



TRLIA
24th QUARTERLY WORK PLAN
FOR
UPPER YUBA RIVERLEVEE
IMPROVEMENT PROJECT

1. 24th Quarterly Work plan for quarter: July 1 to September 30, 2015
2. Date of Report: June 12, 2015
3. 24th Quarter Work Plan:

Quarterly Work Plan Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 9 - ROW Acquisition	\$402,500	\$172,500	\$575,000	\$0
Elements 1 through 6, 8, 9 & 10	\$1,695,614	\$726,692	\$2,422,306	\$0
Total	\$2,098,114	\$899,192	\$2,997,306	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 9	\$402,000
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$402,000

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6 & 8 through 10	\$1,271,711
plus/minus State Share true-up for Prior Quarters [1]	\$1,217,186
Total Funding for State Share at Start of 24th Quarter	\$2,488,897

[1] Estimated, see reconciliation of Prior State Funding.

Quarterly Local Credit Summary for Non-Real Estate Activity	
Local Credit Elements 1 through 6 & 8 through 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$0
Total Advance for Local Credit at Start of 24th Quarter	\$0

[1] See Reconciliation of Prior State Funding.

Reconciliation of State Advance Funding for Construction
As of 23rd Quarter - State Share: Based on 22nd QPR plus 23rd QWP
(as adjusted for schedule and other factors)

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 – 10) through 22 nd Quarter [1]	\$24,091,748
23 rd Quarter Total Non-Real Estate Forecasted Expenses [2]	\$424,515
Total Expenses Forecasted through 22nd Quarter	\$24,516,264
State Share True-Up Calculations	
Total State Share of Above Expenses	\$17,021,530
Total State Funding Due (net of 10% Retention on Prior Expenses)	\$15,319,377
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234
2nd Quarter revised True-Up	\$92,631
16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917
18th Quarter Advance	\$87,124
18th Quarter True-Up	\$44,783
20th Quarter Advance	\$197,925
Total State Share Funding Received	\$14,102,191
Estimated State Share Construction Funding Due as of 23rd Quarter	\$1,217,186

**As of 23rd Quarter - Local Share: Based on 22nd QPR plus 23rd QWP
(as adjusted for schedule and other factors)**

Local Share True-Up Calculations	
Local Share of Above Forecasted Expenses	\$7,494,734
Total Local Funding Credit Due	\$6,619,616
Funding To Date	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294
Local Credit Advanced (from 18th Quarter)	\$49,785
Local Credit True-Up (from 18th Quarter)	\$13,028
Local Credit Advanced (from 20th Quarter)	\$489,104
Total Credit Funding Received	\$6,619,616
Local Credit Due as of 24th Quarter [1]	\$0

[1] 22nd Quarter QPR Costs Subject to review by DWR., 23rd QWP adjusted for actual expenses. Local Credit is limited to available credit. Note: No local credit remains.

4. Project Element Information:

- *Effective the 23rd quarter the narrative text for completed Elements and Features has been deleted from the report. The deleted items will continue to be shown in the schedule and financial update portions of the report.*
- The UYLIP 3rd Funding Agreement Amendment was approved on September 24th Quarter. The 3rd Amendment added Project Elements 9 and 10, and extended the agreement date to June 30, 2016.
- On November 21, 2013 the UYLIP funding agreement was amended for the 2nd time to extend the agreement date to September 30, 2015. The extension was due to the time needed to close real estate.
- During the 16th quarter the Department of Finance began a financial audit of the UYLIP EIP funding agreement. The Audit was completed in the 20th quarter. No items were found by the audit team. The audit results are posted on the TRLIA.
- At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4th Quarterly Work Plan (QWP) submitted on May 28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4th QWP that reflected the following changes.
 - A new Element 7: Right of Way Capital was added. This was previously

Element 3: Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.

- Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QWP is reflective of these modifications.

- **Engineering and construction matters:**

- **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00**
 - Feature 3 Right of Way Support: TRLIA will continue work on this feature during the 24th Quarter. See Element 7 below for property acquisition information.
 - Feature 6: O&M Manual: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. TRLIA revised and combined all O&M Addendums into a Comprehensive Addendum that was submitted to CVFPB and DWR in the 18th quarter. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of May 7, 2015 USACE has not provided their version of the manual to the CVFPB. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum. TRLIA and the CVFPB will continue to coordinate with USACE during the 24th Quarter to obtain feedback on the combined O&M Manual.
- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**

- Feature 2.5 Right of Way Support (Project Elements 1 to 8): TRLIA real estate and legal consultants will be active during the 24th quarter finalizing right of way needs for the project. See Element 7 below for property acquisition information.
- Feature 3 Right of Way: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4th Quarterly Work Plan.
- Feature 4 Construction Management: Limited activity is anticipated to occur during the 24th quarter to address items required by settlement of eminent domain settlements (e.g. Dan Luis settlement), and to oversee PG&E power line relocation work that is being done to support a TRLIA eminent domain settlement. The PG&E work is being done under CVFPB encroachment permit 18695. Dan Luis acquisition and easements issued to PG&E are expected to complete in the 23rd Quarter. With these actions taken, TRLIA expects to discuss the final relocation of the power line with PG&E in this quarter and get the work scheduled.
- Feature 5 Environmental Mitigation:
 - The additional elderberry seedling plantings were planted in the 14th quarter. Maintenance to ensure their establishment will continue in the 24th quarter. Maintenance for the additional seedlings will continue until April 2016. Monitoring will continue until 2018.
- Feature 6 O&M Manual Addendum: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. TRLIA revised and combined all O&M Addendums into a Comprehensive Addendum that was submitted to CVFPB and DWR in the 18th quarter. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of May 7, 2015. USACE has not provided their version of the manual to the CVFPB. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum. TRLIA and the CVFPB will

continue to coordinate with USACE during the 24th Quarter to obtain feedback on the combined O&M Manual.

- **Element 6:** Project Management, legal support, community relations support, land management support, and overhead
 - Activity is anticipated in all Features during the 24th quarter. TRLIA has included estimated annual expenses for insurance premiums effective this quarter.

- **Element 7:** Right of Way Capital
 - Feature 7-3.3 Right of Way (Project Elements 1 to 8):
 - DWR approved the Upper Yuba River Real Estate Plan on June 28, 2010.
 - On March 5, 2012 TRLIA submitted Amendment No. 1 to the UYLIP project for all UYLIP real estate work identified through the 2nd Funding Agreement. During the 20th quarter TRLIA submitted Amendment No. 1 that made modifications to the original real estate plan and also added Project Element 9 acquisitions. Since the submission, DWR has posed several questions regarding ownership and boundaries in an area owned by Western Aggregates. TRLIA will work with the Western Aggregate representatives and Title Company to respond to DWR's questions.
 - Western Aggregate: TRLIA has been in negotiations with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, and to leave already existing railroad easements owned by Western Aggregates in place, because Western Aggregates has stated that it hopes to use its fee and easement interests along the levee to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow the existing easements owned by Western Aggregates to remain in place, and also agreed to allow TRLIA to grant new railroad easements to Western Aggregates over property that TRLIA acquires from Western Aggregates in fee.

In exchange for transferring fee title to the properties that TRLIA seeks to acquire in fee, Western Aggregate has requested TRLIA grant Western Aggregates a new floating railway easement on not only the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR

officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. TRLIA has brought on a mediator to help settle this item. During the 24th quarter TRLIA will continue to negotiate with Western Aggregates on this item.

This issue is listed in the Issue/Concerns portion of this report

- **Final Accounting Packages (FAPs) and Cadastral Requirements:** Tables 1 to 6 provide the status of Real Estate Property actions and Final Accounting Packages (FAPs). TRLIA will continue submitting Final Accounting Packages (FAP's) during the 24th quarter.

Table 1 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
1	14050	020-080-009	Western Aggregates, Inc.		
2	14051	021-041-006	Clara Willis, Et al	pending	
3	14052	021-041-007	Bernard J. Perez	pending	
4	14053	021-041-010	Lothar S. Klug, Et Al	pending	
5	14054	021-041-009	ROSA MARTHA VALDEZ	pending	
6	14055	021-041-012, 021-042-014	Steve Gilbert, Et Al	pending	
7	14056	021-042-027	Humam El Sharif	pending	
8	14057	021-0500-001	Orest Wesely, Et Al	pending	
9	14058	018-220-048, -049	COF Quail Hollow Land 35F LLC	pending	
10	14059	018-210-014	Stephanie Morris	pending	
11	14060	018-210-029	Robert G. Wood	pending	
12	14061	018-220-007	Howard R. Miller	pending	
13	14062	018-200-001, 018-220-030	Ajit S. Bains		
14	14063	018-200-005, -007, 018-210-035	Anthony J. Luis, Et Al		
15	14064	018-200-008	Danel J. Luis		
16	14065	018-190-002, 018-200-006	Richard G. Wilbur Trust		
17	14066	018-140-040	Richard G. Wilbur Trust		
18	14067	018-150-015, 018-190-001	Henry P. Smith Et Al		

19	14068	018-190-110	Walbinder S. Sohal Living Trust	pending	
20	14069	018-190-107, 018-190-108	Sohal Family Trust	pending	
21	14070	018-150-035, 018-190-104	Michele R. Baker		
22	14071	018-150-032	Shintaffer Farms, Inc.		
23	14072	018-190-109	Bhajan S. Sohal, Et Al	pending	
24	14073	021-021-001	Dunning Properties, LP		
25	14074	Portion of 018-220-056	Wilbur, Richard G.		
26	14075	018-220-056	Western Aggregates, LLC		
27	14079	020-410-001	Allen, Jason G.		
28	14080	Portion of 018-150-032 and -035	Federal Fruit Exchange	pending	
29	14081	018-230-028	Orchard Growers	pending	
30	not assigned - TCE only	018-140-041	Naumes, Inc.	pending	
31	not assigned - TCE only	018-190-085	Rahul, Surjit S. & Parmjit	pending	

Table 2 - Acquisition being negotiated:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRLIA has acquired. Parties are negotiating.
14063	Anthony J. & Zelma B. Luis	Settlement Reached				\$11,200	Settlement agreement signed. Agreement has contingencies that have now been completed. TRLIA anticipates the judgment will be entered and the final order of condemnation will be recorded in the 23rd quarter.

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14070	Michele R. Barker	Settlement Reached; not signed				\$64,750	Property owners have approved the cross-levee easement and signed the settlement agreement. TRLIA anticipates this acquisition will be completed in the 23rd quarter.
14073	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
14074	Richard G. Wilbur	Negotiation					First Written Offer 3/7/13. TRLIA is updating the appraisal and will make a revised offer.
14075	Western Aggregates, LLC	Negotiation					See comment for CVFPB 14050

Table 3 - Negotiated Acquisitions – The following parcels have been acquired. Final Accounting Packages are being prepared.

At BRI:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14062	Ajit S. Bains & Maria Del Carmen	Complete		\$48,700.00	\$34,090.00	\$15,050	Judgment entered February 10, 2014; Final Order of Condemnation recorded 6/17/14. Sent signed easements to DB for recording 3/24/15
14064	Daniel J. Luis	Complete		\$46,477.00	\$32,533.90	\$5,670.00	Need Final Order of Condemnation. This parcel is part of the same eminent domain action as CVFPB 14063, and the final order will record at the same time as that parcel.
14079	Jason G. Allen	Settlement Reached		\$210,000.00	\$147,070.00	Not invoiced yet	Settlement reached 12/18/13

Table 4 - At Downey Brand:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
None							

Table 5 - Final Accounting Packages at DWR:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14051	Ronnie C. Willis	Complete	submitted	\$29,500.00	\$20,650.00	\$20,650.00	To DWR 10/11/13
14052	Bernard J. Perez	Complete	submitted	\$10,350.00	\$7,245.00	\$7,245.00	To DWR 4/17/13
14053	Lothar S. & Brigitta M. Klug	Complete	submitted	\$2,800.00	\$1,960.00	\$1,960.00	To DWR 4/17/13
14054	Valdez	Complete	submitted	\$5,200.00	\$3,640.00	\$1,820.00	To DWR 8/22/14
14055	Steve and Denise Gilbert	Complete	submitted	\$15,700.00	\$14,840.00	\$14,840.00	To DWR 8/1/13
14055	Steve and Denise Gilbert	Complete	submitted	\$5,500.00			To DWR 8/1/13
14056	Humam El Sharif	Complete	submitted	\$2,100.00	\$1,470.00	\$1,470.00	To DWR 4/25/14
14057	Orest and Irene Wesely	Complete	submitted	\$33,000.00	\$23,100.00	\$23,100.00	To DWR 10/11/13
14058	COF Quail Hollow Land 148T	Complete	submitted	\$34,750.00	\$24,325.00	\$24,325.00	To DWR 4/17/13
14059	Stephanie Morris	Complete	submitted	\$7,900.00	\$5,530.00	\$4,060.00	To DWR 8/22/14
14060	Robert Glenn Wood	Complete	Submitted	\$3,200.00	\$2,240.00	\$2,240.00	To DWR 4/17/13
14061	Howard R Miller	Complete	Submitted	\$1,400.00	\$980.00	\$980.00	To DWR 8/22/14
14065	Richard G. Wilbur Trust	Complete	Submitted	\$48,270.00	\$33,789.00	\$16,100	To DWR 1/26/15
14066	Richard G. Wilbur Trust	Complete	Submitted				To DWR 1/26/15
14067	Henry P. Smith	Complete	Submitted	\$149,071.00	104,349.00	\$22,400.00	To DWR 1/26/15
14068	Walbinder S. Sohal Living Trust,	Complete	Submitted	\$598,500.00	\$418,950.00	\$418,950.00	To DWR 1/22/14

14069	Awtar S. Sohal & Sukhvair K. Sohal, Co-Trustees	Complete	submitted	\$41,500.00	\$29,050.00	\$21,787.50	To DWR 8/2/14
14071	Shintaffer Farms, Inc.	Complete	Submitted	\$5,500.00	\$3,850.00	\$437.00	To DWR 2/26/15
14072	Bhajan S. & Rajwant K. Sohal	Complete	submitted	\$598,500.00	\$418,950.00	\$418,950.00	TO DWR 1/22/14
14080	Federal Fruit Distributors	Complete	submitted	\$800.00	\$560.00	\$392.00	To DWR 4/25/14
14081	Orchard Growers	Complete	submitted	\$500.00	\$350.00		To DWR 3/24/14
not assigned - TCE only	Naumes, Inc.	Complete	18th Qtr	\$3,000.00	\$2,100.00	\$175.00	To DWR 6/5/14
not assigned - TCE only	Rahul, Surjit S. & Parmjit	Complete	18th Qtr	\$500.00	\$350.00	\$175.00	To DWR 6/6/14

Table 6 - Status of Relocation Actions:

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	
	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	
	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	
	Jason G. Allen	Ed Widener	Complete	\$12,272.00	
	Jason G. Allen	Jack Fouse	Complete	\$0	No response from tenant, who moved from Park.
	Jason G. Allen	Les Begley	Complete	\$1,100.00	
	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	
	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	
	Jason G. Allen	David Haughton	Complete	\$2,402.78	
	Jason G. Allen	Jason G. Allen	Complete	\$24,754.99	

➤ **Element 8 Closeout Process:**

- Feature 1: Closeout Process
 - On November 15, 2013 TRLIA submitted its initial draft of Closeout Documentation for the UYRLIP project for DWR's review. As part of

that submission, TRLIA requested a release of retention (\$1,042,447) for the portion of the project that has been completed. As of the date of this report DWR has not yet responded to TRLIA's request. TRLIA will continue to work with DWR and coordinate on the closeout of completed items during the 24th quarter. TRLIA understands that DWR is working to catch up on review of UYRLIP Statement of Cost reviews and processing of True-Up payments. Payments are expected to arrive in June or July related to TRLIA's 19th, 20th and 21st Quarter SOC's as well as an advance for the 22nd Quarter. TRLIA expects that after these payments are processed, DWR will work to respond to retention and close-out requests. TRLIA's goal, as previously stated (see Accomplishments Planned portion of this report), is to have the November 2013 release of retention approved and sent to TRLIA during the 24th quarter.

This issue is listed in the Issue/Concerns portion of this report.

➤ **Element 9 WPIC 200-yr Standard:**

- Feature 1 Design: TRLIA will complete the 90% design effort and submit corresponding documents to DWR, USACE, and the BOSC.
- Feature 2 Permitting:
 - See Attachment 1 for permits listing
 - Permits status: TRLIA anticipates receipt of the Section 7 Biological Opinion from USFWS, SHPO concurrence on Section 106, and the Section 2081 permit during the 24th quarter. Section 401 and 1600 permits may be issued in the 24th, but more likely the 25th quarter.

USACE Section 408 permitting will occur separately for landside work and cutoff wall work due to a difference in the required approval level. Accordingly, TRLIA is working with the CVFPB to issue two encroachment permits: 1) fill work occurring on the landside of the levee not requiring HQUSACE approval, and 2) cutoff wall work requiring HQUSACE approval. TRLIA anticipates HQUSACE approval in Oct/Nov 2015 thus, delaying a portion of work to begin in 2016. The funding agreement end date will need to be amended to accommodate this delay. TRLIA will submit a letter to DWR requesting an extension to June 2017, which allows project closeout after construction, is completed in late 2016.

This issue is listed in the Issue/Concerns portion of this report

- Feature 3 Right of Way Acquisition: Real Estate activities continue in the WPIC area. During the 24th quarter, efforts focused on the preparation of the right of way appraisal maps and legal descriptions along with their

review by DWR. Preliminary information was gathered in preparation of initiation of appraisal activities once the maps are approved.

Table 7 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1		Miller-Belza-Peck	1		
2		Rue & Forsman Real Estate LLC	1		
3		Llatigo Farms LLC (Includes Unecon Remnant)	2		
4		Alfaro Family Trust (Includes Unecon Remnant)	5		
			9	0	0

- Feature 4 Right of Way Support:
 - During the 20th quarter, October 2, 2014, TRLIA submitted an amendment to UYLIP Real Estate plan for work on the WPIC. DWR approval of the plan did not occur in the 21st quarter. Appraisals and Offers will continue to be made in the 24th quarter so that TRLIA can potentially start construction work this construction season (2015). Timely approval of legal descriptions, appraisals and offers is critical for position of the real estate in time for construction. See table below for status.

Table 8 – Property Acquisition Status

CVFPB	APN	OWNER	ESA Completed	Receipt of Legals and Plats (or revision)	Appraisal submitted to TRLIA	Appraisal submitted to DWR	Appraisal Approved by DWR	Just Compensation Approved	Offer Made	Comments
not assigned yet	014-270-084 014-270-105 014-270-106 014-350-065 014-360-002	Alfaro Family Trust	12/29/2014	1/28/2015	1/23/2015	1/23/2015		1/23/2015		Offer has been made; owner does not want to sell fee; may proceed to RON
not assigned yet	014-360-011 014-360-013	Latigo Farms, LLC	12/29/2014		8/31/2012				4/8/2013 9/25/2013	Suit filed; Property owners have stipulated to possession
not assigned yet	016-050-023	Rue & Forsman Real Estate, LLC	12/24/2014	1/28/2015						Appraisal completed and Offer is pending

not assigned yet	016-070-018	Miller-Belza-Peck	12/24/2014	1/28/2015	9/12/2014	10/21/2014		10/3/2014	10/15/2014	Appraisal completed and offer has been made
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- Prior to the UYLIP 3rd funding amendment being approved, TRLIA filed an eminent domain action against Latigo Farms LLC to acquire property rights need for this element. TRLIA will continue working on this eminent domain action in the 24th quarter. A case management conference is scheduled in the eminent domain action for July 20, 2015.
 - Coordination with UPRR will continue regarding construction of the project.
 - Feature 5 Construction Management: Pending receipt of necessary permits and approvals as well as final decision regarding construction schedule, some work may occur in the 24th quarter.
 - Feature 6 Construction: Pending receipt of necessary permits and approvals as well as final decision making regarding construction schedule, some work associated with Environmental Mitigation may occur during the 24th quarter.
 - Feature 7 Updated O&M Manual: No work is anticipated in the 24th quarter.
- **Element 10 ULE 86' Break Area 200-yr Standard:**
 - On September 24, 2014 the UYLIP funding agreement was amended for the 3rd time to include this Element.
 - Feature 1 Permitting:
 - See Attachment 2 for permits listing
 - An encroachment permit was issued by the CVFPB on April 30, 2015. This work falls under a CEQA categorical exemption. USACE prepared appropriate NEPA documentation for the Section 408 Process. USACE approved alteration of the project under Section 408 on April 20, 2015.
 - Feature 3 Design: An encroachment permit was issued by the CVFPB on April 30, 2015. This work falls under a CEQA categorical exemption. USACE prepared appropriate NEPA documentation for the Section 408 Process. USACE approved alteration of the project under Section 408 on April 20, 2015.

- Feature 4 Construction: TRLIA plans to initiate and complete construction in the 24th quarter.
- Feature 5 Construction Management: Construction Management will occur in the 24th quarter.
- **Environmental matters:**
 - See Project Element 3 Feature 5 Environmental Mitigation above for this information for Project Elements 1 to 8.
 - See Project Element 9 Feature 2 Permitting for this information for Project Element 9.
- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
 - **Element 9:** See Element 9 Feature 2 above for status and attachment 1 for list.
 - **Element 10:** See Element 10 Feature 1 for status and attachment 2 for list.
- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.);**
 - **Element 10 ULE 86' Break Area 200-yr Standard Feature 4 Construction:** TRLIA plans to initiate and complete construction in the 24th quarter.
- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**
 - **Issue 1:** Western Aggregate has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 24nd quarter TRLIA will continue to negotiate with Western Aggregates on this item. TRLIA has brought on a mediator to help resolve the issues associated with this item.

Recommended Solution: DWR support TRLIA as negotiations with the CVFPB transpire for the approval of the Western Aggregate floating easement request.

- **Issue 2:** TRLIA has submitted an initial draft of Project Closeout materials in electronic format for DWR's review. This Project Closeout Documentation report presents a compilation of all of the required project closeout documentation that has been prepared to date. As part of this submission, TRLIA presented a request for a partial release of retention of the work completed through 15th Quarter in the amount of \$2,448,160 less credit for interest earned State Funding in the amount of \$106,799 for a net release of \$2,341,361. TRLIA is prepared to work with State to respond to any inquiries related to this request. As TRLIA continues to expend funds on the project and other efforts, a release of retention will help TRLIA to cash flow the remainder of this project.

Recommended Solution: DWR review TRLIA's request and provide comments on the request and at the same time commence the process of a partial release of retention for those elements deemed complete.

- **Issue 3:** TRLIA anticipates that all permits needed for Project Element 9 to begin work will be in place to begin work in August of 2015 except for USACE HQs approval of the proposed cut off walls. TRLIA is working with the CVFPB to issue two permits: 1) work associated with not needing HQs USACE approval, and 2) work associated with HQs USACE approval. TRLIA anticipates USACE approval in Oct/Nov 2015; thus, delaying this portion of work to begin in 2016. The funding agreement end date will need to be amended to accommodate this delay. TRLIA will submit a letter to DWR requesting an extension to June 2017, which allow project closeout after construction is completed in late 2016.

Recommend Solution: DWR amend funding agreement to support construction delay.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- None

- **Any litigation, proceedings or claims relating to the Project:** None

5. Cost Information by Project Element:

With the 5th QWP, TRLIA evaluated the Overall Work Plan Budget and prepared a comprehensive updated the budget from the UYRLIP project. This updated reflected construction on the completed work within Element 1 as well as the work bid for Elements 3&4. The updated budgets took into consideration the savings in construction costs as well other minor adjustments in the Overall Work Plan individual feature budgets. As part of the 6th QWP, TRLIA submitted a Revised Overall Work Plan Budget that made some corrections to the budget submitted as part of the 5th QWP. With the 9th Quarterly Work Plan, TRLIA

incorporated Element 1A into the Project and provided an additional update to the Overall Work Plan Budget.

With the 15th QWP, as noted above, TRLIA incorporated additional amendments to the budget to reflect accurate costs for the project related features as well as added the budget for Elements 8 and 9, the cost associated with Close out efforts as well as work related to 200-Year Compliance Actions on the WPIC respectively.

In the 18th quarter TRLIA shifted the remaining budget within the completed Element 1 to Element 1A to adjust for the budget exceeded within the Construction, Construction Management within Element 1A.

As Part of the 19th Quarter Work Plan, and at the same time an associated Amendment No. 3 to the Funding Agreement, TRLIA updated the Overall Work Plan Budget. This work plan reflects all of those prior updates to the Overall Work Plan Budget.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed):**

None.

- **Projected costs compared to Overall Work Plan Budget**

- **Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50**
No additional construction costs are forecasted to be incurred during the 18th quarter as the Element is **Complete**.
- **Element 1A: Landside Levee Improvement – Sta 7+00 to 8+20 (Shadpad Road)**
This Element was added as of the 9th Quarter. TRLIA expects to continue work on Right of Way activities in the 23rd Quarter. TRLIA plans to expend approximately \$900 during the 24th Quarter to continue ROW acquisition finalization.
- **Element 2: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts**
This element only includes the preliminary alternatives analysis and CEQA/NEPA document preparation (that work only eligible for 50% cost share by the State). This element is **Complete** and there are no costs forecasted as part of this QWP. Upon completion of the 16th Quarter, approximately (100%) \$1,065,000 amended budget has been expended.
- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection Support)**
This element was revised in the 5th Quarter to include the preliminary design, permitting preparation and Right of Way Support work that was previously included in Element 2. The amended overall budget for Element 3 is \$10.3

million and the amount forecasted to be expended this quarter is \$25,000. This amount, in conjunction with estimated prior expenses furthering Element 3 efforts, will result in having expended roughly 91% (\$9.4 million) of the Element's revised budget.

- **Element 4:Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Sta 102+50 to 303+59**
No additional construction costs are forecasted to be incurred during the 16th quarter as the Element is **complete**. At the completion of the Quarter, TRLIA expects to have expended approximately 90% (\$8.7 million) of the revised budget for this element.
- **Element 5:Prior Completed Work**
This Element solely represents prior completed work submitted for crediting purposes. No additional costs in the element will be incurred during the funding agreement contract period.
- **Element 6 - Project Management, Legal, Community Relations support, Land Management, and Overhead:**
TRLIA has estimated the amount of overhead expenses to be incurred in the quarter and allocated the Yuba share of those forecasted expenses to Element 6. TRLIA expects to allocate approximately \$325,000 of Overhead and Admin expenses in the quarter. Costs this quarter are primarily driven by TRLIA's insurance premium costs for FY 15/16. After the quarter is complete, TRLIA will have expended roughly 83% of the amended Element 6 budget (\$4.1 million).
- **Element 7 – Right of Way Capital:**
Right of Way acquisition activity will continue this Quarter. TRLIA expects to incur approximately \$200,000 related to ROW acquisition. After the quarter is complete, TRLIA will have expended roughly 54% (\$2.9 million) of the revised Element 7 (\$5.4 million) budget.
- **Element 8 – Project Closeout efforts:**
This element was added in the 14th quarter. TRLIA expects to continue efforts in the 24th quarter and expects to expend \$15,000 on efforts associated with TRLIA request to process a partial release of retention. TRLIA plans to continue elevating this issue during the quarter with DWR to process this release of retention.
- **Element 9 – WPIC 200-Yr Standard:**
This element was added in the 15th quarter. In the 24th quarter TRLIA expects to expend approximately \$2,106,000 as a portion of the construction associated with Environmental Mitigation work is expected to commence this quarter.

➤ **Element 10 – Yuba ULDC Remediation 1986 Break Site:**

This element was added during the 20th quarter. The total budget for this Element is \$1,500,000. In the 23rd quarter TRLIA expects to expend \$325,000 as it continues completes the construction work associated with this element. It expected that this element will completed under the originally forecasted budget.

The amount of funds sought from the State and the Amount of Local Funds intended to be expended: As presented in Item 3 of this 24th Quarter Work Plan:

Quarterly Work Plan Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 9 - ROW Acquisition	\$315,000	\$135,000	\$450,000	\$0
Elements 1 through 6, 8, 9 & 10	\$400,318	\$171,565	\$571,883	\$0
Total	\$715,318	\$306,565	\$1,021,883	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 9	\$315,000
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$315,000

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6 & 8 through 10	\$300,239
plus/minus State Share true-up for Prior Quarters [1]	\$777,609
Total Advance for State Share at Start of 22nd Quarter	\$1,077,848

[1] Estimated, see reconciliation of Prior State Funding.

Quarterly Local Credit Summary for Non-Real Estate Activity	
Local Credit Elements 1 through 6 & 8 through 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$0
Total Advance for Local Credit at Start of 23rd Quarter	\$0

[1] See Reconciliation of Prior State Funding.

Reconciliation of State Advance Funding for Construction
As of 22nd Quarter - State Share: Based on 21st QPR plus 22nd QWP
(as adjusted for schedule and other factors)

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 – 10) through 21 st Quarter [1]	\$23,467,892
22 nd Quarter Total Non-Real Estate Forecasted Expenses [2]	\$350,631
Total Expenses Forecasted through 22 nd Quarter	\$23,818,523
State Share True-Up Calculations	
Total State Share of Above Expenses	\$16,533,111
Total State Funding Due (net of 10% Retention on Prior Expenses)	\$14,879,800
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234
2nd Quarter revised True-Up	\$92,631
16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917
18th Quarter Advance	\$87,124
18th Quarter True-Up	\$44,783
20th Quarter Advance	\$197,925
Total State Share Funding Received	\$14,102,191
Estimated State Share Construction Funding Due as of 22nd Quarter	\$777,609

**As of 21st Quarter - Local Share: Based on 21st QPR plus 22nd QWP
(as adjusted for schedule and other factors)**

Local Share True-Up Calculations	
Local Share of Above Forecasted Expenses	\$7,285,412
Total Local Funding Credit Due	\$6,619,616
Funding To Date	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294
Local Credit Advanced (from 18th Quarter)	\$49,785
Local Credit True-Up (from 18th Quarter)	\$13,028
Local Credit Advanced (from 20th Quarter)	\$489,104
Total Credit Funding Received	\$6,619,616
Local Credit Due as of 22nd Quarter [1]	\$0

[1] 21st Quarter QPR Costs Subject to review by DWR., 22nd QWP adjusted for actual expenses. Local Credit is limited to available credit. Note: No local credit remains.

The following tables present Element and Feature level details for the 24th Quarterly Work Plan:

Summary of TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Estimated Cumulative Expenses [2]			Forecasted Remaining Budget			Quarterly Forecast			Advance	
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	Total	State	Local	State Share	
Formula	a	b	c = a-b	d	e	f = d-e	g = a-d	h = b-e	i = c-f	j	k	l=j-k	l=k*75%	
Quarterly Advance Request Elements (Not Including Real Estate)														
Element 1: Flatten Waterside Levee Slope	\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	\$0	\$0	\$0	\$0	
Element 1A: Land Side Improvement (Shadpad)	\$1,060,000	\$742,000	\$318,000	\$1,028,458	\$719,920	\$308,537	\$31,542	\$22,080	\$9,463	\$900	\$630	\$270	\$473	
Element 2: Pre-CEQA Determination Support	\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	\$0	\$0	\$0	\$0	
Element 3: Levee Improvements Support Work	\$10,301,168	\$7,210,817	\$3,090,350	\$9,393,562	\$6,575,494	\$2,818,069	\$907,605	\$635,324	\$272,282	\$25,000	\$17,500	\$7,500	\$13,125	
Element 4: Levee Improvements Construction	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	\$0	\$0	\$0	\$0	
Element 6: Admin & Overhead	\$4,901,913	\$3,431,339	\$1,470,574	\$3,764,395	\$2,635,076	\$1,129,318	\$1,137,518	\$796,263	\$341,255	\$325,000	\$227,500	\$97,500	\$170,625	
Element 8: Project Closeout Efforts	\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	\$15,000	\$10,500	\$4,500	\$7,875	
Element 9: WPIC 200-Yr Standard (net of ROW)	\$14,150,000	\$9,905,000	\$4,245,000	\$1,542,456	\$1,079,719	\$462,737	\$12,607,544	\$8,825,281	\$3,782,263	\$1,731,406	\$1,211,984	\$519,422	\$908,988	
Element 10: Yuba ULDC Remediation 1986 Brea	\$1,500,000	\$1,050,000	\$450,000	\$147,912	\$103,538	\$44,374	\$1,352,088	\$946,462	\$405,626	\$325,000	\$227,500	\$97,500	\$170,625	
Sub-Total Elements 1 through 4, 6, 8 & 9	\$43,765,000	\$30,421,517	\$13,343,483	\$26,376,788	\$18,250,741	\$8,126,047	\$17,388,212	\$12,170,777	\$5,217,436	<u>\$2,422,306</u>	<u>\$1,695,614</u>	<u>\$726,692</u>	<u>\$1,271,711</u>	
<i>Element 5 Credit [Additional Credit to Come from other Element Expenses Incurred Prior to October 20, 2009 not Shown Here]</i>														
Element 5: Prior Completed Work	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589					
Real Estate Forecast for Quarter														
Element 7: Land Acquisition	\$5,373,838	\$3,761,687	\$1,612,151	\$2,713,260	\$1,899,282	\$813,978	\$2,660,578	\$1,862,404	\$798,173	\$200,000	\$140,000	\$60,000		
Element 9, Feature 9.3: WPIC - ROW Acquisition	\$1,000,000	\$700,000	\$300,000	\$265,032	\$185,522	\$79,510	\$734,968	\$514,478	\$220,490	\$375,000	\$262,500	\$112,500		
Subtotal Real Estate Forecast	<u>\$6,373,838</u>	<u>\$4,461,687</u>	<u>\$1,912,151 #</u>	<u>\$2,978,292</u>	<u>\$2,084,804</u>	<u>\$893,488 #</u>	<u>\$3,395,546</u>	<u>\$2,376,882</u>	<u>\$1,018,664</u>	<u>\$575,000</u>	<u>\$402,500</u>	<u>\$172,500</u>		
Local Credit Reconciliation														
Quarterly Advance Calculation										Total Local Share of Eligible Expenses this Quarter				\$726,692 (From Above)
75% of State Share of Eligible Elements										Estimated Total Local Credit				\$6,619,616 (Approved Local Credit Amount)
plus Local Share Subject to Credit														
Total														
Note: Payments are subject to true-up for actual expenses.										Local Credit Advanced (from 1st Quarter)				\$410,772
										Local Credit Advanced (from 2nd Quarter)				\$343,623
										Local Credit Advanced (from 3rd Quarter)				\$1,533,856
										Local Credit True-Up (from 2nd Quarter)				\$152,747
										Local Credit Advanced (from 4th Quarter)				\$2,622,994
										Local Credit True-Up (from 13th Quarter)				\$511,939
										Local Credit True-Up (from 14th Quarter)				\$329,594
										Local Credit True-Up (from 16th Quarter)				\$88,880
										Local Credit True-Up (from 17th Quarter)				\$73,294
										Local Credit Advance (from 18th Quarter)				\$49,785
										Local Credit True-Up (from 18th Quarter)				\$13,028
										Local Credit Advance (from 20th Quarter)				\$489,104
										Total Local Credit Received				\$6,619,616
										Cumulative Prior Credit Due				\$0
										Requested Local Credit to be Paid with Advance				\$0 (Limited to remaining credit available)
										Credit Remaining After Advance				\$0

24Q YubaSumm

[1] As reflected in the 19th Quarterly Work Plan and Proposed Amendment No. 3 for the Upper Yuba Project.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget

Upper Yuba

For Period July/August/September 2015

ELEMENT 1

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50															
1.1: Design	70%	\$199,279	\$139,495	\$59,784	\$198,854	\$139,198	\$59,656	\$424	\$297	\$127	0%	\$0	\$0	\$0	0%
1.2: Permitting	70%	\$49,134	\$34,394	\$14,740	\$869	\$608	\$261	\$48,265	\$33,786	\$14,480	98%	\$0	\$0	\$0	0%
1.3: Right of Way	70%	\$24,285	\$16,999	\$7,285	\$23,731	\$16,612	\$7,119	\$553	\$387	\$166	2%	\$0	\$0	\$0	0%
1.4: Construction Management	70%	\$180,492	\$126,345	\$54,148	\$179,455	\$125,618	\$53,836	\$1,037	\$726	\$311	1%	\$0	\$0	\$0	0%
1.5: Construction	70%	\$325,250	\$227,675	\$97,575	\$325,250	\$227,675	\$97,575	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
1.6: O&M Manual addendum	70%	\$3,567	\$2,497	\$1,070	\$0	\$0	\$0	\$3,567	\$2,497	\$1,070	100%	\$0	\$0	\$0	0%
Subtotal Element 1		\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	7%	\$0	\$0	\$0	0%

"24QYubaElem1"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

ELEMENT 1A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
	(Increased)														
Element 1A: Land Side Levee Improvement															
- Sta 7+00 to 8+20 (Shadpad Road)															
1A.1: Design	70%	\$80,000	\$56,000	\$24,000	\$78,792	\$55,155	\$23,638	\$1,208	\$845	\$362	2%	\$0	\$0	\$0	0%
1A.2: Permitting	70%	\$105,000	\$73,500	\$31,500	\$101,848	\$71,294	\$30,554	\$3,152	\$2,206	\$946	3%	\$0	\$0	\$0	0%
1A.3: Right of Way	70%	\$240,000	\$168,000	\$72,000	\$188,770	\$132,139	\$56,631	\$51,230	\$35,861	\$15,369	21%	\$900	\$630	\$270	2%
1A.4: Construction Management	70%	\$175,000	\$122,500	\$52,500	\$178,880	\$125,216	\$53,664	-\$3,880	-\$2,716	-\$1,164	-2%	\$0	\$0	\$0	0%
1A.5: Construction	70%	\$455,000	\$318,500	\$136,500	\$480,168	\$336,117	\$144,050	-\$25,168	-\$17,617	-\$7,550	-6%	\$0	\$0	\$0	0%
1A.6: O&M Manual addendum	70%	\$5,000	\$3,500	\$1,500	\$0	\$0	\$0	\$5,000	\$3,500	\$1,500	100%	\$0	\$0	\$0	0%
Subtotal Element 2		\$1,060,000	\$742,000	\$318,000	\$1,028,458	\$719,920	\$308,537	\$31,542	\$22,080	\$9,463	3%	\$900	\$630	\$270	3%

"24QYubaElem1A"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

ELEMENT 2

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<i>(Increased)</i>															
Element 2: Levee Improvements (Slurry Wall & Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts															
2.1: Alternatives Analysis	50%	\$419,771	\$209,885	\$209,885	\$415,488	\$207,744	\$207,744	\$4,283	\$2,141	\$2,141	1%	\$0	\$0	\$0	0%
2.2: CEQA/ NEPA	50%	\$650,142	\$325,071	\$325,071	\$649,566	\$324,783	\$324,783	\$576	\$288	\$288	0%	\$0	\$0	\$0	0%
Subtotal Element 2		\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	0%	\$0	\$0	\$0	0%

"24QYubaElem2"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work															
3-2.3: Preliminary Design	70%	\$1,378,778	\$965,145	\$413,633	\$1,368,300	\$957,810	\$410,490	\$10,478	\$7,335	\$3,144	1%	\$0	\$0	\$0	0%
3-2.4: Permitting Preparation	70%	\$225,344	\$157,741	\$67,603	\$33,937	\$23,756	\$10,181	\$191,407	\$133,985	\$57,422	85%	\$0	\$0	\$0	0%
3-2.5: Right of Way Support	70%	\$2,935,767	\$2,055,037	\$880,730	\$2,936,841	\$2,055,789	\$881,052	-\$1,074	-\$752	-\$322	0%	\$25,000	\$17,500	\$7,500	-2328%
3.1: Final Design	70%	\$2,279,307	\$1,595,515	\$683,792	\$2,250,489	\$1,575,342	\$675,147	\$28,818	\$20,173	\$8,645	1%	\$0	\$0	\$0	0%
3.2: Permitting	70%	\$702,461	\$491,723	\$210,738	\$162,281	\$113,596	\$48,684	\$540,181	\$378,126	\$162,054	77%	\$0	\$0	\$0	0%
3.4: Construction Management	70%	\$2,175,000	\$1,522,500	\$652,500	\$2,160,909	\$1,512,636	\$648,273	\$14,091	\$9,864	\$4,227	1%	\$0	\$0	\$0	0%
3.5: Environmental Mitigation	70%	\$415,091	\$290,564	\$124,527	\$430,894	\$301,626	\$129,268	-\$15,803	-\$11,062	-\$4,741	-4%	\$0	\$0	\$0	0%
3.6: O&M Manual addendum	70%	\$189,419	\$132,593	\$56,826	\$49,912	\$34,939	\$14,974	\$139,506	\$97,654	\$41,852	74%	\$0	\$0	\$0	0%
Subtotal Element 3		\$10,301,168	\$7,210,817	\$3,090,350	\$9,393,562	\$6,575,494	\$2,818,069	\$907,605	\$635,324	\$272,282	9%	\$25,000	\$17,500	\$7,500	3%

"24QYubaElem3"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

ELEMENT 4

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to 303+59															
4.1 - Construction	70%	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	11%	\$0	\$0	\$0	0%
Subtotal Element 4		\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	11%	\$0	\$0	\$0	0%

"24QYubaElem4"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

ELEMENT 5

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 5: Prior Completed Work															
5.1 - Prior Completed Work	70%	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	-27%	\$0	\$0	\$0	0%
Subtotal Element 5		\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	-27%	\$0	\$0	\$0	0%

"24QYubaElem5"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 6: Project Management, legal support, community relations support, land management support, and overhead															
6.1 - TRLIA Salaries/Benefits	70%	\$1,570,714	\$1,099,500	\$471,214	\$1,322,165	\$925,515	\$396,649	\$248,549	\$173,984	\$74,565	16%	\$66,000	\$46,200	\$19,800	27%
6.2 - TRLIA Consultant Exp.	70%	\$1,360,377	\$952,264	\$408,113	\$969,859	\$678,901	\$290,958	\$390,517	\$273,362	\$117,155	29%	\$8,000	\$5,600	\$2,400	2%
6.3 - TRLIA Legal Support	70%	\$544,235	\$380,964	\$163,270	\$341,812	\$239,268	\$102,544	\$202,423	\$141,696	\$60,727	37%	\$1,000	\$700	\$300	0%
6.4 - TRLIA Travel Expenses	70%	\$9,992	\$6,995	\$2,998	\$94	\$66	\$28	\$9,898	\$6,929	\$2,970	99%	\$0	\$0	\$0	0%
6.5 - TRLIA General Expenses	70%	\$256,643	\$179,650	\$76,993	\$180,373	\$126,261	\$54,112	\$76,270	\$53,389	\$22,881	30%	\$9,000	\$6,300	\$2,700	12%
6.6 - TRLIA Insurance	70%	\$1,114,119	\$779,883	\$334,236	\$934,496	\$654,147	\$280,349	\$179,623	\$125,736	\$53,887	16%	\$240,000	\$168,000	\$72,000	134%
6.7 - TRLIA Travel - Vehicle Lease	70%	\$26,714	\$18,700	\$8,014	\$15,596	\$10,917	\$4,679	\$11,118	\$7,783	\$3,335	42%	\$1,000	\$700	\$300	9%
6.8 - TRLIA Memberships	70%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	100%	\$0	\$0	\$0	0%
Subtotal Element 6		\$4,901,913	\$3,431,339	\$1,470,574	\$3,764,395	\$2,635,076	\$1,129,318	\$1,137,518	\$796,263	\$341,255	23%	\$325,000	\$227,500	\$97,500	29%

"24QYubaElem6"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba
For Period July/August/September 2015

ELEMENT 7

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 7: Right of Way Capital															
7-3.3 - Right of Way Capital	70%	\$5,373,838	\$3,761,687	\$1,612,151	\$2,713,260	\$1,899,282	\$813,978	\$2,660,578	\$1,862,404	\$798,173	50%	\$200,000	\$140,000	\$60,000	8%
Subtotal Element 7		\$5,373,838	\$3,761,687	\$1,612,151	\$2,713,260	\$1,899,282	\$813,978	\$2,660,578	\$1,862,404	\$798,173	50%	\$200,000	\$140,000	\$60,000	51%

"24QYubaElem7"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget

Upper Yuba

For Period July/August/September 2015

ELEMENT 8

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 8: Project Closeout Efforts															
8.1 - Project Close Out Efforts	70%	\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	96%	\$15,000	\$10,500	\$4,500	8%
Subtotal Element 8		\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	96%	\$15,000	\$10,500	\$4,500	8%

"24QYubaElem8"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

ELEMENT 9

Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axb <small>Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 9: WPIC 200-Yr Standard															
9.1 - Design	70%	\$800,000	\$560,000	\$240,000	\$1,052,784	\$736,949	\$315,835	-\$252,784	-\$176,949	-\$75,835	-32%	\$188,456	\$131,919	\$56,537	-75%
9.2 - Permitting & Environmental C	70%	\$300,000	\$210,000	\$90,000	\$421,322	\$294,925	\$126,397	-\$121,322	-\$84,925	-\$36,397	-40%	\$93,750	\$65,625	\$28,125	-77%
9.3 - Right of Way Acquisition	70%	\$1,000,000	\$700,000	\$300,000	\$265,032	\$185,522	\$79,510	\$734,968	\$514,478	\$220,490	73%	\$375,000	\$262,500	\$112,500	51%
9.4 - Right of Way Support	70%	\$200,000	\$140,000	\$60,000	\$43,350	\$30,345	\$13,005	\$156,650	\$109,655	\$46,995	78%	\$43,350	\$30,345	\$13,005	28%
9.5 - Construction Management	70%	\$800,000	\$560,000	\$240,000	\$25,000	\$17,500	\$7,500	\$775,000	\$542,500	\$232,500	97%	\$175,000	\$122,500	\$52,500	23%
9.6 - Construction	70%	\$12,000,000	\$8,400,000	\$3,600,000	\$0	\$0	\$0	\$12,000,000	\$8,400,000	\$3,600,000	100%	\$1,230,850	\$861,595	\$369,255	10%
9.7 - O&M Manual addendum	70%	\$50,000	\$35,000	\$15,000	\$0	\$0	\$0	\$50,000	\$35,000	\$15,000	100%	\$0	\$0	\$0	0%
Subtotal Element 9		\$15,150,000	\$10,605,000	\$4,545,000	\$1,807,488	\$1,265,242	\$542,246	\$13,342,512	\$9,339,758	\$4,002,754	88%	\$2,106,406	\$1,474,484	\$631,922	16%

"24QYubaElem9"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2015

ELEMENT 10

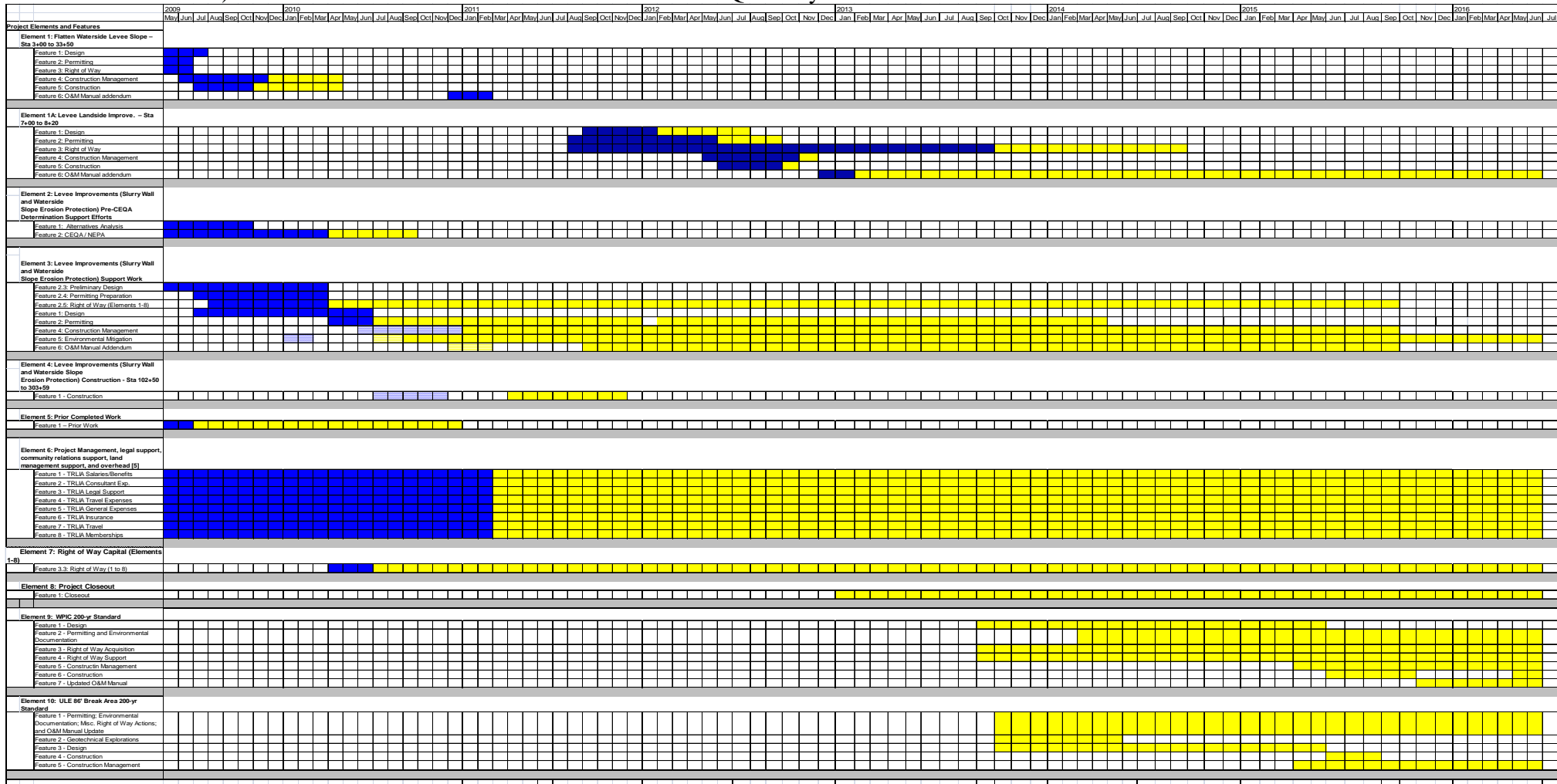
Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	24th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axb <small>axb=100% Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 10: Yuba ULDC Remediation 1986 Break Site															
10.1 - Project Management	70%	\$110,000	\$77,000	\$33,000	\$8,144	\$5,701	\$2,443	\$101,856	\$71,299	\$30,557	93%	\$0	\$0	\$0	0%
10.2 - Geotechnical Explorations	70%	\$140,000	\$98,000	\$42,000	\$134,404	\$94,083	\$40,321	\$5,596	\$3,917	\$1,679	4%	\$0	\$0	\$0	0%
10.3 - Design	70%	\$40,000	\$28,000	\$12,000	\$5,363	\$3,754	\$1,609	\$34,637	\$24,246	\$10,391	87%	\$0	\$0	\$0	0%
10.4 - Construction	70%	\$1,100,000	\$770,000	\$330,000	\$0	\$0	\$0	\$1,100,000	\$770,000	\$330,000	100%	\$250,000	\$175,000	\$75,000	23%
10.5 - Construction Management	70%	\$110,000	\$77,000	\$33,000	\$0	\$0	\$0	\$110,000	\$77,000	\$33,000	100%	\$75,000	\$52,500	\$22,500	68%
Subtotal Element 10		\$1,500,000	\$1,050,000	\$450,000	\$147,912	\$103,538	\$44,374	\$1,352,088	\$946,462	\$405,626	90%	\$325,000	\$227,500	\$97,500	24%

"24Q YubaElem10"

[1] Revised per the Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 22nd Quarter based on prior actual expenses through March 31, 2015 (22th QPR) and estimated of expenses from April to June 2015 based on the 23rd Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

6. Schedule Information by Project Element: Shown in the figure below is an updated Exhibit A (overall project schedule). This is the same schedule that was shown in 21st Quarterly Work Plan



Blue: Original **Pattern:** Not Viable **Yellow:** Prior Quarter Adjustments and Amendments **Green:** Changes Proposed in the 24th QWP

- **A discussion on how the projected schedule compares to original or last reported schedule:**
 - None with this report; however, TRLIA anticipates a delay of Project Element 9 construction work, which will require the funding agreement end date to be amended to accommodate this delay. TRLIA will submit a letter to DWR requesting this extension.

7. Attachments:

1. Updated Overall Work plan Tab D Project Element 9: WPIC 200-Yr Standard permit list
2. Updated Overall Work plan Tab D Project Element 10: ULE 86' Break Area 200-yr Standard permit list

Attachment 1
Tab D: WPIC 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> Permit No. 17782 BD was issued by the CVFPB (Reclamation Board) on May 19, 2005 for the original WPIC work. A new permit will be requested for the proposed ULDC Remediation Work.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	Yes	<ul style="list-style-type: none"> Waters of the United States will be impacted
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> Wetland delineation has been completed.
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> Section 408 Written Request was submitted to the CVFPB in September 2014. TRLIA anticipates approval of landside work to occur at the District level. Approval for cutoff walls will require HQUSACE approval.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	<ul style="list-style-type: none"> The 404 Permit will require Water Quality Certification
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> Pre-coordination with USFWS is underway. Formal consultation will occur in future.
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	Yes	<ul style="list-style-type: none"> Pre-coordination with DFW is underway. Consultation will be initiated in future.

DFW	Streambed Alteration Agreement	Yes	<ul style="list-style-type: none"> A SAA will be required as work is occurring below the waterside levee hinge point. However, all ground disturbances are above the WPIC ordinary high water mark.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	<ul style="list-style-type: none"> Haul routes for the project will encroach onto Caltrans rights-of-way.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	<ul style="list-style-type: none"> Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> Coordination with the SHPO will occur during preparation of CEQA and NEPA Documents
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 is underway.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> A joint IS/MND has been prepared.
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> A draft EA has been prepared.
Section 221 Credit	Credit under Section 221, as amended	No	<ul style="list-style-type: none"> The proposed work is not eligible for Section 221 credit as it is not being considered as part of an ongoing or authorized Federal project.

Attachment 3

TAB D: ULE 86' Break Area 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> An encroachment permit was issued by the CVFPB on April 30, 2015
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
Corps	Wetlands Delineation	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> USACE approved altering the project as part of the Section 408 Process on April 20, 2015
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	No	<ul style="list-style-type: none"> The project will avoid take of listed endangered species
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	No	<ul style="list-style-type: none"> The project will avoid take of listed endangered species and will be constructed under a Categorical Exclusion
DFW	Streambed Alteration Agreement	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and no alterations to the streambed will occur.

California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70).
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 will occur during design and construction of the project. The RD 784 Board of Directors endorsed the encroachment permit application on August 5, 2014.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> USACE is preparing the NEPA documentation required for the Section 408 process
Section 104 Credit	Future federal projects cost sharing	No	<ul style="list-style-type: none"> No longer applicable per Assistant Secretary of the Army
Section 103 Credit	Credit under Section 2003	No	<ul style="list-style-type: none"> This work is not part of a USACE Feasibility Study; therefore, credit will not be sought