



TRLIA
20th QUARTERLY WORK PLAN
FOR
UPPER YUBA RIVER LEVEE
IMPROVEMENT PROJECT

1. 20th Quarterly Work plan for quarter: July 1 to September 30, 2014
2. Date of Report: July 3, 2014
3. 20th Quarter Work Plan:

Quarterly Work Plan Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 9 - ROW Acquisition	\$280,000	\$120,000	\$400,000	\$0
Elements 1 through 6, 8, 9 & 10	\$462,862	\$198,369	\$661,231	\$0
Total	\$742,862	\$318,369	\$1,061,231	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 9	\$280,000
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$280,000

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6, 8, 9 & 10	\$347,146
plus/minus State Share true-up for Prior Quarters [1]	\$424,565
Total Advance for State Share at Start of 20th Quarter	\$771,711

[1] Estimated, see reconciliation of Prior State Funding.

Quarterly Local Credit Summary for Non-Real Estate Activity	
Local Credit Elements 1 through 6, 8, 9 & 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$551,917
Total Advance for Local Credit at Start of 20th Quarter	\$551,917

[1] See Reconciliation of Prior State Funding.

Reconciliation of State Advance Funding for Construction
As of 19th Quarter - State Share: Based on 18th QPR plus 19th QWP
(as adjusted for schedule and other factors)

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 & 9) through 18th Quarter [1]	\$22,094,298
16th Quarter Total Non-Real Estate Forecasted Expenses [2]	\$493,262
Total Expenses Forecasted through 16th Quarter	\$22,587,560
State Share True-Up Calculations	
Total State Share of Above Expenses	\$15,671,437
Total State Funding Due (net of 10% Retention on Prior Expenses)	\$14,104,293
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234
16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917
Total State Share Funding Received	\$13,679,728
Estimated State Share Construction Funding Due as of 19th Quarter	\$424,565

As of 19th Quarter - Local Share: Based on 18th QPR plus 19th QWP
(as adjusted for schedule and other factors)

Local Share True-Up Calculations	
Local Share of Above Forecasted Expenses	\$6,916,123
Total Local Funding Credit Due	\$6,916,123
Funding To Date	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294

Total Credit Funding Received	\$6,067,699
Local Credit Due as of 19th Quarter [1]	\$551,917

[1] 18th Quarter QPR Costs Subject to review by DWR., 19th QWP adjusted for actual expenses. Local Credit is limited to available credit.

4. Project Element Information:

- On November 21, 2013 the UYLIP funding agreement was amended for the 2nd time to extend the agreement date to September 30, 2015. The extension was due to the time needed to close real estate.
- During the 16th quarter the Department of Finance began a financial audit of the UYLIP EIP funding agreement. The audit was still ongoing at the time this report was prepared. On April 1, 2014 the auditors gave TRLIA a verbal audit exit briefing. No serious items were found by the audit team. The auditors indicated that a draft report will be sent to TRLIA to comment on in about six months; potentially during the 20th quarter. TRLIA will have ten days to respond. The audit team will then finalize the audit (no time frame given during conference call) and then post it on their web site.
- At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4th Quarterly Work Plan (QWP) submitted on May 28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4th QWP that reflected the following changes.
 - a. A new Element 7: Right of Way Capital was added. This was previously Element 3: Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.
 - b. Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QWP is reflective of these modifications.

- **Engineering and construction matters:**

- **Element 1: Flatten Waterside Levee Slope – Station 3+00 to 33+50**

- Feature 1 Design: This feature is **completed**.
- Feature 2 Permitting: This feature is **completed**.
- Feature 3 Right of Way: This feature is **completed**.

- Feature 4 Construction Management: This feature is **completed**.
- Feature 5 Construction: This feature is **completed**.
- Feature 6: O&M Manual: This feature is **completed**.
- **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00**
 - Feature 1 Design: This feature is **completed**.
 - Feature 2 Permitting: This feature is **completed**.
 - Feature 3 Right of Way Support: TRLIA will continue work on this feature during the 19th Quarter. See Element 7 below for property acquisition information.
 - Feature 4 Construction Management: This feature is **completed**.
 - Feature 5 Construction: This feature is **completed**.
 - Feature 6: O&M Manual: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. TRLIA requested any comments by April 15, 2013. TRLIA coordinated with these agencies to determine the status of review. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. TRLIA revised and combined all O&M Addendums into a Comprehensive Addendum that was submitted to CVFPB and DWR in the 18th quarter. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum.
- **Element 2: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts**
 - Feature 1 Alternatives Analysis: This feature is **completed**.

- Feature 2 CEQA/NEPA: This feature is **completed**
- Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3 at request of DWR. These changes were made in the 4th Quarterly Work Plan. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State. This feature is **completed**
- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**
 - Feature 2.3 Preliminary Design: This feature is **completed**
 - Feature 2.4 Permit Preparation: This feature is **completed**
 - Feature 2.5 Right of Way Support: TRLIA real estate and legal consultants will be active during the 20th quarter finalizing right of way needs for the project. See Element 7 below for property acquisition information.
 - Feature 1 Design: This feature is **completed**.
 - Feature 2 Permitting: This feature is **completed**. See Attachment 1 for list of permits.
 - Feature 3 Right of Way: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4th Quarterly Work Plan.
 - Feature 4 Construction Management: Limited activity is anticipated to occur during the 20th quarter to address items required by settlement of eminent domain settlements (e.g. Dan Luis settlement), and to oversee PG&E power line relocation work that is being done to support a TRLIA eminent domain settlement. The PG&E work is being done under CVFPB encroachment permit 18695.
 - Feature 5 Environmental Mitigation:
 - The additional elderberry seedling plantings were planted in the 14th quarter. Maintenance to ensure their establishment will continue in the 20th quarter. Maintenance for the additional seedlings will continue until April 2016. Monitoring will continue until 2018.
 - Feature 6 O&M Manual Addendum: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. TRLIA requested any comments by

April 15, 2013. TRLIA coordinated with these agencies to determine the status of review. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. TRLIA revised and combined all O&M Addendums into a Comprehensive Addendum that was submitted to CVFPB and DWR in the 18th quarter. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum.

- **Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Station 102+50 to 303+59**
 - Feature 1 Construction: This feature has been **completed**

- **Element 5: Prior Completed Work**
 - Feature 1 Prior Completed Work: This Element represents prior work approved through the UYLIP. No additional work will take place as part of this Element.

- **Element 6: Project Management, legal support, community relations support, land management support, and overhead**
 - Activity is anticipated in all Features during this quarter

 - TRLIA has re-evaluated its overhead allocation with the start of FY 14/15. Starting with this 20th quarter work plan TRLIA is shifting the allocation of its administration and overhead expenses to reflect an apportionment of these expenses to additional work TRLIA has underway throughout the basin. The projections for expenses allocated to the UYRLIP for this quarter have been updated to reflect a revised allocation of TRLIA overhead. The updated allocation percentage has been updated to reflect the incorporation of Elements 9 and 10 into the UYRLIP project.

- **Element 7: Right of Way Capital**
 - Feature 7-3.3 Right of Way:

- DWR approved the Upper Yuba River Real Estate Plan on June 28, 2010.
- On March 5, 2012 TRLIA submitted Amendment No. 1 to the UYLIP project. On December 18, 2013, TRLIA's consultant, Bender Rosenthal, Inc. (BRI) met with DWR to discuss the Amendment. DWR advised they had reviewed the Amendment and had some questions. BRI received the questions and, in preparing the responses, noted that there have been several additional changes since the first amendment was submitted. TRLIA and BRI will prepare a revised Amendment No. 1. The revision will include the changes to the existing project and will also add the parcels required for the WPIC segment (proposed Element 9). The Amendment will be prepared once the Funding Agreement amendment has been approved (anticipated to be in the 20th quarter).
- Western Aggregate: TRLIA has been in negotiations with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, as Western Aggregates has stated that it hopes to use that land to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow these Western Aggregate easements to remain in place.

Western Aggregate has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 20th quarter TRLIA will continue to negotiate with Western Aggregates on this item.

This issue is listed in the Issue/Concerns portion of this report

- **Final Accounting Packages (FAPs) and Cadastral Requirements:** Tables 1 to 6 provide the status of Real Estate Property actions and Final Accounting Packages (FAPs). TRLIA will be submitting Final Accounting Packages (FAP's) during the 20th quarter.

✓ **Table 1 – List of FAPS and Cadastral Approval Status**

Number	CVFPB #	Number of Parcels (APN)	Owner	Cadastral Approval Status
1	14050	020-080-009	Western Aggregates, Inc.	
2	14051	021-041-006	Clara Willis, Et al	
3	14052	021-041-007	Bernard J. Perez	
4	14053	021-041-010	Lothar S. Klug, Et Al	
5	14054	021-041-009	ROSA MARTHA VALDEZ	
6	14055	021-041-012, 021-042-014	Steve Gilbert, Et Al	
	14056	021-042-027	Humam El Sharif	
7	14057	021-0500-001	Orest Wesely, Et Al	
8	14058	018-220-048, -049	COF Quail Hollow Land 35F LLC	
9	14059	018-210-014	Stephanie Morris	
10	14060	018-210-029	Robert G. Wood	
11	14061	018-220-007	Howard R. Miller	
12	14062	018-200-001, 018-220-030	Ajit S. Bains	
13	14063	018-200-005, -007, 018-210-035	Anthony J. Luis, Et Al	
14	14064	018-200-008	Danel J. Luis	
15	14065	018-190-002, 018-200-006	Richard G. Wilbur Trust	
16	14066	018-140-040	Richard G. Wilbur Trust	
17	14067	018-150-015, 018-190-001	Henry P. Smith Et Al	
18	14068	018-190-110	Walbinder S. Sohal Living Trust	
19	14069	018-190-107, 018-190-108	Sohal Family Trust	
20	14070	018-150-035, 018-190-104	Michele R. Baker	
21	14071	018-150-032	Shintaffer Farms, Inc.	
22	14072	018-190-109	Bhajan S. Sohal, Et Al	
23	14073	021-021-001	Dunning Properties, LP	

24	14074	Portion of 018-220-056	Wilbur, Richard G.	
25	14075	018-220-056	Western Aggregates, LLC	
26	14076	-016-090-008	Valley View	
27	14077	016-130-008	TRLIA	
28	14079	020-410-001	Allen, Jason G.	
29	14080	Portion of 018-150-032 and -035	Federal Fruit Exchange	
30	not assigned - TCE only		Naumes, Inc.	
31	not assigned - TCE only		Rahul, Surjit S. & Parmjit	

✓ **Table 2 - Acquisition being negotiated:**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRLIA has acquired. Parties are negotiating.
14062	Ajit S. Bains & Maria Del Carmen	Litigation		\$38,700.00		\$15,050	Judgment entered February 10, 2014.
14063	Anthony J. & Zelma B. Luis	Settlement Reached				\$11,200	Settlement agreement signed. Agreement has contingencies that we are working on. As a result, the eminent domain action is still pending until settlement contingencies are completed.
14065	Richard G. Wilbur Trust	Litigation		\$40,000.00			Judgment entered April 23, 2014.
14066	Richard G. Wilbur Trust	Litigation				\$16,100	See comment for CVFPB 14065

14070	Michele R. Barker	Settlement Reached; not signed				\$64,750	Property owners are reviewing proposed cross-levée easement before signing the settlement agreement. The easement has been provided to the property owners' attorney.
14073	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
14074	Richard G. Wilbur	Negotiation					First Written Offer 3/7/13 Pending settlement of Eminent Domain properties
14075	Western Aggregates, LLC	Negotiation					See comment for CVFPB 14050
14079	Jason G. Allen	Settlement Reached		\$210,000.00	\$147,070.00	Not invoiced yet	Settlement reached 12/18/13

✓ **Table 3 - Negotiated Acquisitions** – The following parcels have been acquired. Final Accounting Packages are being prepared.

At BRI:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14064	Daniel J. Luis	Complete	18th Qtr	\$46,477.00	\$32,533.90	\$5,670.00	Need Final Order of Condemnation. This parcel is part of the same eminent domain action as CVFPB 14063, and the final order will record at the same time as that parcel.
14067	Henry P. Smith	Complete	19th Qtr	\$64,000.00	\$44,800.00	\$22,400.00	FAP in process
14069	Awtar S. Sohal & Sukhvir K. Sohal, Co-Trustees	Complete	18th Qtr	\$41,500.00	\$29,050.00	\$21,787.50	Need irrigation bids from DB
14071	Shintaffer Farms, Inc.	Complete	19th Qtr	\$5,500.00	\$3,850.00	\$437.00	Received Title Policy with errors 5/8/14; requested revisions

not assigned - TCE only	Naumes, Inc.	Complete	18th Qtr	\$3,000.00	\$2,100.00	\$175.00	TCE Only
not assigned - TCE only	Rahul, Surjit S. & Parmjit	Complete	18th Qtr	\$500.00	\$350.00	\$175.00	TCE Only

Table 4 - At Downey Brand:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14054	Valdez	Complete		\$5,200.00	\$3,640.00	\$1,820.00	Submitted to DB 1/17/14; resubmitted 4/24/14
14059	Stephanie Morris	In Escrow		\$7,900.00	\$5,530.00	\$4,060.00	Submitted to DB 5/1/14
14061	Howard R Miller	In Escrow		\$1,400.00	\$980.00	\$980.00	Submitted to DB 5/9/14

✓ **Table 5 - Final Accounting Packages at DWR:**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14051	Ronnie C. Willis	Complete	submitted	\$29,500.00	\$20,650.00	\$20,650.00	To DWR 10/11/13
14052	Bernard J. Perez	Complete	submitted	\$10,350.00	\$7,245.00	\$7,245.00	To DWR 4/17/13
14053	Lothar S. & Brigitta M. Klug	Complete	submitted	\$2,800.00	\$1,960.00	\$1,960.00	To DWR 4/17/13
14055	Steve and Denise Gilbert	Complete	submitted	\$15,700.00	\$14,840.00	\$14,840.00	To DWR 8/1/13
14055	Steve and Denise Gilbert	Complete	submitted	\$5,500.00			To DWR 8/1/13
14056	Humam El Sharif	Complete	submitted	\$2,100.00	\$1,470.00	\$1,470.00	To DWR 4/25/14
14057	Orest and Irene Wesely	Complete	submitted	\$33,000.00	\$23,100.00	\$23,100.00	To DWR 10/11/13
14058	COF Quail Hollow Land 148T	Complete	submitted	\$34,750.00	\$24,325.00	\$24,325.00	To DWR 4/17/13
14060	Robert Glenn Wood	Complete	submitted	\$3,200.00	\$2,240.00	\$2,240.00	To DWR 4/17/13
14068	Walbinder S. Sohal Living Trust,	Complete	submitted	\$598,500.00	\$418,950.00	\$418,950.00	To DWR 1/22/14

14072	Bhajan S. & Rajwant K. Sohal	Complete	submitted	\$598,500.00	\$418,950.00	\$418,950.00	TO DWR 1/22/14
14080	Federal Fruit Distributors	Complete	submitted	\$800.00	\$560.00	\$392.00	To DWR 4/25/14
14081	Orchard Growers	Complete	submitted	\$500.00	\$350.00		To DWR 3/24/14

✓ **Table 6 - Status of Relocation Actions:**

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	
	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	
	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	
	Jason G. Allen	Ed Widener	Complete	\$12,272.00	
	Jason G. Allen	Jack Fouse	Incomplete	\$0	No response from tenant, who moved from Park.
	Jason G. Allen	Les Begley	Complete	\$1,100.00	
	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	
	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	
	Jason G. Allen	David Haughton	In Progress	\$2,402.78	There are addition claims for rent differential and a storage shed.
	Jason G. Allen	Jason G. Allen	In Progress	\$24,754.99	Relocation assistance is nearing completion

➤ **Element 8 Closeout Process:**

- 8 Feature 1: Closeout Process
 - On November 15th TRLIA submitted its initial draft of Closeout Documentation for the UYRLIP project for DWR's review. As part of that submission, TRLIA requested a partial release of retention for the portion of the project that has been completed. As of the 19th Quarter the state has yet to respond to this request. During the 20th Quarter TRLIA will be prepared to work with DWR to process this retention release.

This issue is listed in the Issue/Concerns portion of this report.

➤ **Proposed Addition Element 9 WPIC 200-yr Standard:**

- During the 20th quarter TRLIA will continue to work with DWR to get this proposed Project Element approved.

TRLIA has provided a forecast for the efforts completed to date and projected to be completed through the 20th Quarter. Upon formal execution of an amendment incorporating this work, TRLIA will request reimbursement for the completed work to date.

This issue is listed in the Issue/Concerns portion of this report

➤ **Proposed Addition Element 10 ULE 86' Break Area 200-yr Standard:**

- During the 20th quarter TRLIA will continue to work with DWR to get this proposed Project Element approved. On December 5, 2013 DWR agreed to consider adding the additional work in the 1986 break area to the existing EIP contract (4600008654), not the YFFPP. DWR will use the application TRLIA submitted to YFFPP, so a revised application will not be needed. DWR will consider this additional work concurrently with the additional WPIC work previously submitted.

TRLIA has provided an updated Overall Work Plan Budget to reflect the costs projected in YFFPP Grant Application within the UYRLIP budget. This item is now included as a Proposed Element 10.

TRLIA has performed preliminary geotechnical investigations of the 1986 Break Area as part of its determination of the work needed to be completed and preliminary design efforts. Upon formal execution of an amendment incorporating this work, TRLIA will request reimbursement for this completed work to date. Additional geotechnical investigation work is expected to take place during the 20th quarter.

This issue is listed in the Issue/Concerns portion of this report

● **Environmental matters:**

- See Project Element 3 Feature 5 Environmental Mitigation above for this information

● **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**

- **Element 3:** See attachment 1 for list.

- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.);**

- Project Element 8: A portion (at least 50%) of the UYLIP retention will be released to TRLIA by the 20th quarter.
- Proposed Project Element 9 – WPIC 200-yr Standard is approved by DWR and added to the UYLIP funding agreement.
- Proposed Addition Element 10 - ULE 86' Break Area 200-yr Standard is approved by DWR and added to the UYLIP funding agreement.

- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**

- **Issue 1:** The Goal of the EIP program is to fund projects that have NO REGRETS to achieve 200-yr flood protection. Achieving 200-year flood protection has been an evolving process. Until recently there was no State or Federal 200-year levee design criteria. The California Department of Water Resources (DWR) developed the Urban Levee Design Criteria (ULDC) in May 2012 as required by Senate Bill (SB) 5. SB 5 calls for 200-year flood protection to be the minimum level of protection for urban and urbanizing areas in the Sacramento-San Joaquin Valley (i.e., the urban level of flood protection).

SB 5 also required that DWR evaluate the current level of performance of the State-Federal flood protection system in the Central Valley. The evaluation of current system performance is called the **Urban Levee Evaluation (ULE)** Program. The ULE Program was initiated in 2007 and started by gathering initial data for evaluation. This data included new levee topography, geotechnical explorations, geomorphologic assessments, electromagnetic surveys of the levee, and researching historic levee performance. TRLIA Staff has been coordinating with DWR since the ULE program began evaluating the RD 784 levees. The results of the ULE program are now just becoming final.

The UYLIP was to be the final TRLIA project for the TRLIA UYLIP Area Plan in order to achieve 200-yr flood protection. Additional proposed work is needed to achieve 200-yr flood protection due to the recent results of the state 200-yr Urban Levee Evaluation Program (ULE), which has produced findings after the UYLIP project was approved. **This additional work could not have been anticipated.**

Recommended Solution: DWR approval of the proposed additional Project Elements 9 and 10 to funding agreement. As of this report date DWR is processing a Decision Document that includes these new project elements.

- **Issue 2:** Western Aggregate has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 20th quarter TRLIA will continue to negotiate with Western Aggregates and the state on this item.

Recommended Solution: DWR support TRLIA as negotiations with the CVFPB transpire for the approval of the Western Aggregate floating easement request.

- **Issue 3:** TRLIA has submitted an initial draft of Project Closeout materials in electronic format for DWR's review. This Project Closeout Documentation report presents a compilation of all of the required project closeout documentation that has been prepared to date. As part of this submission, TRLIA presented a request for a partial release of retention of the work completed through 15th Quarter in the amount of \$2,448,160 less credit for interest earned State Funding in the amount of \$106,799 for a net release of \$2,341,361. TRLIA is prepared to work with State to respond to any inquiries related to this request. As TRLIA continues to expend funds on the project and other efforts, a release of retention will help TRLIA to cash flow the remainder of this project.

Recommended Solution: DWR review TRLIA's request and provide comments on the request and at the same time commence the process of a partial release of retention for those elements deemed complete.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- As noted above in Section 4, Elements 9 & 10 have been incorporated into the Overall Work Plan to reflect work associated 200-Year Compliance actions on the WPIC and the 1986 Break Site. This QWP reflects these additions given the expectation that DWR will approve the work during the 20th Quarter.

- **Any litigation, proceedings or claims relating to the Project:** None

5. Cost Information by Project Element:

With the 5th QWP, TRLIA evaluated the Overall Work Plan Budget and prepared a comprehensive updated the budget from the UYRLIP project. This updated reflected construction on the completed work within Element 1 as well as the work bid for Elements 3&4. The updated budgets took into consideration the savings in construction costs as well other minor adjustments in the Overall Work

Plan individual feature budgets. As part of the 6th QWP, TRLIA submitted a Revised Overall Work Plan Budget that made some corrections to the budget submitted as part of the 5th QWP. With the 9th Quarterly Work Plan, TRLIA incorporated Element 1A into the Project and provided an additional update to the Overall Work Plan Budget.

With the 15th QWP, as noted above, TRLIA incorporated additional amendments to the budget to reflect accurate costs for the project related features as well as added the budget for Elements 8 and 9, the cost associated with Close out efforts as well as work related to 200-Year Compliance Actions on the WPIC respectively.

In the 18th quarter TRLIA shifted the remaining budget within the completed Element 1 to Element 1A to adjust for the budget exceeded within the Construction, Construction Management within Element 1A.

As Part of the 19th Quarter Work Plan, and at the same time an associated Amendment No. 3 to the Funding Agreement, TRLIA updated the Overall Work Plan Budget. This work plan reflects all of those prior updates to the Overall Work Plan Budget.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed):**

No further updates are requested with this Work Plan.

- **Projected costs compared to Overall Work Plan Budget**

- **Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50**
No additional construction costs are forecasted to be incurred during the 18th quarter as the Element is **Complete**.
- **Element 1A: Landside Levee Improvement – Sta 7+00 to 8+20 (Shadpad Road)**
This Element was added as of the 9th Quarter. TRLIA expects to continue work on Right of Way activities in the 20th Quarter. TRLIA plans to expend approximately \$21k during the 20th Quarter to continue ROW acquisition finalization. The revised overall adjusted budget is now \$1,060,000.
- **Element 2: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts**
This element only includes the preliminary alternatives analysis and CEQA/NEPA document preparation (that work only eligible for 50% cost share by the State). This element is **Complete** and there are no costs forecasted as part of this 15th QWP. Upon completion of the 16th Quarter, approximately (100%) \$1,067,000 Million amended budget has been expended.
- **Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection Support**

This element was revised in the 5th Quarter to include the preliminary design, permitting preparation and Right of Way Support work that was previously included in Element 2. The amended overall budget for Element 3 is \$10.3 million and the amount forecasted to be expended this quarter is \$93,500. This amount, in conjunction with estimated prior expenses furthering Element 3 efforts, will result in having expended roughly 90% (\$9.3 million) of the Element's revised budget.

- **Element 4:Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Sta 102+50 to 303+59**
No additional construction costs are forecasted to be incurred during the 16th quarter as the Element is **complete**. At the completion of the Quarter, TRLIA expects to have expended approximately 89% (\$8.65 million) of the revised budget for this element.
- **Element 5:Prior Completed Work**
This Element solely represents prior completed work submitted for crediting purposes. No additional costs in the element will be incurred during the funding agreement contract period.
- **Element 6 - Project Management, Legal, Community Relations support, Land Management, and Overhead:**
TRLIA has estimated the amount of overhead expenses to be incurred in the quarter and allocated the Yuba share of those forecasted expenses to Element 6. TRLIA expects to allocate approximately \$254,5000 of Overhead and Admin expenses in the quarter. It expected that at the start of the fiscal year TRLIA will incur additional annual insurance premium costs. After the quarter is complete, TRLIA will have expended roughly 78% of the amended Element 6 budget (\$4.9 million).
- **Element 7 – Right of Way Capital:**
Right of Way acquisition activity will continue this Quarter however no expenses are expected this quarter. After the quarter is complete, TRLIA will have expended roughly 45% of the revised Element 7 (\$5.4 million) budget.
- **Element 8 – Project Closeout efforts:**
This element was added in the 14th quarter. TRLIA expects to continue efforts in the 18th quarter and expects to expend \$8,000 on efforts associated with responding to DWR comments and review of the initial submission (during the 17th quarter) and processing a partial release of retention.
- **Element 9 – WPIC 200-Yr Standard:**
This element was added in the 15th quarter. TRLIA expects to have commenced efforts associated with Design, Permitting, and ROW Support / Acquisition during the 18th Quarter (pending approval of the work by DWR). In the 20th quarter TRLIA expects to expend \$639,000 as it continues Design, Planning and ROW efforts.
- **Element 10 – Yuba ULDC Remediation 1986 Break Site:**

This element is proposed to be added during this 20th quarter. The total budget for this Element is \$1,500,000. In the 20th quarter TRLIA expects to expend \$45,000 as it continues its geotechnical investigation and preliminary design efforts.

The amount of funds sought from the State and the Amount of Local Funds intended to be expended: As presented in Item 3 of this 20th Quarter Work Plan:

Quarterly Work Plan Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 9 - ROW Acquisition	\$280,000	\$120,000	\$400,000	\$0
Elements 1 through 6, 8, 9 & 10	\$462,862	\$198,369	\$661,231	\$0
Total	\$742,862	\$318,369	\$1,061,231	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 9	\$280,000
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$280,000

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6, 8, 9 & 10	\$347,146
plus/minus State Share true-up for Prior Quarters [1]	\$424,565
Total Advance for State Share at Start of 20th Quarter	\$771,711

[1] Estimated, see reconciliation of Prior State Funding.

Quarterly Local Credit Summary for Non-Real Estate Activity	
Local Credit Elements 1 through 6, 8, 9 & 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$551,917
Total Advance for Local Credit at Start of 20th Quarter	\$551,917

[1] See Reconciliation of Prior State Funding.

Quarterly Work Plan Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Elements 7 & 9 - ROW Acquisition	\$280,000	\$120,000	\$400,000	\$0
Elements 1 through 6, 8, 9 & 10	\$431,362	\$184,869	\$616,231	\$0
Total	\$711,362	\$304,869	\$1,016,231	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 7 & 9	\$280,000
Local Credit Applied Elements 1	\$0
Estimated Total Quarterly State Real Estate Funding	\$280,000

Quarterly State Share Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 1 through 6, 8, 9 & 10	\$323,521
plus/minus State Share true-up for Prior Quarters [1]	\$424,565
Total Advance for State Share at Start of 20th Quarter	\$748,086

[1] Estimated, see reconciliation of Prior State Funding.

Quarterly Local Credit Summary for Non-Real Estate Activity	
Local Credit Elements 1 through 6, 8, 9 & 10	\$0
plus/minus Local Credit true-up for Prior Quarters [1]	\$551,917
Total Advance for Local Credit at Start of 20th Quarter	\$551,917

[1] See Reconciliation of Prior State Funding.

Reconciliation of State Advance Funding for Construction
As of 19th Quarter - State Share: Based on 18th QPR plus 19th QWP
(as adjusted for schedule and other factors)

Total Non-Real Estate Non-Creditable Expenses (Elements 1 – 6, 8 & 9) through 18th Quarter [1]	\$22,094,298
16th Quarter Total Non-Real Estate Forecasted Expenses [2]	\$493,262
Total Expenses Forecasted through 16th Quarter	\$22,587,560
State Share True-Up Calculations	
Total State Share of Above Expenses	\$15,671,437
Total State Funding Due (net of 10% Retention on Prior Expenses)	\$14,104,293
Funding To Date	
1st Quarter Advance	\$451,818
1st Quarter True-Up	\$70,578
2nd Quarter Advance	\$801,157
3rd Quarter Advance	\$1,981,246
2nd Quarter True-Up	\$53,088
4th Quarter Advance	\$3,468,242
3rd Quarter True-Up	\$160,754
4th Quarter Advance	\$1,981,246
9th Quarter True-Up	\$429,363
10th Quarter True-Up	\$719,915
11th Quarter Advance	\$476,664
11th Quarter True-Up	\$582,451
12th Quarter True-Up	\$277,671
13th Quarter True-Up	\$1,075,072
14th Quarter True-Up	\$692,148
15th Quarter Advance	\$267,234

TRLIA UYRLIP 20th QWP
July 3, 2014

16th Quarter True-Up	\$37,164
17th Quarter True-Up	\$153,917
Total State Share Funding Received	\$13,679,728
Estimated State Share Construction Funding Due as of 19th Quarter	\$424,565
As of 19th Quarter - Local Share: Based on 18th QPR plus 19th QWP	
(as adjusted for schedule and other factors)	
Local Share True-Up Calculations	
Local Share of Above Forecasted Expenses	\$6,916,123
Total Local Funding Credit Due	\$6,916,123
Funding To Date	
Local Credit Advanced (from 1st Quarter)	\$410,772
Local Credit Advanced (from 2nd Quarter)	\$343,623
Local Credit Advanced (from 3rd Quarter)	\$1,533,856
Local Credit True-Up (from 2nd Quarter)	\$152,747
Local Credit Advanced (from 4th Quarter)	\$2,622,994
Local Credit True-Up (from 13th Quarter)	\$511,939
Local Credit True-Up (from 14th Quarter)	\$329,594
Local Credit True-Up (from 16th Quarter)	\$88,880
Local Credit True-Up (from 17th Quarter)	\$73,294
Total Credit Funding Received	\$6,067,699
Local Credit Due as of 19th Quarter [1]	\$551,917

[1] 18th Quarter QPR Costs Subject to review by DWR., 19th QWP adjusted for actual expenses. Local Credit is limited to available credit.

- **The following tables present Element and Feature level details for the 20th Quarterly Work Plan.**

Summary of TRLIA Quarterly Work Plan Budget Upper Yuba

For Period July/August/September 2014

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Estimated Cumulative Expenses [2]			Forecasted Remaining Budget			Quarterly Forecast			Advance	
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	Total	State	Local	State Share	
Formula	a	b	c = a-b	d	e	f = d-e	g = a-d	h = b-e	i = c-f	j	k	l=j-k	l=k*75%	
Quarterly Advance Request Elements (Not Including Real Estate)														
Element 1: Flatten Waterside Levee Slope	\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	\$0	\$0	\$0	\$0	
Element 1A: Land Side Improvement (Shadpad)	\$1,060,000	\$742,000	\$318,000	\$978,339	\$684,837	\$293,502	\$81,661	\$57,163	\$24,498	\$21,000	\$14,700	\$6,300	\$11,025	
Element 2: Pre-CEQA Determination Support	\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	\$0	\$0	\$0	\$0	
Element 3: Levee Improvements Support Work	\$10,301,168	\$7,210,817	\$3,090,350	\$9,222,835	\$6,455,984	\$2,766,850	\$1,078,333	\$754,833	\$323,500	\$93,500	\$65,450	\$28,050	\$49,088	
Element 4: Levee Improvements Construction	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	\$0	\$0	\$0	\$0	
Element 6: Admin & Overhead	\$4,901,913	\$3,431,339	\$1,470,574	\$3,067,203	\$2,147,042	\$920,161	\$1,834,710	\$1,284,297	\$550,413	\$254,500	\$178,150	\$76,350	\$133,613	
Element 8: Project Closeout Efforts	\$200,000	\$140,000	\$60,000	\$15,872	\$11,111	\$4,762	\$184,128	\$128,889	\$55,238	\$8,000	\$5,600	\$2,400	\$4,200	
Element 9: WPIC 200-Yr Standard (net of ROW)	\$14,150,000	\$9,905,000	\$4,245,000	\$298,422	\$208,896	\$89,527	\$13,851,578	\$9,696,104	\$4,155,473	\$239,231	\$167,462	\$71,769	\$125,596	
Element 10: Yuba ULDC Remediation 1986 Brea	\$1,500,000	\$1,050,000	\$450,000	\$0	\$0	\$0	\$1,500,000	\$1,050,000	\$450,000	\$45,000	\$31,500	\$13,500	\$23,625	
Sub-Total Elements 1 through 4, 6, 8 & 9	\$43,765,000	\$30,421,517	\$13,343,483	\$24,074,803	\$16,639,352	\$7,435,452	\$19,690,197	\$13,782,166	\$5,908,031	\$661,231	\$462,862	\$198,369	\$347,146	
<i>Element 5 Credit [Additional Credit to Come from other Element Expenses Incurred Prior to October 20, 2009 not Shown Here]</i>														
Element 5: Prior Completed Work	\$0	\$0	\$0	\$9,760,791	\$6,832,554	\$2,928,237	-\$9,760,791	-\$6,832,554	-\$2,928,237					
Real Estate Forecast for Quarter														
Element 7: Land Acquisition	\$5,373,838	\$3,761,687	\$1,612,151	\$2,421,006	\$1,694,704	\$726,302	\$2,952,833	\$2,066,983	\$885,850	\$0	\$0	\$0	\$0	
Element 9, Feature 9.3: WPIC - ROW Acquisition	\$1,000,000	\$700,000	\$300,000	\$100,128	\$70,090	\$30,039	\$899,872	\$629,910	\$269,961	\$400,000	\$280,000	\$120,000	\$120,000	
Subtotal Real Estate Forecast	\$6,373,838	\$4,461,687	\$1,912,151 #	\$2,521,134	\$1,764,794	\$756,340 #	\$3,852,704	\$2,696,893	\$1,155,811 #	\$400,000	\$280,000	\$120,000		
Local Credit Reconciliation														
Quarterly Advance Calculation										Total Local Share of Eligible Expenses this Quarter				\$198,369 (From Above)
75% of State Share of Eligible Elements										Estimated Total Local Credit				\$6,619,616 (Approved Local Credit Amount)
plus Local Share Subject to Credit														
Total														
Note: Payments are subject to true-up for actual expenses.														
										Local Credit Advanced (from 1st Quarter)				\$410,772
										Local Credit Advanced (from 2nd Quarter)				\$343,623
										Local Credit Advanced (from 3rd Quarter)				\$1,533,856
										Local Credit True-Up (from 2nd Quarter)				\$152,747
										Local Credit Advanced (from 4th Quarter)				\$2,622,994
										Local Credit True-Up (from 13th Quarter)				\$511,939
										Local Credit True-Up (from 14th Quarter)				\$329,594
										Local Credit True-Up (from 16th Quarter)*				\$88,880
										Local Credit True-Up (from 17th Quarter)*				\$73,294
<i>*Payments in process.</i>														
										Total Local Credit Received				\$6,067,699
										Remaining Local Credit Approved				\$551,917
										Cumulative Prior Credit Due				\$551,917
										Requested Local Credit to be Paid with Advance				\$0 (Limited to remaining credit available)
										Credit Remaining After Advance				\$0

*19QYubaSumm"

[1] As reflected in the 19th Quarterly Work Plan and Proposed Amendment No. 3 for the Upper Yuba Project.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget

Upper Yuba

For Period July/August/September 2014

ELEMENT 1

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50															
1.1: Design	70%	\$199,279	\$139,495	\$59,784	\$198,854	\$139,198	\$59,656	\$424	\$297	\$127	0%	\$0	\$0	\$0	0%
1.2: Permitting	70%	\$49,134	\$34,394	\$14,740	\$869	\$608	\$261	\$48,265	\$33,786	\$14,480	98%	\$0	\$0	\$0	0%
1.3: Right of Way	70%	\$24,285	\$16,999	\$7,285	\$23,731	\$16,612	\$7,119	\$553	\$387	\$166	2%	\$0	\$0	\$0	0%
1.4: Construction Management	70%	\$180,492	\$126,345	\$54,148	\$179,455	\$125,618	\$53,836	\$1,037	\$726	\$311	1%	\$0	\$0	\$0	0%
1.5: Construction	70%	\$325,250	\$227,675	\$97,575	\$325,250	\$227,675	\$97,575	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
1.6: O&M Manual addendum	70%	\$3,567	\$2,497	\$1,070	\$0	\$0	\$0	\$3,567	\$2,497	\$1,070	100%	\$0	\$0	\$0	0%
Subtotal Element 1		\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	7%	\$0	\$0	\$0	0%

"20QYubaElem1"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget Upper Yuba

For Period July/August/September 2014

ELEMENT 1A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
	(Increased)														
Element 1A: Land Side Levee Improvement															
- Sta 7+00 to 8+20 (Shadpad Road)															
1A.1: Design	70%	\$80,000	\$56,000	\$24,000	\$77,706	\$54,394	\$23,312	\$2,294	\$1,606	\$688	3%	\$0	\$0	\$0	0%
1A.2: Permitting	70%	\$105,000	\$73,500	\$31,500	\$99,649	\$69,754	\$29,895	\$5,351	\$3,746	\$1,605	5%	\$0	\$0	\$0	0%
1A.3: Right of Way	70%	\$240,000	\$168,000	\$72,000	\$170,069	\$119,048	\$51,021	\$69,931	\$48,952	\$20,979	29%	\$21,000	\$14,700	\$6,300	30%
1A.4: Construction Management	70%	\$175,000	\$122,500	\$52,500	\$179,867	\$125,907	\$53,960	-\$4,867	-\$3,407	-\$1,460	-3%	\$0	\$0	\$0	0%
1A.5: Construction	70%	\$455,000	\$318,500	\$136,500	\$450,047	\$315,033	\$135,014	\$4,953	\$3,467	\$1,486	1%	\$0	\$0	\$0	0%
1A.6: O&M Manual addendum	70%	\$5,000	\$3,500	\$1,500	\$1,000	\$700	\$300	\$4,000	\$2,800	\$1,200	80%	\$0	\$0	\$0	0%
Subtotal Element 2		\$1,060,000	\$742,000	\$318,000	\$978,339	\$684,837	\$293,502	\$81,661	\$57,163	\$24,498	8%	\$21,000	\$14,700	\$6,300	26%

20QYubaElem1A

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget Upper Yuba

For Period July/August/September 2014

ELEMENT 2

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a (Increased)	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
Element 2: Levee Improvements (Slurry Wall & Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts															
2.1: Alternatives Analysis	50%	\$419,771	\$209,885	\$209,885	\$415,488	\$207,744	\$207,744	\$4,283	\$2,141	\$2,141	1%	\$0	\$0	\$0	0%
2.2: CEQA / NEPA	50%	\$650,142	\$325,071	\$325,071	\$649,566	\$324,783	\$324,783	\$576	\$288	\$288	0%	\$0	\$0	\$0	0%
Subtotal Element 2		\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	0%	\$0	\$0	\$0	0%

"20QYubaElem2"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget Upper Yuba

For Period July/August/September 2014

ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f		j=d-g	k	l=axk	
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work															
3-2.3: Preliminary Design	70%	\$1,378,778	\$965,145	\$413,633	\$1,368,300	\$957,810	\$410,490	\$10,478	\$7,335	\$3,144	1%	\$0	\$0	\$0	0%
3-2.4: Permitting Preparation	70%	\$225,344	\$157,741	\$67,603	\$33,937	\$23,756	\$10,181	\$191,407	\$133,985	\$57,422	85%	\$0	\$0	\$0	0%
3-2.5: Right of Way Support	70%	\$2,935,767	\$2,055,037	\$880,730	\$2,793,260	\$1,955,282	\$837,978	\$142,507	\$99,755	\$42,752	5%	\$75,000	\$52,500	\$22,500	53%
3.1: Final Design	70%	\$2,279,307	\$1,595,515	\$683,792	\$2,242,120	\$1,569,484	\$672,636	\$37,187	\$26,031	\$11,156	2%	\$0	\$0	\$0	0%
3.2: Permitting	70%	\$702,461	\$491,723	\$210,738	\$168,952	\$118,266	\$50,686	\$533,509	\$373,457	\$160,053	76%	\$5,000	\$3,500	\$1,500	1%
3.4: Construction Management	70%	\$2,175,000	\$1,522,500	\$652,500	\$2,165,226	\$1,515,658	\$649,568	\$9,774	\$6,842	\$2,932	0%	\$9,000	\$6,300	\$2,700	92%
3.5: Environmental Mitigation	70%	\$415,091	\$290,564	\$124,527	\$413,782	\$289,647	\$124,135	\$1,309	\$916	\$393	0%	\$4,500	\$3,150	\$1,350	344%
3.6: O&M Manual addendum	70%	\$189,419	\$132,593	\$56,826	\$37,259	\$26,081	\$11,178	\$152,160	\$106,512	\$45,648	80%	\$0	\$0	\$0	0%
Subtotal Element 3		\$10,301,168	\$7,210,817	\$3,090,350	\$9,222,835	\$6,455,984	\$2,766,850	\$1,078,333	\$754,833	\$323,500	10%	\$93,500	\$65,450	\$28,050	9%

"20QYubaElem3"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget Upper Yuba

For Period July/August/September 2014

ELEMENT 4

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to 303+59															
4.1 - Construction	70%	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	11%	\$0	\$0	\$0	0%
Subtotal Element 4		\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	11%	\$0	\$0	\$0	0%

"20QYubaElem4"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget Upper Yuba

For Period July/August/September 2014

ELEMENT 5

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
Element 5: Prior Completed Work															
5.1 - Prior Completed Work	70%	\$0	\$0	\$0	\$9,760,791	\$6,832,554	\$2,928,237	-\$9,760,791	-\$6,832,554	-\$2,928,237	#DIV/0!	\$0	\$0	\$0	0%
Subtotal Element 5		\$0	\$0	\$0	\$9,760,791	\$6,832,554	\$2,928,237	-\$9,760,791	-\$6,832,554	-\$2,928,237	#DIV/0!	\$0	\$0	\$0	0%

"20QYubaElem5"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget

Upper Yuba

For Period July/August/September 2014

ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 6: Project Management, legal support, community relations support, land management support, and overhead															
6.1 - TRLIA Salaries/Benefits	70%	\$1,270,714	\$889,500	\$381,214	\$978,928	\$685,250	\$293,678	\$291,786	\$204,250	\$87,536	23%	\$74,000	\$51,800	\$22,200	25%
6.2 - TRLIA Consultant Exp.	70%	\$1,360,377	\$952,264	\$408,113	\$942,843	\$659,990	\$282,853	\$417,534	\$292,274	\$125,260	31%	\$19,000	\$13,300	\$5,700	5%
6.3 - TRLIA Legal Support	70%	\$1,044,235	\$730,964	\$313,270	\$343,051	\$240,136	\$102,915	\$701,184	\$490,829	\$210,355	67%	\$1,000	\$700	\$300	0%
6.4 - TRLIA Travel Expenses	70%	\$9,992	\$6,995	\$2,998	\$94	\$66	\$28	\$9,898	\$6,929	\$2,970	99%	\$0	\$0	\$0	0%
6.5 - TRLIA General Expenses	70%	\$356,643	\$249,650	\$106,993	\$138,934	\$97,254	\$41,680	\$217,709	\$152,396	\$65,313	61%	\$9,000	\$6,300	\$2,700	4%
6.6 - TRLIA Insurance	70%	\$814,119	\$569,883	\$244,236	\$647,197	\$453,038	\$194,159	\$166,923	\$116,846	\$50,077	21%	\$151,500	\$106,050	\$45,450	91%
6.7 - TRLIA Travel - Vehicle Lease	70%	\$26,714	\$18,700	\$8,014	\$16,156	\$11,309	\$4,847	\$10,558	\$7,390	\$3,167	40%	\$0	\$0	\$0	0%
6.8 - TRLIA Memberships	70%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	100%	\$0	\$0	\$0	0%
Subtotal Element 6		\$4,901,913	\$3,431,339	\$1,470,574	\$3,067,203	\$2,147,042	\$920,161	\$1,834,710	\$1,284,297	\$550,413	37%	\$254,500	\$178,150	\$76,350	14%

"20QYubaElem6"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget

Upper Yuba

For Period July/August/September 2014

ELEMENT 7

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (June 2014) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
<i>Formula</i>	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 7: Right of Way Capital															
7-3.3 - Right of Way Capital	70%	\$5,373,838	\$3,761,687	\$1,612,151	\$2,421,006	\$1,694,704	\$726,302	\$2,952,833	\$2,066,983	\$885,850	55%	\$0	\$0	\$0	0%
Subtotal Element 7		\$5,373,838	\$3,761,687	\$1,612,151	\$2,421,006	\$1,694,704	\$726,302	\$2,952,833	\$2,066,983	\$885,850	55%	\$0	\$0	\$0	44%

"20QYubaElem7"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period July/August/September 2014

ELEMENT 8

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 8: Project Closeout Efforts															
8.1 - Project Close Out Efforts	70%	\$200,000	\$140,000	\$60,000	\$15,872	\$11,111	\$4,762	\$184,128	\$128,889	\$55,238	92%	\$8,000	\$5,600	\$2,400	4%
Subtotal Element 8		\$200,000	\$140,000	\$60,000	\$15,872	\$11,111	\$4,762	\$184,128	\$128,889	\$55,238	92%	\$8,000	\$5,600	\$2,400	4%

"20QYubaElem8"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget Upper Yuba

For Period July/August/September 2014

ELEMENT 9

Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c=axe <small>Local WPI</small> Overall Workplan	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 9: WPIC 200-Yr Standard															
9.1 - Design	70%	\$800,000	\$560,000	\$240,000	\$295,422	\$206,796	\$88,627	\$504,578	\$353,204	\$151,373	63%	\$120,000	\$84,000	\$36,000	24%
9.2 - Permitting & Environmental C	70%	\$300,000	\$210,000	\$90,000	\$0	\$0	\$0	\$300,000	\$210,000	\$90,000	100%	\$69,231	\$48,462	\$20,769	23%
9.3 - Right of Way Acquisition	70%	\$1,000,000	\$700,000	\$300,000	\$100,128	\$70,090	\$30,039	\$899,872	\$629,910	\$269,961	90%	\$400,000	\$280,000	\$120,000	44%
9.4 - Right of Way Support	70%	\$200,000	\$140,000	\$60,000	\$3,000	\$2,100	\$900	\$197,000	\$137,900	\$59,100	99%	\$50,000	\$35,000	\$15,000	25%
9.5 - Construction Management	70%	\$800,000	\$560,000	\$240,000	\$0	\$0	\$0	\$800,000	\$560,000	\$240,000	100%	\$0	\$0	\$0	0%
9.6 - Construction	70%	\$12,000,000	\$8,400,000	\$3,600,000	\$0	\$0	\$0	\$12,000,000	\$8,400,000	\$3,600,000	100%	\$0	\$0	\$0	0%
9.7 - O&M Manual addendum	70%	\$50,000	\$35,000	\$15,000	\$0	\$0	\$0	\$50,000	\$35,000	\$15,000	100%	\$0	\$0	\$0	0%
Subtotal Element 9		\$15,150,000	\$10,605,000	\$4,545,000	\$398,551	\$278,985	\$119,565	\$14,751,449	\$10,326,015	\$4,425,435	97%	\$639,231	\$447,462	\$191,769	4%

"20QYubaElem9"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

TRLIA Quarterly Work Plan Budget Upper Yuba

For Period July/August/September 2014

ELEMENT 10

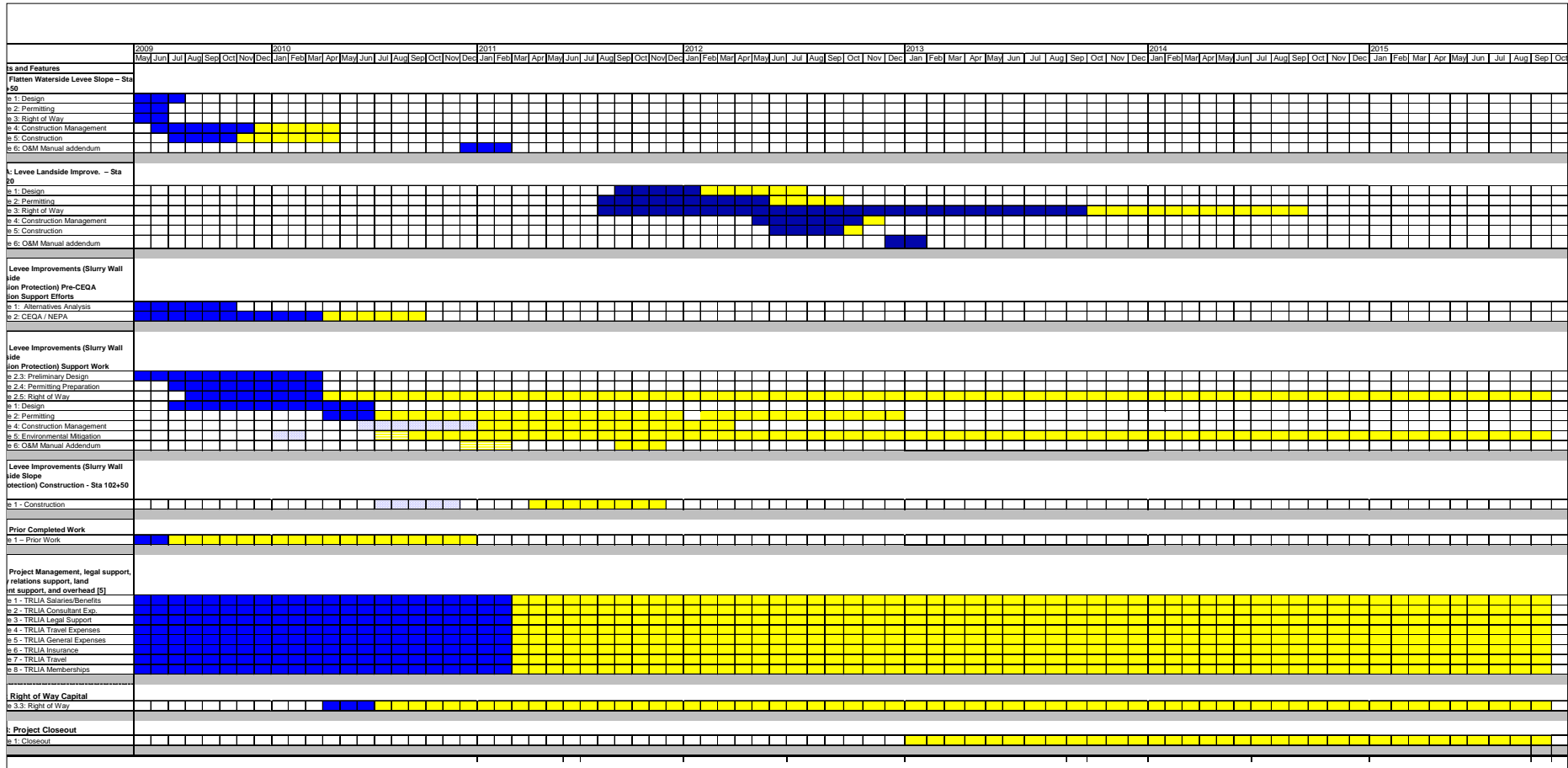
Elements and Features	State Share	Revised Overall Budget [1]			Estimated Cumulative			Forecasted Remaining Budget			% of Total Budget Remaining	20th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local	Total	State	Local		Total	State	Local	
Formula	a	b	c= axb <small>Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g	k	l=axk	m=k-l		
Element 10: Yuba ULDC Remediation 1986 Break Site															
10.1 - Project Management	70%	\$190,000	\$133,000	\$57,000	\$0	\$0	\$0	\$190,000	\$133,000	\$57,000	100%	\$0	\$0	\$0	0%
10.2 - Geotechnical Explorations	70%	\$60,000	\$42,000	\$18,000	\$0	\$0	\$0	\$60,000	\$42,000	\$18,000	100%	\$45,000	\$31,500	\$13,500	75%
10.3 - Design	70%	\$40,000	\$28,000	\$12,000	\$0	\$0	\$0	\$40,000	\$28,000	\$12,000	100%	\$0	\$0	\$0	0%
10.4 - Construction	70%	\$1,100,000	\$770,000	\$330,000	\$0	\$0	\$0	\$1,100,000	\$770,000	\$330,000	100%	\$0	\$0	\$0	0%
10.5 - Construction Management	70%	\$110,000	\$77,000	\$33,000	\$0	\$0	\$0	\$110,000	\$77,000	\$33,000	100%	\$0	\$0	\$0	0%
Subtotal Element 10		\$1,500,000	\$1,050,000	\$450,000	\$0	\$0	\$0	\$1,500,000	\$1,050,000	\$450,000	100%	\$45,000	\$31,500	\$13,500	3%

"20Q YubaElem10"

[1] Revised per this Upper Yuba 19th Quarterly Work Plan with the addition of Element 10.

[2] Reflects estimated expenses through the 19th Quarter based on prior actual expenses through March 31, 2014 (18th QPR) and estimated of expenses from April to June 2014 based on the 19th Quarterly Work Plan with any necessary adjustments for actual expenses incurred or changes in schedule.

6. Schedule Information by Project Element: Shown in the figure below is an updated Exhibit A (overall project schedule), up to Amendment 2.



Blue: Original **Pattern:** Not Viable **Yellow:** Prior Quarter Adjustments and Amendments **Green:** Proposed Changes

- **A discussion on how the projected schedule compares to original or last reported schedule:**
 - No changes

7. Attachments:

1. Updated Overall Work plan Tab D Project Element 2 & 3: Remaining Work permit list

Attachment 1
Tab D: Project Element and Features Permits & Environmental Documents

Upper Yuba River Levee: Remaining Work

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	PROJECTED START and COMPLETION DATES
Central Valley Flood Protection Board	UYLIP Construction Encroachment Permit	Yes	<ul style="list-style-type: none"> CVFPB issued permit April 11, 2011 (# 18577 BD)
Central Valley Flood Protection Board	PG&E Gas Line Modification	Yes	<ul style="list-style-type: none"> Application submitted July 6, 2011. Modification approved by CVFPB Letter of Authorization dated June 17, 2011 and work was completed. CVFPB Staff prioritizes other applications since this utility modification work is complete and authorized and current applications are for work still to be done. Completion of this encroachment permit is now the responsibility of the CVFPB and is no longer part of this report.
Central Valley Flood Protection Board	PG&E Power Line Raise	Yes	<ul style="list-style-type: none"> Application submitted July 6, 2011. Modification approved by CVFPB Letter of Authorization dated June 17, 2011. Permit 18577-1 approved by CVFPB at January 26, 2012 Meeting.
Central Valley Flood Protection Board	PG&E Relocate Power Line	Yes	<ul style="list-style-type: none"> Application submitted July 6 2011. Modification approved by CVFPB Letter of Authorization dated June 17, 2011. Permit 18695 approved by CVFPB at January 26, 2012 Meeting.
Central Valley Flood Protection Board	LCWD Water Main Modification	Yes	<ul style="list-style-type: none"> Application submitted August 2, 2011. Modification approved by CVFPB Letter of Authorization dated July28, 2011 and work was completed. CVFPB Staff prioritizes other applications since this utility modification work is complete and authorized and current applications are for work still to be done. Completion of this

			encroachment permit is now the responsibility of the CVFPB and is no longer part of this report.
Central Valley Flood Protection Board	Anderson Elderberry Site Encroachment Permit	Yes	<ul style="list-style-type: none"> CVFPB Approved January 28, 2011; issued permit 18642 BD February 7, 2011
Central Valley Flood Protection Board	Additional Anderson Elderberry Site Encroachment Permit	Yes	<ul style="list-style-type: none"> CVFPB Approved September 28, 2012; issued permit 18773 BD October 19, 2012.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	No	<ul style="list-style-type: none"> Project is above the OHWM No permit required letter received from Corps on June 7, 2010.
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> Wetland Delineation Report submitted to Corps February 2010
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> Request to CVFCB to Initiate 408 Environmental Process June 2009 HQs Approval December 22, 2010 District Permission letter issued March 24, 2011
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	No	<ul style="list-style-type: none"> Project is above the OHWM and no sediment will discharge to waters of California No 404 permit triggering 401 compliance.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Application June 2010 Permit Received July 26, 2010
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Carl Moyer Mitigation Letter received December 2009 Submission of BMP's March/April 2010 Authority issued June 2011
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> Draft Biological Assessment prepared and submitted to the Corps for review and initiation of Consultation April 2010 BO issued August 16, 2010
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> F&WS is amending B.O. to include dormant season elderberry shrub transplant Amendment issued in August

			2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance (2081)	No	<ul style="list-style-type: none"> Project design and mitigation measures prevent take of threatened and endangered species
DFG	Streambed Alteration Agreement	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and no alterations to the streambed will occur.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70).
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	<ul style="list-style-type: none"> HDR on behalf of TRLIA contacted NAHC on November 25, 2009 NAHC responded on December 3, 2009 with negative results for its search of the Sacred Lands File; no further action necessary
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> SHPO issued no adverse impact determination on Sep 10, 2010
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Encroachment Permit Application anticipated to be signed March/April 2010
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> Permit issued November 2010
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Received SMGB exemption letter on May 18, 2010 Current approach is to use on site borrow site (exempt from SMARA) and also make the

			contractor provide additional soil from commercial site.
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> • Initiation June 2009 • CEQA IS/MND Approved April 20, 2010
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> • First Admin Draft EA submitted to Corps February 2010 • EA/FONSI signed December 29, 2010
Section 104 Credit	Future federal projects cost sharing	Yes	<ul style="list-style-type: none"> • Application March/April 2010 • ASA-CW denied May 5, 2011
Section 103 Credit	Credit under Section 2003	Yes	<ul style="list-style-type: none"> • MOU signed between USACE and CVFPB on June 30, 2010