



TRLIA
22nd QUARTERLY
PROGRESS REPORT
FOR
UPPER YUBA RIVER LEVEE
IMPROVEMENT PROJECT

1. Quarterly Progress Report (QPR) for 22nd Quarter: January 1 – March 31, 2015

2. Date of Report: June 11, 2015

3. Purpose of Quarterly Report: This report summarizes the work completed in the 22nd Quarter.

4. Specific Project Element Information:

- Major accomplishments this quarter (i.e. tasks completed, milestones met, meetings held or attended, press releases, etc.)
 - Project Element 9 Feature 1 Design: TRLIA completed its 65% design effort and provided corresponding documents to DWR, CVFPB, USACE, and BOSC for review
 - Significant Events, media releases, and media coverage during the Quarter: None
- **Project Element Information:**
 - *Effective the 21st quarter the narrative text for completed Elements and Features has been deleted from the report. The deleted items will continue to be shown in the schedule and financial update portions of the report.*
 - During the 19th quarter (September 24, 2014) the UYLIP funding agreement was amended for the 3rd time to include two new Elements. These include work associated with 200-Year compliance work associated with the Western Pacific Interceptor Canal and the Yuba (Linda) Levee 1986 Break Site. This amendment also extended the Agreement Date to June 30, 2016.
 - At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4th Quarterly Work Plan (QWP) submitted on May

28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4th QWP that reflected the following changes.

- A new Element 7: Right of Way Capital was added. This was previously Element 3: Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.
- Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QPR is reflective of these modifications.

- **Engineering and Construction matters:**

- **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00; added by the 9th Quarterly Work Plan.**

The U.S Army Corps of Engineers (USACE) performed a detailed inspection of the Reclamation District (RD) 784 Levee System in November-December 2010, which identified a significant issue along the landside of the Yuba River levee on the other side of levee where Project Element 1 work was performed. This issue was corrected in 2012 by removing landside encroachments and flattening the landside slope.

- Feature 3 Right of Way Support: TRLIA continued to work on this feature during the 22nd Quarter. See Element 7 below for property acquisition information.
- Feature 6: O&M Manual: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. CVFPB supports the USACE requirement and asked for the combination also. A draft Combined Addendum was submitted to the CVFPB on January 8, 2014. USACE is currently reviewing this combined Addendum. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of May 6,

2015 no revised O&M Manual has been supplied by USACE. TRLIA and the CVFPB continue to coordinate with USACE to obtain feedback. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum.

➤ **Project Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**

- Feature 2.5 Right of Way Support:
 - Real Estate has initiated monthly meetings with DWR to discuss outstanding issues including the status of the Final Accounting Packages and the cadastral review. Meetings were held on January 23, 2015, February 18, 2015, and March 18, 2015. These meetings will continue into the next quarter.
 - Real Estate and Legal staff worked on the property acquisitions and Final Accounting Packages (FAP's) listed in Element 7 of this report during the 22nd quarter.
- Feature 3 Right of Way Capital: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4th Quarterly Work Plan.
- Feature 4 Construction Management: Limited activity occurred during this quarter to address items required by settlement of eminent domain settlements (e.g. PG&E underground line placement for Dan Luis settlement). PG&E has been requested to schedule the final relocation of the waterside power line to 15 feet from the levee toe. A portion of this relocation will be underground. This work will be done by PG&E under CVFPB Permit 18695 that was approved on January 26, 2012. TRLIA and PG&E met in January 2014 to discuss scheduling of this work. PG&E will not do the work until all utility easements had been assigned to them. TRLIA cannot issue easements to PG&E until final title on the acquired Luis parcel is recorded and filed. The lot line adjustment issue has been resolved. TRLIA received all court documents and made all payments on the Luis acquisition in the 22nd Quarter. Title should be filed and easements issued to PG&E in the 23rd Quarter. After easements are assigned to PG&E, TRLIA will continue coordination with PG&E to accomplish final relocation of the line.
- Feature 5 Environmental Mitigation:

- Elderberry Mitigation - Use of Anderson Mitigation Site: The additional elderberry seedling plantings were planted in the 14th quarter and plant maintenance was performed in the 22nd quarter to ensure their establishment. Maintenance for the additional seedlings will continue until April 2016. Monitoring will continue until 2018.

- Feature 6 O&M Manual Addendum:

The existing O&M Addendum for the Yuba South Levee has been revised to add the work accomplished for the UYLIP. This revised manual has been reviewed and discussed with RD 784. The revised Addendum was submitted to CVFPB and DWR EIP on February 14, 2013. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. CVFPB supports the USACE requirement and asked for the combination also. A draft Combined Addendum was submitted to the CVFPB on January 8, 2014. USACE is currently reviewing this combined Addendum. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of May 7, 2015 no revised O&M Manual has been supplied by USACE. TRLIA and the CVFPB continue to coordinate with USACE for feedback. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum.

- **Project Element 4:Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Station 102+50 to 303+**

- Feature 1 Construction: **Completed**

- **Project Element 6:** Project Management, legal support, community relations support, land management support, and overhead

- Activity occurred in all Features during this quarter except Travel, Insurance, Vehicle Lease and Memberships.

- **Element 7:** Right of Way Capital

- Feature 7-3.3 Right of Way:

- DWR approved the Upper Yuba River Real Estate Plan on June 28, 2010.
- On March 5, 2012 TRLIA submitted Amendment No. 1 to the UYLIP project. TRLIA submitted an Amendment No. 1 in the 20th Quarter that incorporated the parcels required for the WPIC segment (proposed Element 9), and some additions to the original Real Estate Plan. Since the submission, DWR has posed several questions regarding ownership and boundaries in an area owned by Western Aggregates. During this quarter TRLIA worked with the Western Aggregate representatives and Title Company to respond to DWR's questions.
- Western Aggregate: TRLIA has been in negotiations with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, and to leave already existing railroad easements owned by Western Aggregates in place, because Western Aggregates has stated that it hopes to use its fee and easement interests along the levee to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow the existing easements owned by Western Aggregate to remain in place, and also agreed to allow TRLIA to grant new railroad easements to Western Aggregates over property that TRLIA acquires from Western Aggregates in fee.

In exchange for transferring fee title to the properties that TRLIA seeks to acquire in fee, Western Aggregate has requested TRLIA grant Western Aggregates a new floating railway easement on not only the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 22nd quarter TRLIA continued to negotiate with Western Aggregates on this item and has brought in the services of a mediator to help resolve the issues associated with this item.

This issue is listed in the Issue/Concerns portion of this report

- **Final Accounting Packages (FAPs) and Cadastral Requirements:**
Tables 1 to 6 provide the status of Real Estate Property actions and Final Accounting Packages (FAPs)

Table 1 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
1	14050	020-080-009	Western Aggregates, Inc.		
2	14051	021-041-006	Clara Willis, Et al	Pending	
3	14052	021-041-007	Bernard J. Perez	Pending	
4	14053	021-041-010	Lothar S. Klug, Et Al	Pending	
5	14054	021-041-009	ROSA MARTHA VALDEZ	Pending	
6	14055	021-041-012, 021-042-014	Steve Gilbert, Et Al	Pending	
7	14056	021-042-027	Humam El Sharif	Pending	
8	14057	021-0500-001	Orest Wesely, Et Al	Pending	
9	14058	018-220-048, -049	COF Quail Hollow Land 35F LLC	Pending	
10	14059	018-210-014	Stephanie Morris	Pending	
11	14060	018-210-029	Robert G. Wood	Pending	
12	14061	018-220-007	Howard R. Miller	Pending	
13	14062	018-200-001, 018-220-030	Ajit S. Bains		
14	14063	018-200-005, -007, 018-210-035	Anthony J. Luis, Et Al		
15	14064	018-200-008	Danel J. Luis		
16	14065	018-190-002, 018-200-006	Richard G. Wilbur Trust		
17	14066	018-140-040	Richard G. Wilbur Trust		
18	14067	018-150-015, 018-190-001	Henry P. Smith Et Al		
19	14068	018-190-110	Walbinder S. Sohal Living Trust	Pending	
20	14069	018-190-107, 018-190-108	Sohal Family Trust	Pending	
21	14070	018-150-035, 018-190-104	Michele R. Baker		
22	14071	018-150-032	Shintaffer Farms, Inc.		
23	14072	018-190-109	Bhajan S. Sohal, Et Al	Pending	
24	14073	021-021-001	Dunning Properties, LP		
25	14074	Portion of 018-220-	Wilbur, Richard G.		

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
		056			
26	14075	018-220-056	Western Aggregates, LLC		
27	14079	020-410-001	Allen, Jason G.		
28	14080	Portion of 018-150-032 and -035	Federal Fruit Exchange	Pending	
29	14081	018-230-028	Orchard Growers	Pending	
30	not assigned - TCE only	018-140-041	Naumes, Inc.	Pending	
31	not assigned - TCE only	018-190-085	Rahul, Surjit S. & Parmjit	Pending	

Table 2 - Acquisition being negotiated:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRLIA has acquired. Parties are negotiating.
14063	Anthony J. & Zelma B. Luis	Settlement Reached				\$11,200	Settlement agreement signed. Agreement has contingencies that have now been completed. TRLIA anticipates the judgment will be entered and the final order of condemnation will be recorded in the 23rd quarter.
14070	Michele R. Barker	Settlement Reached; not signed				\$64,750	Property owners have approved the cross-levee easement and signed the settlement agreement. TRLIA anticipates this acquisition will be completed in the 23rd quarter.

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14073	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
14074	Richard G. Wilbur	Negotiation					First Written Offer 3/7/13. TRLIA is updating the appraisal and will make a revised offer.
14075	Western Aggregates, LLC	Negotiation					See comment for CVFPB 14050

Table 3 - Negotiated Acquisitions – The following parcels have been acquired. Final Accounting Packages are being prepared.

At BRI:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14062	Ajit S. Bains & Maria Del Carmen	Complete		\$48,700.00	\$34,090.00	\$15,050	Judgment entered February 10, 2014; Final Order of Condemnation recorded 6/17/14. Sent signed easements to DB for recording 3/24/15
14064	Daniel J. Luis	Complete		\$46,477.00	\$32,533.90	\$5,670.00	Need Final Order of Condemnation. This parcel is part of the same eminent domain action as CVFPB 14063, and the final order will record at the same time as that parcel.
14079	Jason G. Allen	Settlement Reached		\$210,000.00	\$147,070.00	Not invoiced yet	Settlement reached 12/18/13

Table 4 - At Downey Brand:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
None							

Table 5 - Final Accounting Packages at DWR:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14051	Ronnie C. Willis	Complete	submitted	\$29,500.00	\$20,650.00	\$20,650.00	To DWR 10/11/13
14052	Bernard J. Perez	Complete	submitted	\$10,350.00	\$7,245.00	\$7,245.00	To DWR 4/17/13
14053	Lothar S. & Brigitta M. Klug	Complete	submitted	\$2,800.00	\$1,960.00	\$1,960.00	To DWR 4/17/13
14054	Valdez	Complete	submitted	\$5,200.00	\$3,640.00	\$1,820.00	To DWR 8/22/14
14055	Steve and Denise Gilbert	Complete	submitted	\$15,700.00	\$14,840.00	\$14,840.00	To DWR 8/1/13
14055	Steve and Denise Gilbert	Complete	submitted	\$5,500.00			To DWR 8/1/13
14056	Humam El Sharif	Complete	submitted	\$2,100.00	\$1,470.00	\$1,470.00	To DWR 4/25/14
14057	Orest and Irene Wesely	Complete	submitted	\$33,000.00	\$23,100.00	\$23,100.00	To DWR 10/11/13
14058	COF Quail Hollow Land 148T	Complete	submitted	\$34,750.00	\$24,325.00	\$24,325.00	To DWR 4/17/13
14059	Stephanie Morris	Complete	submitted	\$7,900.00	\$5,530.00	\$4,060.00	To DWR 8/22/14
14060	Robert Glenn Wood	Complete	Submitted	\$3,200.00	\$2,240.00	\$2,240.00	To DWR 4/17/13
14061	Howard R Miller	Complete	Submitted	\$1,400.00	\$980.00	\$980.00	To DWR 8/22/14
14065	Richard G. Wilbur Trust	Complete	Submitted	\$48,270.00	\$33,789.00	\$16,100	To DWR 1/26/15
14066	Richard G. Wilbur Trust	Complete	Submitted				To DWR 1/26/15
14067	Henry P. Smith	Complete	Submitted	\$149,071.00	104,349.00	\$22,400.00	To DWR 1/26/15
14068	Walbinder S. Sohal Living Trust,	Complete	Submitted	\$598,500.00	\$418,950.00	\$418,950.00	To DWR 1/22/14

14069	Awtar S. Sohal & Sukhvir K. Sohal, Co-Trustees	Complete	submitted	\$41,500.00	\$29,050.00	\$21,787.50	To DWR 8/2/14
14071	Shintaffer Farms, Inc.	Complete	Submitted	\$5,500.00	\$3,850.00	\$437.00	To DWR 2/26/15
14072	Bhajan S. & Rajwant K. Sohal	Complete	submitted	\$598,500.00	\$418,950.00	\$418,950.00	TO DWR 1/22/14
14080	Federal Fruit Distributors	Complete	submitted	\$800.00	\$560.00	\$392.00	To DWR 4/25/14
14081	Orchard Growers	Complete	submitted	\$500.00	\$350.00		To DWR 3/24/14
not assigned - TCE only	Naumes, Inc.	Complete	18th Qtr	\$3,000.00	\$2,100.00	\$175.00	To DWR 6/5/14
not assigned - TCE only	Rahul, Surjit S. & Parmjit	Complete	18th Qtr	\$500.00	\$350.00	\$175.00	To DWR 6/6/14

Table 6 - Status of Relocation Actions:

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	
	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	
	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	
	Jason G. Allen	Ed Widener	Complete	\$12,272.00	
	Jason G. Allen	Jack Fouse	Complete	\$0	No response from tenant, who moved from Park.
	Jason G. Allen	Les Begley	Complete	\$1,100.00	
	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	
	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	
	Jason G. Allen	David Haughton	Complete	\$2,402.78	
	Jason G. Allen	Jason G. Allen	Complete	\$24,754.99	

➤ **Project Element 8 Closeout Process (Element added by 14th QWP):**

- 8 Feature 1: Closeout Process
 - On November 15, 2013 TRILIA submitted its initial draft of Closeout

Documentation for the UYRLIP project for DWR’s review. As part of that submission, TRLIA requested a partial release of retention for the portion of the project that has been completed. The State has not responded to this request. TRLIA has reinitiated the discussion as of February 23rd and the State has indicated that the request is under review.

This item is listed in the Issues and Concerns portion of the report.

➤ **Project Element 9 WPIC 200-yr Standard:**

- Feature 1 Design: TRLIA completed its 65% design effort and provided corresponding documents to DWR, CVFPB, USACE, and BOSC for review.
- Feature 2 Permitting:
 - See Attachment 1 for permits listing
 - Permits status: TRLIA prepared a draft Joint Initial Study/Mitigated Negative Declaration and Environmental Assessment (IS/MND and EA) and submitted it to USACE for review and comment. Comments were incorporated. TRLIA also submitted a draft Biological Assessment (BA) to USACE and USFWS for review and comments. Final versions of the Joint IS/MND and EA and BA will be submitted in the following quarter.
- Feature 3 Right of Way Acquisition: Real Estate activities continue in the WPIC area. During this quarter, efforts focused on the preparation of the right of way appraisal maps and legal descriptions along with their review by DWR. Appraisals were completed and offers were made to Miller and Alfaro. During the next quarter we anticipate making an offer to Rue and identifying any remaining real estate rights needed for construction.

Table 7 – List of FAPS and Cadastral Approval Status

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1		Miller-Belza-Peck	1		
2		Rue & Forsman Real Estate LLC	1		
3		Llatigo Farms LLC (Includes Unecon Remnant)	2		
4		Alfaro Family Trust (Includes Unecon Remnant)	5		
			9	0	0

- Feature 4 Right of Way Support:
 - During the 20th quarter, October 2, 2014, TRLIA submitted an amendment to UYLIP Real Estate plan for work on the WPIC. DWR approval of the plan did not occur in the 23rd quarter. TRLIA will continue to work with DWR to resolve issues related to the UYLIP portion of the real estate plan amendment or segregate the WPIC amendment for separate consideration and approval. Appraisals and Offers will continue to be made so that TRLIA can start construction work this construction season (2015). Timely approval of legal descriptions, appraisals and offers is critical for position of the real estate in time for construction. See table below for status.

Table 8 – Property Acquisition Status

CVFPB	APN	OWNER	ESA Completed	Receipt of Legals and Plats (or revision)	Appraisal submitted to TRLIA	Appraisal submitted to DWR	Appraisal Approved by DWR	Just Compensation Approved	Offer Made	Comments
not assigned yet	014-270-084 014-270-105 014-270-106 014-350-065 014-360-002	Alfaro Family Trust	12/29/2014	1/28/2015	1/23/2015	1/23/2015		1/23/2015		Offer has been made; owner does not want to sell fee; may proceed to RON
not assigned yet	014-360-011 014-360-013	Latigo Farms, LLC	12/29/2014		8/31/2012				4/8/2013 9/25/2013	Suit filed; Property owners have stipulated to possession
not assigned yet	016-050-023	Rue & Forsman Real Estate, LLC	12/24/2014	1/28/2015						Appraisal completed and Offer is pending
not assigned yet	016-070-018	Miller-Belza-Peck	12/24/2014	1/28/2015	9/12/2014	10/21/2014		10/3/2014	10/15/2014	Appraisal completed and offer has been made

- Prior to the UYLIP 3rd funding amendment being approved TRLIA filed an eminent domain action against Latigo Farms LLC to acquire property rights need for this element. TRLIA worked on this case during the 22nd quarter. A case management conference is scheduled in the eminent domain action for July 20, 2015.

- Several discussions were held with UPRR regarding access along the corridor. An on-site meeting occurred on February 18, 2015 with UPRR representatives and project engineers to review the area and construction plans. At this meeting the UPRR maintenance manager for this stretch of railroad stated that he had no issues with RD 784 accessing the railroad ROW to perform vegetation maintenance at the landside toe of the railroad embankment. RD 784 personnel should not work along the track at the top of the embankment.
 - Feature 5 Construction Management: No work was done
 - Feature 6 Construction: No work was done
 - Feature 7 Updated O&M Manual: No work was done
- **Project Element 10 Yuba 1986 Break Site Remediation**
 - On September 24, 2014 the UYLIP funding agreement was amended for the 3rd time to include this Element.
 - Feature 1 Permitting:
 - See Attachment 2 for permits listing
 - USACE provided an approval letter to CVFPB for the encroachment permit and USACE Section 408 alteration on April 20, 2015. The encroachment permit was issued on April 30, 2015.
 - Feature 3 Design: Design work has been completed. Based on the explorations the extent and cross section of the remediation feature was developed. The proposed remediation is to enlarge an existing landside stability berm in the vicinity of the 86 Break so that even if material is lost from the embankment through a slump, enough levee embankment will remain to withstand flood forces. The proposed enlargement of the existing stability berm will extend from station 2151+79 to station 2156+36 (457 linear feet (LF)). The enlarged berm will be constructed on top of an existing seepage berm and will consist of general fill. The enlarged berm top width will be 53 feet. The side slope of the berm will be 3 horizontal to 1 vertical. The top of the berm will be set at elevation 81.2 feet NAVD88 which is 3 feet above the elevation of the 200-Year flood (78.2 feet NAVD88) and will give a berm height of approximately 11 feet above the top surface of the existing seepage berm. The 1957 Design Profile Water Surface Elevation at this location is 79.1 feet NAVD88. Responses to DWR comments were accepted by DWR on April 15, 2015. Draft specifications have been prepared and are under review by Counsel. Plan to bid this job in the 23rd Quarter.

- Feature 4 Construction: No work was done
- Feature 5 Construction Management: No work was done

- **Environmental matters**

- **Project Element 3:** See Project Element 3 Feature 5 Environmental Mitigation above for this information.
- See Project Element 9 Feature 2 Permitting for this information for Project Element 9.

- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**

- **Element 9:** See attachment 1 for list
- **Element 10:** See Attachment 2 for list

- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**

- **Issue 1:** Western Aggregate has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 22nd quarter TRLIA continued to negotiate with Western Aggregates on this item. TRLIA has brought on a mediator to help resolve the issues associated with this item.

Recommended Solution: DWR support TRLIA as negotiations with the CVFPB transpire for the approval of the Western Aggregate floating easement request.

- **Issue 2:** TRLIA has submitted an initial draft of Project Closeout materials in electronic format for DWR's review. This Project Closeout Documentation report presents a compilation of all of the required project closeout documentation that has been prepared to date. As part of this submission, TRLIA presented a request for a partial release of retention of the work completed through 15th Quarter in the amount of \$2,448,160 less credit for interest earned State Funding in the amount of \$106,799 for a net release of \$2,341,361.

Recommended Solution: DWR review TRLIA's request and provide comments on the request and at the same time commence the process of a partial release of retention for those elements deemed complete.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- No differences are expected as part of this Quarterly Report.

- **Demonstrate financial ability to pay local cost share of Eligible Project Costs required to complete the Project:**

- Local funding for the remaining costs of the project is to come from three sources (listed in order of priority of use by TRLIA):

- Credits accrued from State funding of prior completed work (Element 5 Work as well as other costs incurred prior to the funding agreement execution).
- State Funding of Segment 1 of the Feather River EIP Project.
- Local funding from the proceeds of bond sales completed by the Yuba Levee Finance Authority.

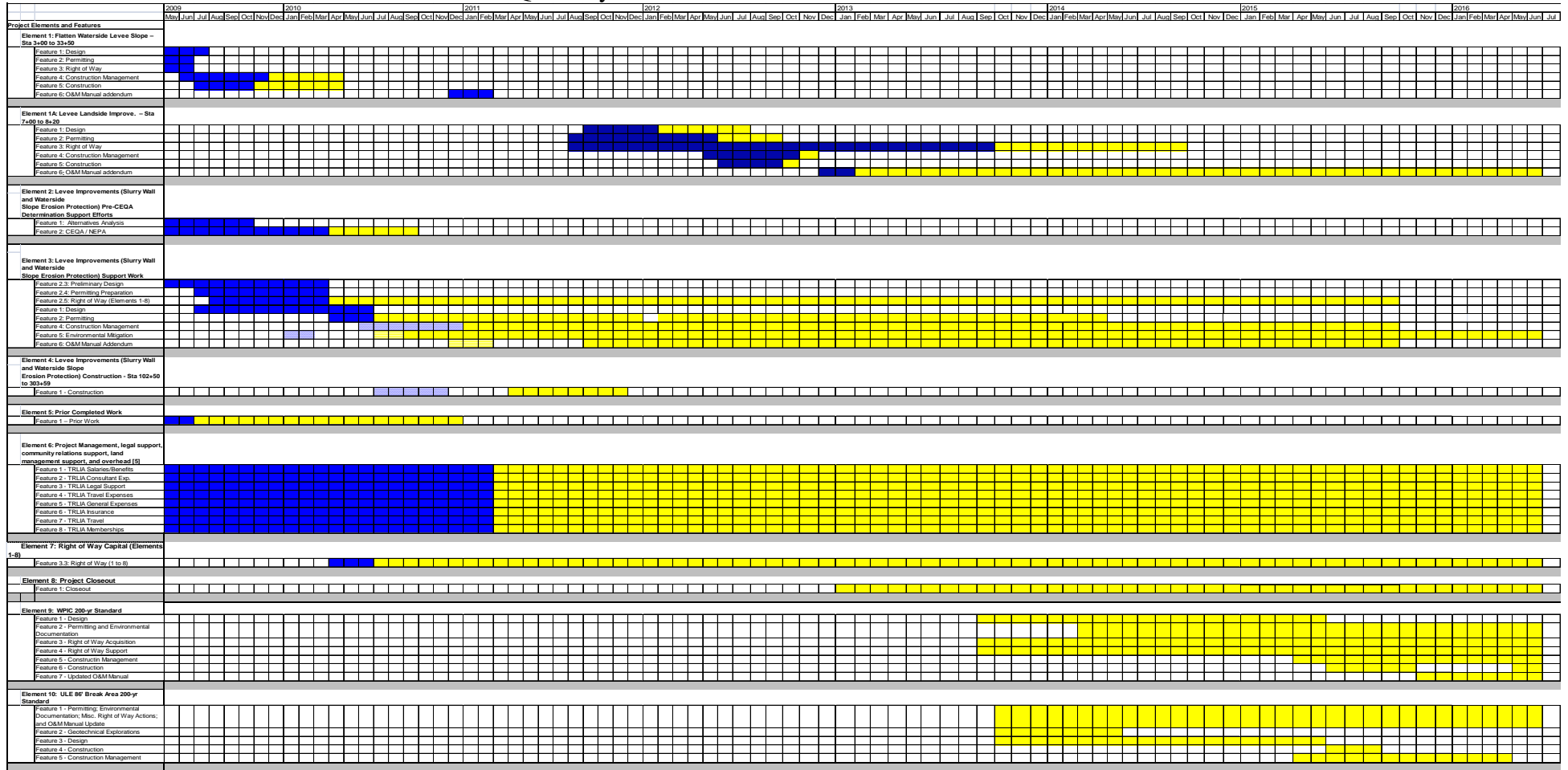
The Local Share of the Project based upon the revised overall work plan budget submitted as part of the 19th Quarterly Work Plan is approximately \$17.56 million. Within this budget, Element 5 work has already been completed and the local share of this work had been previously paid for by TRLIA, (approximately \$2.3 million) therefore the local share of these costs can be deducted to determine the remaining local share of costs of the project, approximately \$15.25 million. The local funding sources available to cover the remaining project costs are detailed as follows;

- As noted in #4 – Project Element 5 above, on July 19, 2010, DWR informed TRLIA that it had approved the majority of TRLIA's credit request in the amount of \$6,619,616. To date, the State has funded \$5,575,931 of the approved Credit to cover local share costs.
- DWR provided reimbursement for Segment 1 and Erosion Site 2 of TRLIA's Feather River project and a payment of \$8,089,379 was received on June 29, 2010. These funds will be used to fund a portion of the local share of Upper Yuba River Costs.
- The above two items total \$13,153,371 of local funding received by TRLIA to date. This covers nearly all of local share of the costs of the project. Additionally, there is still remaining credit to be received by TRLIA. As noted

by TRLIA within its amended financial plan presented to support the inclusion of Element 9, TRLIA also has local funds available from the Yuba Levee Finance Authority to assist in cash flowing the project should the need arise. TRLIA noted that there is over \$7,000,000 of available funding for this work. This amount continues to be available of the date of this report.

- On November 19, 2013 TRLIA made a partial release of retention request to the State as part of its submission of final documentation for the project. At that time, TRLIA requested that the State release \$2,341,361. TRLIA will utilize this funding to continue to cash flow the remainder of projected project expenses. **It should be noted that to the extent the State delays in responding to requests for release of retention, other work that TRLIA has underway could be impacted due to the cash flow constraints.**
- **Legal Matters:** No issues for all Project Elements
- **Cost Information by Project Element:** This information is provided in an attached Appendix I at end of this Quarterly Progress Report.

5. Schedule Information by Project Element: Shown in the figure below is an updated Exhibit A (overall project schedule). This is the schedule shown in the 21st Quarterly Work Plan



Blue: Original **Pattern:** Not Viable **Yellow:** Prior Quarter Adjustments and Amendments **Green:** Changes from 20th QPR

- **A discussion on how the projected schedule compares to the original or last reported schedule: None**

6. Attachments

1. Tab D: Permit Status Update - WPIC 200-yr Standard (added by Amendment 3)
2. Tab D: Permit Status Update - ULE 86' Break Area 200-yr Standard (added by Amendment 3)

7. Appendices

Appendix I: TRLIA Upper Yuba River Levee Improvement Project 22nd Quarterly Progress Report Budget Information, dated June 3, 2015.

Attachment 1

Tab D: WPIC 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> Permit No. 17782 BD was issued by the CVFPB (Reclamation Board) on May 19, 2005 for the original WPIC work. A new permit will be requested for the proposed ULDC Remediation Work.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	Yes	<ul style="list-style-type: none"> Waters of the United States will be impacted
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> Wetland delineation has been completed.
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> Section 408 Written Request was submitted to the CVFPB in September 2014. TRLIA anticipates approval of landside work to occur at the District level. Approval for cutoff walls will require HQUSACE approval.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	<ul style="list-style-type: none"> The 404 Permit will require Water Quality Certification
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> Pre-coordination with USFWS is underway. Formal consultation will occur in future.
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	Yes	<ul style="list-style-type: none"> Pre-coordination with DFW is underway. Consultation will be initiated in future.

DFW	Streambed Alteration Agreement	Yes	<ul style="list-style-type: none"> A SAA will be required as work is occurring below the waterside levee hinge point. However, all ground disturbances are above the WPIC ordinary high water mark.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	<ul style="list-style-type: none"> Haul routes for the project will encroach onto Caltrans rights-of-way.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	<ul style="list-style-type: none"> Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> Coordination with the SHPO will occur during preparation of CEQA and NEPA Documents
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 is underway.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> A joint IS/MND has been prepared.
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> A draft EA has been prepared.
Section 221 Credit	Credit under Section 221, as amended	No	<ul style="list-style-type: none"> The proposed work is not eligible for Section 221 credit as it is not being considered as part of an ongoing or authorized Federal project.

Attachment 3

TAB D: ULE 86' Break Area 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> An encroachment permit was issued by the CVFPB on April 30, 2015
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
Corps	Wetlands Delineation	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> USACE approved altering the project as part of the Section 408 Process on April 20, 2015
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	No	<ul style="list-style-type: none"> The project will avoid take of listed endangered species
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	No	<ul style="list-style-type: none"> The project will avoid take of listed endangered species and will be constructed under a Categorical Exclusion
DFW	Streambed Alteration Agreement	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and no alterations to the streambed will occur.

California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70).
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 will occur during design and construction of the project. The RD 784 Board of Directors endorsed the encroachment permit application on August 5, 2014.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> USACE is preparing the NEPA documentation required for the Section 408 process
Section 104 Credit	Future federal projects cost sharing	No	<ul style="list-style-type: none"> No longer applicable per Assistant Secretary of the Army
Section 103 Credit	Credit under Section 2003	No	<ul style="list-style-type: none"> This work is not part of a USACE Feasibility Study; therefore, credit will not be sought

Appendix I

Appendix I: TRLIA Upper Yuba River Levee Improvement Project 22nd Quarterly Progress Report Budget Information, dated June 3, 2015.

Memorandum

TRLIA Upper Yuba River Levee Improvement Project

22nd Quarterly Progress Report – Financial Document

June 3, 2015

Prepared for: Paul Brunner, TRLIA

Prepared by: Seth Wurzel

This memorandum has been prepared by Larsen Wurzel & Associates, Inc. (LWA) at the request of Three Rivers Levee Improvement Authority (TRLIA) to supplement the 22nd Quarterly Progress Report (22nd QPR) to the Department of Water Resources (DWR) for the Upper Yuba River Levee Improvement Project (Project). As indicated in TRLIA's 22nd QPR, the cost and budget information is being provided within this memorandum to DWR as an attachment to the 22nd QPR.

This memorandum includes two sets of tables that present the following analyses:

1. **Quarterly Work Plan Reconciliation** — A reconciliation of the 22nd Quarterly Work Plan forecasted expenditures to the actual expenses incurred for the quarter's work and, the associated funding of the State Share received pursuant to Section 14.C of TRLIA's funding agreement with DWR (Funding Agreement).
2. **Cost and Funding Status through the 22nd Quarter** — A summary of the cumulative costs incurred since November 6, 2006 through March 31, 2015¹ and a comparison of those costs incurred to the current Overall Work Plan Budget (based on the revisions by TRLIA as part of the 19th Quarter Work Plan and Funding Amendment No 3²) included as Exhibit A to the Funding Agreement.

1. Quarterly Work Plan Reconciliation

The following tables provide detailed information regarding the costs incurred for each Element and Feature during the 22nd Quarter (January 1, 2015 through March 31, 2015) and compare these amounts to the forecasted expenditures for the Quarter presented within the 22nd Quarterly Work Plan (QWP). The tables show the State Share of eligible expenses net of the required 10% withholding

¹ Inclusive of the expenses incurred through March 31, 2015 and paid by TRLIA through the month of April 2015. Reference the June 3, 2015 TRLIA Statement of Costs for the 22nd Quarter (subject to review and approval by DWR).

² On March 10, 2014 TRLIA submitted a Revised Exhibit A that adjusted the Overall Work Plan Budget as part of the 19th Quarterly Work Plan. The revisions were also incorporated into a request for a 3rd amendment to the Agreement to include the 200-Year ULDC Compliance on the WPIC.

of the State Share and compares this amount to the funding received by TRLIA from the State through the 22nd Quarter.

The following presents a summary of the conclusions drawn from the tables:

22nd Quarter Reconciliation Summary (Elements 1 through 4, 6, 8 thru 10)

	<u>Total</u>	<u>State Share</u>
Total Non-Real Estate Expenses Incurred During 22nd Quarter [1]	\$623,978	\$436,784
10% Required Retention		-\$43,678
State Share Net of Retention		\$393,106
plus State Share of Funding Owed for Prior Quarters		\$556,635
State Share Due		\$949,741
Local Share to be Paid from Credit [2]		\$0
plus State Share of Funding Owed for Prior Quarter [2]		\$949,741
Local Credit Due		\$0
Total Funding Due as of Quarter		\$949,741

[1] Estimated amount, subject to review and approval of Statement of Costs by DWR.

[2] No local credit remaining after 20th Quarter Advance

This information concludes that, for the 22nd Quarter, the State has under-funded its share of expenses net of the 10% hold-back provision in the Funding Agreement. The State owes \$949,741 in State share funding. With respect to the Local Credit advanced, the State has funded the full amount of the credit available to TRLIA. This analysis reflects all funding received to date including advance funding received for the 20th Quarter. No additional funding has been provided to TRLIA since the October 2014 funding.

Prior advance funding of the state share had created an overfunding situation during prior quarters, as a result TRLIA accumulated interest on those Advance Funds. The following presents an analysis of the interest earned on State Share Advance Funding through the 22nd Quarter for the Upper Yuba Project.

Interest Earned on State Advance Funds

Interest earned by TRLIA on State Advance funding before the funding is expended on eligible expenses will be used by TRLIA on the State's share of eligible expenses. For the Upper Yuba Project, all funds advanced for the State Share of eligible expenses are deposited into a separate fund held by the Yuba County Treasurer. The Yuba County Treasurer apportions interest earned on all pooled funds on a quarterly basis. The following is a detailed statement of the interest earned and apportioned to the fund (Fund 817) that is dedicated for the State Share of eligible expenses for the Upper Yuba Project.

Allocable Interest for UYRLIP Funding by Quarter

Quarter of Transaction	Transaction Description	State Share Yuba River
Q4 - 09/10	3rd Quarter Interest Earned FY 09/10	\$ 348.32
Q1 - 10/11	4th Quarter Interest Earned FY 09/10	\$ 535.37
Q2 - 10/11	1st Quarter Interest Earned FY 10/11	\$ 21,704.87
Q3 - 10/11	2nd Quarter Interest Earned FY 10/11	\$ 21,995.55
Q4 - 10/11	3rd Qtr Interest Earned FY 10/11	\$ 20,375.65
Q1 - 11/12	4th Quarter Interest Earned FY 10/11	\$ 17,053.36
Q2 - 11/12	1st Qtr Interest Earned 10/1/11 FY 11/12	\$ 19,224.16
Q3 - 11/12	2nd Qtr Interest Earned 1/1/12 FY 11/12	\$ 5,033.57
Q4 - 11/12	3rd Qtr Interest Earned 4/1/12 FY 11/12	\$ 174.43
Q1 - 12/13	4th Qtr Interest Earned 7/1/12 FY 11/12	\$ 299.15
Q2 - 12/13	1st Qtr Interest Earned 10/1/12 FY 12/13	\$ 13.91
Q3 - 12/13	2nd Qtr Interest Earned 1/1/13 FY 12/13	\$ 17.11
Q4 - 12/13	3rd Qtr Interest Earned 4/1/13 FY 12/13	\$ 12.73
Q1 - 13/14	4th Qtr Interest Earned 7/1/13 FY 12/13	\$ 10.94
Q2 - 13/14	1st Qtr Interest Earned 10/1/13 FY 13/14	\$ 54.05
Q3 - 13/14	2nd Qtr Interest Earned 10/1/13 FY 13/14	\$ 352.12
Q4 - 13/14	3rd Qtr Interest Earned 4/1/14 FY 13/14	\$ 54.00
Q1 - 14/15	4th Qtr Interest Earned 7/1/14 FY 13/14	\$ 137.79
Q2 - 14/15	1st Qtr Interest Earned 10/1/14 FY 14/15	\$ 469.59
Q3 - 14/15	2nd Qtr Interest Earned 1/1/15 FY 14/15	\$ 1,079.94
Q4 - 14/15	3rd Qtr Interest Earned 4/1/15 FY 14/15	\$ 516.13
TOTAL		\$ 109,462.74

TRLIA has determined that the most efficient way to account for and provide the interest funding is to deduct this amount from future funding to be disbursed from to State to TRLIA. As shown above, the State has earned \$109,462.74 on the advance funding for the Upper Yuba Project through the 22nd Quarter.

On April 11th, 2012, via e-mail, the State confirmed that it will handle this funding, by deducting the interest earned on State funding from a future construction funding payment (i.e. future advance, true-up or release of remaining retention). In addition, the State has also applied this concept to the FRLIP project by deduction funding from the release of retention.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba (Real Estate)

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 7

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			22nd Quarter Expenses [2]		
			Total	State Share	Local Share	DWR Direct Pay	Local Credit Invoiced	Total
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f	g	h = f+g
Element 7: Right of Way Capital								
7-3.3 - Right of Way Capital [3]	70.0000%	\$0	\$121,240	\$84,868	\$36,372	\$0	\$0	\$0
Subtotal Element 7		\$0	\$121,240	\$84,868	\$36,372	\$0	\$0	\$0
			Difference Between QWP & Actuals	-\$121,240				

"Yub22QPRElem7"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

[3] All Real Estate capital has been advanced by TRLIA and will be invoiced through the Real Estate acquisition process.

Summary of TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

SUMMARY ALL NON-REAL ESTATE ELEMENTS

Elements and Features	Total 21st QWP Quarter Work Plan	Total Expenses for 21st QWP Quarter	State Share			Local Share		
			To be Funded Net of 10% Holdback	State Funding Rec'd for 22nd Quarter	State Funding Remaining to be Received for Quarter	Local Share of Actual Costs for Quarter	Local Funding Rec'd from Credit for Quarter	Remaining Local Share Funded by TRLIA
<i>Formula</i>	[1]	[2]	a	[3] b	c = a - b	d	e	f = e - d
<i>Non-Real Estate Eligible Elements</i>								
Element 1: Flatten Waterside Levee Slope	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 1A: Land Side Improvement (Shadpad)	\$900	\$2,855	\$1,799	\$0	\$1,799	\$857	\$0	\$857
Element 2: Pre-CEQA Determination Support	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 3: Levee Improvements Support Work	\$9,000	\$49,702	\$31,312	\$0	\$31,312	\$14,910	\$0	\$14,910
Element 4: Levee Improvements Construction	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 6: Admin & Overhead	\$65,500	\$77,109	\$48,579	\$0	\$48,579	\$23,133	\$0	\$23,133
Element 8: Project Closeout Efforts	\$15,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 9: WPIC 200-Yr Standard (net of ROW)	\$239,231	\$493,497	\$310,903	\$0	\$310,903	\$148,049	\$0	\$148,049
Element 10: Yuba ULDC Remediation 1986 Break Sit	\$21,000	\$815	\$513	\$0	\$513	\$245	\$0	\$245
Subtotal Above Elements	\$350,631	\$623,978	\$393,106	\$0	\$393,106	\$187,193	\$0	\$187,193
Difference Between QWP & Actuals		<u>-\$273,347</u>						

Element 5 Credit [Includes only Expenses Incurred Prior to October 20, 2009, not Shown Here]

Yub22QPRSumm

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

[3] No funding for the 22nd Quarter has been received. The last payment, received on October 6, 2014 provided funding for the 20th Quarter.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 1

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50						
1.1: Design	70.0000%	\$0	\$0	\$0	\$0	\$0
1.2: Permitting	70.0000%	\$0	\$0	\$0	\$0	\$0
1.3: Right of Way	70.0000%	\$0	\$0	\$0	\$0	\$0
1.4: Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
1.5: Construction [1]	70.0000%	\$0	\$0	\$0	\$0	\$0
1.6: O&M Manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 1		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"Yub22QPRElem1"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 1A

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 1A: Land Side Levee Improvement						
- Sta 7+00 to 8+20 (Shadpad Road)						
1A.1: Design	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.2: Permitting	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.3: Right of Way	70.0000%	\$900	\$2,855	\$1,999	\$857	\$1,799
1A.4: Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.5: Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.6: O&M Manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 1A		\$900	\$2,855	\$1,999	\$857	\$1,799
Difference Between QWP & Actuals			-\$1,955			

"Yub22QPRElem1A"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 2

Elements and Features	State Share % [1]	22nd QWP Forecast [2]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 2: Levee Improvements (Slurry Wall & Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts						
2.1: Alternatives Analysis	50.0000%	\$0	\$0	\$0	\$0	\$0
2.2: CEQA / NEPA	50.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 2		\$0	\$0	\$0	\$0	\$0
		Difference Between QWP & Actuals	\$0			

"Yub22QPRElem2"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 3

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work						
3-2.3: Preliminary Design	70.0000%	\$0	\$0	\$0	\$0	\$0
3-2.4: Permitting Preparation	70.0000%	\$0	\$0	\$0	\$0	\$0
3-2.5: Right of Way Support	70.0000%	\$9,000	\$43,577	\$30,504	\$13,073	\$27,454
3.1: Final Design	70.0000%	\$0	\$609	\$426	\$183	\$384
3.2: Permitting	70.0000%	\$0	\$2,263	\$1,584	\$679	\$1,426
3.4: Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
3.5: Environmental Mitigation	70.0000%	\$0	\$3,252	\$2,277	\$976	\$2,049
3.6: O&M Manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 3		\$9,000	\$49,702	\$34,791	\$14,910	\$31,312
Difference Between QWP & Actuals			-\$40,702			

"Yub22QPRElem3"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 4

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to 303+59						
4.1 - Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 4		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"Yub22QPRElem4"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 5

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 5: Prior Completed Work						
5.1 - Prior Completed Work	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 5		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"Yub22QPRElem5"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 6

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 6: Project Management, legal support, community relations support, land management support, and overhead						
6.1 - TRLIA Salaries/Benefits	70.0000%	\$46,500	\$60,831	\$42,582	\$18,249	\$38,323
6.2 - TRLIA Consultant Exp.	70.0000%	\$7,000	\$7,239	\$5,068	\$2,172	\$4,561
6.3 - TRLIA Legal Support	70.0000%	\$1,000	\$468	\$327	\$140	\$295
6.4 - TRLIA Travel Expenses	70.0000%	\$0	\$0	\$0	\$0	\$0
6.5 - TRLIA General Expenses	70.0000%	\$11,000	\$8,381	\$5,867	\$2,514	\$5,280
6.6 - TRLIA Insurance	70.0000%	\$0	\$0	\$0	\$0	\$0
6.7 - TRLIA Travel - Vehicle Lease	70.0000%	\$0	\$190	\$133	\$57	\$119
6.8 - TRLIA Memberships	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 6		\$65,500	\$77,109	\$53,976	\$23,133	\$48,579
			Difference Between QWP & Actuals	-\$11,609		

"Yub22QPRElem6"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 8

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 8: Project Closeout Efforts						
8.1 - Project Close Out Efforts	70.0000%	\$15,000	\$0	\$0	\$0	\$0
Subtotal Element 8		\$15,000	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$15,000			

"Yub22QPRElem8"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 9

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 9: WPIC 200-Yr Standard						
9.1 - Design	70.0000%	\$120,000	\$258,251	\$180,776	\$77,475	\$162,698
9.2 - Permitting & Environmental Documentat	70.0000%	\$69,231	\$217,593	\$152,315	\$65,278	\$137,083
9.3 - Right of Way Acquisition	70.0000%	\$600,000	\$17,653	\$12,357	\$5,296	\$11,121
9.4 - Right of Way Support	70.0000%	\$50,000	\$0	\$0	\$0	\$0
9.5 - Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
9.6 - Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
9.7 - O&M Manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 9		\$839,231	\$493,497	\$345,448	\$148,049	\$310,903
Difference Between QWP & Actuals			\$345,734			

"Yub22QPRElem9"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 10

Elements and Features	State Share %	22nd QWP Forecast [1]	22nd Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 10: Yuba ULDC Remediation 1986 Break Site						
10.1 - Project Management	70.0000%	\$6,000	\$815	\$571	\$245	\$513
10.2 - Geotechnical Explorations	70.0000%	\$0	\$0	\$0	\$0	\$0
10.3 - Design	70.0000%	\$15,000	\$0	\$0	\$0	\$0
10.4 - Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
10.5 - Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 10		\$21,000	\$815	\$571	\$245	\$513
Difference Between QWP & Actuals			\$20,185			

"Yub22QPRElem10"

[1] As reflected in the 22nd QWP dated December 23, 2014.

[2] Reflects costs from October 1 to December 31, 2014 and paid through January 2015.

2. Cost and Funding Status through the 22nd Quarter

The following set of tables present the status of the project on a cumulative expenditure basis as it relates to the current Overall Work Plan Budget (OAWP) (based on the revisions made by TRLIA submitted through the 19th QWP on March 10, 2014 and incorporated into Funding Amendment No. 3). This OAWP Budget is reflective of Elements 9 (which includes work for 200-Year ULDC compliance on the WPIC.) and Element 10 (which includes work for 200-Year ULDC compliance on the lower Yuba at 1986 Break Site).

In summary, through March 31, 2015, TRLIA has incurred expenses for the project which represent 64.9% of the total amended budget for Elements 1 through 10. TRLIA has received a total of \$21,505,807 of State Funding to date (*no change from the prior quarter*), approximately 53.4% of the proposed current amended State Budget of \$40.260 million. It is important to note that the total Funding Agreement limit on State Funds is \$47.43 Million and the current funding received represents 45.3% of this amount. Due to the delay in construction, TRLIA had previously received excess advance funding from the State. TRLIA has now caught up with this excess funding and additional funding from the State is now due. The summary below quantifies how much.

Cumulative Funding Reconciliation - State Share

Total Non-Real Estate Expenses Incurred from October 20 to Date	\$24,091,748
State Share of above Expenses	\$16,724,369
Retention on State Share (10%)	-\$1,672,437
State Share Net of Retention	\$15,051,932
State Funding Received through 22nd Quarter*	\$14,102,191
Funding Due (True-Up Due After 22nd Quarter)	\$949,741

* Reflects funding received through the 22nd Quarter to date (true up payments through the 21st Quarter are in process).

Cumulative Funding Reconciliation - Local Credit

Total Non-Real Estate Expenses Incurred from October 20 to Date	\$24,091,748
Local Share of above Expenses	\$7,367,379
Local Credit Received	\$6,619,616
Local Credit Due [1]	\$0

* Reflects funding received through 21st Quarter to date

[1] The Local remaining as of the date of this report is \$0. All credit has been funded.

In total, as previously stated above, TRLIA is due \$949,741 in State Share funding.

There is one individual feature budgets within the elements that have exceeded the revised total Overall Work Plan Budgets by greater than 5% excluding Element 5.

Element 5

With respect to Element 5, this Element has exceeded the original forecasted budget for Credit, however, the State is aware of this fact and this element only represents creditable expenses. On July 19, 2010 the State finalized the Credit amount for the Project ultimately approving \$6,619,616 of credit leaving an additional \$1,068,200 of potentially creditable expenses open pending further investigation. On June 30, 2011, TRLIA finalized this issue by sending notification to DWR that it had determined that these expenses were not eligible however, these costs are still reflected within these tables as project expenses funded solely from local sources.

Element 1A – Feature 1A.5 Construction

This element has exceeded the budget approximately 6%. This element is complete and no additional expenses are forecasted.

Summary of TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c = a - b	d	e	f = d - e	g = a - d	h = b - e	i = c - f	j = d/a
Quarterly Progress Report Reconciliation										
Element 1: Flatten Waterside Levee Slope	\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	93.1%
Element 1A: Land Side Improvement (Shadpa	\$1,060,000	\$742,000	\$318,000	\$1,027,558	\$719,290	\$308,267	\$32,442	\$22,710	\$9,733	96.9%
Element 2: Pre-CEQA Determination Support	\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	99.5%
Element 3: Support Work	\$10,301,168	\$7,210,817	\$3,090,350	\$9,363,047	\$6,554,133	\$2,808,914	\$938,121	\$656,684	\$281,436	90.9%
Element 4: Construction	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	88.8%
Element 5: Prior Completed Work	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%
Element 6: Admin & Overhead	\$4,901,913	\$3,431,339	\$1,470,574	\$3,458,089	\$2,420,662	\$1,037,427	\$1,443,824	\$1,010,677	\$433,147	70.5%
Element 7: Real Estate Capital	\$5,373,838	\$3,761,687	\$1,612,151	\$2,713,260	\$1,899,282	\$813,978	\$2,660,578	\$1,862,404	\$798,173	50.5%
Element 8: Project Closeout Efforts	\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	3.9%
Element 9: WPIC 200-Year Standard	\$15,150,000	\$10,605,000	\$4,545,000	\$1,325,388	\$927,772	\$397,616	\$13,824,612	\$9,677,228	\$4,147,384	8.7%
Element 10: Yuba 1986 Break Site Remediat	\$1,500,000	\$1,050,000	\$450,000	\$142,912	\$100,038	\$42,874	\$1,357,088	\$949,962	\$407,126	9.5%
Subtotal	\$57,821,000	\$40,260,718	\$17,560,283	\$38,291,050	\$26,590,724	\$11,700,326	\$19,529,950	\$13,669,993	\$5,859,957	66.2%
Subtotal Excluding Element 5	\$50,138,838	\$34,883,204	\$15,255,634	\$28,530,259	\$19,758,170	\$8,772,088	\$21,608,579	\$15,125,034	\$6,483,546	56.9%
Subtotal Excluding Elements 5 and 7 (ROW of Elem 9)	\$43,765,000	\$30,421,517	\$13,343,483	\$25,751,967	\$17,813,366	\$7,938,601	\$18,013,033	\$12,608,152	\$5,404,882	58.8%
State Funding Received Through 22nd Quarter										
State Share of Real Estate Advances	\$784,000									
Construction Funding	\$14,115,218.94									
Credit Funding Received	\$6,606,588									
Total Funding Received	\$21,505,807									
Percentage of State Budget Funded	53.4% (Received Through December 2014)									

"Yub22QPRTotSumm"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 1

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50											
1.1: Design	70.0000%	\$199,279	\$139,495	\$59,784	\$198,854	\$139,198	\$59,656	\$424	\$297	\$127	99.8%
1.2: Permitting	70.0000%	\$49,134	\$34,394	\$14,740	\$869	\$608	\$261	\$48,265	\$33,786	\$14,480	1.8%
1.3: Right of Way	70.0000%	\$24,285	\$16,999	\$7,285	\$23,731	\$16,612	\$7,119	\$553	\$387	\$166	97.7%
1.4: Construction Management	70.0000%	\$180,492	\$126,345	\$54,148	\$179,455	\$125,618	\$53,836	\$1,037	\$726	\$311	99.4%
1.5: Construction [1]	70.0000%	\$325,250	\$227,675	\$97,575	\$325,250	\$227,675	\$97,575	\$0	\$0	\$0	100.0%
1.6: O&M Manual addendum	70.0000%	\$3,567	\$2,497	\$1,070	\$0	\$0	\$0	\$3,567	\$2,497	\$1,070	0.0%
Subtotal Element 1		\$782,007	\$547,405	\$234,602	\$728,160	\$509,712	\$218,448	\$53,847	\$37,693	\$16,154	93.1%

"Yub22QPRTotElem1"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 1A

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 1A: Land Side Levee Improvement											
- Sta 7+00 to 8+20 (Shadpad Road)											
1A.1: Design	70.0000%	\$80,000	\$56,000	\$24,000	\$78,792	\$55,155	\$23,638	\$1,208	\$845	\$362	98.5%
1A.2: Permitting	70.0000%	\$105,000	\$73,500	\$31,500	\$101,848	\$71,294	\$30,554	\$3,152	\$2,206	\$946	97.0%
1A.3: Right of Way	70.0000%	\$240,000	\$168,000	\$72,000	\$187,870	\$131,509	\$56,361	\$52,130	\$36,491	\$15,639	78.3%
1A.4: Construction Management	70.0000%	\$175,000	\$122,500	\$52,500	\$178,880	\$125,216	\$53,664	-\$3,880	-\$2,716	-\$1,164	102.2%
1A.5: Construction	70.0000%	\$455,000	\$318,500	\$136,500	\$480,168	\$336,117	\$144,050	-\$25,168	-\$17,617	-\$7,550	105.5%
1A.6: O&M Manual addendum	70.0000%	\$5,000	\$3,500	\$1,500	\$0	\$0	\$0	\$5,000	\$3,500	\$1,500	0.0%
Subtotal Element 1A		\$1,060,000	\$742,000	\$318,000	\$1,027,558	\$719,290	\$308,267	\$32,442	\$22,710	\$9,733	96.9%

"Yub22QPRTotElem1"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 2

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 2: Levee Improvements (Slurry Wall & Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts											
2.1: Alternatives Analysis	50.0000%	\$419,771	\$209,885	\$209,885	\$415,488	\$207,744	\$207,744	\$4,283	\$2,141	\$2,141	99.0%
2.2: CEQA / NEPA	50.0000%	\$650,142	\$325,071	\$325,071	\$649,566	\$324,783	\$324,783	\$576	\$288	\$288	99.9%
Subtotal Element 2		\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	99.5%

"Yub22QPRTotElem2"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 3

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 3: Levee Improvements (Slurry Wall and Waterside)											
3-2.3: Preliminary Design	70.0000%	\$1,378,778	\$965,145	\$413,633	\$1,368,300	\$957,810	\$410,490	\$10,478	\$7,335	\$3,144	99.2%
3-2.4: Permitting Preparation	70.0000%	\$225,344	\$157,741	\$67,603	\$33,937	\$23,756	\$10,181	\$191,407	\$133,985	\$57,422	15.1%
3-2.5: Right of Way Support	70.0000%	\$2,935,767	\$2,055,037	\$880,730	\$2,911,841	\$2,038,289	\$873,552	\$23,926	\$16,748	\$7,178	99.2%
3.1: Final Design	70.0000%	\$2,279,307	\$1,595,515	\$683,792	\$2,250,489	\$1,575,342	\$675,147	\$28,818	\$20,173	\$8,645	98.7%
3.2: Permitting	70.0000%	\$702,461	\$491,723	\$210,738	\$160,018	\$112,012	\$48,005	\$542,444	\$379,711	\$162,733	22.8%
3.4: Construction Management	70.0000%	\$2,175,000	\$1,522,500	\$652,500	\$2,160,909	\$1,512,636	\$648,273	\$14,091	\$9,864	\$4,227	99.4%
3.5: Environmental Mitigation	70.0000%	\$415,091	\$290,564	\$124,527	\$427,642	\$299,349	\$128,293	-\$12,551	-\$8,786	-\$3,765	103.0%
3.6: O&M Manual addendum	70.0000%	\$189,419	\$132,593	\$56,826	\$49,912	\$34,939	\$14,974	\$139,506	\$97,654	\$41,852	26.4%
Subtotal Element 3		\$10,301,168	\$7,210,817	\$3,090,350	\$9,363,047	\$6,554,133	\$2,808,914	\$938,121	\$656,684	\$281,436	90.9%

"Yub22QPRTotElem3"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

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TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 4

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to											
4.1 - Construction	70.0000%	\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	88.8%
Subtotal Element 4		\$9,800,000	\$6,860,000	\$2,940,000	\$8,698,919	\$6,089,243	\$2,609,676	\$1,101,081	\$770,757	\$330,324	88.8%

"Yub22QPRTotElem4"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 5

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 5: Prior Completed Work											
5.1 - Prior Completed Work	70.0000%	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%
Subtotal Element 6		\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%

"Yub22QPRTotElem5"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 6

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 6: Project Management, legal support, community relations support, land management support, and overhead											
6.1 - TRLIA Salaries/Benefits	70.0000%	\$1,570,714	\$1,099,500	\$471,214	\$1,194,408	\$836,085	\$358,322	\$376,306	\$263,414	\$112,892	76.0%
6.2 - TRLIA Consultant Exp.	70.0000%	\$1,360,377	\$952,264	\$408,113	\$958,210	\$670,747	\$287,463	\$402,166	\$281,516	\$120,650	70.4%
6.3 - TRLIA Legal Support	70.0000%	\$544,235	\$380,964	\$163,270	\$338,226	\$236,758	\$101,468	\$206,008	\$144,206	\$61,803	62.1%
6.4 - TRLIA Travel Expenses	70.0000%	\$9,992	\$6,995	\$2,998	\$94	\$66	\$28	\$9,898	\$6,929	\$2,970	0.9%
6.5 - TRLIA General Expenses	70.0000%	\$256,643	\$179,650	\$76,993	\$162,719	\$113,904	\$48,816	\$93,924	\$65,747	\$28,177	63.4%
6.6 - TRLIA Insurance	70.0000%	\$1,114,119	\$779,883	\$334,236	\$789,871	\$552,910	\$236,961	\$324,248	\$226,973	\$97,274	70.9%
6.7 - TRLIA Travel - Vehicle Lease	70.0000%	\$26,714	\$18,700	\$8,014	\$14,560	\$10,192	\$4,368	\$12,153	\$8,507	\$3,646	54.5%
6.8 - TRLIA Memberships	70.0000%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	0.0%
Subtotal Element 6		\$4,901,913	\$3,431,339	\$1,470,574	\$3,458,089	\$2,420,662	\$1,037,427	\$1,443,824	\$1,010,677	\$433,147	70.5%

"Yub22QPRTotElem6"

[1] As reflected in the 22nd QWP.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

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TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 7

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 7: Right of Way Capital											
7-3.3 - Right of Way Capital	70.0000%	\$5,373,838	\$3,761,687	\$1,612,151	\$2,713,260	\$1,899,282	\$813,978	\$2,660,578	\$1,862,404	\$798,173	50.5%
Subtotal Element 7		\$5,373,838	\$3,761,687	\$1,612,151	\$2,713,260	\$1,899,282	\$813,978	\$2,660,578	\$1,862,404	\$798,173	50.5%

"Yub22QPRTotElem7"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 8

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 8: Project Closeout Efforts											
8.1 - Project Close Out Efforts	70%	\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	3.9%
Subtotal Element 8		\$200,000	\$140,000	\$60,000	\$7,872	\$5,511	\$2,362	\$192,128	\$134,489	\$57,638	3.9%

"Yub22QPRTotElem8"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 9

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 9: WPIC 200-Yr Standard											
9.1 - Design	70%	\$800,000	\$560,000	\$240,000	\$932,784	\$652,949	\$279,835	-\$132,784	-\$92,949	-\$39,835	116.6%
9.2 - Permitting & Environmental Dc	70%	\$300,000	\$210,000	\$90,000	\$327,572	\$229,300	\$98,272	-\$27,572	-\$19,300	-\$8,272	109.2%
9.3 - Right of Way Acquisition	70%	\$1,000,000	\$700,000	\$300,000	\$65,032	\$45,522	\$19,510	\$934,968	\$654,478	\$280,490	6.5%
9.4 - Right of Way Support	70%	\$200,000	\$140,000	\$60,000	\$0	\$0	\$0	\$200,000	\$140,000	\$60,000	0.0%
9.5 - Construction Management	70%	\$800,000	\$560,000	\$240,000	\$0	\$0	\$0	\$800,000	\$560,000	\$240,000	0.0%
9.6 - Construction	70%	\$12,000,000	\$8,400,000	\$3,600,000	\$0	\$0	\$0	\$12,000,000	\$8,400,000	\$3,600,000	0.0%
9.7 - O&M Manual addendum	70%	\$50,000	\$35,000	\$15,000	\$0	\$0	\$0	\$50,000	\$35,000	\$15,000	0.0%
Subtotal Element 9		\$15,150,000	\$10,605,000	\$4,545,000	\$1,325,388	\$927,772	\$397,616	\$13,824,612	\$9,677,228	\$4,147,384	8.7%

"Yub22QPRTotElem9"

[1] As reflected in the 19th QWP dated July 3, 2014.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period January/February/March 2015 (22nd Qtr)

ELEMENT 10

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 10: Yuba 1986 Break Site Remediation											
10.1: Project Management	70%	\$110,000	\$77,000	\$33,000	\$8,144	\$5,701	\$2,443	\$101,856	\$71,299	\$30,557	7.4%
10.2: Geotechnical Explorations	70%	\$140,000	\$98,000	\$42,000	\$134,404	\$94,083	\$40,321	\$5,596	\$3,917	\$1,679	96.0%
10.3: Design	70%	\$40,000	\$28,000	\$12,000	\$363	\$254	\$109	\$39,637	\$27,746	\$11,891	0.9%
10.4: Construction	70%	\$1,100,000	\$770,000	\$330,000	\$0	\$0	\$0	\$1,100,000	\$770,000	\$330,000	0.0%
10.5: Construction Management	70%	\$110,000	\$77,000	\$33,000	\$0	\$0	\$0	\$110,000	\$77,000	\$33,000	0.0%
Subtotal Element 10		\$1,500,000	\$1,050,000	\$450,000	\$142,912	\$100,038	\$42,874	\$1,357,088	\$949,962	\$407,126	9.5%

"Yub22QPRTotElem10"

[1] As reflected in the 22nd QWP.

[2] Includes expenses incurred through March 31, 2015 (and paid through April 2015)