	

14079	Jason G. Allen	Jack Fouse	Complete	\$0	No response from tenant, who moved from Park.
14079	Jason G. Allen	Les Begley	Complete	\$1,100.00	
14079	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	
14079	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	
14079	Jason G. Allen	David Haughton	Complete	\$2,402.78	
14079	Jason G. Allen	Jason G. Allen	Complete	\$24,754.99	

➤ **Element 8 Closeout Process:**

- Feature 1: Closeout Process
 - On November 15, 2013 TRLIA submitted its initial draft of Closeout Documentation for the UYRLIP project for DWR’s review. As part of that submission, TRLIA requested a release of retention (\$1,042,447) for the portion of the project that has been completed. As of the date of this report DWR has not yet responded to TRLIA’s request. TRLIA will continue to work with DWR and coordinate on the closeout of completed items during the 25th quarter. DWR has caught up on review of UYRLIP Statement of Cost reviews and is the process of providing additional True-Up payments. TRLIA expects that after these payments are processed, DWR will work to respond to retention and close-out requests. TRLIA’s goal is to have the November 2013 release of retention approved and sent to TRLIA as soon as possible.

This issue is listed in the Issue/Concerns portion of this report.

➤ **Element 9 WPIC 200-yr Standard:**

Feature 1 Design: TRLIA will incorporate comments received on the 90% design package and begin preparation of the Bid Documents.

- Feature 2 Permitting:
 - See Attachment 1 for permits listing
 - Permits status: TRLIA anticipates receipt of the Section 2081, Section 401, and 1600 permits in the 25th quarter.

TRLIA anticipates receiving local Section 408 and Section 404 permits for the landside fill work (CVFPB Permit 19020-1) in the 25th quarter. TRLIA also anticipates receiving HQUSACE approval of the cutoff wall work (CVFPB Permit 19020) in Quarter 25.

- Feature 3 Right of Way Acquisition: Real Estate activities continue in the WPIC area. Right of Way requirements are being refined and we anticipate receiving revised right of way maps in this quarter.

Table 7 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1		Miller-Belza-Peck	1		
2		Rue & Forsman Real Estate LLC	1		
3		Llatigo Farms LLC (Includes Unecon Remnant)	2		
4		Alfaro Family Trust (Includes Unecon Remnant)	5		
			9	0	0

- Feature 4 Right of Way Support:
 - During the 20th quarter, October 2, 2014, TRLIA submitted an amendment to UYLIP Real Estate plan for work on the WPIC. DWR approval of the plan did not occur in the 21st quarter. Appraisals and Offers will continue to be made in the 24th quarter so that TRLIA can potentially start construction work this construction season (2015). Timely approval of legal descriptions, appraisals and offers is critical for position of the real estate in time for construction. See table below for status.

Table 8 – Property Acquisition Status

CVFPB	APN	OWNER	ESA Completed	Receipt of Legals and Plats (or revision)	Appraisal submitted to TRLIA	Appraisal submitted to DWR	Appraisal Approved by DWR	Just Compensation Approved	Offer Made	Comments
not assigned yet	014-270-084 014-270-105 014-270-106 014-350-065 014-360-002	Alfaro Family Trust	12/29/2014	1/28/2015	1/23/2015	1/23/2015		1/23/2015		Right of Way requirement are being revised; additional discussions are planned
not assigned yet	014-360-011 014-360-013	Latigo Farms, LLC	12/29/2014		8/31/2012				4/8/2013 9/25/2013	Suit filed; Property owners have stipulated to possession
not assigned yet	016-050-023	Rue & Forsman Real Estate, LLC	12/24/2014	1/28/2015						Right of Way Requirements are being revised;

										appraisal update is pending
not assigned yet	016-070-018	Miller-Belza-Peck	12/24/2014	1/28/2015	9/12/2014	10/21/2014		10/3/2014	10/15/2014	Right of Way Requirements are being revised; appraisal update is pending

- Prior to the UYLIP 3rd funding amendment being approved, TRLIA filed an eminent domain action against Latigo Farms LLC to acquire property rights need for this element. TRLIA will continue working on this eminent domain action in the 24th quarter. A case management conference is scheduled in the eminent domain action for July 20, 2015.
- Coordination with UPRR will continue regarding construction of the project.
- Feature 5 Construction Management: Construction management will begin once permits have been received and contracting begins. Contracting for construction is expected to begin in January 2016 (Quarter 26).
- Feature 6 Construction: Construction is scheduled to begin in April 2016 (Quarter 27), pending receipt of all necessary permits. Beginning construction in 2016 will necessitate the extension of the Funding Agreement.

This issue is listed in the Issue/Concerns portion of this report

- Feature 7 Updated O&M Manual: No work is anticipated in the 25th quarter.
- **Element 10 ULE 86’ Break Area 200-yr Standard:**
 - On September 24, 2014 the UYLIP funding agreement was amended for the 3rd time to include this Element.
 - Feature 1 Permitting:
 - See Attachment 2 for permits listing
 - An encroachment permit was issued by the CVFPB on April 30, 2015. This work falls under a CEQA categorical exemption. USACE prepared appropriate NEPA documentation for the Section 408 Process. USACE approved alteration of the project under Section 408 on April 20, 2015.
 - Feature 3 Design: An encroachment permit was issued by the CVFPB on April 30, 2015. This work falls under a CEQA categorical exemption. USACE prepared appropriate NEPA documentation for the Section 408

Process. USACE approved alteration of the project under Section 408 on April 20, 2015.

- Feature 4 Construction: TRLIA issued the plan and specifications for bid on June 29, 2015 and opened bids on July 29, 2015. Five (5) bids were received and the job was awarded to the responsive low bidder, R&R Horn Inc., on August 14, 2015. Construction is expected to initiate in the 24th Quarter and complete in the 25th Quarter.
- Feature 5 Construction Management: Construction Management will begin in the 24th Quarter and continue into the 25th Quarter. Construction Management and Quality Assurance will be accomplished through the efforts of MHM Incorporated under the supervision of the Handen Company Inc.

- **Environmental matters:**

- See Project Element 3 Feature 5 Environmental Mitigation above for this information for Project Elements 1 to 8.
- See Project Element 9 Feature 2 Permitting for this information for Project Element 9.

- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**

- **Element 9:** See Element 9 Feature 2 above for status and attachment 1 for list.
- **Element 10:** See Element 10 Feature 1 for status and attachment 2 for list.

- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.);**

- **Element 10 ULE 86' Break Area 200-yr Standard Feature 4 Construction:** TRLIA plans to complete construction in the 25th quarter.

- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**

- **Issue 1:** Western Aggregate has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 25nd quarter TRLIA will continue to negotiate with Western Aggregates on this item. TRLIA has brought on a mediator to help resolve the issues associated with this item.

Recommended Solution: DWR support TRLIA as negotiations with the CVFPB transpire for the approval of the Western Aggregate floating easement request.

- **Issue 2:** TRLIA has submitted an initial draft of Project Closeout materials in electronic format for DWR's review. This Project Closeout Documentation report presents a compilation of all of the required project closeout documentation that has been prepared to date. As part of this submission, TRLIA presented a request for a partial release of retention of the work completed through 15th Quarter in the amount of \$2,448,160 less credit for interest earned State Funding in the amount of \$106,799 for a net release of \$2,341,361. TRLIA is prepared to work with State to respond to any inquiries related to this request. As TRLIA continues to expend funds on the project and other efforts, a release of retention will help TRLIA to cash flow the remainder of this project.

Recommended Solution: DWR review TRLIA's request and provide comments on the request and at the same time commence the process of a partial release of retention for those elements deemed complete.

- **Issue 3:** TRLIA anticipates receiving local Section 408 and Section 404 permits for the landside fill work (CVFPB Permit 19020-1) in the 25th quarter. TRLIA also anticipates receiving HQUSACE approval of the cutoff wall work (CVFPB Permit 19020) in Quarter 25 with local approval anticipated in Quarter 26. In light of the permitting schedule, construction is now expected to occur in 2016 and post-construction activities would extend into 2017. TRLIA is submitting a request to extend the contract in November 2015.

Recommend Solution: DWR amend funding agreement to support construction delay.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- In response to DWR’s review of the 24th Quarter Work Plan and updated information regarding the costs of WPIC Element 9 work, TRLIA is submitting the following updated OAWP Budget for DWR’s review. The forecasted work for the quarter is reflective of this updated budget.

TRLIA Overall Work Plan Budget
Amended Upper Yuba River Project Exhibit A

Project Elements and Features	Current Budget [1]			Proposed Budget Modification			Revised Overall Budget			
	State	Local	Total	State	Local	Total	State	Local	Total	
	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	
	[1]	[2]					[1]	[2]		
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50										
3120-3500	Feature 1: Design	\$139,495	\$59,784	\$199,279	\$0	\$0	\$0	\$139,495	\$59,784	\$199,279
3120-3501	Feature 2: Permitting	\$34,394	\$14,740	\$49,134	\$0	\$0	\$0	\$34,394	\$14,740	\$49,134
3120-3502	Feature 3: Right of Way	\$16,999	\$7,285	\$24,285	\$0	\$0	\$0	\$16,999	\$7,285	\$24,285
3120-3503	Feature 4: Construction Management	\$126,345	\$54,148	\$180,492	\$0	\$0	\$0	\$126,345	\$54,148	\$180,492
3120-3504	Feature 5: Construction	\$227,675	\$97,575	\$325,250	\$0	\$0	\$0	\$227,675	\$97,575	\$325,250
3120-3505	Feature 6: O&M Manual addendum	\$2,497	\$1,070	\$3,567	\$0	\$0	\$0	\$2,497	\$1,070	\$3,567
Subtotal Element 1		\$547,405	\$234,602	\$782,007	\$0	\$0	\$0	\$547,405	\$234,602	\$782,007
Element 1A: Landside Levee Improvement - Sta 7+00 to 8+20 (Shadpad Road)										
3126-3500	Feature 1: Design	\$56,000	\$24,000	\$80,000	\$0	\$0	\$0	\$56,000	\$24,000	\$80,000
3126-3501	Feature 2: Permitting	\$73,500	\$31,500	\$105,000	\$0	\$0	\$0	\$73,500	\$31,500	\$105,000
3126-3502	Feature 3: Right of Way Support	\$168,000	\$72,000	\$240,000	\$0	\$0	\$0	\$168,000	\$72,000	\$240,000
3126-3503	Feature 4: Construction Management	\$122,500	\$52,500	\$175,000	\$0	\$0	\$0	\$122,500	\$52,500	\$175,000
3126-3504	Feature 5: Construction	\$318,500	\$136,500	\$455,000	\$0	\$0	\$0	\$318,500	\$136,500	\$455,000
3126-3505	Feature 6: O&M Manual addendum	\$3,500	\$1,500	\$5,000	\$0	\$0	\$0	\$3,500	\$1,500	\$5,000
Subtotal Element 1A		\$742,000	\$318,000	\$1,060,000	\$0	\$0	\$0	\$742,000	\$318,000	\$1,060,000

TRLIA Overall Work Plan Budget
Amended Upper Yuba River Project Exhibit A

Project Elements and Features	Current Budget [1]			Proposed Budget Modification			Revised Overall Budget		
	State Budget (\$) [1]	Local Budget (\$) [2]	Total Budget (\$) [1]	State Budget (\$) [1]	Local Budget (\$) [2]	Total Budget (\$) [1]	State Budget (\$) [1]	Local Budget (\$) [2]	Total Budget (\$) [1]
Element 2: Pre-CEQA & CEQA Determination Support Efforts									
3121-3510 Feature 1: Alternatives Analysis [3]	\$209,885	\$209,885	\$419,771	\$0	\$0	\$0	\$209,885	\$209,885	\$419,771
3121-3511 Feature 2: CEQA / NEPA [3]	\$325,071	\$325,071	\$650,142	\$0	\$0	\$0	\$325,071	\$325,071	\$650,142
Subtotal Element 2	\$534,956	\$534,956	\$1,069,913	\$0	\$0	\$0	\$534,956	\$534,956	\$1,069,913
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work									
3121-3512 Feature 2.3: Preliminary Design [4]	\$965,145	\$413,633	\$1,378,778	\$0	\$0	\$0	\$965,145	\$413,633	\$1,378,778
3121-3513 Feature 2.4: Permitting Preparation [4]	\$157,741	\$67,603	\$225,344	\$0	\$0	\$0	\$157,741	\$67,603	\$225,344
3121-3514 Feature 2.5: Right of Way Support	\$2,055,037	\$880,730	\$2,935,767	\$0	\$0	\$0	\$2,055,037	\$880,730	\$2,935,767
3122-3520 Feature 1: Final Design	\$1,595,515	\$683,792	\$2,279,307	\$0	\$0	\$0	\$1,595,515	\$683,792	\$2,279,307
3122-3521 Feature 2: Permitting	\$491,723	\$210,738	\$702,461	\$0	\$0	\$0	\$491,723	\$210,738	\$702,461
3122-3523 Feature 4: Construction Management	\$1,522,500	\$652,500	\$2,175,000	\$0	\$0	\$0	\$1,522,500	\$652,500	\$2,175,000
3122-3524 Feature 5: Environmental Mitigation	\$290,564	\$124,527	\$415,091	\$0	\$0	\$0	\$290,564	\$124,527	\$415,091
3122-3525 Feature 6: O&M Manual addendum	\$132,593	\$56,826	\$189,419	\$0	\$0	\$0	\$132,593	\$56,826	\$189,419
Subtotal Element 3	\$7,210,817	\$3,090,350	\$10,301,168	\$0	\$0	\$0	\$7,210,817	\$3,090,350	\$10,301,168
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to 303+59									
3123-3530 & 3531 Feature 1: Construction	\$6,860,000	\$2,940,000	\$9,800,000	\$0	\$0	\$0	\$6,860,000	\$2,940,000	\$9,800,000
Subtotal Element 4	\$6,860,000	\$2,940,000	\$9,800,000	\$0	\$0	\$0	\$6,860,000	\$2,940,000	\$9,800,000
Element 5: Prior Completed Work									
3124 -XXXX Feature 1: Prior Completed Work	\$5,377,514	\$2,304,649	\$7,682,162	\$0	\$0	\$0	\$5,377,514	\$2,304,649	\$7,682,162
Subtotal Element 5	\$5,377,514	\$2,304,649	\$7,682,162	\$0	\$0	\$0	\$5,377,514	\$2,304,649	\$7,682,162
Element 6: Project Management, legal support, community relations support, land management support, and overhead									
3125-3270 Feature 1: TRLIA Salaries/Benefits	\$1,099,500	\$471,214	\$1,570,714	\$126,000	\$54,000	\$180,000	\$1,225,500	\$525,214	\$1,750,714
3125-3271 Feature 2: TRLIA Consultant Exp.	\$952,264	\$408,113	\$1,360,377	-\$189,000	-\$81,000	-\$270,000	\$763,264	\$327,113	\$1,090,377
3125-3272 Feature 3: TRLIA Legal Support	\$380,964	\$163,270	\$544,235	-\$126,000	-\$54,000	-\$180,000	\$254,964	\$109,270	\$364,235
3125-3273 Feature 4: TRLIA Travel Expenses	\$6,995	\$2,998	\$9,992	\$0	\$0	\$0	\$6,995	\$2,998	\$9,992
3125-3274 Feature 5: TRLIA General Expenses	\$179,650	\$76,993	\$256,643	\$0	\$0	\$0	\$179,650	\$76,993	\$256,643
3125-3275 Feature 6: TRLIA Insurance	\$779,883	\$334,236	\$1,114,119	\$189,000	\$81,000	\$270,000	\$968,883	\$415,236	\$1,384,119
3125-3276 Feature 7: TRLIA Travel: Vehicle Lease	\$18,700	\$8,014	\$26,714	\$0	\$0	\$0	\$18,700	\$8,014	\$26,714
3125-3277 Feature 8: TRLIA Memberships	\$13,383	\$5,736	\$19,119	\$0	\$0	\$0	\$13,383	\$5,736	\$19,119
Subtotal Element 6	\$3,431,339	\$1,470,574	\$4,901,913	\$0	\$0	\$0	\$3,431,339	\$1,470,574	\$4,901,913
Element 7: Right of Way Capital									
3122-3522 Feature 3: Right of Way (Capital)	\$3,761,687	\$1,612,151	\$5,373,838	\$0	\$0	\$0	\$3,761,687	\$1,612,151	\$5,373,838
Subtotal Element 7	\$3,761,687	\$1,612,151	\$5,373,838	\$0	\$0	\$0	\$3,761,687	\$1,612,151	\$5,373,838
Element 8: Project Closeout Efforts									
3125-3279 Feature 1: Project Close Out Efforts	\$140,000	\$60,000	\$200,000	\$0	\$0	\$0	\$140,000	\$60,000	\$200,000
Subtotal Element 8	\$140,000	\$60,000	\$200,000	\$0	\$0	\$0	\$140,000	\$60,000	\$200,000
Element 9: WPIC 200-Yr Standard									
3142-3570 Feature 1: Design	\$560,000	\$240,000	\$800,000	\$399,700	\$171,300	\$571,000	\$959,700	\$411,300	\$1,371,000
3142-3571 Feature 2: Permitting & Environmental Docume	\$210,000	\$90,000	\$300,000	\$321,300	\$137,700	\$459,000	\$531,300	\$227,700	\$759,000
3142-3572 Feature 3: Right of Way Acquisition	\$700,000	\$300,000	\$1,000,000	-\$273,700	-\$117,300	-\$391,000	\$426,300	\$182,700	\$609,000
3142-3573 Feature 4: Right of Way Support	\$140,000	\$60,000	\$200,000	\$86,100	\$36,900	\$123,000	\$226,100	\$96,900	\$323,000
3142-3574 Feature 5: Construction Management	\$560,000	\$240,000	\$800,000	\$490,700	\$210,300	\$701,000	\$1,050,700	\$450,300	\$1,501,000
3142-3575 Feature 6: Construction	\$8,400,000	\$3,600,000	\$12,000,000	-\$583,100	-\$249,900	-\$833,000	\$7,816,900	\$3,350,100	\$11,167,000
3142-3576 Feature 7: O&M Manual addendum	\$35,000	\$15,000	\$50,000	\$0	\$0	\$0	\$35,000	\$15,000	\$50,000
Subtotal Element 9	\$10,605,000	\$4,545,000	\$15,150,000	\$441,000	\$189,000	\$630,000	\$11,046,000	\$4,734,000	\$15,780,000

TRLIA Overall Work Plan Budget
Amended Upper Yuba River Project Exhibit A

Project Elements and Features	Current Budget [1]			Proposed Budget Modification			Revised Overall Budget			
	State	Local	Total	State	Local	Total	State	Local	Total	
	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	Budget (\$)	
	[1]	[2]					[1]	[2]		
Element 10: Yuba 1986 Break Site Remediation										
3143-3580	Feature 1: Project Management	\$77,000	\$33,000	\$110,000	\$0	\$0	\$0	\$77,000	\$33,000	\$110,000
3143-3581	Feature 2: Geotechnical Explorations	\$98,000	\$42,000	\$140,000	-\$3,500	-\$1,500	-\$5,000	\$94,500	\$40,500	\$135,000
3143-3582	Feature 3: Design	\$28,000	\$12,000	\$40,000	\$0	\$0	\$0	\$28,000	\$12,000	\$40,000
3143-3583	Feature 4: Construction	\$770,000	\$330,000	\$1,100,000	-\$437,500	-\$187,500	-\$625,000	\$332,500	\$142,500	\$475,000
3143-3584	Feature 5: Construction Management	\$77,000	\$33,000	\$110,000	\$0	\$0	\$0	\$77,000	\$33,000	\$110,000
Subtotal Element 10		\$1,050,000	\$450,000	\$1,500,000	-\$441,000	-\$189,000	-\$630,000	\$609,000	\$261,000	\$870,000
TOTAL ALL ELEMENTS		\$40,260,718	\$17,560,283	\$57,821,000	\$0	\$0	\$0	\$40,260,718	\$17,560,283	\$57,821,000

*Details for Elements 1 through 9 are not shown as there are no changes proposed within these elements.

- **Any litigation, proceedings or claims relating to the Project:** None

5. Cost Information by Project Element:

With the 5th QWP, TRLIA evaluated the Overall Work Plan Budget and prepared a comprehensive updated the budget from the UYRLIP project. This updated reflected construction on the completed work within Element 1 as well as the work bid for Elements 3&4. The updated budgets took into consideration the savings in construction costs as well other minor adjustments in the Overall Work Plan individual feature budgets. As part of the 6th QWP, TRLIA submitted a Revised Overall Work Plan Budget that made some corrections to the budget submitted as part of the 5th QWP. With the 9th Quarterly Work Plan, TRLIA incorporated Element 1A into the Project and provided an additional update to the Overall Work Plan Budget.

With the 15th QWP, as noted above, TRLIA incorporated additional amendments to the budget to reflect accurate costs for the project related features as well as added the budget for Elements 8 and 9, the cost associated with Close out efforts as well as work related to 200-Year Compliance Actions on the WPIC respectively.

In the 18th quarter TRLIA shifted the remaining budget within the completed Element 1 to Element 1A to adjust for the budget exceeded within the Construction, Construction Management within Element 1A.

As Part of the 19th Quarter Work Plan, and at the same time an associated Amendment No. 3 to the Funding Agreement, TRLIA updated the Overall Work Plan Budget. Finally, with this 25th Quarter Work Plan, based on actual costs incurred to date and updated project budgets for the WPIC and 1986 Break Site work, TRLIA is shifting budget between these elements as noted above. This work plan reflects all of those prior updates to the Overall Work Plan Budget.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed):**

See the description and updated budget presented above within the section titled

“Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders”.

- **Projected costs compared to Overall Work Plan Budget**

- **Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50**
No additional construction costs are forecasted to be incurred during the 18th quarter as the Element is **Complete**.
- **Element 1A: Landside Levee Improvement – Sta 7+00 to 8+20 (Shadpad Road)**
This Element was added as of the 9th Quarter. TRLIA expects to continue work on Right of Way activities in the 25th Quarter. TRLIA plans to expend approximately \$900 during the 25th Quarter to continue ROW acquisition finalization.
- **Element 2: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts**
This element only includes the preliminary alternatives analysis and CEQA/NEPA document preparation (that work only eligible for 50% cost share by the State). This element is **Complete** and there are no costs forecasted as part of this QWP. Upon completion of the 16th Quarter, approximately (100%) \$1,065,000 amended budget has been expended.
- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection Support**
This element was revised in the 5th Quarter to include the preliminary design, permitting preparation and Right of Way Support work that was previously included in Element 2. The amended overall budget for Element 3 is \$10.3 million and the amount forecasted to be expended this quarter is \$25,000. This amount, in conjunction with estimated prior expenses furthering Element 3 efforts, will result in having expended roughly 92% (\$9.4 million) of the Element’s revised budget.
- **Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Sta 102+50 to 303+59**
No additional construction costs are forecasted to be incurred during the 16th quarter as the Element is **complete**. At the completion of the Quarter, TRLIA expects to have expended approximately 90% (\$8.7 million) of the revised budget for this element.
- **Element 5: Prior Completed Work**
This Element solely represents prior completed work submitted for crediting purposes. No additional costs in the element will be incurred during the funding agreement contract period.
- **Element 6 - Project Management, Legal, Community Relations support, Land Management, and Overhead:**
TRLIA has estimated the amount of overhead expenses to be incurred in the quarter and allocated the Yuba share of those forecasted expenses to Element 6. TRLIA expects to allocate approximately \$77,000 of Overhead and Admin expenses in the

quarter. After the quarter is complete, TRLIA will have expended roughly 85% of the amended Element 6 budget (\$4.16 million).

➤ **Element 7 – Right of Way Capital:**

Right of Way acquisition activity will continue this Quarter. TRLIA has not forecasted expenditures this quarter, however, the timing of actual expenditures could change. After the quarter is complete, TRLIA will have expended roughly 54% (\$2.9 million) of the revised Element 7 (\$5.4 million) budget.

➤ **Element 8 – Project Closeout efforts:**

This element was added in the 14th quarter. TRLIA expects to continue efforts in the 25th quarter and expects to expend \$15,000 on efforts associated with TRLIA request to process a partial release of retention. TRLIA plans to continue elevating this issue during the quarter with DWR to process this release of retention.

➤ **Element 9 – WPIC 200-Yr Standard:**

This element was added in the 15th quarter and the budget has been revised during this 25th Quarter. In the 25th quarter TRLIA expects to expend approximately \$645,000. Construction activities has been delayed to next season in order to coordinate the permitting requirements and CEQA/NEPA activities of the project.

➤ **Element 10 – Yuba ULDC Remediation 1986 Break Site:**

This element was added during the 20th quarter and the budget has been revised down this quarter based on actual construction contracts let to date.. The total budget for this Element is now \$870,000. In the 25th quarter TRLIA expects to expend \$149,000 as it completes the construction work associated with this element.

The amount of funds sought from the State and the Amount of Local Funds intended to be expended: As presented in Item 3 of this 24th Quarter Work Plan:

Quarterly Work Plan Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 9 - ROW Acquisition	\$168,000	\$72,000	\$240,000	\$0
Elements 1 through 6, 8, 9 & 10	\$470,555	\$201,666	\$672,222	\$0
Total	\$638,555	\$273,666	\$912,222	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 9	\$168,000
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$168,000

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6 & 8 through 10	\$352,916
plus/minus State Share true-up for Prior Quarters [1]	\$883,979
Total Funding for State Share at Start of 25th Quarter	\$1,236,895

[1] Estimated, see reconciliation of Prior State Funding.

Quarterly Local Credit Summary for Non-Real Estate Activity	
Local Credit Elements 1 through 6 & 8 through 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$0
Total Advance for Local Credit at Start of 25th Quarter	\$0

[1] See Reconciliation of Prior State Funding.

Reconciliation of State Advance Funding for Construction
As of 24th Quarter - State Share: Based on 23rd QPR plus 24th QWP
(as adjusted for schedule and other factors)

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 – 10) through 22 nd Quarter [1]	\$24,375,744
24 th Quarter Total Non-Real Estate Forecasted Expenses [2]	\$1,016,456
Total Expenses Forecasted through 23 rd Quarter	\$25,392,200
State Share True-Up Calculations	
Total State Share of Above Expenses	\$17,641,178
Total State Funding Due (net of 10% Retention on Prior Expenses)	\$15,877,060
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234
2nd Quarter revised True-Up	\$92,631
16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917

18th Quarter Advance	\$87,124
18th Quarter True-Up	\$44,783
20th Quarter Advance	\$197,925
19th, 20th, 21st & WPIC True-Ups	\$706,809
22nd Quarter Advance	\$184,081
Total State Share Funding Received	\$14,993,081
Estimated State Share Construction Funding Due as of 23rd Quarter	\$883,979

**As of 24th Quarter - Local Share: Based on 23rd QPR plus 24th QWP
(as adjusted for schedule and other factors)**

Local Share True-Up Calculations	
Local Share of Above Forecasted Expenses	\$7,494,734
Total Local Funding Credit Due	\$6,619,616
Funding To Date	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294
Local Credit Advanced (from 18th Quarter)	\$49,785
Local Credit True-Up (from 18th Quarter)	\$13,028
Local Credit Advanced (from 20th Quarter)	\$489,104
Total Credit Funding Received	\$6,619,616
Local Credit Due as of 25th Quarter [1]	\$0

[1] 23rd Quarter QPR Costs Subject to review by DWR., 24th QWP adjusted for actual expenses. Local Credit is limited to available credit. Note: No local credit remains.

The following tables present Element and Feature level details for the 24th Quarterly Work Plan:

Summary of TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period October/November/December 2015

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Estimated Cumulative Expenses [2]			Forecasted Remaining Budget			Quarterly Forecast			Advance
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	Total	State	Local	State Share
Formula	a	b	c = a-b	d	e	f = d-e	g = a-d	h = b-e	i = c-f	j	k	l=j-k	l=k*75%
Quarterly Advance Request Elements (Not Including Real Estate)													
Element 1: Flatten Waterside Levee Slope	\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	\$0	\$0	\$0	\$0
Element 1A: Land Side Improvement (Shadpad)	\$1,060,000	\$742,000	\$318,000	\$1,031,333	\$721,933	\$309,400	\$28,667	\$20,067	\$8,600	\$900	\$630	\$270	\$473
Element 2: Pre-CEQA Determination Support	\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	\$0	\$0	\$0	\$0
Element 3: Levee Improvements Support Work	\$10,301,168	\$7,210,817	\$3,090,350	\$9,415,314	\$6,590,720	\$2,824,594	\$885,853	\$620,097	\$265,756	\$25,000	\$17,500	\$7,500	\$13,125
Element 4: Levee Improvements Construction	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	\$0	\$0	\$0	\$0
Element 6: Admin & Overhead	\$4,901,913	\$3,431,339	\$1,470,574	\$4,084,630	\$2,859,241	\$1,225,389	\$817,283	\$572,098	\$245,185	\$77,000	\$53,900	\$23,100	\$40,425
Element 8: Project Closeout Efforts	\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	\$15,000	\$10,500	\$4,500	\$7,875
Element 9: WPIC 200-Yr Standard (net of ROW)	\$15,171,000	\$10,619,700	\$4,551,300	\$1,762,162	\$1,233,513	\$528,649	\$13,408,838	\$9,386,187	\$4,022,651	\$405,322	\$283,725	\$121,596	\$212,794
Element 10: Yuba ULDC Remediation 1986 Brea	\$870,000	\$609,000	\$261,000	\$474,272	\$331,991	\$142,282	\$395,728	\$277,009	\$118,718	\$149,000	\$104,300	\$44,700	\$78,225
Sub-Total Elements 1 through 4, 6, 8 & 9	\$44,156,000	\$30,695,217	\$13,460,783	\$27,267,716	\$18,874,390	\$8,393,325	\$16,888,285	\$11,820,827	\$5,067,457	\$672,222	\$470,555	\$201,666	\$352,916
Element 5 Credit [Additional Credit to Come from other Element Expenses Incurred Prior to October 20, 2009 not Shown Here]													
Element 5: Prior Completed Work	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589				
Real Estate Forecast for Quarter													
Element 7: Land Acquisition	\$5,373,838	\$3,761,687	\$1,612,151	\$2,913,260	\$2,039,282	\$873,978	\$2,460,578	\$1,722,404	\$738,173	\$0	\$0	\$0	\$0
Element 9, Feature 9.3: WPIC - ROW Acquisition	\$609,000	\$426,300	\$182,700	\$209,275	\$146,493	\$62,783	\$399,725	\$279,808	\$119,918	\$240,000	\$168,000	\$72,000	\$72,000
Subtotal Real Estate Forecast	\$5,982,838	\$4,187,987	\$1,794,851 #	\$3,122,535	\$2,185,775	\$936,761 #	\$2,860,303	\$2,002,212	\$858,091	\$240,000	\$168,000	\$72,000	\$72,000
Quarterly Advance Calculation													
75% of State Share of Eligible Elements	\$352,916												
plus Local Share Subject to Credit	\$0												
Total	\$352,916												
Note: Payments are subject to true-up for actual expenses.													
Local Credit Reconciliation													
Total Local Share of Eligible Expenses this Quarter										\$201,666 (From Above)			
Estimated Total Local Credit										\$6,619,616 (Approved Local Credit Amount)			
Local Credit Advanced (from 1st Quarter)										\$410,772			
Local Credit Advanced (from 2nd Quarter)										\$343,623			
Local Credit Advanced (from 3rd Quarter)										\$1,533,856			
Local Credit True-Up (from 2nd Quarter)										\$152,747			
Local Credit Advanced (from 4th Quarter)										\$2,622,994			
Local Credit True-Up (from 13th Quarter)										\$511,939			
Local Credit True-Up (from 14th Quarter)										\$329,594			
Local Credit True-Up (from 16th Quarter)										\$88,880			
Local Credit True-Up (from 17th Quarter)										\$73,294			
Local Credit Advance (from 18th Quarter)										\$49,785			
Local Credit True-Up (from 18th Quarter)										\$13,028			
Local Credit Advance (from 20th Quarter)										\$502,132			
Total Local Credit Received										\$6,632,644			
Cumulative Prior Credit Due										\$0			
Requested Local Credit to be Paid with Advance										\$0 (Limited to remaining credit available)			
Credit Remaining After Advance										\$0			

[1] As reflected in the 19th Quarterly Work Plan and Proposed Amendment No. 3 for the Upper Yuba Project.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

Completed Element Tables have been removed from this Report. These Elements Include Elements 1, 2, 4 & 5

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period October/November/December 2015

ELEMENT 1A

	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	25th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Elements and Features															
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c=axb</i>	<i>d = b-c</i>	<i>e</i>	<i>f=axe</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>		<i>k</i>	<i>l=axk</i>	<i>m=k-l</i>	
	(Increased)														
Element 1A: Land Side Levee Improvement															
- Sta 7+00 to 8+20 (Shadpad Road)															
1A.1: Design	70%	\$80,000	\$56,000	\$24,000	\$78,792	\$55,155	\$23,638	\$1,208	\$845	\$362	2%	\$0	\$0	\$0	0%
1A.2: Permitting	70%	\$105,000	\$73,500	\$31,500	\$101,848	\$71,294	\$30,554	\$3,152	\$2,206	\$946	3%	\$0	\$0	\$0	0%
1A.3: Right of Way	70%	\$240,000	\$168,000	\$72,000	\$190,407	\$133,285	\$57,122	\$49,593	\$34,715	\$14,878	21%	\$900	\$630	\$270	2%
1A.4: Construction Management	70%	\$175,000	\$122,500	\$52,500	\$180,117	\$126,082	\$54,035	-\$5,117	-\$3,582	-\$1,535	-3%	\$0	\$0	\$0	0%
1A.5: Construction	70%	\$455,000	\$318,500	\$136,500	\$480,168	\$336,117	\$144,050	-\$25,168	-\$17,617	-\$7,550	-6%	\$0	\$0	\$0	0%
1A.6: O&M Manual addendum	70%	\$5,000	\$3,500	\$1,500	\$0	\$0	\$0	\$5,000	\$3,500	\$1,500	100%	\$0	\$0	\$0	0%
Subtotal Element 2		\$1,060,000	\$742,000	\$318,000	\$1,031,333	\$721,933	\$309,400	\$28,667	\$20,067	\$8,600	3%	\$900	\$630	\$270	3%

"25QYubaElem1A"

[1] Revised per this Upper Yuba 25th Quarterly Work Plan.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period October/November/December 2015

ELEMENT 2

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (September 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	25th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
	(Increased)														
Element 2: Levee Improvements (Slurry Wall & Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts															
2.1: Alternatives Analysis	50%	\$419,771	\$209,885	\$209,885	\$415,488	\$207,744	\$207,744	\$4,283	\$2,141	\$2,141	1%	\$0	\$0	\$0	0%
2.2: CEQA / NEPA	50%	\$650,142	\$325,071	\$325,071	\$649,566	\$324,783	\$324,783	\$576	\$288	\$288	0%	\$0	\$0	\$0	0%
Subtotal Element 2		\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	0%	\$0	\$0	\$0	0%

"25QYubaElem2"

[1] Revised per this Upper Yuba 25th Quarterly Work Plan.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period October/November/December 2015

ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (September 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	25th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
<small>*Based on Overall Workplan</small>															
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work															
3-2.3: Preliminary Design	70%	\$1,378,778	\$965,145	\$413,633	\$1,368,300	\$957,810	\$410,490	\$10,478	\$7,335	\$3,144	1%	\$0	\$0	\$0	0%
3-2.4: Permitting Preparation	70%	\$225,344	\$157,741	\$67,603	\$33,937	\$23,756	\$10,181	\$191,407	\$133,985	\$57,422	85%	\$0	\$0	\$0	0%
3-2.5: Right of Way Support	70%	\$2,935,767	\$2,055,037	\$880,730	\$2,954,777	\$2,068,344	\$886,433	-\$19,010	-\$13,307	-\$5,703	-1%	\$25,000	\$17,500	\$7,500	-132%
3.1: Final Design	70%	\$2,279,307	\$1,595,515	\$683,792	\$2,251,200	\$1,575,840	\$675,360	\$28,107	\$19,675	\$8,432	1%	\$0	\$0	\$0	0%
3.2: Permitting	70%	\$702,461	\$491,723	\$210,738	\$163,759	\$114,632	\$49,128	\$538,702	\$377,091	\$161,611	77%	\$0	\$0	\$0	0%
3.4: Construction Management	70%	\$2,175,000	\$1,522,500	\$652,500	\$2,160,909	\$1,512,636	\$648,273	\$14,091	\$9,864	\$4,227	1%	\$0	\$0	\$0	0%
3.5: Environmental Mitigation	70%	\$415,091	\$290,564	\$124,527	\$432,520	\$302,764	\$129,756	-\$17,429	-\$12,200	-\$5,229	-4%	\$0	\$0	\$0	0%
3.6: O&M Manual addendum	70%	\$189,419	\$132,593	\$56,826	\$49,912	\$34,939	\$14,974	\$139,506	\$97,654	\$41,852	74%	\$0	\$0	\$0	0%
Subtotal Element 3		\$10,301,168	\$7,210,817	\$3,090,350	\$9,415,314	\$6,590,720	\$2,824,594	\$885,853	\$620,097	\$265,756	9%	\$25,000	\$17,500	\$7,500	3%

"25QYubaElem3"

[1] Revised per this Upper Yuba 25th Quarterly Work Plan.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba
For Period October/November/December 2015

ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (September 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	25th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<small>*Based on Overall Workplan</small>															
Element 6: Project Management, legal support, community relations support, land management support, and overhead															
6.1 - TRLIA Salaries/Benefits	70%	\$1,750,714	\$1,225,500	\$525,214	\$1,383,772	\$968,640	\$415,131	\$366,942	\$256,860	\$110,083	21%	\$61,000	\$42,700	\$18,300	17%
6.2 - TRLIA Consultant Exp.	70%	\$1,090,377	\$763,264	\$327,113	\$980,481	\$686,337	\$294,144	\$109,895	\$76,927	\$32,969	10%	\$6,000	\$4,200	\$1,800	5%
6.3 - TRLIA Legal Support	70%	\$364,235	\$254,964	\$109,270	\$343,665	\$240,565	\$103,099	\$20,570	\$14,399	\$6,171	6%	\$1,000	\$700	\$300	5%
6.4 - TRLIA Travel Expenses	70%	\$9,992	\$6,995	\$2,998	\$94	\$66	\$28	\$9,898	\$6,929	\$2,970	99%	\$0	\$0	\$0	0%
6.5 - TRLIA General Expenses	70%	\$256,643	\$179,650	\$76,993	\$184,528	\$129,169	\$55,358	\$72,115	\$50,481	\$21,635	28%	\$8,000	\$5,600	\$2,400	11%
6.6 - TRLIA Insurance	70%	\$1,384,119	\$968,883	\$415,236	\$1,174,496	\$822,147	\$352,349	\$209,623	\$146,736	\$62,887	15%	\$0	\$0	\$0	0%
6.7 - TRLIA Travel - Vehicle Lease	70%	\$26,714	\$18,700	\$8,014	\$17,595	\$12,316	\$5,278	\$9,119	\$6,383	\$2,736	34%	\$1,000	\$700	\$300	11%
6.8 - TRLIA Memberships	70%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	100%	\$0	\$0	\$0	0%
Subtotal Element 6		\$4,901,913	\$3,431,339	\$1,470,574	\$4,084,630	\$2,859,241	\$1,225,389	\$817,283	\$572,098	\$245,185	17%	\$77,000	\$53,900	\$23,100	9%

"25QYubaElem6"

[1] Revised per this Upper Yuba 25th Quarterly Work Plan.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period October/November/December 2015

ELEMENT 7

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (September 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	25th Quarterly Forecast			% of Remaining Budget					
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local						
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l						
			<small>*Based on Overall Workplan</small>																	
Element 7: Right of Way Capital																				
7-3.3 - Right of Way Capital	70%	\$5,373,838	\$3,761,687	\$1,612,151	\$2,913,260	\$2,039,282	\$873,978	\$2,460,578	\$1,722,404	\$738,173	46%	\$0	\$0	\$0	0%					
Subtotal Element 7		\$5,373,838	\$3,761,687	\$1,612,151	\$2,913,260	\$2,039,282	\$873,978	\$2,460,578	\$1,722,404	\$738,173	46%	\$0	\$0	\$0	60%					

"25QYubaElem7"

[1] Revised per this Upper Yuba 25th Quarterly Work Plan.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period October/November/December 2015

ELEMENT 8

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	25th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
<small>*Based on Overall Workplan</small>															
Element 8: Project Closeout Efforts															
8.1 - Project Close Out Efforts	70%	\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	96%	\$15,000	\$10,500	\$4,500	8%
Subtotal Element 8		\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	96%	\$15,000	\$10,500	\$4,500	8%

"25QYubaElem8"

[1] Revised per this Upper Yuba 25th Quarterly Work Plan.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period October/November/December 2015

ELEMENT 9

Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	25th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axb <small>(c=state % of Overall Workplan)</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
Element 9: WPIC 200-Yr Standard															
9.1 - Design	70%	\$1,371,000	\$959,700	\$411,300	\$1,107,513	\$775,259	\$332,254	\$263,487	\$184,441	\$79,046	19%	\$197,291	\$138,104	\$59,187	75%
9.2 - Permitting & Environmental C	70%	\$759,000	\$531,300	\$227,700	\$512,124	\$358,487	\$153,637	\$246,876	\$172,813	\$74,063	33%	\$164,680	\$115,276	\$49,404	67%
9.3 - Right of Way Acquisition	70%	\$609,000	\$426,300	\$182,700	\$209,275	\$146,493	\$62,783	\$399,725	\$279,808	\$119,918	66%	\$240,000	\$168,000	\$72,000	60%
9.4 - Right of Way Support	70%	\$323,000	\$226,100	\$96,900	\$141,370	\$98,959	\$42,411	\$181,630	\$127,141	\$54,489	56%	\$43,350	\$30,345	\$13,005	24%
9.5 - Construction Management	70%	\$1,501,000	\$1,050,700	\$450,300	\$1,155	\$809	\$347	\$1,499,845	\$1,049,892	\$449,954	100%	\$0	\$0	\$0	0%
9.6 - Construction	70%	\$11,167,000	\$7,816,900	\$3,350,100	\$0	\$0	\$0	\$11,167,000	\$7,816,900	\$3,350,100	100%	\$0	\$0	\$0	0%
9.7 - O&M Manual addendum	70%	\$50,000	\$35,000	\$15,000	\$0	\$0	\$0	\$50,000	\$35,000	\$15,000	100%	\$0	\$0	\$0	0%
Subtotal Element 9		\$15,780,000	\$11,046,000	\$4,734,000	\$1,971,437	\$1,380,006	\$591,431	\$13,808,563	\$9,665,994	\$4,142,569	88%	\$645,322	\$451,725	\$193,596	5%

"25QYubaElem9"

[1] Revised per this Upper Yuba 25th Quarterly Work Plan.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period October/November/December 2015

ELEMENT 10

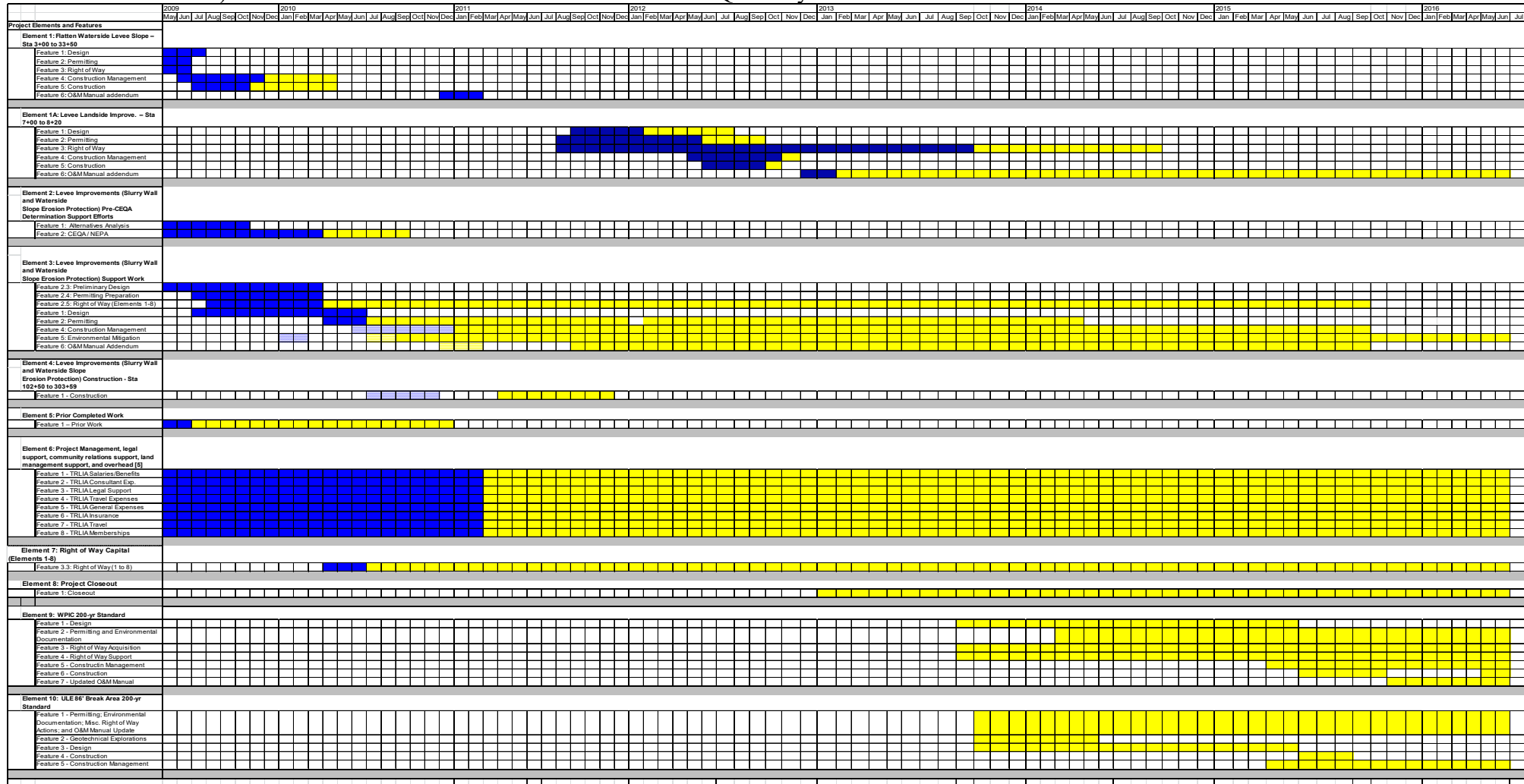
Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	25th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axb <small>25QYUBA W/P Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
Element 10: Yuba ULDC Remediation 1986 Break Site															
10.1 - Project Management	70%	\$110,000	\$77,000	\$33,000	\$14,505	\$10,153	\$4,351	\$95,495	\$66,847	\$28,649	87%	\$24,000	\$16,800	\$7,200	25%
10.2 - Geotechnical Explorations	70%	\$135,000	\$94,500	\$40,500	\$134,404	\$94,083	\$40,321	\$596	\$417	\$179	0%	\$0	\$0	\$0	0%
10.3 - Design	70%	\$40,000	\$28,000	\$12,000	\$363	\$254	\$109	\$39,637	\$27,746	\$11,891	99%	\$0	\$0	\$0	0%
10.4 - Construction	70%	\$475,000	\$332,500	\$142,500	\$250,000	\$175,000	\$75,000	\$225,000	\$157,500	\$67,500	47%	\$100,000	\$70,000	\$30,000	44%
10.5 - Construction Management	70%	\$110,000	\$77,000	\$33,000	\$75,000	\$52,500	\$22,500	\$35,000	\$24,500	\$10,500	32%	\$25,000	\$17,500	\$7,500	71%
Subtotal Element 10		\$870,000	\$609,000	\$261,000	\$474,272	\$331,991	\$142,282	\$395,728	\$277,009	\$118,718	45%	\$149,000	\$104,300	\$44,700	38%

"25QYubaElem10"

[1] Revised per this Upper Yuba 25th Quarterly Work Plan.

[2] Reflects estimated expenses through the 23rd Quarter based on prior actual expenses through June 30, 2015 (23rd QPR) and estimated of expenses from June to September 2015 based on the 24th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

6. Schedule Information by Project Element: Shown in the figure below is an updated Exhibit A (overall project schedule). This is the same schedule that was shown in 21st Quarterly Work Plan



Blue: Original **Pattern:** Not Viable **Yellow:** Prior Quarter Adjustments and Amendments **Green:** Changes Proposed in the 25th QWP

- **A discussion on how the projected schedule compares to original or last reported schedule:**
 - None with this report; however, TRLIA anticipates a delay of Project Element 9 construction work, which will require the funding agreement end date to be amended to accommodate this delay. TRLIA will submit a letter to DWR requesting this extension.

7. Attachments:

1. Updated Overall Work plan Tab D Project Element 9: WPIC 200-Yr Standard permit list
2. Updated Overall Work plan Tab D Project Element 10: ULE 86' Break Area 200-yr Standard permit list

Attachment 1
Tab D: WPIC 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> Permit applications for the work have been submitted to the CVFPB and transmitted to USACE. CVFPB permits 19020 (cutoff walls) and 19020-1 (landside fill).
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	Yes	<ul style="list-style-type: none"> 19020-1 work will require a Section 404 permit.
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> Wetland delineation has been completed.
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> Local approval is required for 19020-1. HQUSACE approval is required for 19020.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	<ul style="list-style-type: none"> 401 application has been submitted.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> USFWS BO has been issued.
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	Yes	<ul style="list-style-type: none"> Application has been submitted. Expect permit upon certification of CEQA.
DFW	Streambed Alteration Agreement	Yes	<ul style="list-style-type: none"> Draft SAA has been provided. Execution of final SAA expected upon certification of CEQA. A SAA will be required as work is occurring below the waterside levee hinge point. However, all ground disturbances are

			above the WPIC ordinary high water mark.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	<ul style="list-style-type: none"> Haul routes for the project will encroach onto Caltrans rights-of-way.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	<ul style="list-style-type: none"> Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> Section 106 consultation is complete.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Endorsement from RD 784 was granted as part of the encroachment permit applications. Coordination with the RD continues.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> A joint IS/MND has been prepared. The ISMND was issued in June 2015.
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> Draft EA was issued in June 25. Three FONSI are expected: a 408 FONSI for 19020, a 408 FONSI for 19020-1, and a 404 FONSI for 19020-1.
Section 221 Credit	Credit under Section 221, as amended	No	<ul style="list-style-type: none"> The proposed work is not eligible for Section 221 credit as it is not being considered as part of an ongoing or authorized Federal project.

Attachment 2

TAB D: ULE 86' Break Area 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> An encroachment permit was issued by the CVFPB on April 30, 2015
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
Corps	Wetlands Delineation	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> USACE approved altering the project as part of the Section 408 Process on April 20, 2015
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	No	<ul style="list-style-type: none"> The project will avoid take of listed endangered species
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	No	<ul style="list-style-type: none"> The project will avoid take of listed endangered species and will be constructed under a Categorical Exclusion
DFW	Streambed Alteration	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and no

	Agreement		alterations to the streambed will occur.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70).
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 will occur during design and construction of the project. The RD 784 Board of Directors endorsed the encroachment permit application on August 5, 2014.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> USACE prepared the NEPA documentation required for the Section 408 process
Section 104 Credit	Future federal projects cost sharing	No	<ul style="list-style-type: none"> No longer applicable per Assistant Secretary of the Army
Section 103 Credit	Credit under Section 2003	No	<ul style="list-style-type: none"> This work is not part of a USACE Feasibility Study; therefore, credit will not be sought

