



**TRLIA**  
**23<sup>rd</sup> QUARTERLY WORK PLAN**  
**FOR**  
**UPPER YUBA RIVERLEVEE**  
**IMPROVEMENT PROJECT**

1. 23<sup>rd</sup> Quarterly Work plan for quarter: April 1 to June 30, 2015
2. Date of Report: March 23, 2015
3. 23<sup>rd</sup> Quarter Work Plan:

<b>Quarterly Work Plan Summary</b>				
<b>Description</b>	<b>State Share</b>	<b>Local Share</b>	<b>Total</b>	<b>Local Credit Applied</b>
Elements 7 & 9 - ROW Acquisition	\$315,000	\$135,000	\$450,000	\$0
Elements 1 through 6, 8, 9 & 10	\$400,318	\$171,565	\$571,883	\$0
<b>Total</b>	<b>\$715,318</b>	<b>\$306,565</b>	<b>\$1,021,883</b>	<b>\$0</b>

<b>Quarterly Summary for Real Estate Activity</b>	
State Share Elements 7 & 9	\$315,000
Local Credit Applied Elements 1	\$0
<b>Estimated Total Quarterly State Real Estate Funding</b>	<b>\$315,000</b>

<b>Quarterly State Share Advance Summary for Non-Real Estate Activity</b>	
75% of State Share Elements 1 through 6 & 8 through 10	\$300,239
plus/minus State Share true-up for Prior Quarters [1]	\$777,609
<b>Total Advance for State Share at Start of 22<sup>nd</sup> Quarter</b>	<b>\$1,077,848</b>

[1] Estimated, see reconciliation of Prior State Funding.

<b>Quarterly Local Credit Summary for Non-Real Estate Activity</b>	
Local Credit Elements 1 through 6 & 8 through 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$0
<b>Total Advance for Local Credit at Start of 23<sup>rd</sup> Quarter</b>	<b>\$0</b>

[1] See Reconciliation of Prior State Funding.

**Reconciliation of State Advance Funding for Construction**  
**As of 22nd Quarter - State Share: Based on 21<sup>st</sup> QPR plus 22<sup>nd</sup> QWP**  
 (as adjusted for schedule and other factors)

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 – 10) through 21 <sup>st</sup> Quarter [1]	\$23,467,892
22 <sup>nd</sup> Quarter Total Non-Real Estate Forecasted Expenses [2]	\$350,631
<b>Total Expenses Forecasted through 22<sup>nd</sup> Quarter</b>	<b>\$23,818,523</b>
State Share True-Up Calculations	
Total State Share of Above Expenses	\$16,533,111
<b>Total State Funding Due (net of 10% Retention on Prior Expenses)</b>	<b>\$14,879,800</b>
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234
2nd Quarter revised True-Up	\$92,631
16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917
18th Quarter Advance	\$87,124
18th Quarter True-Up	\$44,783
20th Quarter Advance	\$197,925
<b>Total State Share Funding Received</b>	<b>\$14,102,191</b>
<b>Estimated State Share Construction Funding Due as of 22<sup>nd</sup> Quarter</b>	<b>\$777,609</b>

**As of 21<sup>st</sup> Quarter - Local Share: Based on 21<sup>st</sup> QPR plus 22<sup>nd</sup> QWP  
(as adjusted for schedule and other factors)**

Local Share True-Up Calculations	
Local Share of Above Forecasted Expenses	\$7,285,412
<b>Total Local Funding Credit Due</b>	<b>\$6,619,616</b>
Funding To Date	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294
Local Credit Advanced (from 18th Quarter)	\$49,785
Local Credit True-Up (from 18th Quarter)	\$13,028
Local Credit Advanced (from 20th Quarter)	\$489,104
<b>Total Credit Funding Received</b>	<b>\$6,619,616</b>
<b>Local Credit Due as of 22<sup>nd</sup> Quarter [1]</b>	<b>\$0</b>

[1] 21<sup>st</sup> Quarter QPR Costs Subject to review by DWR., 22<sup>nd</sup> QWP adjusted for actual expenses. Local Credit is limited to available credit. Note: No local credit remains.

#### 4. Project Element Information:

- *Effective this quarter the narrative text for completed Elements and Features has been deleted from the report. The deleted items will continue to be shown in the schedule and financial update portions of the report.*
- The UYLIP 3<sup>rd</sup> Funding Agreement Amendment was approved on September 24<sup>th</sup> Quarter. The 3<sup>rd</sup> Amendment added Project Elements 9 and 10, and extended the agreement date to June 30, 2016.
- On November 21, 2013 the UYLIP funding agreement was amended for the 2<sup>nd</sup> time to extend the agreement date to September 30, 2015. The extension was due to the time needed to close real estate.
- During the 16<sup>th</sup> quarter the Department of Finance began a financial audit of the UYLIP EIP funding agreement. The Audit was completed in the 20<sup>th</sup> quarter. No items were found by the audit team. The audit results are posted on the TRLIA.
- At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4<sup>th</sup> Quarterly Work Plan (QWP) submitted on May 28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4<sup>th</sup> QWP that reflected the following changes.
  - A new Element 7: Right of Way Capital was added. This was previously

Element 3: Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.

- Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QWP is reflective of these modifications.

- **Engineering and construction matters:**

- **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00**
  - Feature 3 Right of Way Support: TRLIA will continue work on this feature during the 23<sup>rd</sup> Quarter. See Element 7 below for property acquisition information.
  - Feature 6: O&M Manual: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. TRLIA requested any comments by April 15, 2013. TRLIA coordinated with these agencies to determine the status of review. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. TRLIA revised and combined all O&M Addendums into a Comprehensive Addendum that was submitted to CVFPB and DWR in the 18<sup>th</sup> quarter. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of February 20, 2015 USACE has not provided their version of the manual to the CVFPB. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum. It is unknown as to when USACE will provide feedback on the combined O&M Manual.

- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**
- Feature 2.5 Right of Way Support (Project Elements 1 to 8): TRLIA real estate and legal consultants will be active during the 23<sup>rd</sup> quarter finalizing right of way needs for the project. See Element 7 below for property acquisition information.
    - Real Estate has initiated monthly meetings with DWR to discuss outstanding issues including the status of the Final Accounting Packages and the cadastral review. Meetings were held on November 20, 2014, November 25, 2014, and December 18, 2014. Future meetings are scheduled for February 18, 2015, March 18, 2015, and April 15, 2015.
  - Feature 3 Right of Way: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4<sup>th</sup> Quarterly Work Plan.
  - Feature 4 Construction Management: Limited activity is anticipated to occur during the 23<sup>rd</sup> quarter to address items required by settlement of eminent domain settlements (e.g. Dan Luis settlement), and to oversee PG&E power line relocation work that is being done to support a TRLIA eminent domain settlement. The PG&E work is being done under CVFPB encroachment permit 18695. The PG&E final relocation cannot occur until the title is filed on the land acquired from Dan Luis and easements are issued to PG&E. Progress has been made on the lot line adjustment issue and filing of the new title and issuance of the proper easements to PG&E are expected in the 22<sup>nd</sup> Quarter. With these actions taken, TRLIA expects to discuss the final relocation of the power line with PG&E in this quarter and get the work scheduled.
  - Feature 5 Environmental Mitigation:
    - The additional elderberry seedling plantings were planted in the 14<sup>th</sup> quarter. Maintenance to ensure their establishment will continue in the 23<sup>rd</sup> quarter. Maintenance for the additional seedlings will continue until April 2016. Monitoring will continue until 2018.
  - Feature 6 O&M Manual Addendum: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. TRLIA requested any comments by April 15, 2013. TRLIA coordinated with these agencies to determine the status of review. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. TRLIA revised and combined all O&M Addendums into a Comprehensive Addendum that was submitted to CVFPB and DWR in the 18<sup>th</sup> quarter. A

meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of February 20, 2015. USACE has not provided their version of the manual to the CVFPB. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum. It is unknown as to when USACE will provide feedback on the combined O&M Manual.

- **Element 6:** Project Management, legal support, community relations support, land management support, and overhead
  - Activity is anticipated in all Features during the 23<sup>rd</sup> quarter
- **Element 7:** Right of Way Capital
  - Feature 7-3.3 Right of Way (Project Elements 1 to 8):
    - DWR approved the Upper Yuba River Real Estate Plan on June 28, 2010.
    - On March 5, 2012 TRLIA submitted Amendment No. 1 to the UYLIP project for all UYLIP real estate work identified through the 2<sup>nd</sup> Funding Agreement. During the 20<sup>th</sup> quarter TRLIA submitted Amendment No. 1 that made modifications to the original real estate plan and also added Project Element 9 acquisitions. Hopefully, this Amendment will be approved by or before the 23<sup>rd</sup> quarter.
    - Western Aggregate: TRLIA has been in negotiations with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, and to leave already existing railroad easements owned by Western Aggregates in place, because Western Aggregates has stated that it hopes to use its fee and easement interests along the levee to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow the existing easements owned by Western Aggregates to remain in place, and also agreed to allow TRLIA to grant new railroad easements to Western Aggregates over property that TRLIA acquires from Western Aggregates in fee.

In exchange for transferring fee title to the properties that TRLIA seeks to acquire in fee, Western Aggregate has requested TRLIA grant Western Aggregates a new floating railway easement on not only the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16<sup>th</sup> quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. TRLIA has brought on a mediator to help settle this item. During the 23<sup>rd</sup> quarter TRLIA will continue to negotiate with Western Aggregates on this item.

**This issue is listed in the Issue/Concerns portion of this report**

- **Final Accounting Packages (FAPs) and Cadastral Requirements:** Tables 1 to 6 provide the status of Real Estate Property actions and Final Accounting Packages (FAPs). TRLIA will be submitting Final Accounting Packages (FAP's) during the 23<sup>rd</sup> quarter.

**Table 1 – List of FAPS and Cadastral Approval Status**

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
1	14050	020-080-009	Western Aggregates, Inc.		
2	14051	021-041-006	Clara Willis, Et al	pending	
3	14052	021-041-007	Bernard J. Perez	pending	
4	14053	021-041-010	Lothar S. Klug, Et Al	pending	
5	14054	021-041-009	ROSA MARTHA VALDEZ	pending	
6	14055	021-041-012, 021-042-014	Steve Gilbert, Et Al	pending	
7	14056	021-042-027	Humam El Sharif	pending	
8	14057	021-0500-001	Orest Wesely, Et Al	pending	
9	14058	018-220-048, -049	COF Quail Hollow Land 35F LLC	pending	
10	14059	018-210-014	Stephanie Morris	pending	
11	14060	018-210-029	Robert G. Wood	pending	
12	14061	018-220-007	Howard R. Miller	pending	
13	14062	018-200-001, 018-220-030	Ajit S. Bains		
14	14063	018-200-005, -007, 018-210-035	Anthony J. Luis, Et Al		

15	14064	018-200-008	Danel J. Luis		
16	14065	018-190-002, 018-200-006	Richard G. Wilbur Trust		
17	14066	018-140-040	Richard G. Wilbur Trust		
18	14067	018-150-015, 018-190-001	Henry P. Smith Et Al		
19	14068	018-190-110	Walbinder S. Sohal Living Trust	pending	
20	14069	018-190-107, 018-190-108	Sohal Family Trust	pending	
21	14070	018-150-035, 018-190-104	Michele R. Baker		
22	14071	018-150-032	Shintaffer Farms, Inc.		
23	14072	018-190-109	Bhajan S. Sohal, Et Al	pending	
24	14073	021-021-001	Dunning Properties, LP		
25	14074	Portion of 018-220-056	Wilbur, Richard G.		
26	14075	018-220-056	Western Aggregates, LLC		
27	14079	020-410-001	Allen, Jason G.		
28	14080	Portion of 018-150-032 and -035	Federal Fruit Exchange	pending	
29	14081	018-230-028	Orchard Growers	pending	
30	not assigned - TCE only	018-140-041	Naumes, Inc.	pending	
31	not assigned - TCE only	018-190-085	Rahul, Surjit S. & Parmjit	pending	

**Table 2 - Acquisition being negotiated:**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRLIA has acquired. Parties are negotiating.



14063	Anthony J. & Zelma B. Luis	Settlement Reached				\$11,200	Settlement agreement signed. Agreement has contingencies that we are working on. As a result, the eminent domain action is still pending until settlement contingencies are completed.
14070	Michele R. Barker	Settlement Reached; not signed				\$64,750	Property owners are reviewing proposed cross-levee easement before signing the settlement agreement. The easement has been provided to the property owners' attorney.
14073	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
14074	Richard G. Wilbur	Negotiation					First Written Offer 3/7/13. Parties are negotiating.
14075	Western Aggregates, LLC	Negotiation					See comment for CVFPB 14050
14079	Jason G. Allen	Settlement Reached		\$210,000.00	\$147,070.00	Not invoiced yet	Judgment entered 7/8/14.

**Table 3 - Negotiated Acquisitions** – The following parcels have been acquired. Final Accounting Packages are being prepared.

At BRI:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14062	Ajit S. Bains & Maria Del Carmen	Complete		\$38,700.00		\$15,050	Final Order received 9/24/14. Recorded easement rec'd 11/7/14.
14064	Daniel J. Luis	Complete		\$46,477.00	\$32,533.90	\$5,670.00	Need Final Order of Condemnation. This parcel is part of the same eminent domain action as CVFPB 14063, and the final order will record at the same time as that parcel.

14065	Richard G. Wilbur Trust	Complete		\$40,000.00			Final Order rec'd 9/24/14. Need items from Legal.
14066	Richard G. Wilbur Trust	Complete				\$16,100	See comment for CVFPB 14065
14071	Shintaffer Farms, Inc.	Complete		\$5,500.00	\$3,850.00	\$437.00	Received Title Policy with errors 5/8/14; requested revisions

**Table 4 - At Downey Brand:**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14067	Henry P. Smith	Complete		\$64,000.00	\$44,800.00	\$22,400.00	FAP in process

**Table 5 - Final Accounting Packages at DWR:**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14051	Ronnie C. Willis	Complete	submitted	\$29,500.00	\$20,650.00	\$20,650.00	To DWR 10/11/13
14052	Bernard J. Perez	Complete	submitted	\$10,350.00	\$7,245.00	\$7,245.00	To DWR 4/17/13
14053	Lothar S. & Brigitta M. Klug	Complete	submitted	\$2,800.00	\$1,960.00	\$1,960.00	To DWR 4/17/13
14054	Valdez	Complete	submitted	\$5,200.00	\$3,640.00	\$1,820.00	To DWR 8.22.14
14055	Steve and Denise Gilbert	Complete	submitted	\$15,700.00	\$14,840.00	\$14,840.00	To DWR 8/1/13
14055	Steve and Denise Gilbert	Complete	submitted	\$5,500.00			To DWR 8/1/13
14056	Humam El Sharif	Complete	submitted	\$2,100.00	\$1,470.00	\$1,470.00	To DWR 4/25/14
14057	Orest and Irene Wesely	Complete	submitted	\$33,000.00	\$23,100.00	\$23,100.00	To DWR 10/11/13
14058	COF Quail Hollow Land	Complete	submitted	\$34,750.00	\$24,325.00	\$24,325.00	To DWR 4/17/13
14059	Stephanie Morris	Complete	submitted	\$7,900.00	\$5,530.00	\$4,060.00	To DWR 8/22/14
14060	Robert Glenn Wood	Complete	submitted	\$3,200.00	\$2,240.00	\$2,240.00	To DWR 4/17/13
14061	Howard R Miller	Complete	submitted	\$1,400.00	\$980.00	\$980.00	To DWR 8/22/14

14068	Walbinder S. Sohal Living Trust,	Complete	submitted	\$598,500.00	\$418,950.00	\$418,950.00	To DWR 1/22/14
14069	Awtar S. Sohal & Sukhvir K. Sohal, Co-Trustees	Complete	submitted	\$41,500.00	\$29,050.00	\$21,787.50	To DWR 8/22/14
14072	Bhajan S. & Rajwant K. Sohal	Complete	submitted	\$598,500.00	\$418,950.00	\$418,950.00	TO DWR 1/22/14
14080	Federal Fruit Distributors	Complete	submitted	\$800.00	\$560.00	\$392.00	To DWR 4/25/14
14081	Orchard Growers	Complete	submitted	\$500.00	\$350.00		To DWR 3/24/14
not assigned - TCE only	Rahul, Surjit S. & Parmjit	Complete		\$500.00	\$350.00	\$175.00	To DWR 6/6/14
not assigned - TCE only	Naumes, Inc.	Complete		\$3,000.00	\$2,100.00	\$175.00	To DWR 6/5/14

**Table 6 - Status of Relocation Actions:**

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	
	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	
	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	
	Jason G. Allen	Ed Widener	Complete	\$12,272.00	
	Jason G. Allen	Jack Fouse	Complete	\$0	No response from tenant, who moved from Park.
	Jason G. Allen	Les Begley	Complete	\$1,100.00	
	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	
	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	
	Jason G. Allen	David Haughton	Complete	\$2,402.78	
	Jason G. Allen	Jason G. Allen	Complete	\$24,754.99	

➤ **Element 8 Closeout Process:**

○ Feature 1: Closeout Process

- On November 15, 2013 TRLIA submitted its initial draft of Closeout Documentation for the UYRLIP project for DWR's review. As part of

that submission, TRLIA requested a release of retention (\$1,042,447) for the portion of the project that has been completed. As of the date of this report DWR has not yet responded to the TRLIA request. TRLIA will continue to work with DWR and coordinate on the closeout of completed items during the 23<sup>rd</sup> quarter. TRLIA's goal (see Accomplishments Planned portion of this report), is to have the November 2013 release of retention approved and sent to TRLIA during the 23<sup>rd</sup> quarter.

**This issue is listed in the Issue/Concerns portion of this report.**

➤ **Element 9 WPIC 200-yr Standard:**

- Feature 1 Design: TRLIA will meet with DWR and the BOSC to discuss review of the 65% design package and proceed to preparing the 90% design package.
- Feature 2 Permitting:
  - See Attachment 1 for permits listing
  - Permits status: TRLIA anticipates public review of the EA and IS during the quarter. TRLIA also anticipated coordinating with the resource agencies to address comments and questions related to the project.
- Feature 3 Right of Way Acquisition: Real Estate activities continue in the WPIC area. During the 23<sup>rd</sup> quarter, efforts focused on the preparation of the right of way appraisal maps and legal descriptions along with their review by DWR. Preliminary information was gathered in preparation of initiation of appraisal activities once the maps are approved.

**Table 7 – List of FAPS and Cadastral Approval Status**

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1		Miller-Belza-Peck	1		
2		Rue & Forsman Real Estate LLC	1		
3		Llatigo Farms LLC (Includes Unecon Remnant)	2		
4		Alfaro Family Trust (Includes Unecon Remnant)	5		
			<b>9</b>	<b>0</b>	<b>0</b>

- Feature 4 Right of Way Support:
  - During the 20<sup>th</sup> quarter, October 2, 2014, TRLIA submitted an amendment to UYLIP Real Estate plan for work on the WPIC. DWR approval of the plan did not occur in the 21<sup>st</sup> quarter. Hopefully, approval will be provided in the 22<sup>nd</sup> quarter. Appraisals and Offers will continue to be made in the 23<sup>rd</sup> quarter so that TRLIA can start construction work this construction season (2015). Timely approval of legal descriptions, appraisals and offers is critical for position of the real estate in time for construction. See table below for status.

**Table 8 – Property Acquisition Status**

CVFPB	APN	OWNER	ESA Completed	Receipt of Legals and Plats (or revision)	Appraisal submitted to TRLIA	Appraisal submitted to DWR	Appraisal Approved by DWR	Just Compensation Approved	Offer Made	Comments
not assigned yet	014-270-084 014-270-105 014-270-106 014-350-065 014-360-002	Alfaro Family Trust	12/29/2014	1/28/2015	1/23/2015	1/23/2015		1/23/2015		Appraisal completed 1/22/15; revised maps received 1/28/15; appraisal update in process
not assigned yet	014-360-011 014-360-013	Latigo Farms, LLC	12/29/2014		8/31/2012				4/8/2013 9/25/2013	Suit filed; Order of Possession pending
not assigned yet	016-050-023	Rue & Forsman Real Estate, LLC	12/24/2014	1/28/2015						Appraisal in process
not assigned yet	016-070-018	Miller-Belza-Peck	12/24/2014	1/28/2015	9/12/2014	10/21/2014		10/3/2014	10/15/2014	Revised maps received; appraisal update in process

- Prior to the UYLIP 3<sup>rd</sup> funding amendment being approved, TRLIA filed an eminent domain action against Latigo Farms LLC to acquire property rights need for this element. TRLIA will continue working on this eminent domain action in the 23<sup>rd</sup> quarter. A case management conference is scheduled in the eminent domain action for April 3, 2015.
- Discussions were with UPRR will continue regarding access along the corridor.

- Feature 5 Construction Management: No work is anticipated in the 23<sup>rd</sup> quarter.
- Feature 6 Construction: No work is anticipated in the 23<sup>rd</sup> quarter.
- Feature 7 Updated O&M Manual: No work is anticipated in the 23<sup>rd</sup> quarter.
- **Element 10 ULE 86' Break Area 200-yr Standard:**
  - On September 24, 2014 the UYLIP funding agreement was amended for the 3<sup>rd</sup> time to include this Element.
  - Feature 1 Permitting:
    - See Attachment 2 for permits listing
    - An application for an encroachment permit was submitted to the CVFPB on August 14, 2014. This work will fall under a CEQA categorical exemption. USACE is preparing appropriate NEPA documentation for the Section 408 Process. Coordination continues with USACE regarding Section 408 approval. Section 408 approval and issuance of the encroachment permit are expected in the 23<sup>rd</sup> Quarter.
  - Feature 2 Geotechnical Explorations: Geotechnical explorations and testing is finished and no additional work for this feature is anticipated.
  - Feature 3 Design: Based on the explorations the extent and cross section of the remediation feature was developed. The proposed remediation is to enlarge an existing landside stability berm in the vicinity of the 86 Break so that even if material is lost from the embankment through a slump, enough levee embankment will remain to withstand flood forces. The proposed enlargement of the existing stability berm will extend from station 2151+79 to station 2156+36 (457 linear feet (LF)). The enlarged berm will be constructed on top of an existing seepage berm and will consist of general fill. The enlarged berm top width will be 53 feet. The side slope of the berm will be 3 horizontal to 1 vertical. The top of the berm will be set at elevation 81.2 feet NAVD88 which is 3 feet above the elevation of the 200-Year flood (78.2 feet NAVD88) and will give a berm height of approximately 11 feet above the top surface of the existing seepage berm. The 1957 Design Profile Water Surface Elevation at this location is 79.1 feet NAVD88. This is a simple design and the approach will be to use very simple plans and specifications to construct. Specifications will be prepared during the 22<sup>nd</sup> Quarter and TRLIA plans to bid this remediation work during the 23<sup>rd</sup> Quarter.
  - Feature 4 Construction: No work is anticipated in the 23<sup>rd</sup> quarter.

- Feature 5 Construction Management: No work is anticipated in the 23<sup>rd</sup> quarter.
  
- **Environmental matters:**
  - See Project Element 3 Feature 5 Environmental Mitigation above for this information for Project Elements 1 to 8.
  - See Project Element 9 Feature 2 Permitting for this information for Project Element 9.
  
- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
  - **Element 9:** See Element 9 Feature 2 above for status and attachment 1 for list.
  - **Element 10:** See Element 10 Feature 1 for status and attachment 2 for list.
  
- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.);**
  - Project Element 8: The November 2013 TRLIA request for release of retention be approved and sent to TRLIA during the 23<sup>rd</sup> quarter.
  
- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**
  - **Issue 1:** Western Aggregate has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16<sup>th</sup> quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 22<sup>nd</sup> quarter TRLIA will continue to negotiate with Western Aggregates on this item. TRLIA has brought on a mediator to help resolve the issues associated with this item.  
  
**Recommended Solution:** DWR support TRLIA as negotiations with the CVFPB transpire for the approval of the Western Aggregate floating easement request.

- **Issue 2:** TRLIA has submitted an initial draft of Project Closeout materials in electronic format for DWR's review. This Project Closeout Documentation report presents a compilation of all of the required project closeout documentation that has been prepared to date. As part of this submission, TRLIA presented a request for a partial release of retention of the work completed through 15<sup>th</sup> Quarter in the amount of \$2,448,160 less credit for interest earned State Funding in the amount of \$106,799 for a net release of \$2,341,361. TRLIA is prepared to work with State to respond to any inquiries related to this request. As TRLIA continues to expend funds on the project and other efforts, a release of retention will help TRLIA to cash flow the remainder of this project.

**Recommended Solution:** DWR review TRLIA's request and provide comments on the request and at the same time commence the process of a partial release of retention for those elements deemed complete.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

➤ None

- **Any litigation, proceedings or claims relating to the Project:** None

#### **4. Cost Information by Project Element:**

With the 5<sup>th</sup> QWP, TRLIA evaluated the Overall Work Plan Budget and prepared a comprehensive updated the budget from the UYRLIP project. This updated reflected construction on the completed work within Element 1 as well as the work bid for Elements 3&4. The updated budgets took into consideration the savings in construction costs as well other minor adjustments in the Overall Work Plan individual feature budgets. As part of the 6<sup>th</sup> QWP, TRLIA submitted a Revised Overall Work Plan Budget that made some corrections to the budget submitted as part of the 5<sup>th</sup> QWP. With the 9<sup>th</sup> Quarterly Work Plan, TRLIA incorporated Element 1A into the Project and provided an additional update to the Overall Work Plan Budget.

With the 15<sup>th</sup> QWP, as noted above, TRLIA incorporated additional amendments to the budget to reflect accurate costs for the project related features as well as added the budget for Elements 8 and 9, the cost associated with Close out efforts as well as work related to 200-Year Compliance Actions on the WPIC respectively.

In the 18<sup>th</sup> quarter TRLIA shifted the remaining budget within the completed Element 1 to Element 1A to adjust for the budget exceeded within the Construction, Construction Management within Element 1A.

As Part of the 19<sup>th</sup> Quarter Work Plan, and at the same time an associated Amendment No. 3 to the Funding Agreement, TRLIA updated the Overall Work Plan Budget. This work plan reflects all of those prior updates to the Overall Work Plan Budget.



- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed):**

None.

- **Projected costs compared to Overall Work Plan Budget**

- **Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50**  
No additional construction costs are forecasted to be incurred during the 18<sup>th</sup> quarter as the Element is **Complete**.
- **Element 1A: Landside Levee Improvement – Sta 7+00 to 8+20 (Shadpad Road)**  
This Element was added as of the 9<sup>th</sup> Quarter. TRLIA expects to continue work on Right of Way activities in the 23<sup>rd</sup> Quarter. TRLIA plans to expend approximately \$900 during the 23<sup>rd</sup> Quarter to continue ROW acquisition finalization.
- **Element 2: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts**  
This element only includes the preliminary alternatives analysis and CEQA/NEPA document preparation (that work only eligible for 50% cost share by the State). This element is **Complete** and there are no costs forecasted as part of this QWP. Upon completion of the 16<sup>th</sup> Quarter, approximately (100%) \$1,065,000 amended budget has been expended.
- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection Support)**  
This element was revised in the 5<sup>th</sup> Quarter to include the preliminary design, permitting preparation and Right of Way Support work that was previously included in Element 2. The amended overall budget for Element 3 is \$10.3 million and the amount forecasted to be expended this quarter is \$22,000. This amount, in conjunction with estimated prior expenses furthering Element 3 efforts, will result in having expended roughly 91% (\$9.3 million) of the Element's revised budget.
- **Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Sta 102+50 to 303+59**  
No additional construction costs are forecasted to be incurred during the 16<sup>th</sup> quarter as the Element is **complete**. At the completion of the Quarter, TRLIA expects to have expended approximately 90% (\$8.7 million) of the revised budget for this element.
- **Element 5: Prior Completed Work**  
This Element solely represents prior completed work submitted for crediting purposes. No additional costs in the element will be incurred during the funding agreement contract period.

- **Element 6 - Project Management, Legal, Community Relations support, Land Management, and Overhead:**  
 TRLIA has estimated the amount of overhead expenses to be incurred in the quarter and allocated the Yuba share of those forecasted expenses to Element 6. TRLIA expects to allocate approximately \$81,000 of Overhead and Admin expenses in the quarter. After the quarter is complete, TRLIA will have expended roughly 77% of the amended Element 6 budget (\$3.75 million).
- **Element 7 – Right of Way Capital:**  
 Right of Way acquisition activity will continue this Quarter however no expenses are expected this quarter. After the quarter is complete, TRLIA will have expended roughly 48% (\$2.6 million) of the revised Element 7 (\$5.4 million) budget.
- **Element 8 – Project Closeout efforts:**  
 This element was added in the 14<sup>th</sup> quarter. TRLIA expects to continue efforts in the 23<sup>rd</sup> quarter and expects to expend \$15,000 on efforts associated with TRLIA request to process a partial release of retention. TRLIA plans to be elevating this issue during the quarter with DWR to process this release of retention.
- **Element 9 – WPIC 200-Yr Standard:**  
 This element was added in the 15<sup>th</sup> quarter. TRLIA expects to have commenced efforts associated with Design, Permitting, and ROW Support / Acquisition during the 18<sup>th</sup> Quarter (pending approval of the work by DWR). In the 23<sup>rd</sup> quarter TRLIA expects to expend approximately \$783,000 as it finalizes the Design, Planning and ROW efforts preparing to commence construction during 2015.
- **Element 10 – Yuba ULDC Remediation 1986 Break Site:**  
 This element was added during the 20<sup>th</sup> quarter. The total budget for this Element is \$1,500,000. In the 23<sup>rd</sup> quarter TRLIA expects to expend \$120,000 as it continues the design and project management efforts and prepares for construction.

**The amount of funds sought from the State and the Amount of Local Funds intended to be expended:** As presented in Item 3 of this 23<sup>rd</sup> Quarter Work Plan:

<b>Quarterly Work Plan Summary</b>				
<b>Description</b>	<b>State Share</b>	<b>Local Share</b>	<b>Total</b>	<b>Local Credit Applied</b>
Elements 7 & 9 - ROW Acquisition	\$315,000	\$135,000	\$450,000	\$0
Elements 1 through 6, 8, 9 & 10	\$400,318	\$171,565	\$571,883	\$0
<b>Total</b>	<b>\$715,318</b>	<b>\$306,565</b>	<b>\$1,021,883</b>	<b>\$0</b>

<b>Quarterly Summary for Real Estate Activity</b>	
State Share Elements 7 & 9	\$315,000
Local Credit Applied Elements 1	\$0
<b>Estimated Total Quarterly State Real Estate Funding</b>	<b>\$315,000</b>

<b>Quarterly State Share Advance Summary for Non-Real Estate Activity</b>	
75% of State Share Elements 1 through 6 & 8 through 10	\$300,239
plus/minus State Share true-up for Prior Quarters [1]	\$777,609
<b>Total Advance for State Share at Start of 22<sup>nd</sup> Quarter</b>	<b>\$1,077,848</b>

[1] Estimated, see reconciliation of Prior State Funding.

<b>Quarterly Local Credit Summary for Non-Real Estate Activity</b>	
Local Credit Elements 1 through 6 & 8 through 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$0
<b>Total Advance for Local Credit at Start of 23<sup>rd</sup> Quarter</b>	<b>\$0</b>

[1] See Reconciliation of Prior State Funding.

**Reconciliation of State Advance Funding for Construction**  
**As of 22nd Quarter - State Share: Based on 21<sup>st</sup> QPR plus 22<sup>nd</sup> QWP**  
**(as adjusted for schedule and other factors)**

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 – 10) through 21 <sup>st</sup> Quarter [1]	\$23,467,892
22 <sup>nd</sup> Quarter Total Non-Real Estate Forecasted Expenses [2]	\$350,631
Total Expenses Forecasted through 22 <sup>nd</sup> Quarter	<b>\$23,818,523</b>
State Share True-Up Calculations	
Total State Share of Above Expenses	\$16,533,111
Total State Funding Due (net of 10% Retention on Prior Expenses)	<b>\$14,879,800</b>
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234
2nd Quarter revised True-Up	\$92,631
16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917
18th Quarter Advance	\$87,124
18th Quarter True-Up	\$44,783
20th Quarter Advance	\$197,925
Total State Share Funding Received	<b>\$14,102,191</b>
<b>Estimated State Share Construction Funding Due as of 22<sup>nd</sup> Quarter</b>	<b>\$777,609</b>

**As of 21<sup>st</sup> Quarter - Local Share: Based on 21<sup>st</sup> QPR plus 22<sup>nd</sup> QWP  
(as adjusted for schedule and other factors)**

<b>Local Share True-Up Calculations</b>	
Local Share of Above Forecasted Expenses	\$7,285,412
<b>Total Local Funding Credit Due</b>	<b>\$6,619,616</b>
<b>Funding To Date</b>	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294
Local Credit Advanced (from 18th Quarter)	\$49,785
Local Credit True-Up (from 18th Quarter)	\$13,028
Local Credit Advanced (from 20th Quarter)	\$489,104
<b>Total Credit Funding Received</b>	<b>\$6,619,616</b>
<b>Local Credit Due as of 22<sup>nd</sup> Quarter [1]</b>	<b>\$0</b>

[1] 21<sup>st</sup> Quarter QPR Costs Subject to review by DWR., 22<sup>nd</sup> QWP adjusted for actual expenses. Local Credit is limited to available credit. Note: No local credit remains.

**The following tables present Element and Feature level details for the 23<sup>rd</sup> Quarterly Work Plan:**

Summary of TRLIA Quarterly Work Plan Budget  
Upper Yuba

For Period April/May/June 2015

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Estimated Cumulative Expenses [2]			Forecasted Remaining Budget			Quarterly Forecast			Advance	
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	Total	State	Local	State Share	
Formula	a	b	c = a-b	d	e	f = d-e	g = a-d	h = b-e	i = c-f	j	k	l=j-k	l=k*75%	
<b>Quarterly Advance Request Elements (Not Including Real Estate)</b>														
Element 1: Flatten Waterside Levee Slope	\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	\$0	\$0	\$0	\$0	
Element 1A: Land Side Improvement (Shadpad)	\$1,060,000	\$742,000	\$318,000	\$1,025,602	\$717,922	\$307,681	\$34,398	\$24,078	\$10,319	\$900	\$630	\$270	\$473	
Element 2: Pre-CEQA Determination Support	\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	\$0	\$0	\$0	\$0	
Element 3: Levee Improvements Support Work	\$10,301,168	\$7,210,817	\$3,090,350	\$9,322,345	\$6,525,642	\$2,796,704	\$978,822	\$685,176	\$293,647	\$22,000	\$15,400	\$6,600	\$11,550	
Element 4: Levee Improvements Construction	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	\$0	\$0	\$0	\$0	
Element 6: Admin & Overhead	\$4,901,913	\$3,431,339	\$1,470,574	\$3,671,841	\$2,570,289	\$1,101,552	\$1,230,072	\$861,050	\$369,022	\$81,000	\$56,700	\$24,300	\$42,525	
Element 8: Project Closeout Efforts	\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	\$15,000	\$10,500	\$4,500	\$7,875	
Element 9: WPIC 200-Yr Standard (net of ROW)	\$14,150,000	\$9,905,000	\$4,245,000	\$1,023,810	\$716,667	\$307,143	\$13,126,190	\$9,188,333	\$3,937,857	\$332,983	\$233,088	\$99,895	\$174,816	
Element 10: Yuba ULDC Remediation 1986 Breal	\$1,500,000	\$1,050,000	\$450,000	\$163,097	\$114,168	\$48,929	\$1,336,903	\$935,832	\$401,071	\$120,000	\$84,000	\$36,000	\$63,000	
Sub-Total Elements 1 through 4, 6, 8 & 9	\$43,765,000	\$30,421,517	\$13,343,483	\$25,706,700	\$17,781,679	\$7,925,021	\$18,058,300	\$12,639,838	\$5,418,462	\$571,883	\$400,318	\$171,565	\$300,239	
<b>Element 5 Credit [Additional Credit to Come from other Element Expenses Incurred Prior to October 20, 2009 not Shown Here]</b>														
Element 5: Prior Completed Work	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589					
<b>Real Estate Forecast for Quarter</b>														
Element 7: Land Acquisition	\$5,373,838	\$3,761,687	\$1,612,151	\$2,592,020	\$1,814,414	\$777,606	\$2,781,818	\$1,947,272	\$834,545	\$0	\$0	\$0		
Element 9, Feature 9.3: WPIC - ROW Acquisition	\$1,000,000	\$700,000	\$300,000	\$247,379	\$173,165	\$74,214	\$752,621	\$526,835	\$225,786	\$450,000	\$315,000	\$135,000		
Subtotal Real Estate Forecast	\$6,373,838	\$4,461,687	\$1,912,151 #	\$2,839,399	\$1,987,580	\$851,820 #	\$3,534,439	\$2,474,107	\$1,060,332	\$450,000	\$315,000	\$135,000		
<b>Local Credit Reconciliation</b>														
<b>Quarterly Advance Calculation</b>														
75% of State Share of Eligible Elements	\$300,239													
plus Local Share Subject to Credit	\$0													
<b>Total</b>	<b>\$300,239</b>													
<b>Note: Payments are subject to true-up for actual expenses.</b>														
										Total Local Share of Eligible Expenses this Quarter				\$171,565 (From Above)
										Estimated Total Local Credit				\$6,619,616 (Approved Local Credit Amount)
										Local Credit Advanced (from 1st Quarter)				\$410,772
										Local Credit Advanced (from 2nd Quarter)				\$343,623
										Local Credit Advanced (from 3rd Quarter)				\$1,533,856
										Local Credit True-Up (from 2nd Quarter)				\$152,747
										Local Credit Advanced (from 4th Quarter)				\$2,622,994
										Local Credit True-Up (from 13th Quarter)				\$511,939
										Local Credit True-Up (from 14th Quarter)				\$329,594
										Local Credit True-Up (from 16th Quarter)				\$88,880
										Local Credit True-Up (from 17th Quarter)				\$73,294
										Local Credit Advance (from 18th Quarter)				\$49,785
										Local Credit True-Up (from 18th Quarter)				\$13,028
										Local Credit Advance (from 20th Quarter)				\$489,104
										Total Local Credit Received				\$6,619,616
										Cumulative Prior Credit Due				\$0
										Requested Local Credit to be Paid with Advance				\$0 (Limited to remaining credit available)
										Credit Remaining After Advance				\$0

"23QYubaSumm"

[1] As reflected in the 19th Quarterly Work Plan and Proposed Amendment No. 3 for the Upper Yuba Project.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget  
 Upper Yuba  
 For Period April/May/June 2015

ELEMENT 1

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<b>Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50</b>															
1.1: Design	70%	\$199,279	\$139,495	\$59,784	\$198,854	\$139,198	\$59,656	\$424	\$297	\$127	0%	\$0	\$0	\$0	0%
1.2: Permitting	70%	\$49,134	\$34,394	\$14,740	\$869	\$608	\$261	\$48,265	\$33,786	\$14,480	98%	\$0	\$0	\$0	0%
1.3: Right of Way	70%	\$24,285	\$16,999	\$7,285	\$23,731	\$16,612	\$7,119	\$553	\$387	\$166	2%	\$0	\$0	\$0	0%
1.4: Construction Management	70%	\$180,492	\$126,345	\$54,148	\$179,455	\$125,618	\$53,836	\$1,037	\$726	\$311	1%	\$0	\$0	\$0	0%
1.5: Construction	70%	\$325,250	\$227,675	\$97,575	\$325,250	\$227,675	\$97,575	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
1.6: O&M Manual addendum	70%	\$3,567	\$2,497	\$1,070	\$0	\$0	\$0	\$3,567	\$2,497	\$1,070	100%	\$0	\$0	\$0	0%
<b>Subtotal Element 1</b>		<b>\$782,007</b>	<b>\$547,405</b>	<b>\$234,602</b>	<b>\$728,160</b>	<b>\$509,712</b>	<b>\$218,448</b>	<b>\$53,847</b>	<b>\$37,693</b>	<b>\$16,154</b>	<b>7%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

"23QYubaElem1"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget  
 Upper Yuba  
 For Period April/May/June 2015

ELEMENT 1A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
	(Increased)														
<b>Element 1A: Land Side Levee Improvement</b>															
<b>- Sta 7+00 to 8+20 (Shadpad Road)</b>															
1A.1: Design	70%	\$80,000	\$56,000	\$24,000	\$78,792	\$55,155	\$23,638	\$1,208	\$845	\$362	2%	\$0	\$0	\$0	0%
1A.2: Permitting	70%	\$105,000	\$73,500	\$31,500	\$101,848	\$71,294	\$30,554	\$3,152	\$2,206	\$946	3%	\$0	\$0	\$0	0%
1A.3: Right of Way	70%	\$240,000	\$168,000	\$72,000	\$185,914	\$130,140	\$55,774	\$54,086	\$37,860	\$16,226	23%	\$900	\$630	\$270	2%
1A.4: Construction Management	70%	\$175,000	\$122,500	\$52,500	\$178,880	\$125,216	\$53,664	-\$3,880	-\$2,716	-\$1,164	-2%	\$0	\$0	\$0	0%
1A.5: Construction	70%	\$455,000	\$318,500	\$136,500	\$480,168	\$336,117	\$144,050	-\$25,168	-\$17,617	-\$7,550	-6%	\$0	\$0	\$0	0%
1A.6: O&M Manual addendum	70%	\$5,000	\$3,500	\$1,500	\$0	\$0	\$0	\$5,000	\$3,500	\$1,500	100%	\$0	\$0	\$0	0%
<b>Subtotal Element 2</b>		<b>\$1,060,000</b>	<b>\$742,000</b>	<b>\$318,000</b>	<b>\$1,025,602</b>	<b>\$717,922</b>	<b>\$307,681</b>	<b>\$34,398</b>	<b>\$24,078</b>	<b>\$10,319</b>	<b>3%</b>	<b>\$900</b>	<b>\$630</b>	<b>\$270</b>	<b>3%</b>

"23QYubaElem1A"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.



TRLIA Quarterly Work Plan Budget  
 Upper Yuba  
 For Period April/May/June 2015

ELEMENT 2

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
	(Increased)														
<b>Element 2: Levee Improvements (Slurry Wall &amp; Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts</b>															
2.1: Alternatives Analysis	50%	\$419,771	\$209,885	\$209,885	\$415,488	\$207,744	\$207,744	\$4,283	\$2,141	\$2,141	1%	\$0	\$0	\$0	0%
2.2: CEQA/ NEPA	50%	\$650,142	\$325,071	\$325,071	\$649,566	\$324,783	\$324,783	\$576	\$288	\$288	0%	\$0	\$0	\$0	0%
<b>Subtotal Element 2</b>		<b>\$1,069,913</b>	<b>\$534,956</b>	<b>\$534,956</b>	<b>\$1,065,054</b>	<b>\$532,527</b>	<b>\$532,527</b>	<b>\$4,859</b>	<b>\$2,430</b>	<b>\$2,430</b>	<b>0%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

"23QYubaElem2"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget  
 Upper Yuba

For Period April/May/June 2015

ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<b>Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work</b>															
3-2.3: Preliminary Design	70%	\$1,378,778	\$965,145	\$413,633	\$1,368,300	\$957,810	\$410,490	\$10,478	\$7,335	\$3,144	1%	\$0	\$0	\$0	0%
3-2.4: Permitting Preparation	70%	\$225,344	\$157,741	\$67,603	\$33,937	\$23,756	\$10,181	\$191,407	\$133,985	\$57,422	85%	\$0	\$0	\$0	0%
3-2.5: Right of Way Support	70%	\$2,935,767	\$2,055,037	\$880,730	\$2,877,264	\$2,014,085	\$863,179	\$58,503	\$40,952	\$17,551	2%	\$19,000	\$13,300	\$5,700	32%
3.1: Final Design	70%	\$2,279,307	\$1,595,515	\$683,792	\$2,249,880	\$1,574,916	\$674,964	\$29,427	\$20,599	\$8,828	1%	\$0	\$0	\$0	0%
3.2: Permitting	70%	\$702,461	\$491,723	\$210,738	\$157,755	\$110,428	\$47,326	\$544,707	\$381,295	\$163,412	78%	\$0	\$0	\$0	0%
3.4: Construction Management	70%	\$2,175,000	\$1,522,500	\$652,500	\$2,160,909	\$1,512,636	\$648,273	\$14,091	\$9,864	\$4,227	1%	\$3,000	\$2,100	\$900	21%
3.5: Environmental Mitigation	70%	\$415,091	\$290,564	\$124,527	\$424,389	\$297,073	\$127,317	-\$9,298	-\$6,509	-\$2,790	-2%	\$0	\$0	\$0	0%
3.6: O&M Manual addendum	70%	\$189,419	\$132,593	\$56,826	\$49,912	\$34,939	\$14,974	\$139,506	\$97,654	\$41,852	74%	\$0	\$0	\$0	0%
<b>Subtotal Element 3</b>		<b>\$10,301,168</b>	<b>\$7,210,817</b>	<b>\$3,090,350</b>	<b>\$9,322,345</b>	<b>\$6,525,642</b>	<b>\$2,796,704</b>	<b>\$978,822</b>	<b>\$685,176</b>	<b>\$293,647</b>	<b>10%</b>	<b>\$22,000</b>	<b>\$15,400</b>	<b>\$6,600</b>	<b>2%</b>

"23QYubaElem3"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget  
 Upper Yuba  
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ELEMENT 4

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<b>Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to 303+59</b>															
4.1 - Construction	70%	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	11%	\$0	\$0	\$0	0%
<b>Subtotal Element 4</b>		<b>\$9,800,000</b>	<b>\$6,860,000</b>	<b>\$2,940,000</b>	<b>\$8,698,919</b>	<b>\$6,089,243</b>	<b>\$2,609,676</b>	<b>\$1,101,081</b>	<b>\$770,757</b>	<b>\$330,324</b>	<b>11%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

"23QYubaElem4"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

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ELEMENT 5

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<b>Element 5: Prior Completed Work</b>															
5.1 - Prior Completed Work	70%	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	-27%	\$0	\$0	\$0	0%
<b>Subtotal Element 5</b>		<b>\$7,682,162</b>	<b>\$5,377,514</b>	<b>\$2,304,649</b>	<b>\$9,760,791</b>	<b>\$6,832,554</b>	<b>\$2,928,237</b>	<b>-\$2,078,629</b>	<b>-\$1,455,040</b>	<b>-\$623,589</b>	<b>-27%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

"23QYubaElem5"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

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ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<b>Element 6: Project Management, legal support, community relations support, land management support, and overhead</b>															
6.1 - TRLIA Salaries/Benefits	70%	\$1,570,714	\$1,099,500	\$471,214	\$1,241,889	\$869,322	\$372,567	\$328,825	\$230,177	\$98,647	21%	\$66,000	\$46,200	\$19,800	20%
6.2 - TRLIA Consultant Exp.	70%	\$1,360,377	\$952,264	\$408,113	\$964,620	\$675,234	\$289,386	\$395,757	\$277,030	\$118,727	29%	\$5,000	\$3,500	\$1,500	1%
6.3 - TRLIA Legal Support	70%	\$544,235	\$380,964	\$163,270	\$341,344	\$238,941	\$102,403	\$202,890	\$142,023	\$60,867	37%	\$1,000	\$700	\$300	0%
6.4 - TRLIA Travel Expenses	70%	\$9,992	\$6,995	\$2,998	\$94	\$66	\$28	\$9,898	\$6,929	\$2,970	99%	\$0	\$0	\$0	0%
6.5 - TRLIA General Expenses	70%	\$256,643	\$179,650	\$76,993	\$173,992	\$121,794	\$52,197	\$82,652	\$57,856	\$24,796	32%	\$9,000	\$6,300	\$2,700	11%
6.6 - TRLIA Insurance	70%	\$1,114,119	\$779,883	\$334,236	\$934,496	\$654,147	\$280,349	\$179,623	\$125,736	\$53,887	16%	\$0	\$0	\$0	0%
6.7 - TRLIA Travel - Vehicle Lease	70%	\$26,714	\$18,700	\$8,014	\$15,406	\$10,784	\$4,622	\$11,308	\$7,915	\$3,392	42%	\$0	\$0	\$0	0%
6.8 - TRLIA Memberships	70%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	100%	\$0	\$0	\$0	0%
<b>Subtotal Element 6</b>		<b>\$4,901,913</b>	<b>\$3,431,339</b>	<b>\$1,470,574</b>	<b>\$3,671,841</b>	<b>\$2,570,289</b>	<b>\$1,101,552</b>	<b>\$1,230,072</b>	<b>\$861,050</b>	<b>\$369,022</b>	<b>25%</b>	<b>\$81,000</b>	<b>\$56,700</b>	<b>\$24,300</b>	<b>7%</b>

"23QYubaElem6"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

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ELEMENT 7

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<b>Element 7: Right of Way Capital</b>															
7-3.3 - Right of Way Capital	70%	\$5,373,838	\$3,761,687	\$1,612,151	\$2,592,020	\$1,814,414	\$777,606	\$2,781,818	\$1,947,272	\$834,545	52%	\$0	\$0	\$0	0%
<b>Subtotal Element 7</b>		<b>\$5,373,838</b>	<b>\$3,761,687</b>	<b>\$1,612,151</b>	<b>\$2,592,020</b>	<b>\$1,814,414</b>	<b>\$777,606</b>	<b>\$2,781,818</b>	<b>\$1,947,272</b>	<b>\$834,545</b>	<b>52%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>60%</b>

"23QYubaElem7"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

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ELEMENT 8

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
Formula	a	b	c=axb <small>Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l		
<b>Element 8: Project Closeout Efforts</b>																
8.1 - Project Close Out Efforts	70%	\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	96%	\$15,000	\$10,500	\$4,500	8%	
<b>Subtotal Element 8</b>		<b>\$200,000</b>	<b>\$140,000</b>	<b>\$60,000</b>	<b>\$7,872</b>	<b>\$5,511</b>	<b>\$2,362</b>	<b>\$192,128</b>	<b>\$134,489</b>	<b>\$57,638</b>	<b>96%</b>	<b>\$15,000</b>	<b>\$10,500</b>	<b>\$4,500</b>	<b>8%</b>	

"23QYubaElem8"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.  
 [2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

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ELEMENT 9

Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=2xb <small>Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<b>Element 9: WPIC 200-Yr Standard</b>															
9.1 - Design	70%	\$800,000	\$560,000	\$240,000	\$794,599	\$556,220	\$238,380	\$5,401	\$3,780	\$1,620	1%	\$120,000	\$84,000	\$36,000	2222%
9.2 - Permitting & Environmental E	70%	\$300,000	\$210,000	\$90,000	\$179,210	\$125,447	\$53,763	\$120,790	\$84,553	\$36,237	40%	\$69,231	\$48,462	\$20,769	57%
9.3 - Right of Way Acquisition	70%	\$1,000,000	\$700,000	\$300,000	\$247,379	\$173,165	\$74,214	\$752,621	\$526,835	\$225,786	75%	\$450,000	\$315,000	\$135,000	60%
9.4 - Right of Way Support	70%	\$200,000	\$140,000	\$60,000	\$50,000	\$35,000	\$15,000	\$150,000	\$105,000	\$45,000	75%	\$143,753	\$100,627	\$43,126	96%
9.5 - Construction Management	70%	\$800,000	\$560,000	\$240,000	\$0	\$0	\$0	\$800,000	\$560,000	\$240,000	100%	\$0	\$0	\$0	0%
9.6 - Construction	70%	\$12,000,000	\$8,400,000	\$3,600,000	\$0	\$0	\$0	\$12,000,000	\$8,400,000	\$3,600,000	100%	\$0	\$0	\$0	0%
9.7 - O&M Manual addendum	70%	\$50,000	\$35,000	\$15,000	\$0	\$0	\$0	\$50,000	\$35,000	\$15,000	100%	\$0	\$0	\$0	0%
<b>Subtotal Element 9</b>		<b>\$15,150,000</b>	<b>\$10,605,000</b>	<b>\$4,545,000</b>	<b>\$1,271,189</b>	<b>\$889,832</b>	<b>\$381,357</b>	<b>\$13,878,811</b>	<b>\$9,715,168</b>	<b>\$4,163,643</b>	<b>92%</b>	<b>\$782,983</b>	<b>\$548,088</b>	<b>\$234,895</b>	<b>6%</b>

"23QYubaElem9"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.  
 [2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.



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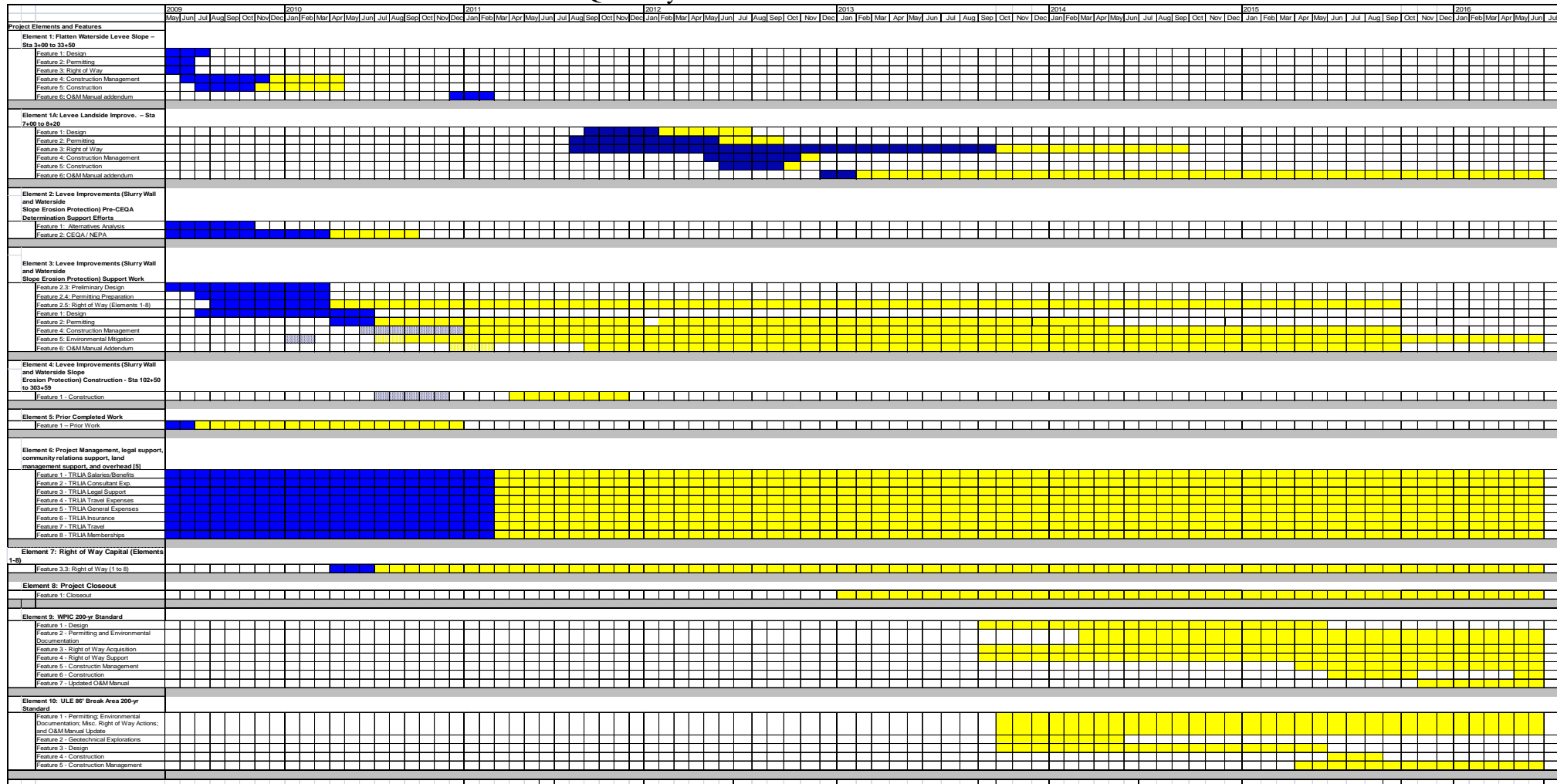
ELEMENT 10

Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	23rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axb <small>based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
<b>Element 10: Yuba ULDC Remediation 1986 Break Site</b>															
10.1 - Project Management	70%	\$110,000	\$77,000	\$33,000	\$13,329	\$9,331	\$3,999	\$96,671	\$67,669	\$29,001	88%	\$96,000	\$67,200	\$28,800	99%
10.2 - Geotechnical Explorations	70%	\$140,000	\$98,000	\$42,000	\$134,404	\$94,083	\$40,321	\$5,596	\$3,917	\$1,679	4%	\$0	\$0	\$0	0%
10.3 - Design	70%	\$40,000	\$28,000	\$12,000	\$15,363	\$10,754	\$4,609	\$24,637	\$17,246	\$7,391	62%	\$24,000	\$16,800	\$7,200	97%
10.4 - Construction	70%	\$1,100,000	\$770,000	\$330,000	\$0	\$0	\$0	\$1,100,000	\$770,000	\$330,000	100%	\$0	\$0	\$0	0%
10.5 - Construction Management	70%	\$110,000	\$77,000	\$33,000	\$0	\$0	\$0	\$110,000	\$77,000	\$33,000	100%	\$0	\$0	\$0	0%
<b>Subtotal Element 10</b>		<b>\$1,500,000</b>	<b>\$1,050,000</b>	<b>\$450,000</b>	<b>\$163,097</b>	<b>\$114,168</b>	<b>\$48,929</b>	<b>\$1,336,903</b>	<b>\$935,832</b>	<b>\$401,071</b>	<b>89%</b>	<b>\$120,000</b>	<b>\$84,000</b>	<b>\$36,000</b>	<b>9%</b>

"23QYubaElem10"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.  
 [2] Reflects estimated expenses through the 20th Quarter based on prior actual expenses through June 30, 2014 (19th QPR) and estimated of expenses from July to September 2014 based on the 20th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

**5. Schedule Information by Project Element:** Shown in the figure below is an updated Exhibit A (overall project schedule). This is the same schedule that was shown in 21<sup>st</sup> Quarterly Work Plan



**Blue:** Original **Pattern:** Not Viable **Yellow:** Prior Quarter Adjustments and Amendments **Green:** Changes Proposed in the 23<sup>rd</sup> QWP

- **A discussion on how the projected schedule compares to original or last reported schedule:** None

## **7. Attachments:**

1. Updated Overall Work plan Tab D Project Element 9: WPIC 200-Yr Standard permit list
2. Updated Overall Work plan Tab D Project Element 10: ULE 86' Break Area 200-yr Standard permit list

**Attachment 1**  
**Tab D: Project Element and Features Permits & Environmental Documents**  
**WPIC 200-yr Standard (added by Amendment 3)**

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> <li>Permit No. 17782 BD was issued by the CVFPB (Reclamation Board) on May 19, 2005 for the original WPIC work. A new permit will be requested for the proposed ULDC Remediation Work.</li> </ul>
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> <li>Navigable waterways not affected</li> </ul>
Corps	Section 404 Permit	Yes	<ul style="list-style-type: none"> <li>Waters of the United States will be impacted</li> </ul>
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> <li>A wetland delineation is complete.</li> </ul>
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> <li>A Section 408 Written Request was submitted to the CVFPB in September 2014.</li> </ul>
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	<ul style="list-style-type: none"> <li>The 404 Permit will require Water Quality Certification</li> </ul>
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> <li>Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted</li> </ul>
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> <li>Contractor will submit required documentation prior to construction.</li> </ul>
U.S. Fish and Wildlife Service	Endangered Species Act Compliance  (Biological Opinion)	Yes	<ul style="list-style-type: none"> <li>Consultation with the USFWS will occur during preparation of the NEPA Document</li> </ul>
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> <li>The project will avoid take of listed fish species</li> </ul>
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance  (2081)	Yes	<ul style="list-style-type: none"> <li>Consultation with the DFW will occur during preparation of the CEQA Document</li> </ul>
DFW	Streambed Alteration	No	<ul style="list-style-type: none"> <li>All ground disturbances are above the WPIC ordinary high water mark and no</li> </ul>

	Agreement		alterations to the streambed will occur.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> <li>All ground disturbances are above the WPIC ordinary high water mark and outside SLC jurisdiction.</li> </ul>
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> <li>No timberland conversion is required for the project.</li> </ul>
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> <li>No Williamson Act contract cancellations are required to implement the project.</li> </ul>
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	<ul style="list-style-type: none"> <li>Haul routes for the project will encroach onto Caltrans rights-of-way.</li> </ul>
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	<ul style="list-style-type: none"> <li>Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents</li> </ul>
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> <li>Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents</li> </ul>
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> <li>Coordination with RD 784 will occur during design and while requesting a CVFPB encroachment permit</li> </ul>
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> <li>A grading permit from Yuba County will be obtained prior to construction</li> </ul>
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> <li>Contractor will use existing commercial sources as needed</li> </ul>
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> <li>IS/MND anticipated to be issued for public review in this quarter.</li> </ul>
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> <li>EA anticipated to be issued for public review in this quarter.</li> </ul>
			<ul style="list-style-type: none"> <li></li> </ul>
Section 221 Credit	Credit under Section 221, as amended	No	<ul style="list-style-type: none"> <li>The proposed work is not eligible for Section 221 credit as it is not being considered as part of an ongoing or authorized Federal project.</li> </ul>

**Attachment 2**  
**ULE 86' Break Area 200-yr Standard (added by Amendment 3)**

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> <li>An application for an encroachment permit was submitted to the CVFPB on August 14, 2014</li> </ul>
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> <li>Navigable waterways not affected</li> </ul>
Corps	Section 404 Permit	No	<ul style="list-style-type: none"> <li>Waters of the United States will not be impacted</li> </ul>
Corps	Wetlands Delineation	No	<ul style="list-style-type: none"> <li>Waters of the United States will not be impacted</li> </ul>
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> <li>USACE has initiated the Section 408 Process</li> </ul>
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	No	<ul style="list-style-type: none"> <li>Waters of the United States will not be impacted</li> </ul>
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> <li>Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted</li> </ul>
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> <li>Contractor will submit required documentation prior to construction.</li> </ul>
U.S. Fish and Wildlife Service	Endangered Species Act Compliance  (Biological Opinion)	No	<ul style="list-style-type: none"> <li>The project will avoid take of listed endangered species</li> </ul>
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> <li>The project will avoid take of listed fish species</li> </ul>
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance  (2081)	No	<ul style="list-style-type: none"> <li>The project will avoid take of listed endangered species and will be constructed under a Categorical Exclusion</li> </ul>
DFW	Streambed Alteration Agreement	No	<ul style="list-style-type: none"> <li>All ground disturbances are above the Yuba River ordinary high water mark and no alterations to the streambed will occur.</li> </ul>

California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> <li>All ground disturbances are above the Yuba River ordinary high water mark and outside SLC jurisdiction.</li> </ul>
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> <li>No timberland conversion is required for the project.</li> </ul>
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> <li>No Williamson Act contract cancellations are required to implement the project.</li> </ul>
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> <li>Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70).</li> </ul>
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	No	<ul style="list-style-type: none"> <li>The project will be constructed under a Categorical Exclusion</li> </ul>
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	No	<ul style="list-style-type: none"> <li>The project will be constructed under a Categorical Exclusion</li> </ul>
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> <li>Coordination with RD 784 will occur during design and while requesting a CVFPB encroachment permit. The RD 784 board of Directors endorsed the encroachment permit application on August 5, 2014.</li> </ul>
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> <li>A grading permit from Yuba County will be obtained prior to construction</li> </ul>
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> <li>Contractor will use existing commercial sources as needed</li> </ul>
CEQA	IS/MND	No	<ul style="list-style-type: none"> <li>The project will be constructed under a Categorical Exclusion</li> </ul>
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> <li>USACE is preparing the appropriate NEPA documentation for the Section 408 process</li> </ul>
Section 104 Credit	Future federal projects cost sharing	No	<ul style="list-style-type: none"> <li>No longer applicable per Assistant Secretary of the Army</li> </ul>
Section 103 Credit	Credit under Section 2003	No	<ul style="list-style-type: none"> <li>This work is not part of a USACE Feasibility Study; therefore, credit will not be sought</li> </ul>