

Addendum to TRLIA



3rd QUARTERLY WORK PLAN FOR UPPER YUBA RIVER LEVEE IMPROVEMENT PROJECT

1. 3rd Quarterly Work plan for quarter: April 1 to June 30, 2010

2. Date of Addendum: March 15, 2010

This addendum provides an updated Quarterly Work Plan Summary and revised Advanced Request reflective of the following additional components:

- TRLIA's 1st Quarterly Work Plan and associated 1st Quarterly Progress Report (true-up expenses);
- TRLIA's 2nd Quarterly Work Plan; and
- Prior excluded requests for State Cost share associated with Elements 3 & 4 Work.

This addendum considers the inclusion of State Funding (at 50% cost share) for Element 3 & 4 expenses prior to CEQA determination (the approval by TRLIA and the State of the IS/MND currently out for public comment and review). While TRLIA previously assumed these efforts were not eligible for State cost share prior to CEQA determination, DWR staff has advised TRLIA to include these expenses for funding and revise this request accordingly.

3. 3rd Quarter Work Plan Summary:

Work Eligible for State Funding

Description	State Share	Local Share	Total
Element 1: Flatten Waterside (70/30 split)	\$4,900	\$2,100	\$7,000
Element 2 Pre-CEQA Determination (50/50 split)	\$314,494	\$149,069	\$463,563
Elements 6 Upper Yuba Share of Overhead and Administration (70/30 split)	\$103,615	\$44,406	\$148,021
Elements 3 Levee Improvement Support Work Post-CEQA Determination (70/30 split) [1]	\$1,702,138	\$729,488	\$2,431,625
Element 4 Levee Improvement Construction	\$1,935,203	\$829,373	\$2,764,576
Subtotal Including Real Estate Capital	\$4,060,350	\$1,754,436	\$5,814,786
less Element 3 Real Estate Capital	\$644,438	\$276,188	\$920,625
Total Excluding Real Estate Capital	\$3,415,912	\$1,478,248	\$4,894,161

Prior Work Eligible for State Funding provided as Credit toward Future Local Share

Description	State Share	Local Share	Total
Subtotal of Eligible Elements Expenses Available for Credit [1]	\$8,349,970	\$4,070,150	\$12,420,120
Credit Total	\$8,349,970	\$4,070,150	\$12,420,120

[1] See Summary of Eligible Credit Included within the 1st Quarterly Work Plan

Quarterly Advance Summary [1]	
75% of State Share of All Elements	\$ 2,561,934
Local Share for Quarter (provided as Credit)	1,754,436
Prior Funding Due (1 st & 2 nd Quarters)	1,394,935
Total Advance Request for 3rd Quarter to TRLIA	\$5,711,305

[1] The advance summary assumes that Elements 3 & 4 will be eligible for State Funding at the Start of the 3rd Quarter (CEQA determination has been made).

The calculation of Total Advance for Request at the start of 3rd Quarter assumes funding for the 1st Quarter has been received but no additional funding for the 2nd Quarter has been received. The exact amount of funding advanced due for the 3rd Quarter is a function of the true-up calculations for the 1st Quarter and the 2nd Quarter Advance request not yet received. The tables below show the true-up calculations as of the funding received to date, the expenses submitted to the State for review through the 1st Quarter, and the 2nd Quarter Advance Request

Reconciliation of Funding for Construction

Per 1st Quarter QPR (True-Up)

Total Non-Real Estate Expenses (Elements 1 - 6) [1]	\$866,858
State Share True-Up Calculations	
Total State Share of Above Expenses [2]	\$499,850
Total State Funding Due (net of 10% Retention)	\$449,865
Total State Advance/True-Up Funding Received to Date for Construction	\$451,818
State Share Construction Funding Due as of 1st Quarter [3]	-\$1,953
Local Share True-Up Calculations	
Local Share of Above Expenses	\$367,008
Total Local Credit Funding Received to Date for Construction	\$410,772
Local Share Construction Funding Due as of 1st Quarter [3]	-\$43,764
Total Overfunding for the Quarter	-\$45,717

[1] Does not includes Credit Expenses (Element 5)

[2] 70% Cost Share for Elements 1 & 6, 50% for all others based on no CEQA Determination

[3] A negative amount represent State Share funding in excess of amount due net of retention

Per 2nd Quarter QWP

Total Projection (Elements 1 - 6)	\$1,654,802
State Share of Projection [1]	\$856,601
75% of State Share for Quarterly Advance	\$642,451
Local Share of Total Projection	\$798,201
Total 2nd Quarter Advance (State & Local Share)	\$1,440,652

Total Funding Due (1st QTR True Up plus 2nd QTR Advance)	\$1,394,935
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[1] 70% Cost Share for Elements 1 & 6, 50% for all others based on no CEQA Determination; Note: Element 3 projections were not previously requested as part of the advance.

The following tables present updated Element and Feature level details for the 3rd Quarter work plan.

Real Estate capital expense projections (Element 3 Feature 3) have been shown separately and netted from the summary total calculations in the first table. Real Estate action expenses funded by the State, for the State Share of expenses and the Local Share of expenses funded through credit, would occur through a separate payment process after DWR's approval of a Real Estate Plan.

Summary of TRLIA Quarterly Work Plan Budget

Upper Yuba

For Period April / May / June 2010

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Estimated Cumulative Expenses [2]			Forecasted Remaining Budget			Quarterly Forecast			Advance
	Total	State	Local	Total	State Share	Local Share (after credit)	Total	State Share	Local Share	Total	State	Local	State Share
Formula	a	b	c = a-b	d	e	f = d-e	g = a-d	h = b-e	i = c-f	j	k	l=j-k	l=k*75%
Quarterly Advance Request													
Eligible Elements													
Element 1: Flatten Waterside Levee Slope	\$1,473,678	\$1,031,575	\$442,103	\$669,873	\$468,911	\$200,962	\$803,805	\$562,664	\$241,142	\$7,000	\$4,900	\$2,100	\$3,675
Element 3: Levee Improvements Support Work	\$9,675,087	\$6,782,561	\$2,892,526	\$1,309,719	\$916,804	\$392,916	\$8,365,368	\$5,865,757	\$2,499,610	\$2,431,625	\$1,702,138	\$729,488	\$1,276,603
<i>less Element 3 Feature 3: Real Estate Capital</i>										-\$920,625	-\$644,438	-\$276,188	-\$483,328
Element 2: Pre-CEQA Determination Support	\$3,457,066	\$2,300,964	\$1,156,102	\$2,235,934	\$1,457,637	\$778,297	\$1,221,132	\$843,327	\$377,805	\$463,563	\$314,494	\$149,069	\$235,871
Element 4: Levee Improvements Construction	\$41,076,055	\$28,817,239	\$12,258,817	\$0	\$0	\$0	\$41,076,055	\$28,817,239	\$12,258,817	\$2,764,576	\$1,935,203	\$829,373	\$1,451,402
Element 6: Admin & Overhead	\$4,456,913	\$3,119,839	\$1,337,074	\$272,553	\$102,586	\$169,967	\$4,184,360	\$3,017,254	\$1,167,107	\$148,021	\$103,615	\$44,406	\$77,711
Sub-Total Eligible Elements	\$60,138,800	\$42,052,177	\$18,086,623	\$4,488,079	\$2,945,937	\$1,542,142	\$55,650,721	\$39,106,240	\$16,544,480	\$4,894,161	\$3,415,912	\$1,478,248	\$2,561,934
<i>(Eligibility Expected During the 2nd Quarter)</i>													
Element 5 Credit [Additional Credit to Come from other Element Expenses Incurred Prior to October 20, 2009 not Shown Here]													
Element 5: Prior Completed Work	\$7,682,162	\$5,377,514	\$2,304,649	\$9,992,028	\$6,994,420	\$2,997,608	-\$2,309,866	-\$1,616,906	-\$692,960				

Quarterly Advance Request		Local Credit Reconciliation	
75% of State Share of Eligible Elements	\$2,561,934	Total Local Share of Eligible Expenses this Quarter	\$1,478,248 (From Above)
plus Local Share Subject to Credit	\$1,478,248	Estimated Total Local Credit	\$7,885,583 (Estimated amount subject to review by DWR)
Total	\$4,040,183	Total Local Credit Used (from 1st Quarter Advance, estimate)	\$521,836
		Total Local Credit Used (from 2nd Quarter Advance, estimate)	\$82,701
		Remaining Local Credit	\$7,281,046
		Requested Local Credit to be Paid with Advance	\$1,478,248
		Credit Remaining After Advance	\$5,802,798

"3QYubaSumm"

[1] As reflected in this 3rd Quarterly Work Plan for the Upper Yuba Project.

[2] Reflects the estimated amount of costs incurred through the 2nd Quarter of the Upper Yuba Project based on prior actual expenses from November 2006 to December 31, 2009 and an estimate of expenses from January 1st through March 3, 2010 based on the 2nd Quarterly Work Plan.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period April / May / June 2010

ELEMENT 1

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2010) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	3rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50															
1.1: Design	70%	\$204,279	\$142,995	\$61,284	\$197,170	\$138,019	\$59,151	\$7,108	\$4,976	\$2,132	3%	\$0	\$0	\$0	0%
1.2: Permitting	70%	\$167,134	\$116,994	\$50,140	\$869	\$608	\$261	\$166,265	\$116,386	\$49,880	99%	\$5,000	\$3,500	\$1,500	3%
1.3: Right of Way	70%	\$22,285	\$15,599	\$6,685	\$18,731	\$13,112	\$5,619	\$3,553	\$2,487	\$1,066	16%	\$0	\$0	\$0	0%
1.4: Construction Management	70%	\$96,492	\$67,545	\$28,948	\$78,656	\$55,059	\$23,597	\$17,836	\$12,485	\$5,351	18%	\$2,000	\$1,400	\$600	11%
1.5: Construction	70%	\$899,922	\$629,945	\$269,977	\$364,446	\$255,112	\$109,334	\$535,475	\$374,833	\$160,643	60%	\$0	\$0	\$0	0%
1.6: O&M Manual addendum	70%	\$83,567	\$58,497	\$25,070	\$10,000	\$7,000	\$3,000	\$73,567	\$51,497	\$22,070	88%	\$0	\$0	\$0	0%
Subtotal Element 1		\$1,473,678	\$1,031,575	\$442,103	\$669,873	\$468,911	\$200,962	\$803,805	\$562,664	\$241,142	55%	\$7,000	\$4,900	\$2,100	1%

"3QYubaElem1"

[1] Revised per this Upper Yuba 3rd Quarterly Work Plan.

[2] Reflects the estimated amount of costs incurred through the 2nd Quarter of the Upper Yuba Project based on prior actual expenses from November 2006 to December 31, 2009 and an estimate of expenses from January 1st through March 3, 2010 based on the 2nd Quarterly Work Plan.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period April / May / June 2010

ELEMENT 2

Elements and Features	State Share Percentage[3]	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2010) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	3rd Q Total
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		
Formula	a (Increased)	b	c=axb	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k
Element 2: Levee Improvements (Slurry Wall & Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts												
2.1: Alternatives Analysis	50%	\$374,771	\$187,385	\$187,385	\$370,360	\$185,180	\$185,180	\$4,410	\$2,205	\$2,205	1%	\$0
2.2: CEQA / NEPA	50%	\$220,142	\$110,071	\$110,071	\$167,226	\$83,613	\$83,613	\$52,917	\$26,458	\$26,458	24%	\$50,000
2.3: Preliminary Design	70%	\$1,068,778	\$748,145	\$320,633	\$1,043,603	\$730,522	\$313,081	\$25,175	\$17,622	\$7,552	2%	\$0
2.4: Permitting Preparation	70%	\$300,344	\$210,241	\$90,103	\$32,843	\$22,990	\$9,853	\$267,501	\$187,251	\$80,250	89%	\$96,000
2.5: Right of Way (Support Pre & Post CEQA) [4]	70%	\$1,493,031	\$1,045,122	\$447,909	\$621,902	\$435,331	\$186,571	\$871,129	\$609,790	\$261,339	58%	\$317,563
Subtotal Element 2		\$3,457,066	\$2,300,964	\$1,156,102	\$2,235,934	\$1,457,637	\$778,297	\$1,221,132	\$843,327	\$377,805	35%	\$463,563

[1] Revised per this Upper Yuba 3rd Quarterly Work Plan.

[2] Reflects the estimated amount of costs incurred through the 2nd Quarter of the Upper Yuba Project based on prior actual expenses from November 2006 to December 31, 2009 and an estimate of expenses from January 2nd Quarterly Work Plan.

[3] The initial state sharing percentage for this Element is 50% until CEQA approval, after CEQA approval features 3,4 and 5 will increase to a State cost share of 70%. CEQA Approvale is expected to take place prior to the

[4] Right of Way Support Only. Right of Way Capital is budgeted under Element 3.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period April / May / June 2010

ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2010) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	3rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work															
3.1: Final Design	70%	\$2,029,307	\$1,430,515	\$598,792	\$1,069,719	\$748,804	\$320,916	\$959,588	\$681,712	\$277,876	47%	\$900,000	\$630,000	\$270,000	94%
3.2: Permitting	70%	\$702,461	\$491,723	\$210,738	\$240,000	\$168,000	\$72,000	\$462,461	\$323,723	\$138,738	66%	\$224,000	\$156,800	\$67,200	48%
3.3: Right of Way	70%	\$1,327,831	\$929,482	\$398,349	\$0	\$0	\$0	\$1,327,831	\$929,482	\$398,349	100%	\$920,625	\$644,438	\$276,188	69%
3.4: Construction Management	70%	\$4,478,975	\$3,135,282	\$1,343,692	\$0	\$0	\$0	\$4,478,975	\$3,135,282	\$1,343,692	100%	\$387,000	\$270,900	\$116,100	9%
3.5: Environmental Mitigation	70%	\$947,094	\$662,966	\$284,128	\$0	\$0	\$0	\$947,094	\$662,966	\$284,128	100%	\$0	\$0	\$0	0%
3.6: O&M Manual addendum	70%	\$189,419	\$132,593	\$56,826	\$0	\$0	\$0	\$189,419	\$132,593	\$56,826	100%	\$0	\$0	\$0	0%
Subtotal Element 3 <i>(including Real Estate Capital)</i>		\$9,675,087	\$6,782,561	\$2,892,526	\$1,309,719	\$916,804	\$392,916	\$8,365,368	\$5,865,757	\$2,499,610	86%	\$2,431,625	\$1,702,138	\$729,488	29%
less Real Estate Capital Feature 3		-\$1,327,831	-\$929,482	-\$398,349	\$0	\$0	\$0	-\$1,327,831	-\$929,482	-\$398,349		-\$920,625	-\$644,438	-\$276,188	
Subtotal Element 3 <i>(net of Real Estate Capital)</i>		\$8,347,256	\$5,853,079	\$2,494,177	\$1,309,719	\$916,804	\$392,916	\$7,037,537	\$4,936,276	\$2,101,261	84%	\$1,511,000	\$1,057,700	\$453,300	21%

"3QYubaElem3"

[1] Revised per this Upper Yuba 3rd Quarterly Work Plan.

[2] Reflects the estimated amount of costs incurred through the 2nd Quarter of the Upper Yuba Project based on prior actual expenses from November 2006 to December 31, 2009 and an estimate of expenses from January 1st through March 3, 2010 based on the 2nd Quarterly Work Plan.

TRLIA Quarterly Work Plan Budget

Upper Yuba

For Period April / May / June 2010

ELEMENT 4

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2010) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	3rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to 303+59															
4.1 - Construction	70%	\$41,076,055	\$28,817,239	\$12,258,817	\$0	\$0	\$0	\$41,076,055	\$28,817,239	\$12,258,817	100%	\$2,764,576	\$1,935,203	\$829,373	7%
Subtotal Element 4		\$41,076,055	\$28,817,239	\$12,258,817	\$0	\$0	\$0	\$41,076,055	\$28,817,239	\$12,258,817	100%	\$2,764,576	\$1,935,203	\$829,373	7%

3Q YubaElem4

[1] Revised per this Upper Yuba 3rd Quarterly Work Plan.

[2] Reflects the estimated amount of costs incurred through the 2nd Quarter of the Upper Yuba Project based on prior actual expenses from November 2006 to December 31, 2009 and an estimate of expenses from January 1st through March 3, 2010 based on the 2nd Quarterly Work Plan.

TRLIA Quarterly Work Plan Budget

Upper Yuba

For Period April / May / June 2010

ELEMENT 5

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2010) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	3rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
Formula	a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f	j=d-g		k	l=axk	m=k-l	
Element 5: Prior Completed Work															
5.1 - Prior Completed Work	70%	\$7,682,162	\$5,377,514	\$2,304,649	\$9,992,028	\$6,994,420	\$2,997,608	-\$2,309,866	-\$1,616,906	-\$692,960	-30%	\$0	\$0	\$0	0%
Subtotal Element 5		\$7,682,162	\$5,377,514	\$2,304,649	\$9,992,028	\$6,994,420	\$2,997,608	-\$2,309,866	-\$1,616,906	-\$692,960	-30%	\$0	\$0	\$0	0%

"3QYubaElem5"

[1] Revised per this Upper Yuba 3rd Quarterly Work Plan.
 [2] Reflects the estimated amount of costs incurred through the 2nd Quarter of the Upper Yuba Project based on prior actual expenses from November 2006 to December 31, 2009 and an estimate of expenses from January 1st through March 3, 2010 based on the 2nd Quarterly Work Plan.

TRLIA Quarterly Work Plan Budget
Upper Yuba

For Period April / May / June 2010

ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Estimated Cumulative Expenses (March 2010) [2]			Forecasted Remaining Budget			% of Total Budget Remaining	3rd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c=axb <small>*Based on Overall Workplan</small>	d = b-c	e	f=axe	g = e-f	h=b-e	i=c-f		j=d-g	k	l=axk	
community															
relations support, land management support,															
6.1 - TRLIA Salaries/Benefits	70%	\$1,270,714	\$889,500	\$381,214	\$82,772	\$34,805	\$47,966	\$1,187,942	\$854,694	\$333,248	93%	\$41,312	\$28,918	\$12,394	3%
6.2 - TRLIA Consultant Exp.	70%	\$1,260,377	\$882,264	\$378,113	\$67,224	\$18,138	\$49,086	\$1,193,153	\$864,126	\$329,027	95%	\$45,443	\$31,810	\$13,633	4%
6.3 - TRLIA Legal Support	70%	\$1,044,235	\$730,964	\$313,270	\$94,017	\$39,785	\$54,232	\$950,218	\$691,179	\$259,039	91%	\$43,378	\$30,364	\$13,013	5%
6.4 - TRLIA Travel Expenses	70%	\$9,992	\$6,995	\$2,998	\$826	\$0	\$826	\$9,166	\$6,995	\$2,171	92%	\$330	\$231	\$99	4%
6.5 - TRLIA General Expenses	70%	\$356,643	\$249,650	\$106,993	\$18,888	\$4,546	\$14,342	\$337,755	\$245,104	\$92,651	95%	\$16,525	\$11,567	\$4,957	5%
6.6 - TRLIA Insurance	70%	\$469,119	\$328,383	\$140,736	\$7,062	\$4,943	\$2,119	\$462,058	\$323,440	\$138,617	98%	\$0	\$0	\$0	0%
6.7 - TRLIA Travel - Vehicle Lease	70%	\$26,714	\$18,700	\$8,014	\$1,765	\$368	\$1,397	\$24,949	\$18,332	\$6,617	93%	\$1,033	\$723	\$310	4%
6.8 - TRLIA Memberships	70%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	100%	\$0	\$0	\$0	0%
Subtotal Element 6		\$4,456,913	\$3,119,839	\$1,337,074	\$272,553	\$102,586	\$169,967	\$4,184,360	\$3,017,254	\$1,167,107	94%	\$148,021	\$103,615	\$44,406	4%

"3QYubaElem6"

[1] Revised per this Upper Yuba 3rd Quarterly Work Plan.

[2] Reflects the estimated amount of costs incurred through the 2nd Quarter of the Upper Yuba Project based on prior actual expenses from November 2006 to December 31, 2009 and an estimate of expenses from January 1st through March 3, 2010 based on the 2nd Quarterly Work Plan.