



TRLIA
34th QUARTERLY
PROGRESS REPORT
FOR
UPPER YUBA RIVER LEVEE
IMPROVEMENT PROJECT

1. Quarterly Progress Report (QPR) for 34th Quarter: January 1 to March 31, 2018

2. Date of Report: August 23, 2018

3. Purpose of Quarterly Report: This report summarizes the work completed in the 34th Quarter.

4. Specific Project Element Information:

- Major accomplishments this quarter (i.e. tasks completed, milestones met, meetings held or attended, press releases, etc.)
 - None
 - Significant Events, media releases, and media coverage during the Quarter: None
- **Project Element Information:**
 - As part of the completion of the Upper Yuba Levee Improvement Project (UYLIP) and commencement of the 200-Year Goldfields Project, at the start of Calendar Year 2018, TRLIA has re-evaluated its overhead allocation methodology to take into consideration remaining project costs in the same manner as had been considered previously. This update resulted in a change to overhead allocation to the UYLIP project. Commencing in January 2018, TRLIA began applying this new allocation to all costs allocated to grant funded projects.
 - On November 3, 2017 (33rd Quarter) DWR approved a 5th Funding Agreement Amendment, which extended the Agreement date to June 30, 2019.
 - During the 29th quarter Feature 3 Right of Way Acquisition from Element 9 was separated from Element 9 and made into its own Element (Element 11). This was

done at the request of DWR as reported in the 28th QPR.

- During the 25th quarter (October 27, 2015) TRLIA submitted a 4th funding agreement amendment to extend the UYLIP funding agreement to December 31, 2017 to allow the land transfer and WPIC construction work to be completed. DWR/DGS approved the 4th amendment on August 6, 2016.
- Effective the 21st quarter the narrative text for completed Elements and Features has been deleted from the report. The deleted items will continue to be shown in the schedule and financial update portions of the report.
- During the 19th quarter (September 24, 2014) the UYLIP funding agreement was amended for the 3rd time to include two new Elements. These include work associated with 200-Year compliance work associated with the Western Pacific Interceptor Canal and the Yuba (Linda) Levee 1986 Break Site. This amendment also extended the Agreement Date to June 30, 2016.
- At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4th Quarterly Work Plan (QWP) submitted on May 28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4th QWP that reflected the following changes.
 - A new Element 7: Right of Way Capital was added. This was previously Element 3: Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.
 - Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QPR is reflective of these modifications.

- **Engineering and Construction matters:**

- **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00; added by the 9th Quarterly Work Plan.**
 - Feature 3 Right of Way Support: TRLIA continued to work on this feature during the 34th Quarter. See Element 7 below for property acquisition information.

- Feature 6: O&M Manual: There are no longer separate O&M Manuals for each Element. At the request of the CVFPB and USACE, one combined O&M Manual has been prepared for the entire RD 784 levee system and is under review by the USACE. See Element 3, Feature 6 for an update on the USACE review of this combined O&M Manual.

➤ **Project Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**

- Feature 2.5 Right of Way Support: TRLIA continued to work on this feature during the 34th Quarter. See Element 7 below for property acquisition information.
- Feature 3 Right of Way Capital: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4th Quarterly Work Plan.

Feature 4 Construction Management: Limited activity occurred during this quarter to address items required by settlement of eminent domain settlements (e.g. PG&E underground line placement for Dan Luis settlement). The PG&E work will be done under CVFPB Permit 18695 once PG&E has been assigned a utility easement by TRLIA for the Dan Luis property. TRLIA will assign utility Easements to PG&E in July 2018.

- Feature 5 Environmental Mitigation:

Elderberry Mitigation – Compensatory elderberry mitigation was accomplished at the USACE Anderson Mitigation Site. Maintenance and monitoring of the site were not accomplished by TRLIA’s contractor in 2017 and no Mitigation Monitoring Report was developed. TRLIA’s contractor conducted two site visits in June 2018 to assess the site, and is developing a memo to document its findings and recommended path forward.

- Feature 6 O&M Manual Addendum :

A detailed history of development of a new Unit 145 Supplemental O&M Manual is provided in previous quarterly reports. A Draft Unit 145 Supplemental O&M Manual was submitted to the CVFPB and USACE most recently in Quarter 26. TRLIA contacted USACE in Quarter 35 in an effort to revitalize their review efforts and complete the manual. No schedule was provided by USACE, although they did provide a list of tasks they needed to complete.

- **Project Element 6:** Project Management, legal support, community relations support, land management support, and overhead
 - Activity occurred in all Features during the 34th quarter except, Travel, Insurance and Memberships.

- **Element 7:** Right of Way Capital
 - Feature 7-3.3 Right of Way:
 - **Land Transfer:** TRLIA worked with state during the 34th quarter on land transfer of the UYLIP property. Transfer is based on the amended DWR approved Upper Yuba River Real Estate Plan dated April 14, 2016. The funding agreement was extended (5th Amendment) to June 30, 2019 to accommodate land transfer.
 - **Western Aggregates:** During the 34th quarter TRLIA continued to be in negotiations with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, and to leave already existing railroad easements owned by Western Aggregates in place, because Western Aggregates has stated that it hopes to use its fee and easement interests along the levee to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow the existing easements owned by Western Aggregates to remain in place, and also agreed to allow TRLIA to grant new railroad easements to Western Aggregates over property that TRLIA acquires from Western Aggregates in fee.

In exchange for transferring fee title to the properties that TRLIA seeks to acquire in fee, Western Aggregates has requested TRLIA grant Western Aggregates a new floating railway easement on not only the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. TRLIA continued to negotiate with Western Aggregates on this item and has brought in the services of a mediator to help resolve the issues associated with this item.

In the 26th quarter TRLIA and Western Ag representatives met with senior DWR and CVFPB officials about this request. DWR is open to the proposed concept; CVFPB response is still pending at the time of this report.

This issue is listed in the Issue/Concerns portion of this report

- **Final Accounting Packages (FAPs) and Cadastral Requirements:**
Tables 1 to 6 provide the status of Real Estate Property actions and Final Accounting Packages (FAPs)

Table 1 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
1	14050	020-080-009	Western Aggregates, Inc.		approved
2	14051	021-041-006	Clara Willis, Et al	approved	approved
3	14052	021-041-007	Bernard J. Perez	approved	approved
4	14053	021-041-010	Lothar S. Klug, Et Al	approved	approved
5	14054	021-041-009	ROSA MARTHA VALDEZ	approved	approved
6	14055	021-041-012, 021-042-014	Steve Gilbert, Et Al	approved	approved
7	14056	021-042-027	Humam El Sharif	approved	approved
8	14057	021-0500-001	Orest Wesely, Et Al	approved 12/30/15	approved
9	14058	018-220-048, -049	COF Quail Hollow Land 35F LLC	approved	approved
10	14059	018-210-014	Stephanie Morris	approved	approved
11	14060	018-210-029	Robert G. Wood	approved	approved
12	14061	018-220-007	Howard R. Miller	approved	
13	14062	018-200-001, 018-220-030	Ajit S. Bains	approved	approved
14	14063	018-200-005, -007, 018-210-035	Anthony J. Luis, Et Al		
15	14064	018-200-008	Danel J. Luis	Approved 5/12/17	approved
16	14065	018-190-002, 018-200-006	Richard G. Wilbur Trust	approved	approved
17	14066	018-140-040	Richard G. Wilbur Trust	approved	approved
18	14067	018-150-015, 018-190-001	Henry P. Smith Et Al	Approved 12/30/15	approved
19	14068	018-190-110	Walbinder S. Sohal Living Trust	approved	
20	14069	018-190-107, 018-190-108	Sohal Family Trust	approved 12/30/15	approved
21	14070	018-150-035, 018-190-104	Michele R. Baker		approved

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
22	14071	018-150-032	Shintaffer Farms, Inc.	approved	approved
23	14072	018-190-109	Bhajan S. Sohal, Et Al	approved	approved
24	14073	021-021-001	Dunning Properties, LP		
25	14074	Portion of 018-220-056	R.B. Satori, LP (Wilbur, Richard G.)		
26	14075	018-220-056	Western Aggregates, LLC		
27	14079	020-410-001	Allen, Jason G.		
28	14080	Portion of 018-150-032 and -035	Federal Fruit Exchange	approved	approved
29	14081	018-230-028	Orchard Growers	approved	
30	14082	018-220-028	Wilbur Trust	Parcel Cancelled	
31	14083	018-0220-059	Green Rose		
32	14084	018-220-060	Dunning Properties		
33	14085	018-140-041	Naumes, Inc.	approved	
34	14086	018-190-085	Rahul, Surjit S. & Parmjit	approved	
Total				24/33	21/33

Table 2 - Acquisition being negotiated:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRLIA has acquired. Parties are negotiating.

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14073	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
14075	Western Aggregates, LLC	Negotiation					See comment for CVFPB 14050
14082	Wilbur Trust	Negotiation					
14083	Green Rose	Negotiation					
14084	Dunning Properties	Negotiation					

Table 3 - Negotiated Acquisitions – The following parcels have been acquired. Final Accounting Packages are being prepared.

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14063	Anthony J. & Zelma B. Luis	Settled		\$77,840.00	\$54,488.00	\$11,200	
14074	R.B. Satori, LP (Richard G. Wilbur)	Settled		\$35,692.00	\$24,985.00		COE 11/09/17; need Final Policy

Table 4 - Final Accounting Packages at DWR:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14070	Michele R. Barker	Settled	3/14/2017	\$224,686.36	\$157,280.45	\$67,406.00	
14079	Jason G. Allen	Settled	5/5/2017	\$413,492.65	\$289,444.86	Not invoiced yet	DB responded to DWR comments

Table 5 - Final Accounting Packages Approved:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14051	Ronnie C. Willis	Complete	approved	\$29,500.00	\$20,650.00	\$20,650.00	Approved 8/4/15
14052	Bernard J. Perez	Complete	approved	\$10,350.00	\$7,245.00	\$7,245.00	Approved 8/10/15
14053	Lothar S. & Brigitta M. Klug	Complete	approved	\$2,800.00	\$1,960.00	\$1,960.00	Approved 8/10/15
14054	Valdez	Complete	approved	\$5,200.00	\$3,640.00	\$1,820.00	Approved 8/4/15
14055	Steve and Denise Gilbert	Complete	approved	\$15,700.00	\$14,840.00	\$14,840.00	Approved 8/4/15
14055	Steve and Denise Gilbert	Complete	approved	\$5,500.00			Approved 8/4/15
14056	Humam El Sharif	Complete	approved	\$2,100.00	\$1,470.00	\$1,470.00	Approved 9/11/15
14057	Orest and Irene Wesely	Complete	approved	\$33,000.00	\$23,100.00	\$23,100.00	Approved 12/30/15
14058	COF Quail Hollow Land 148T	Complete	approved	\$34,750.00	\$24,325.00	\$24,325.00	Approved 9/11/15
14062	Ajit S. Bains & Maria Del Carmen	Complete	approved	\$48,700.00	\$34,090.00	\$15,050	Approved 9/11/15
14064	Daniel J. Luis	Complete		\$46,477.00	\$32,533.90	\$5,670.00	FAP Approved 5/12/17 per Matt. In January, Dan Schwartz told BRI he would look again at the Luis description and see if they could accept it as is since TRILIA has made a strong effort to have the owner correct this.
14065	Richard G. Wilbur Trust	Complete	approved	\$48,270.00	\$33,789.00	\$16,100	Approved 9/11/15
14066	Richard G. Wilbur Trust	Complete	approved				Approved 9/11/15
14067	Henry P. Smith	Complete	approved	\$149,071.00	104,349.00	\$22,400.00	Approved 12/30/15
14059	Stephanie Morris	Complete	approved	\$7,900.00	\$5,530.00	\$4,060.00	Approved 8/10/15
14060	Robert Glenn Wood	Complete	approved	\$3,200.00	\$2,240.00	\$2,240.00	Approved 8/10/15
14061	Howard R Miller	Complete	approved	\$1,400.00	\$980.00	\$980.00	Approved 8/10/15
14068	Walbinder S. Sohal Living Trust,	Complete	approved	\$598,500.00	\$418,950.00	\$418,950.00	Approved 9/11/15

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14069	Awtar S. Sohal & Sukhvir K. Sohal, Co-Trustees	Complete	approved	\$41,500.00	\$29,050.00	\$21,787.50	Approved 12/30/15
14071	Shintaffer Farms, Inc.	Complete	approved	\$5,500.00	\$3,850.00	\$437.00	Approved 8/10/15
14072	Bhajan S. & Rajwant K. Sohal	Complete	approved	\$598,500.00	\$418,950.00	\$418,950.00	Approved 9/11/15
14080	Federal Fruit Distributors	Complete	approved	\$800.00	\$560.00	\$392.00	Approved 9/11/15
14081	Orchard Growers	Complete	approved	\$500.00	\$350.00		Approved 8/10/15
14085	Naumes, Inc.	Complete	approved	\$3,000.00	\$2,100.00	\$175.00	Approved 8/10/15
14086	Rahul, Surjit S. & Parmjit	Complete	approved	\$500.00	\$350.00	\$175.00	Approved 8/10/15

Table 6 - Status of Relocation Actions: Approved with FAP

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	Approved
	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	Approved
	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	Approved
	Jason G. Allen	Ed Widener	Complete	\$12,272.00	Approved
	Jason G. Allen	Jack Fouse	Complete	\$0	Approved
	Jason G. Allen	Les Begley	Complete	\$1,100.00	Approved
	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	Approved
	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	Approved
	Jason G. Allen	David Haughton	Complete	\$2,402.78	Approved
	Jason G. Allen	Jason G. Allen	Complete	\$24,754.99	Approved

➤ **Project Element 8 Closeout Process (Element added by 14th QWP):**

- 8 Feature 1: Closeout Process
- On November 15, 2013 TRLIA submitted its initial draft of Closeout Documentation for the UYRLIP project for DWR's review. As part of that submission, TRLIA requested a partial release of retention for the portion of the project that has been completed. DWR reviewed and provided comment on the request during the 25th and 26th Quarters. TRLIA restated this request and provided a revised analysis and requested partial retention release in February 2016. DWR submitted additional detailed comments on June 15, 2016 and TRLIA has responded to these requests on August 10, 2016. A partial retention release was finalized and transferred in early March 2018 and DWR provided a finalized approval letter dated March 5, 2018. This letter reflected an approved release of \$760,106. TRLIA's retention release request was reflective of creditable interest in the amount of \$106,799. Combined this represents approved retention released in the amount of \$866,905. The tables in the attached financial report are reflective of this approved and received partial retention release amount.

➤ **Project Element 9 WPIC 200-yr Standard:**

- Feature 1 Design: Design of the project was completed in the 27th Quarter.
- Feature 2 Permitting: See attachment 1 for permits listing. All required permits have been obtained and TRLIA continues to accomplish required activities to comply with permits.
- Feature 3 – Right of Way Acquisition has been removed from Element 9 and moved to a new Element 11.
- Feature 4 Right of Way Support:
 - Right of Way actions occurred during the 34th quarter. See Element 11 for recap of acquisitions.
 - On August 14, 2015 the UYLIP Real Estate Plan was amended to update information on the UYLIP, including the identification of previously unknown parcels. The UYLIP acquisitions are listed in the first section of the updated plan. The WPIC Real Estate Plan was included in this amendment in Section 2 of the amended plan. DWR approved (April 14, 2016) the amended Real Estate Plan.
 - The engineering requirements were revised in the WPIC area. Appraisals have been updated and revised offers were made. Three rights of entry have been obtained. Additionally, 4 new Temporary Construction

Easements have been acquired. The property owners have requested TRLIA to provide them with easements/rights for the levee area so that they can enforce security measures and reduce trespassing. TRLIA obtained approval of easement language from DWR. The property owners have agreed to the language. One escrow has been closed and a second escrow is open. We will continue to work with the title company to close this escrow in the next quarter. One parcel is still outstanding. The property owner has verbally agreed to the sale but TRLIA has not received signed documents yet. We will continue to work with the owner to obtain documents and open escrow.

- Prior to the UYLIP 3rd funding amendment being approved, TRLIA filed an eminent domain action against Latigo Farms LLC to acquire property rights needed for this element. The parties reached a settlement agreement at the court settlement conference on December 16, 2016. TRLIA worked on documenting the settlement agreement during the 30th quarter. The court entered Judgment in Eminent Domain pursuant to the parties' stipulation on June 19, 2017, and the judgment has been paid. The Final Order of Condemnation was recorded March 15, 2018. Pursuant to the judgment, TRLIA has agreed to grant an access easement to Latigo. Additionally, TRLIA is required to install, maintain, and replace if necessary, a permanent fence approximately along the toe of the levee after completion of project construction. Permitting for the fence is underway. See also Feature 6.
- TRLIA and UP executed a consent agreement in May 2016. This agreement has expired.
- PG&E issued a no-objection letter to TRLIA in July 2016.
- Feature 5 Construction Management: Construction management activities occurred during the Quarter 34 and will continue until resolution of the DMM wall issue.
- Feature 6 Construction: Construction was completed in Quarter 33 and DWR has provided (June 2018) concurrence that all punch list items are closed. However, the Reach 1 DMM wall does not meet permeability specifications. Additional work is expected, although not defined. Lastly, outstanding construction includes installation of the Latigo cattle exclusion fence. This work has not been accomplished as USACE has not completed cultural consultation for the permit (19156). Installation of the fence will occur in 2018 or 2019, pending permit approvals.
- Feature 7 Updated O&M Manual: The O&M Manual update will be integrated into the RD784 system-wide manual (discussed under Element 3, Feature 6), once construction is complete and the required supporting documentation has

been prepared. The O&M Manual will require updating for the WPIC 200-Year Standard Project improvements. These revisions will be made in Quarter 36 or 37.

➤ **Element 11: WPIC Right of Way Acquisition**

- Feature 3 Right of Way Acquisition: Real Estate activities continued in the WPIC area during the 34th quarter as reflected in Tables 7 and 8

Table 7 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1	14087	Miller-Belza-Peck	1		Approved
2	14088	Rue & Forsman Real Estate LLC	1		Approved
3	14089	Latigo Farms LLC (Includes Uneconomic Remnant)	2		Approved
4	14090	Alfaro Family Trust (Includes Uneconomic Remnant)	5		Approved
			9	0	4

Table 8 – Property Acquisition Status

CVFPB	APN	OWNER	ESA Completed	Receipt of Legals and Plats (or revision)	Appraisal submitted to TRLIA	Appraisal submitted to DWR	Appraisal Approved by DWR	Just Compensation Approved	Offer Made	Comments
14090	014-270-084 014-270-105 014-270-106 014-350-065 014-360-002	Alfaro Family Trust	12/29/2014	1/28/2015	1/23/2015	1/23/2015		1/23/2015		Agreement has been reached with the owners; contracts are routing for signature
14089	014-360-011 014-360-013	Latigo Farms, LLC	12/29/2014		8/31/2012				4/8/2013 9/25/2013	. Final Order of Condemnation was recorded March 15, 2018.
14088	016-050-023	Rue & Forsman Real Estate, LLC	12/24/2014	1/28/2015						Agreement has been signed and delivered to escrow
14087	016-070-018	Rio Oso Ranch	12/24/2014	1/28/2015	9/12/2014	10/21/2014		10/3/2014	10/15/14	Escrow closed; waiting for final policy of title

- **Environmental matters**
 - **Project Element 3:** See Project Element 3 Feature 5 Environmental Mitigation above for this information.
 - See Project Element 9 Feature 2 Permitting for this information for Project Element 9.

- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
 - **Element 9:** See attachment 1 for list

- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**
 - **Issue 1:** Western Aggregates has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 26th quarter, TRLIA met with DWR and CVFPB Senior officials about this request. TRLIA has brought on a mediator to help resolve the issues associated with this item.

Recommended Solution: DWR support TRLIA as negotiations with the CVFPB transpire for the approval of the Western Aggregate floating easement request.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**
 - None this quarter

- **Demonstrate financial ability to pay local cost share of Eligible Project Costs required to complete the Project:**
 - Local funding for the remaining costs of the project is to come from three sources (listed in order of priority of use by TRLIA):
 - Credits accrued from State funding of prior completed work (Element 5 Work as well as other costs incurred prior to the funding agreement execution).
 - State Funding of Segment 1 of the Feather River EIP Project.

- Local funding from the proceeds of bond sales completed by the Yuba Levee Finance Authority.

The Local Share of the Project based upon the revised overall work plan budget submitted as part of the 25th Quarterly Work Plan is approximately \$17.56 million. Within this budget, Element 5 work has already been completed and the local share of this work had been previously paid for by TRLIA, (approximately \$2.3 million) therefore the local share of these costs can be deducted to determine the remaining local share of costs of the project, approximately \$15.25 million. A portion of this remaining local share had been completed prior to the date of funding agreement, approximately \$1.0 million. The local funding sources available to cover the remaining project costs are detailed as follows;

- As noted in #4 – Project Element 5 above, on July 19, 2010, DWR informed TRLIA that it had approved the majority of TRLIA’s credit request in the amount of \$6,619,616. To date, the State has funded all of the local approved Credit to cover local share costs.
- DWR provided reimbursement for Segment 1 and Erosion Site 2 of TRLIA’s Feather River project and a payment of \$8,089,379 was received on June 29, 2010. These funds will be used to fund a portion of the local share of Upper Yuba River Costs.
- The above two items total \$14,708,995 of local funding received by TRLIA to date. This covers nearly all of local share of the costs of the project. As noted by TRLIA within its amended financial plan presented to support the inclusion of Element 9, TRLIA also has local funds available from the Yuba Levee Finance Authority to assist in cash flowing the project should the need arise. TRLIA noted that there is over \$7,000,000 of available funding for this work. TRLIA has drawn the balance of these funds to cash flow the work associated with the UYRLIP and ultimately provide the local share of future work in Goldfields.
- On November 19, 2013 TRLIA requested a partial release of retention as part of its submission of final documentation for the project. The request retention amount was for \$866,932 and as noted above DWR approved a release of \$866,905. TRLIA received this funding in March 2018 and is utilizing this funding to assist with cash flowing the remainder of projected project expenses.

- **Legal Matters:** No issues for all Project Elements

5. Cost Information by Project Element: This information is provided in an attached Appendix I at end of this Quarterly Progress Report.

6. Schedule Information by Project Element: Shown in the figure below is Exhibit A reflecting Amendment 5



Blue: Original **Pattern:** Original, Not Viable **Yellow:** Amend 1 Change **Green:** Amend 2 Change **Red:** Amend 3 Change **Orange:** Amend 4 Change **Brown:** Amend 5 Change

- **A discussion on how the projected schedule compares to the original or last reported schedule:** No changes.

7. Attachments

1. Tab D: Permit Status Update - WPIC 200-yr Standard permit list

8. Appendices

Appendix I: TRLIA Upper Yuba River Levee Improvement Project 34th Quarterly Progress Report Budget Information, dated August 23, 2018.

Attachment 1

Tab D: WPIC 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> Encroachment permit 19020-1 (landside fill) was issued in June 2016. Encroachment permit 19020 (cutoff walls) was issued in February 2017.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	Yes	<ul style="list-style-type: none"> A 404 Individual Permit for the 19020-1 work was executed in June 2016. A 404 permit is not required for 19020.
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> Wetland delineation has been completed.
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> SPK issued a letter of permission for 19020-1 on May 10, 2016. HQUSACE approval of 19020 was provided in November 2016 (Quarter 29). SPK issued a letter of permission for 19020 in February 2017.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	<ul style="list-style-type: none"> CVRWQCB issued a 401 certification in May 2016.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm Water Pollution Prevention Plan (SWPPP) were submitted to the SMARTS website.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor continued to provide end of year construction records to support air quality emissions calculations.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> Formal consultation with USFWS is complete.
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance	Yes	<ul style="list-style-type: none"> The ITP was executed in May 2016.

	(2081)		
DFW	Streambed Alteration Agreement	Yes	<ul style="list-style-type: none"> The SAA was executed in April 2016.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high-water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Cal-Trans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> The project design was refined to avoid encroaching upon Cal-Trans right-of-way, therefore Cal-Trans permit is no longer required.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	No	<ul style="list-style-type: none"> Coordination with the NAHC is underway as part of Section 106 and CEQA.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> Coordination with the SHPO as part of Section 106 is complete.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 is underway as part of construction.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County was obtained prior to construction.
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> The Final IS/MND and MMRP was adopted by the TRLIA Board in April 2016.
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> USACE has completed NEPA for 19020-1 and 19020 (Quarter 29).
Section 221 Credit	Credit under Section 221, as amended	No	<ul style="list-style-type: none"> The proposed work is not eligible for Section 221 credit as it is not being considered as part of an ongoing or authorized Federal project.
Union Pacific Railroad Company	Real Estate Consent Agreement	Yes	<ul style="list-style-type: none"> Agreement was executed in May 2016 between TRLIA and UP.

Appendix I

Appendix I: TRLIA Upper Yuba River Levee Improvement Project 34th Quarterly Progress Report Budget Information, dated August 23, 2018.

Memorandum

TRLIA Upper Yuba River Levee Improvement Project

34th Quarterly Progress Report – Financial Document

August 23, 2018

Prepared for: Paul Brunner, TRLIA

Prepared by: Seth Wurzel

This memorandum has been prepared by Larsen Wurzel & Associates, Inc. (LWA) at the request of Three Rivers Levee Improvement Authority (TRLIA) to supplement the 34th Quarterly Progress Report (34th QPR) to the Department of Water Resources (DWR) for the Upper Yuba River Levee Improvement Project (Project). As indicated in TRLIA's 34th QPR, the cost and budget information is being provided within this memorandum to DWR as an attachment to the 34th QPR.

This memorandum includes two sets of tables that present the following analyses:

1. **Quarterly Work Plan Reconciliation** — A reconciliation of the 34th Quarterly Work Plan forecasted expenditures to the actual expenses incurred for the quarter's work and, the associated funding of the State Share received pursuant to Section 14.C of TRLIA's funding agreement with DWR (Funding Agreement).
2. **Cost and Funding Status through the 34th Quarter** — A summary of the cumulative costs incurred since November 6, 2006 through March 31, 2018¹ and a comparison of those costs incurred to the current Overall Work Plan Budget (based on the revisions by TRLIA as part of the 25th Quarter Work Plan and Funding Amendment No 4²) included as Exhibit A to the Funding Agreement.

1. Quarterly Work Plan Reconciliation

The following tables provide detailed information regarding the costs incurred for each Element and Feature during the 34th Quarter (January 1, 2018 through March 31, 2018) and compare these amounts to the forecasted expenditures for the Quarter presented within the 34th Quarterly Work Plan (QWP). The tables show the State Share of eligible expenses net of the required 10% withholding of the State Share and compares this amount to the funding received by TRLIA from the State to date. (Note: for those Elements where no Quarterly Work Plan

¹ Inclusive of the expenses incurred to date by TRLIA. As this report reflects TRLIA's FY end, the SOC is reflected of all costs incurred through March 31 and expended to date by TRLIA. Reference the August 23, 2018 TRLIA Statement of Costs for the 34th Quarter (subject to review and approval by DWR).

² On October 11, 2015 TRLIA submitted a Revised Exhibit A that adjusted the Overall Work Plan Budget as part of the 25th Quarterly Work Plan. The revisions were also incorporated into a 4th amendment to the Agreement to include the 200-Year ULDC Compliance on the WPIC and extend the term of Agreement to 12/31/2017. This Quarterly Work Plan, however, reflects the movement of Element 9.3 – WPIC ROW Capital to a new Element 11.

costs were projected *and* no costs were incurred during the quarter by TRLIA, the Table showing this Element has been excluded from this report.)

The following presents a summary of the conclusions drawn from the tables:

34th Quarter Reconciliation Summary (Elements 1 through 4, 6, 8 thru 10)

	Total	State Share
Total Non-Real Estate Expenses Incurred During 34th Quarter [1]	\$494,466	\$346,126
10% Required Retention		-\$34,613
State Share Net of Retention		\$311,514
State Share Funding Provided for Quater [2]		\$1,133,959
Amount Due (Overpaid for Quarter) <i>Estimated subject to review of SOC by the State</i>		-\$822,445
Local Share to be Paid from Credit [3]		\$0
Total Funding Due (Overpaid) as of 34th Quarter		-\$822,445

[1] Estimated amount, subject to review and approval of Statement of Costs by DWR.

[2] Advance funding approved by DWR for 34th Quarter is \$1,133,959. This amount has been approved but not yet received.

[3] No local credit remaining after 20th Quarter Advance

This information concludes that, for the 34th Quarter, based on the Advance funds pending receipt by TRLIA, the State has over-funded its share of expenses **net of the 10% hold-back provision** in the Funding Agreement. The State has provided more funds for the 34th Quarter than TRLIA has spent, net of State’s 10% retention. This conclusion is based upon the fact that the State has reviewed and finalized all SOC’s and provided True-Up funding through the 33rd Quarter. Based on this information, no additional funding is due for a true up for expenses for the 34th Quarter.

With respect to the Local Credit advanced, the State has funded the full amount of the credit available to TRLIA. This analysis reflects all funding received to date, the last funding received was in June 2016.

Prior funding of the State share had previously created an overfunding situation during prior quarters, as a result TRLIA accumulated interest on those Advance Funds. The following presents an analysis of the interest earned on State Share Advance Funding through the 34th Quarter for the Upper Yuba Project.

Interest Earned on State Advance Funds

Interest earned by TRLIA on State Advance funding before the funding is expended on eligible expenses will be used by TRLIA on the State’s share of eligible expenses. For the Upper Yuba Project, all funds advanced for the State Share of eligible expenses are deposited into a separate fund held by the Yuba County Treasurer. The Yuba County Treasurer apportions interest earned on all funds participating in the County pooled treasury on a quarterly basis. The following is a detailed statement of the interest earned and apportioned to the fund (Fund 817) that is dedicated for the State Share of eligible expenses for the Upper Yuba Project.

The listing below excludes all interest that was recently netted from the partial retention release approved by DWR on March 5, 2018. DWR’s recent partial retention release was reflective of \$106,799.12 which reflective of interest accumulated on Advance Funds through July 1, 2013. As a result, the interest listed below reflects all interest earned after this date. TRLIA expects that as part of any future retention releases, the interest listed below and future earnings would be deducted as well.

As shown below, the State has earned \$7,166.84 on the advance funding for the Upper Yuba Project through the 34th Quarter.

Allocable Interest for UYRLIP by Quarter as apportioned by Yuba County Treasurer

Quarter of Transaction	Transaction Description	State Share Yuba River
Q2 - 13/14	1st Qtr Interest Earned 10/1/13 FY 13/14	\$ 54.05
Q3 - 13/14	2nd Qtr Interest Earned 1/1/14 FY 13/14	\$ 352.12
Q4 - 13/14	3rd Qtr Interest Earned 4/1/14 FY 13/14	\$ 54.00
Q1 - 14/15	4th Qtr Interest Earned 7/1/14 FY 13/14	\$ 137.79
Q2 - 14/15	1st Qtr Interest Earned 10/1/14 FY 14/15	\$ 469.59
Q3 - 14/15	2nd Qtr Interest Earned 1/1/15 FY 14/15	\$ 1,079.94
Q4 - 14/15	3rd Qtr Interest Earned 4/1/15 FY 14/15	\$ 516.13
Q1 - 15/16	4th Qtr Interest Earned 7/1/15 FY 14/15	\$ 672.77
Q2 - 15/16	1st Qtr Interest Earned 10/1/15 FY 15/16	\$ 689.86
Q3 - 15/16	2nd Qtr Interest Earned 1/1/16 FY 15/16	\$ 1,679.75
Q4 - 15/16	3rd Qtr Interest Earned 4/1/16 FY 15/16	\$ 518.58
Q1 - 16/17	4th Qtr Interest Earned 7/1/16 FY 15/16	\$ 908.39
Q2 - 16/17	1st Qtr Interest Earned 10/1/16 FY 16/17	\$ 4.42
Q3 - 16/17	2nd Qtr Interest Earned 1/1/17 FY 16/17	\$ 4.25
Q4 - 16/17	3rd Qtr Interest Earned 4/1/17 FY 16/17	\$ 4.02
Q1 - 17/18	4th Qtr int earned 07/01/2017 FY 17/18	\$ 5.19
Q2 - 17/18	1st Qtr int earned 10/01/2017 FY 17/18	\$ 4.95
Q3 - 17/18	2nd Qtr int earned 01/01/2018 FY 17/18	\$ 5.49
Q4 - 17/18	3rd Qtr int earned 04/01/2018 FY 17/18	\$ 5.55
TOTAL		\$ 7,166.84

Sale of Surplus Property – Sohal Borrow Site

TRLIA has disposed of surplus property acquired during the implementation of the UYRLIP Project. This property, the Sohal Borrow site, was disposed of February 2015. This property was acquired with a combination of State & Local funds. The State share of the net proceeds from property should be credited toward the State share of the project. 70% of the net amount of funds from the sale of surplus property is \$279,132.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba (Real Estate)

For Period January/February/March 2018 (34th Qtr)

ELEMENT 7

Elements and Features	State Share %	34th QWP Forecast [1]	34th Quarter Expenses [2]			34th Quarter Expenses [2]		
			Total	State Share	Local Share	DWR Direct Pay	Local Credit Invoiced	Total
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f	g	h = f+g
Element 7: Right of Way Capital								
7-3.3 - Right of Way Capital [3]	70.0000%	\$0	-\$144	-\$101	-\$43	\$0	\$0	\$0
Subtotal Element 7		\$0	-\$144	-\$101	-\$43	\$0	\$0	\$0
			Difference Between QWP & Actuals	\$144				

"Yub34QPRElem7"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Reflects costs from January 1 to March 31, 2018 and paid to date by TRLIA.

[3] All Real Estate capital has been advanced by TRLIA and will be invoiced through the Real Estate acquisition process.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba (Real Estate)

For Period January/February/March 2018 (34th Qtr)

ELEMENT 11

Elements and Features	State Share %	34th QWP Forecast [1]	34th Quarter Expenses [2]			34th Quarter Expenses [2]		
			Total	State Share	Local Share	DWR Direct Pay	Local Credit Invoiced	Total
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d=c*a</i>	<i>e=c-d</i>	<i>f</i>	<i>g</i>	<i>h = f+g</i>
Element 11: Right of Way Capital (WPIC)								
11-9.3 - Right of Way Capital [3]	70.0000%	\$0	\$12,220	\$8,554	\$3,666	\$0	\$0	\$0
Subtotal Element 11		\$0	\$12,220	\$8,554	\$3,666	\$0	\$0	\$0
			Difference Between QWP & Actuals	-\$12,220				

"Yub34QPRElem11"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Reflects costs from January 1 to March 31, 2018 and paid to date by TRLIA.

[3] All Real Estate capital has been advanced by TRLIA and will be invoiced through the Real Estate acquisition process.

Summary of TRLIA Quarterly Progress Report Budget Reconciliation Upper Yuba

For Period January/February/March 2018 (34th Qtr)

SUMMARY ALL NON-REAL ESTATE ELEMENTS

Elements and Features	Total 34th QWP Quarter Work Plan	Total Expenses for 34th Quarter	State Share			Local Share		Remaining Local Share Funded by TRLIA
			To be Funded Net of 10% Holdback	State Funding Rec'd for 34th Quarter	State Funding Remaining to be Received for Quarter	Local Share of Actual Costs for Quarter	Local Funding Rec'd from Credit for Quarter	
<i>Formula</i>	[1]	[2]	a	[3] b	c = a - b	d	e	f = e - d
Non-Real Estate Eligible Elements								
Element 1: Flatten Waterside Levee Slope	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 1A: Land Side Improvement (Shadpad)	\$600	\$424	\$267	\$0	\$267	\$127	\$0	\$127
Element 2: Pre-CEQA Determination Support	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 3: Levee Improvements Support Work	\$5,000	\$17,472	\$11,007	\$0	\$11,007	\$5,242	\$0	\$5,242
Element 4: Levee Improvements Construction	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 6: Admin & Overhead	\$27,000	\$36,193	\$22,801	\$0	\$22,801	\$10,858	\$0	\$10,858
Element 8: Project Closeout Efforts	\$1,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 9: WPIC 200-Yr Standard	\$2,125,821	\$440,377	\$277,438	\$0	\$277,438	\$132,113	\$0	\$132,113
Element 10: Yuba ULDC Remediation 1986 Break Si	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal Above Elements	\$2,159,921	\$494,466	\$311,514	\$0	\$311,514	\$148,340	\$0	\$148,340
		<u>\$1,665,455</u>						

Difference Between QWP & Actuals

Element 5 Credit [Includes only Expenses Incurred Prior to October 20, 2009, not Shown Here]

"Yub34QPRSumm"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Reflects costs from January 1 to March 31, 2018 and paid to date by TRLIA.

[3] No funds have been received for the 34th Quarter.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 1A

Elements and Features	State Share %	34th QWP Forecast [1]	34th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 1A: Land Side Levee Improvement						
- Sta 7+00 to 8+20 (Shadpad Road)						
1A.1: Design	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.2: Permitting	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.3: Right of Way	70.0000%	\$600	\$424	\$297	\$127	\$267
1A.4: Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.5: Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.6: O&M Manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 1A		\$600	\$424	\$297	\$127	\$267
Difference Between QWP & Actuals			\$176			

"Yub34QPRElem1A"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Reflects costs from January 1 to March 31, 2018 and paid to date by TRLIA.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 3

Elements and Features	State Share %	34th QWP Forecast [1]	34th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work						
3-2.3: Preliminary Design	70.0000%	\$0	\$0	\$0	\$0	\$0
3-2.4: Permitting Preparation	70.0000%	\$0	\$0	\$0	\$0	\$0
3-2.5: Right of Way Support	70.0000%	\$5,000	\$15,260	\$10,682	\$4,578	\$9,614
3.1: Final Design	70.0000%	\$0	\$0	\$0	\$0	\$0
3.2: Permitting	70.0000%	\$0	\$0	\$0	\$0	\$0
3.4: Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
3.5: Environmental Mitigation	70.0000%	\$0	\$0	\$0	\$0	\$0
3.6: O&M Manual addendum	70.0000%	\$0	\$2,212	\$1,549	\$664	\$1,394
Subtotal Element 3		\$5,000	\$17,472	\$12,230	\$5,242	\$11,007
Difference Between QWP & Actuals			-\$12,472			

"Yub34QPRElem3"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Reflects costs from January 1 to March 31, 2018 and paid to date by TRLIA.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 6

Elements and Features	State Share %	34th QWP Forecast [1]	34th Quarter Expenses [2]			State Share To be Funded Net of 10% Holdback
			Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 6: Project Management, legal support, community relations support, land management support, and overhead						
6.1 - TRLIA Salaries/Benefits	70.0000%	\$21,667	\$11,460	\$8,022	\$3,438	\$7,220
6.2 - TRLIA Consultant Exp.	70.0000%	\$2,000	\$16,672	\$11,671	\$5,002	\$10,503
6.3 - TRLIA Legal Support	70.0000%	\$333	\$566	\$396	\$170	\$357
6.4 - TRLIA Travel Expenses	70.0000%	\$0	\$0	\$0	\$0	\$0
6.5 - TRLIA General Expenses	70.0000%	\$2,667	\$7,494	\$5,246	\$2,248	\$4,721
6.6 - TRLIA Insurance	70.0000%	\$0	\$0	\$0	\$0	\$0
6.7 - TRLIA Travel - Vehicle Lease	70.0000%	\$333	\$0	\$0	\$0	\$0
6.8 - TRLIA Memberships	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 6		\$27,000	\$36,193	\$25,335	\$10,858	\$22,801
Difference Between QWP & Actuals			-\$9,193			

"Yub34QPRElem6"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Reflects costs from January 1 to March 31, 2018 and paid to date by TRLIA.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 8

Elements and Features	State Share %	34th QWP Forecast [1]	34th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 8: Project Closeout Efforts						
8.1 - Project Close Out Efforts	70.0000%	\$1,500	\$0	\$0	\$0	\$0
Subtotal Element 8		\$1,500	\$0	\$0	\$0	\$0
		Difference Between QWP & Actuals	\$1,500			

"Yub34QPRElem8"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Reflects costs from January 1 to March 31, 2018 and paid to date by TRLIA.

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TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 9

Elements and Features	State Share %	34th QWP Forecast [1]	34th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 9: WPIC 200-Yr Standard						
9.1 - Design	70.0000%	\$0	\$0	\$0	\$0	\$0
9.2 - Permitting & Environmental Documenta	70.0000%	\$7,500	\$79,001	\$55,300	\$23,700	\$49,770
9.4 - Right of Way Support	70.0000%	\$15,000	\$8,147	\$5,703	\$2,444	\$5,133
9.5 - Construction Management	70.0000%	\$267,750	\$194,332	\$136,032	\$58,300	\$122,429
9.6 - Construction	70.0000%	\$1,835,571	\$158,898	\$111,229	\$47,669	\$100,106
9.7 - O&M Manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 9		\$2,125,821	\$440,377	\$308,264	\$132,113	\$277,438
			Difference Between QWP & Actuals	\$1,685,444		

"Yub34QPRElem9"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Reflects costs from January 1 to March 31, 2018 and paid to date by TRLIA.

2. Cumulative Costs and Funding Status through the 34th Quarter

The following set of tables present the status of the project on a cumulative expenditure basis as it relates to the current Overall Work Plan Budget (OAWP) (based on the revisions made by TRLIA submitted through the 25th QWP on October 11, 2015 and incorporated into Funding Amendment No. 4). This OAWP Budget is reflective of Elements 9 (which includes work for 200-Year ULDC compliance on the WPIC) and Element 10 (which includes work for 200-Year ULDC compliance on the lower Yuba at 1986 Break Site). In addition, as of the 28th Quarter, Right of Acquisition associated with the WPIC is now tracked under a new Element, Element 11.

In summary, through March 31, 2018, TRLIA has incurred expenses for the project which represent 86.0% of the total amended budget for Elements 1 through 11 (\$57,821,000). TRLIA has received a total of \$33,034,448 of State Funding to date (*this is reflective of the funding received through March 2018, including a partial release of retention on completed work as well as approved pending payments for the 33rd Quarter True-Up and 34th and 35th Quarter Advances*), approximately 82.1% of the current amended State Budget of \$40.261 million. Currently, TRLIA is in a position where it has received advance funding from the State that is subject to True-Up.

Cumulative Funding Reconciliation - State Share

Total Non-Real Estate Expenses Incurred from October 20 to Date	\$35,107,247
State Share of above Expenses	\$24,575,073
Retention on State Share (10%) less approved partial release	-\$1,590,602
State Share Net of Retention	\$22,984,470
State Funding Received through 34th Quarter*	\$25,630,832
Funding Due (True-Up Due After 34th Quarter)	-\$2,646,361

* Reflects funding received through the 35th Quarter to date including retention release of \$866,905, 33rd Quarter True-Up and pending advance payments for the 34th & 35th Quarters.

There are four individual feature budgets within the elements that have exceeded the revised total Overall Work Plan Budgets by greater than 5% excluding Element 5.

Element 5

With respect to Element 5, this Element has exceeded the original forecasted budget for Credit, however, the State is aware of this fact and this element only represents creditable expenses. On July 19, 2010 the State finalized the Credit amount for the Project ultimately approving \$6,619,616 of credit leaving an additional \$1,068,200 of potentially creditable expenses open pending further investigation. On June 30, 2011, TRLIA finalized this issue by sending notification to DWR that it had determined that these expenses were not eligible however, these costs are still reflected within these tables as project expenses funded solely from local sources.

Element 1A – Feature 1A.4 & 1A.5 Construction & Construction Management

These features have exceeded their respective budgets by more than 5% however, the overall Element 1A has not exceeded the budget by more than 5%. This element is nearly complete and is not expected to materially exceed the overall budget at this time.

Element 3.2.5 – Right of Way Support

This element has exceeded the budget approximately 6%. Additional Right of Way support costs will continue to be incurred until transfer of the Right of Way is made to the SSJDD. The expenses are expected to be minimal. TRLIA will continue to monitor the budget for the overall Element 3. The overall budget for the element is not expected to be exceeded.

Element 3.5 – Environmental Mitigation

This element has exceeded the budget approximately 6.5%. Additional plant maintenance and monitoring will continue until 2018. The expenses will be minimal. TRLIA will continue to monitor the budget for the overall Element 3. The overall budget for the element is not expected to be exceeded.

Element 9.2 – Permitting

This element has exceeded the budget approximately 69%. While the project has commenced construction and USACE issued a letter of permission during the 30th Quarter, additional expenses are expected to be minimal going forward. The overall budget for the element is not expected to be exceeded.

Summary of TRLIA Progress Report Overall Funding Status Upper Yuba

For Period January/February/March 2018 (34th Qtr)

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c = a - b	d	e	f = d - e	g = a - d	h = b - e	i = c - f	j = d/a
Quarterly Progress Report Reconciliation										
Element 1: Flatten Waterside Levee Slope	\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	93.1%
Element 1A: Land Side Improvement (Shadp...	\$1,060,000	\$742,000	\$318,000	\$1,063,212	\$744,248	\$318,964	-\$3,212	-\$2,248	-\$964	100.3%
Element 2: Pre-CEQA Determination Support	\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	99.5%
Element 3: Support Work	\$10,301,168	\$7,210,817	\$3,090,350	\$9,627,746	\$6,739,422	\$2,888,324	\$673,421	\$471,395	\$202,026	93.5%
Element 4: Construction	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	88.8%
Element 5: Prior Completed Work	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%
Element 6: Admin & Overhead	\$4,901,913	\$3,431,339	\$1,470,574	\$4,803,668	\$3,362,567	\$1,441,100	\$98,246	\$68,772	\$29,474	98.0%
Element 7: Real Estate Capital	\$5,373,838	\$3,761,687	\$1,612,151	\$2,966,303	\$2,076,412	\$889,891	\$2,407,535	\$1,685,274	\$722,260	55.2%
Element 8: Project Closeout Efforts	\$200,000	\$140,000	\$60,000	\$13,122	\$9,186	\$3,937	\$186,878	\$130,814	\$56,063	6.6%
Element 9: WPIC 200-Year Standard	\$15,171,000	\$10,619,700	\$4,551,300	\$10,426,001	\$7,298,201	\$3,127,800	\$4,744,999	\$3,321,499	\$1,423,500	68.7%
Element 10: Yuba 1986 Break Site Remediat...	\$870,000	\$609,000	\$261,000	\$406,615	\$284,631	\$121,985	\$463,385	\$324,369	\$139,015	46.7%
Element 11: Real Estate Capital (WPIC)	\$609,000	\$426,300	\$182,700	\$148,420	\$103,894	\$44,526	\$460,580	\$322,406	\$138,174	24.4%
Subtotal	\$57,821,000	\$40,260,718	\$17,560,283	\$49,708,012	\$34,582,597	\$15,125,414	\$8,112,989	\$5,678,120	\$2,434,868	86.0%
Subtotal Excluding Element 5	\$50,138,838	\$34,883,204	\$15,255,634	\$39,947,220	\$27,750,043	\$12,197,177	\$10,191,618	\$7,133,161	\$3,058,457	79.7%
Subtotal Excluding Elements 5, 7 & 11	\$44,156,000	\$30,695,217	\$13,460,783	\$36,832,497	\$25,569,737	\$11,262,760	\$7,323,503	\$5,125,480	\$2,198,023	83.4%
State Funding Received Through 34th Quarter										
State Share of Real Estate Advances	\$784,000									
Construction Funding	\$23,455,870									
Credit Funding Received	\$6,619,616									
Total Funding Received	\$30,859,486									
Percentage of State Budget Funded		76.6% (Received Through March 2018)								

Yub34QPRTotSumm

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 1

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
Formula	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50											
1.1: Design	70.0000%	\$199,279	\$139,495	\$59,784	\$198,854	\$139,198	\$59,656	\$424	\$297	\$127	99.8%
1.2: Permitting	70.0000%	\$49,134	\$34,394	\$14,740	\$869	\$608	\$261	\$48,265	\$33,786	\$14,480	1.8%
1.3: Right of Way	70.0000%	\$24,285	\$16,999	\$7,285	\$23,731	\$16,612	\$7,119	\$553	\$387	\$166	97.7%
1.4: Construction Management	70.0000%	\$180,492	\$126,345	\$54,148	\$179,455	\$125,618	\$53,836	\$1,037	\$726	\$311	99.4%
1.5: Construction [1]	70.0000%	\$325,250	\$227,675	\$97,575	\$325,250	\$227,675	\$97,575	\$0	\$0	\$0	100.0%
1.6: O&M Manual addendum	70.0000%	\$3,567	\$2,497	\$1,070	\$0	\$0	\$0	\$3,567	\$2,497	\$1,070	0.0%
Subtotal Element 1		\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	93.1%

"Yub34QPRTotElem1"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 1A

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 1A: Land Side Levee Improvement											
- Sta 7+00 to 8+20 (Shadpad Road)											
1A.1: Design	70.0000%	\$80,000	\$56,000	\$24,000	\$78,792	\$55,155	\$23,638	\$1,208	\$845	\$362	98.5%
1A.2: Permitting	70.0000%	\$105,000	\$73,500	\$31,500	\$101,848	\$71,294	\$30,554	\$3,152	\$2,206	\$946	97.0%
1A.3: Right of Way	70.0000%	\$240,000	\$168,000	\$72,000	\$201,696	\$141,187	\$60,509	\$38,304	\$26,813	\$11,491	84.0%
1A.4: Construction Management	70.0000%	\$175,000	\$122,500	\$52,500	\$200,708	\$140,496	\$60,212	-\$25,708	-\$17,996	-\$7,712	114.7%
1A.5: Construction	70.0000%	\$455,000	\$318,500	\$136,500	\$480,168	\$336,117	\$144,050	-\$25,168	-\$17,617	-\$7,550	105.5%
1A.6: O&M Manual addendum	70.0000%	\$5,000	\$3,500	\$1,500	\$0	\$0	\$0	\$5,000	\$3,500	\$1,500	0.0%
Subtotal Element 1A		\$1,060,000	\$742,000	\$318,000	\$1,063,212	\$744,248	\$318,964	-\$3,212	-\$2,248	-\$964	100.3%

"Yub34QPRTotElem1"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 2

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 2: Levee Improvements (Slurry Wall & Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts											
2.1: Alternatives Analysis	50.0000%	\$419,771	\$209,885	\$209,885	\$415,488	\$207,744	\$207,744	\$4,283	\$2,141	\$2,141	99.0%
2.2: CEQA / NEPA	50.0000%	\$650,142	\$325,071	\$325,071	\$649,566	\$324,783	\$324,783	\$576	\$288	\$288	99.9%
Subtotal Element 2		\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	99.5%

"Yub34QPRTotElem2"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 3

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 3: Levee Improvements (Slurry Wall and Waterside)											
3-2.3: Preliminary Design	70.0000%	\$1,378,778	\$965,145	\$413,633	\$1,368,300	\$957,810	\$410,490	\$10,478	\$7,335	\$3,144	99.2%
3-2.4: Permitting Preparation	70.0000%	\$225,344	\$157,741	\$67,603	\$33,937	\$23,756	\$10,181	\$191,407	\$133,985	\$57,422	15.1%
3-2.5: Right of Way Support	70.0000%	\$2,935,767	\$2,055,037	\$880,730	\$3,126,525	\$2,188,568	\$937,958	-\$190,758	-\$133,531	-\$57,227	106.5%
3.1: Final Design	70.0000%	\$2,279,307	\$1,595,515	\$683,792	\$2,238,820	\$1,567,174	\$671,646	\$40,488	\$28,341	\$12,146	98.2%
3.2: Permitting	70.0000%	\$702,461	\$491,723	\$210,738	\$175,328	\$122,730	\$52,598	\$527,133	\$368,993	\$158,140	25.0%
3.4: Construction Management	70.0000%	\$2,175,000	\$1,522,500	\$652,500	\$2,182,930	\$1,528,051	\$654,879	-\$7,930	-\$5,551	-\$2,379	100.4%
3.5: Environmental Mitigation	70.0000%	\$415,091	\$290,564	\$124,527	\$445,649	\$311,954	\$133,695	-\$30,558	-\$21,391	-\$9,167	107.4%
3.6: O&M Manual addendum	70.0000%	\$189,419	\$132,593	\$56,826	\$56,258	\$39,380	\$16,877	\$133,161	\$93,213	\$39,948	29.7%
Subtotal Element 3		\$10,301,168	\$7,210,817	\$3,090,350	\$9,627,746	\$6,739,422	\$2,888,324	\$673,421	\$471,395	\$202,026	93.5%

"Yub34QPRTotElem3"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 4

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to											
4.1 - Construction	70.0000%	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	88.8%
Subtotal Element 4		\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	88.8%

"Yub34QPRTotElem4"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 5

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 5: Prior Completed Work											
5.1 - Prior Completed Work	70.0000%	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%
Subtotal Element 6		\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%

"Yub34QPRTotElem5"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 6

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
Formula	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 6: Project Management, legal support, community relations support, land management support, and overhead											
6.1 - TRLIA Salaries/Benefits	70.0000%	\$1,750,714	\$1,225,500	\$525,214	\$1,836,079	\$1,285,255	\$550,824	-\$85,365	-\$59,755	-\$25,609	104.9%
6.2 - TRLIA Consultant Exp.	70.0000%	\$1,090,377	\$763,264	\$327,113	\$1,060,806	\$742,564	\$318,242	\$29,571	\$20,699	\$8,871	97.3%
6.3 - TRLIA Legal Support	70.0000%	\$364,235	\$254,964	\$109,270	\$343,270	\$240,289	\$102,981	\$20,965	\$14,675	\$6,289	94.2%
6.4 - TRLIA Travel Expenses	70.0000%	\$9,992	\$6,995	\$2,998	\$94	\$66	\$28	\$9,898	\$6,929	\$2,970	0.9%
6.5 - TRLIA General Expenses	70.0000%	\$256,643	\$179,650	\$76,993	\$302,770	\$211,939	\$90,831	-\$46,127	-\$32,289	-\$13,838	118.0%
6.6 - TRLIA Insurance	70.0000%	\$1,384,119	\$968,883	\$415,236	\$1,241,953	\$869,367	\$372,586	\$142,166	\$99,516	\$42,650	89.7%
6.7 - TRLIA Travel - Vehicle Lease	70.0000%	\$26,714	\$18,700	\$8,014	\$18,695	\$13,087	\$5,609	\$8,019	\$5,613	\$2,406	70.0%
6.8 - TRLIA Memberships	70.0000%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	0.0%
Subtotal Element 6		\$4,901,913	\$3,431,339	\$1,470,574	\$4,803,668	\$3,362,567	\$1,441,100	\$98,246	\$68,772	\$29,474	98.0%

"Yub34QPRTotElem6"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 7

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 7: Right of Way Capital											
7-3.3 - Right of Way Capital	70.0000%	\$5,373,838	\$3,761,687	\$1,612,151	\$2,966,303	\$2,076,412	\$889,891	\$2,407,535	\$1,685,274	\$722,260	55.2%
Subtotal Element 7		\$5,373,838	\$3,761,687	\$1,612,151	\$2,966,303	\$2,076,412	\$889,891	\$2,407,535	\$1,685,274	\$722,260	55.2%

"Yub34QPRTotElem7"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 8

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 8: Project Closeout Efforts											
8.1 - Project Close Out Efforts	70%	\$200,000	\$140,000	\$60,000	\$13,122	\$9,186	\$3,937	\$186,878	\$130,814	\$56,063	6.6%
Subtotal Element 8		\$200,000	\$140,000	\$60,000	\$13,122	\$9,186	\$3,937	\$186,878	\$130,814	\$56,063	6.6%

"Yub34QPRTotElem8"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 9

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
Formula	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 9: WPIC 200-Yr Standard											
9.1 - Design	70%	\$1,371,000	\$959,700	\$411,300	\$1,349,101	\$944,371	\$404,730	\$21,899	\$15,329	\$6,570	98.4%
9.2 - Permitting & Environmental D	70%	\$759,000	\$531,300	\$227,700	\$1,489,136	\$1,042,395	\$446,741	-\$730,136	-\$511,095	-\$219,041	196.2%
9.4 - Right of Way Support	70%	\$323,000	\$226,100	\$96,900	\$286,291	\$200,404	\$85,887	\$36,709	\$25,696	\$11,013	88.6%
9.5 - Construction Management	70%	\$1,501,000	\$1,050,700	\$450,300	\$1,568,288	\$1,097,802	\$470,486	-\$67,288	-\$47,102	-\$20,186	104.5%
9.6 - Construction	70%	\$11,167,000	\$7,816,900	\$3,350,100	\$5,733,186	\$4,013,230	\$1,719,956	\$5,433,814	\$3,803,670	\$1,630,144	51.3%
9.7 - O&M Manual addendum	70%	\$50,000	\$35,000	\$15,000	\$0	\$0	\$0	\$50,000	\$35,000	\$15,000	0.0%
Subtotal Element 9		\$15,171,000	\$10,619,700	\$4,551,300	\$10,426,001	\$7,298,201	\$3,127,800	\$4,744,999	\$3,321,499	\$1,423,500	68.7%

"Yub34QPRTotElem9"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

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TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 10

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 10: Yuba 1986 Break Site Remediation											
10.1: Project Management	70%	\$110,000	\$77,000	\$33,000	\$20,487	\$14,341	\$6,146	\$89,513	\$62,659	\$26,854	18.6%
10.2: Geotechnical Explorations	70%	\$135,000	\$94,500	\$40,500	\$120,335	\$84,235	\$36,101	\$14,665	\$10,265	\$4,399	89.1%
10.3: Design	70%	\$40,000	\$28,000	\$12,000	\$363	\$254	\$109	\$39,637	\$27,746	\$11,891	0.9%
10.4: Construction	70%	\$475,000	\$332,500	\$142,500	\$252,122	\$176,485	\$75,637	\$222,878	\$156,015	\$66,863	53.1%
10.5: Construction Management	70%	\$110,000	\$77,000	\$33,000	\$13,308	\$9,316	\$3,992	\$96,692	\$67,684	\$29,008	12.1%
Subtotal Element 10		\$870,000	\$609,000	\$261,000	\$406,615	\$284,631	\$121,985	\$463,385	\$324,369	\$139,015	46.7%

"Yub34QPRTotElem10"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2018 (34th Qtr)

ELEMENT 11

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 11: Right of Way Capital (WPIC)											
11-9.3 - Right of Way Capital	70.0000%	\$609,000	\$426,300	\$182,700	\$148,420	\$103,894	\$44,526	\$460,580	\$322,406	\$138,174	24.4%
Subtotal Element 11		\$609,000	\$426,300	\$182,700	\$148,420	\$103,894	\$44,526	\$460,580	\$322,406	\$138,174	24.4%

"Yub34QPRTotElem11"

[1] As reflected in the 34th QWP dated March 7, 2018

[2] Includes expenses accrued through March 31, 2018 (and paid to date by TRLIA for the FY).