



TRLIA
21st QUARTERLY
PROGRESS REPORT
FOR
UPPER YUBA RIVER LEVEE
IMPROVEMENT PROJECT

- 1. Quarterly Progress Report (QPR) for 21st Quarter: October 1 – December 31, 2014**
- 2. Date of Report: March 22, 2015**
- 3. Purpose of Quarterly Report:** This report summarizes the work completed in the 21st Quarter.
- 4. Specific Project Element Information:**
 - Major accomplishments this quarter (i.e. tasks completed, milestones met, meetings held or attended, press releases, etc.)
 - Effective this quarter the narrative text for completed Elements and Features has been deleted from the report. The deleted items will continue to be shown in the schedule and financial update portions of the report.
 - Significant Events, media releases, and media coverage during the Quarter: None
 - **Project Element Information:**
 - *Effective this quarter the narrative text for completed Elements and Features has been deleted from the report. The deleted items will continue to be shown in the schedule and financial update portions of the report.*
 - During the 19th quarter (September 24, 2014) the UYLIP funding agreement was amended for the 3rd time to include two new Elements. These include work associated with 200-Year compliance work associated with the Western Pacific Interceptor Canal and the Yuba (Linda) Levee 1986 Break Site. This amendment also extended the Agreement Date to June 30, 2016.
 - At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4th Quarterly Work Plan (QWP) submitted on May

28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4th QWP that reflected the following changes.

- A new Element 7: Right of Way Capital was added. This was previously Element 3: Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.
- Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QPR is reflective of these modifications.

- **Engineering and Construction matters:**

- **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00; added by the 9th Quarterly Work Plan.**

The U.S Army Corps of Engineers (USACE) performed a detailed inspection of the Reclamation District (RD) 784 Levee System in November-December 2010, which identified a significant issue along the landside of the Yuba River levee on the other side of levee where Project Element 1 work was performed.

- Feature 3 Right of Way Support: TRLIA continued to work on this feature during the 20th Quarter. See Element 7 below for property acquisition information.
- Feature 6: O&M Manual: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. TRLIA requested any comments by April 15, 2013. TRLIA coordinated with these agencies to determine status of review. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. CVFPB supports the USACE requirement and asked for the combination also. A draft Combined Addendum was submitted to the CVFPB on January 8, 2014. USACE is currently reviewing this combined Addendum. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of February 20, 2015 no revised O&M Manual has been

supplied by USACE. TRLIA and the CVFPB continue to wait for feedback from USACE. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum.

➤ **Project Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**

- Feature 2.5 Right of Way Support:
 - Real Estate has initiated monthly meetings with DWR to discuss outstanding issues including the status of the Final Accounting Packages and the cadastral review. Meetings were held on November 20, 2014, November 25, 2014, and December 18, 2014. These meetings will continue into the next quarter.
 - Real Estate and Legal staff worked on the property acquisitions and Final Accounting Packages (FAP's) listed in Element 7 of this report during the 20th quarter.
- Feature 3 Right of Way Capital: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4th Quarterly Work Plan.
- Feature 4 Construction Management: Limited activity occurred during this quarter to address items required by settlement of eminent domain settlements (e.g. Dan Luis settlement).
- Feature 5 Environmental Mitigation:
 - Elderberry Mitigation - Use of Anderson Mitigation Site: The additional elderberry seedling plantings were planted in the 14th quarter and plant maintenance was performed in the 21st quarter to ensure their establishment. Maintenance for the additional seedlings will continue until April 2016. Monitoring will continue until 2018.
- Feature 6 O&M Manual Addendum:

The existing O&M Addendum for the Yuba South Levee has been revised to add the work accomplished for the UYLIP. This revised manual has been reviewed and discussed with RD 784. The revised Addendum was submitted to CVFPB and DWR EIP on February 14, 2013. TRLIA requested any comments by April 15, 2013. TRLIA coordinated with these agencies to determine status of review. CVFPB commented that USACE Section 408

requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. CVFPB supports the USACE requirement and asked for the combination also. A draft Combined Addendum was submitted to the CVFPB on January 8, 2014. USACE is currently reviewing this combined Addendum. A meeting between USACE, CVFPB, DWR, TRLIA, and DWR was held on April 29, 2014. USACE has concerns with elements that they do not consider to be part of the Federal Project being in the combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. As of February 20, 2015 no revised O&M Manual has been supplied by USACE. TRLIA and the CVFPB continue to wait for feedback from USACE. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured and followed in order to meet past commitments. RD 784 will continue to use the revised June 2013 O&M addendum for ongoing O&M until the CVFPB issues a revised combined O&M Addendum.

➤ **Project Element 4:Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Station 102+50 to 303+**

○ Feature 1 Construction:

- PG&E has been requested to schedule the final relocation of the waterside power line to 15 feet from the levee toe. A portion of this relocation will be underground. This work will be done by PG&E under CVFPB Permit 18695 that was approved on January 26, 2012. TRLIA and PG&E met in January 2014 to discuss scheduling of this work. PG&E will not do the work until all utility easements had been assigned to them. TRLIA cannot issue easements to PG&E until final title on the acquired Luis parcel is recorded and filed. The lot line adjustment issue has been resolved. TRLIA is waiting for a revised Deed of Trust from the Luis Bank which should be completed in the 22nd Quarter and will allow TRLIA to file the title and provide easements to PG&E. After easements are assigned to PG&E, TRLIA will continue coordination to accomplish final relocation of the line.

➤ **Project Element 5: Prior Completed Work**

- Feature 1 Prior Completed Work: On November 18, 2009 TRLIA submitted invoices for \$7,853,673 of credit. During the 3rd quarter TRLIA and DWR worked together to verify the appropriate credit to be approved. On July 19, 2010 DWR approved \$6,619,616. \$165,857 of the original request was deemed to be ineligible. \$1,068,200 of the original request pertained to

prior Bear River Real Estate actions that may still be eligible for credit. On June 30th TRLIA notified DWR that upon further review of the Bear River Real estate costs, it was determined that the additional \$1,068,200 of project costs were not eligible for credit.

Note, as of the 20th Quarter, all of the credit has been funded and reimbursed to TRLIA. This item is now completed

- **Project Element 6:** Project Management, legal support, community relations support, land management support, and overhead
 - Activity occurred in all Features during this quarter except Travel, Insurance, Vehicle Lease and Memberships.

- **Element 7:** Right of Way Capital
 - Feature 7-3.3 Right of Way:
 - DWR approved the Upper Yuba River Real Estate Plan on June 28, 2010.
 - On March 5, 2012 TRLIA submitted Amendment No. 1 to the UYLIP project. TRLIA submitted an Amendment No. 1 in the 20th Quarter that incorporated the parcels required for the WPIC segment (proposed Element 9), and some additions to the original Real Estate Plan. DWR approval of the plan did not occur in the 21st quarter. Hopefully, approval will be provided in the 22nd quarter.
 - Western Aggregate: TRLIA has been in negotiations with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, and to leave already existing railroad easements owned by Western Aggregates in place, because Western Aggregates has stated that it hopes to use its fee and easement interests along the levee to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow the existing easements owned by Western Aggregate to remain in place, and also agreed to allow TRLIA to grant new railroad easements to Western Aggregates over property that TRLIA acquires from Western Aggregates in fee.

In exchange for transferring fee title to the properties that TRLIA seeks to acquire in fee, Western Aggregate has requested TRLIA grant Western Aggregates a new floating railway easement on not only the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the

16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 21st quarter TRLIA continued to negotiate with Western Aggregates on this item and has brought in the services of a mediator to help resolve the issues associated with this item.

This issue is listed in the Issue/Concerns portion of this report

- **Final Accounting Packages (FAPs) and Cadastral Requirements:**
Tables 1 to 6 provide the status of Real Estate Property actions and Final Accounting Packages (FAPs)

✓ **Table 1 – List of FAPS and Cadastral Approval Status**

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
1	14050	020-080-009	Western Aggregates, Inc.		
2	14051	021-041-006	Clara Willis, Et al	Pending	
3	14052	021-041-007	Bernard J. Perez	Pending	
4	14053	021-041-010	Lothar S. Klug, Et Al	Pending	
5	14054	021-041-009	ROSA MARTHA VALDEZ	Pending	
6	14055	021-041-012, 021-042-014	Steve Gilbert, Et Al	Pending	
7	14056	021-042-027	Humam El Sharif	Pending	
8	14057	021-0500-001	Orest Wesely, Et Al	Pending	
9	14058	018-220-048, -049	COF Quail Hollow Land 35F LLC	Pending	
10	14059	018-210-014	Stephanie Morris	Pending	
11	14060	018-210-029	Robert G. Wood	Pending	
12	14061	018-220-007	Howard R. Miller	Pending	
13	14062	018-200-001, 018-220-030	Ajit S. Bains		
14	14063	018-200-005, -007, 018-210-035	Anthony J. Luis, Et Al		
15	14064	018-200-008	Danel J. Luis		
16	14065	018-190-002, 018-200-006	Richard G. Wilbur Trust		
17	14066	018-140-040	Richard G. Wilbur Trust		

Number	CVFPB #	Parcels	Owner	DWR RE Approval Status	DWR Cadastral Approval Status
18	14067	018-150-015, 018-190-001	Henry P. Smith Et Al		
19	14068	018-190-110	Walbinder S. Sohal Living Trust	Pending	
20	14069	018-190-107, 018-190-108	Sohal Family Trust	Pending	
21	14070	018-150-035, 018-190-104	Michele R. Baker		
22	14071	018-150-032	Shintaffer Farms, Inc.		
23	14072	018-190-109	Bhajan S. Sohal, Et Al	Pending	
24	14073	021-021-001	Dunning Properties, LP		
25	14074	Portion of 018-220-056	Wilbur, Richard G.		
26	14075	018-220-056	Western Aggregates, LLC		
27	14079	020-410-001	Allen, Jason G.		
28	14080	Portion of 018-150-032 and -035	Federal Fruit Exchange	Pending	
29	14081	018-230-028	Orchard Growers	Pending	
30	not assigned - TCE only	018-140-041	Naumes, Inc.	Pending	
31	not assigned - TCE only	018-190-085	Rahul, Surjit S. & Parmjit	Pending	

✓ **Table 2 - Acquisition being negotiated:**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRLIA has acquired. Parties are negotiating.

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14063	Anthony J. & Zelma B. Luis	Settlement Reached				\$11,200	Settlement agreement signed. Agreement has contingencies that we are working on. As a result, the eminent domain action is still pending until settlement contingencies are completed.
14070	Michele R. Barker	Settlement Reached; not signed				\$64,750	Property owners are reviewing proposed cross-levee easement before signing the settlement agreement. The easement has been provided to the property owners' attorney.
14073	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
14074	Richard G. Wilbur	Negotiation					First Written Offer 3/7/13. Parties are negotiating.
14075	Western Aggregates, LLC	Negotiation					See comment for CVFPB 14050
14079	Jason G. Allen	Settlement Reached		\$210,000.00	\$147,070.00	Not invoiced yet	Settlement reached 12/18/13

- ✓ **Table 3 - Negotiated Acquisitions** – The following parcels have been acquired. Final Accounting Packages are being prepared.

At BRI:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14062	Ajit S. Bains & Maria Del Carmen	Complete		\$48,700.00	\$34,090.00	\$15,050	Judgment entered February 10, 2014; Final Order of Condemnation recorded 6/17/14.
14064	Daniel J. Luis	Complete		\$46,477.00	\$32,533.90	\$5,670.00	Need Final Order of Condemnation. This parcel is part of the same eminent domain action as CVFPB 14063, and the final order will record at the same time as that parcel.
14065	Richard G. Wilbur Trust	Complete		\$40,000.00	\$28,000.00	\$16,100	Judgment entered April 23, 2014; Final Order of Condemnation recorded 6/23/14.
14066	Richard G. Wilbur Trust	Complete					See comment for CVFPB 14065
14071	Shintaffer Farms, Inc.	Complete		\$5,500.00	\$3,850.00	\$437.00	Received Title Policy with errors 5/8/14; requested revisions

Table 4 - At Downey Brand:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14067	Henry P. Smith	Complete		\$64,000.00	\$44,800.00	\$22,400.00	

✓ **Table 5 - Final Accounting Packages at DWR:**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14051	Ronnie C. Willis	Complete	submitted	\$29,500.00	\$20,650.00	\$20,650.00	To DWR 10/11/13
14052	Bernard J. Perez	Complete	submitted	\$10,350.00	\$7,245.00	\$7,245.00	To DWR 4/17/13
14053	Lothar S. & Brigitta M. Klug	Complete	submitted	\$2,800.00	\$1,960.00	\$1,960.00	To DWR 4/17/13
14054	Valdez	Complete	submitted	\$5,200.00	\$3,640.00	\$1,820.00	To DWR 8/22/14
14055	Steve and Denise Gilbert	Complete	submitted	\$15,700.00	\$14,840.00	\$14,840.00	To DWR 8/1/13
14055	Steve and Denise Gilbert	Complete	submitted	\$5,500.00			To DWR 8/1/13
14056	Humam El Sharif	Complete	submitted	\$2,100.00	\$1,470.00	\$1,470.00	To DWR 4/25/14
14057	Orest and Irene Wesely	Complete	submitted	\$33,000.00	\$23,100.00	\$23,100.00	To DWR 10/11/13
14058	COF Quail Hollow Land 148T	Complete	submitted	\$34,750.00	\$24,325.00	\$24,325.00	To DWR 4/17/13
14059	Stephanie Morris	Complete	submitted	\$7,900.00	\$5,530.00	\$4,060.00	To DWR 8/22/14
14060	Robert Glenn Wood	Complete	Submitted	\$3,200.00	\$2,240.00	\$2,240.00	To DWR 4/17/13
14061	Howard R Miller	Complete	Submitted	\$1,400.00	\$980.00	\$980.00	To DWR 8/22/14
14068	Walbinder S. Sohal Living Trust,	Complete	Submitted	\$598,500.00	\$418,950.00	\$418,950.00	To DWR 1/22/14
14069	Awtar S. Sohal & Sukhvir K. Sohal, Co-Trustees	Complete	submitted	\$41,500.00	\$29,050.00	\$21,787.50	To DWR 8/2/14
14072	Bhajan S. & Rajwant K. Sohal	Complete	submitted	\$598,500.00	\$418,950.00	\$418,950.00	TO DWR 1/22/14
14080	Federal Fruit Distributors	Complete	submitted	\$800.00	\$560.00	\$392.00	To DWR 4/25/14
14081	Orchard Growers	Complete	submitted	\$500.00	\$350.00		To DWR 3/24/14
not assigned - TCE only	Naumes, Inc.	Complete	18th Qtr	\$3,000.00	\$2,100.00	\$175.00	To DWR 6/5/14
not assigned - TCE only	Rahul, Surjit S. & Parmjit	Complete	18th Qtr	\$500.00	\$350.00	\$175.00	To DWR 6/6/14

✓ **Table 6 - Status of Relocation Actions:**

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	
	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	
	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	
	Jason G. Allen	Ed Widener	Complete	\$12,272.00	
	Jason G. Allen	Jack Fouse	Complete	\$0	No response from tenant, who moved from Park.
	Jason G. Allen	Les Begley	Complete	\$1,100.00	
	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	
	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	
	Jason G. Allen	David Haughton	Complete	\$2,402.78	
	Jason G. Allen	Jason G. Allen	Complete	\$24,754.99	

➤ **Project Element 8 Closeout Process (Element added by 14th QWP):**

- 8 Feature 1: Closeout Process
 - On November 15, 2013 TRLIA submitted its initial draft of Closeout Documentation for the UYRLIP project for DWR’s review. As part of that submission, TRLIA requested a partial release of retention for the portion of the project that has been completed. The State has not responded to this request.

This item is listed in the Issues and Concerns portion of the report.

➤ **Project Element 9 WPIC 200-yr Standard:**

- Feature 1 Design: TRLIA met with representatives from DWR to discuss the alternatives analysis in November 2014. TRLIA completed preparation of its Draft Geotechnical Basis of Design Report in December which was subsequently distributed for review by DWR and the BOSC.
- Feature 2 Permitting:
 - See Attachment 1 for permits listing

- Permits status: TRLIA completed its wetland and biological surveys in support of permitting efforts. Permitting strategy was developed in preparation of presentation to resource agencies in January 2015.
- Feature 3 Right of Way Acquisition: Real Estate activities continue in the WPIC area. During this quarter, efforts focused on the preparation of the right of way appraisal maps and legal descriptions along with their review by DWR. Preliminary information was gathered in preparation of initiation of appraisal activities once the maps are approved.

✓ **Table 7 – List of FAPS and Cadastral Approval Status**

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1		Miller-Belza-Peck	1		
2		Rue & Forsman Real Estate LLC	1		
3		Llatigo Farms LLC (Includes Unecon Remnant)	2		
4		Alfaro Family Trust (Includes Unecon Remnant)	5		
			9	0	0

- Feature 4 Right of Way Support:
 - During the 20th quarter, October 2, 2014, TRLIA submitted an amendment to UYLIP Real Estate plan for work on the WPIC. DWR approval of the plan did not occur in the 21st quarter. Hopefully, approval will be provided in the 22nd quarter. Appraisals and Offers will continue to be made so that TRLIA can start construction work this construction season (2015). Timely approval of legal descriptions, appraisals and offers is critical for position of the real estate in time for construction. See table below for status.

✓ **Table 8 – Property Acquisition Status**

CVFPB	APN	OWNER	ESA Completed	Receipt of Legals and Plats (or revision)	Appraisal submitted to TRLIA	Appraisal submitted to DWR	Appraisal Approved by DWR	Just Compensation Approved	Offer Made	Comments
not assigned yet	014-270-084 014-270-105 014-270-106 014-350-065 014-360-002	Alfaro Family Trust	12/29/2014	1/28/2015	1/23/2015	1/23/2015		1/23/2015		Appraisal completed 1/22/15; revised maps received 1/28/15; appraisal update in process
not assigned yet	014-360-011 014-360-013	Latigo Farms, LLC	12/29/2014		8/31/2012				4/8/2013 9/25/2013	Suit filed; Order of Possession pending
not assigned yet	016-050-023	Rue & Forsman Real Estate, LLC	12/24/2014	1/28/2015						Appraisal in process
not assigned yet	016-070-018	Miller-Belza-Peck	12/24/2014	1/28/2015	9/12/2014	10/21/2014		10/3/2014	10/15/2014	Revised maps received; appraisal update in process

- Prior to the UYLIP 3rd funding amendment being approved TRLIA filed an eminent domain action against Latigo Farms LLC to acquire property rights need for this element. TRLIA worked on this case during the quarter. A case management conference is scheduled in the eminent domain action for April 13, 2015.
- Several discussions were held with UPRR regarding access along the corridor. A meeting on-site is scheduled for February 18, 2015 with UPRR representatives and project engineers to review the area and construction plans.
 - Feature 5 Construction Management: No work was done
 - Feature 6 Construction: No work was done
 - Feature 7 Updated O&M Manual: No work was done

➤ **Project Element 10 Yuba 1986 Break Site Remediation**

- On September 24, 2014 the UYLIP funding agreement was amended for the 3rd time to include this Element.
- Feature 1 Permitting:
 - See Attachment 2 for permits listing
 - USACE is reviewing the proposed project as part of the CVFPB encroachment permit approval and as part of USACE Section 408 approval. No significant issues have surfaced as yet.
- Feature 2 Geotechnical Explorations: Geotechnical explorations and testing is finished and no additional work for this feature is anticipated.
- Feature 3 Design: Initial design work was completed during the quarter. Based on the explorations the extent and cross section of the remediation feature was developed. The proposed remediation is to enlarge an existing landside stability berm in the vicinity of the 86 Break so that even if material is lost from the embankment through a slump, enough levee embankment will remain to withstand flood forces. The proposed enlargement of the existing stability berm will extend from station 2151+79 to station 2156+36 (457 linear feet (LF)). The enlarged berm will be constructed on top of an existing seepage berm and will consist of general fill. The enlarged berm top width will be 53 feet. The side slope of the berm will be 3 horizontal to 1 vertical. The top of the berm will be set at elevation 81.2 feet NAVD88 which is 3 feet above the elevation of the 200-Year flood (78.2 feet NAVD88) and will give a berm height of approximately 11 feet above the top surface of the existing seepage berm. The 1957 Design Profile Water Surface Elevation at this location is 79.1 feet NAVD88. This is a simple design and the approach will be to use very simple plans and specifications to construct. The proposed remediation was reviewed by DWR and comments were made. TRLIA will respond to DWR comments in December 2014. An encroachment permit application was submitted to the CVFPB on August 14, 2014. A typical set of specifications have been obtained and are being revised to reflect this project.
- Feature 4 Construction: No work was done
- Feature 5 Construction Management: No work was done

- **Environmental matters**

- **Project Element 3:** See Project Element 3 Feature 5 Environmental Mitigation above for this information.

- See Project Element 9 Feature 2 Permitting for this information for Project Element 9.
- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
 - **Element 9:** See attachment 1 for list
 - **Element 10:** See Attachment 2 for list
- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**
 - **Issue 1:** Western Aggregate has requested TRLIA to convert their current railway easement into a floating railway easement on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. In the 16th quarter, TRLIA met with DWR officials about this request and DWR has indicated that the CVFPB is the lead agency on this item. During the 18th quarter TRLIA continued to negotiate with Western Aggregates on this item. TRLIA has brought on a mediator to help resolve the issues associated with this item.

Recommended Solution: DWR support TRLIA as negotiations with the CVFPB transpire for the approval of the Western Aggregate floating easement request.
 - **Issue 2:** TRLIA has submitted an initial draft of Project Closeout materials in electronic format for DWR's review. This Project Closeout Documentation report presents a compilation of all of the required project closeout documentation that has been prepared to date. As part of this submission, TRLIA presented a request for a partial release of retention of the work completed through 15th Quarter in the amount of \$2,448,160 less credit for interest earned State Funding in the amount of \$106,799 for a net release of \$2,341,361.

Recommended Solution: DWR review TRLIA's request and provide comments on the request and at the same time commence the process of a partial release of retention for those elements deemed complete.
- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**
 - No differences are expected as part of this Quarterly Report.

- **Demonstrate financial ability to pay local cost share of Eligible Project Costs required to complete the Project:**

- Local funding for the remaining costs of the project is to come from three sources (listed in order of priority of use by TRLIA):
 - Credits accrued from State funding of prior completed work (Element 5 Work as well as other costs incurred prior to the funding agreement execution).
 - State Funding of Segment 1 of the Feather River EIP Project.
 - Local funding from the proceeds of bond sales completed by the Yuba Levee Finance Authority.

The Local Share of the Project based upon the revised overall work plan budget submitted as part of the 19th Quarterly Work Plan is approximately \$17.56 million. Within this budget, Element 5 work has already been completed and the local share of this work had been previously paid for by TRLIA, (approximately \$2.3 million) therefore the local share of these costs can be deducted to determine the remaining local share of costs of the project, approximately \$15.25 million. The local funding sources available to cover the remaining project costs are detailed as follows;

- As noted in #4 – Project Element 5 above, on July 19, 2010, DWR informed TRLIA that it had approved the majority of TRLIA’s credit request in the amount of \$6,619,616. To date, the State has funded \$5,575,931 of the approved Credit to cover local share costs.
 - DWR provided reimbursement for Segment 1 and Erosion Site 2 of TRLIA’s Feather River project and a payment of \$8,089,379 was received on June 29, 2010. These funds will be used to fund a portion of the local share of Upper Yuba River Costs.
 - The above two items total \$13,153,371 of local funding received by TRLIA to date. This covers nearly all of local share of the costs of the project. Additionally, there is still remaining credit to be received by TRLIA. As noted by TRLIA within its amended financial plan presented to support the inclusion of Element 9, TRLIA also has local funds available from the Yuba Levee Finance Authority to assist in cash flowing the project should the need arise. TRLIA noted that there is over \$7,000,000 of available funding for this work. This amount continues to be available of the date of this report.
- On November 19, 2013 TRLIA made a partial release of retention request to the State as part of its submission of final documentation for the project. At that time, TRLIA requested that the State release \$2,341,361. TRLIA will utilize this funding to continue to cash flow the remainder of projected project expenses. **It**

should be noted that to the extent the State delays in responding to requests for release of retention, other work that TRLIA has underway could be impacted due to the cash flow constraints.

- **Legal Matters:** No issues for all Project Elements
- **Cost Information by Project Element:** This information is provided in an attached Appendix I at end of this Quarterly Progress Report.

- **A discussion on how the projected schedule compares to the original or last reported schedule: None**

6. Attachments

1. Tab D: Permit Status Update - WPIC 200-yr Standard (added by Amendment 3)
2. Tab D: Permit Status Update - ULE 86' Break Area 200-yr Standard (added by Amendment 3)

7. Appendices

Appendix I: TRLIA Upper Yuba River Levee Improvement Project 21st Quarterly Progress Report Budget Information, dated March 22, 2015.

Attachment 1
Tab D: WPIC 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> Permit No. 17782 BD was issued by the CVFPB (Reclamation Board) on May 19, 2005 for the original WPIC work. A new permit will be requested for the proposed ULDC Remediation Work.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	Yes	<ul style="list-style-type: none"> Waters of the United States will be impacted
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> Wetland delineation has been completed.
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> Section 408 Written Request was submitted to the CVFPB in September. TRLIA anticipates approval at the District level.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	<ul style="list-style-type: none"> The 404 Permit will require Water Quality Certification
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> Consultation with the USFWS will occur during preparation of the NEPA Document
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	Yes	<ul style="list-style-type: none"> Consultation with the DFW will occur during preparation of the CEQA Document
DFW	Streambed Alteration Agreement	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high water mark and no alterations to the streambed will occur.

California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	<ul style="list-style-type: none"> Haul routes for the project will encroach onto Caltrans rights-of-way.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	<ul style="list-style-type: none"> Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 will occur during design and while requesting a CVFPB encroachment permit
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> CEQA documentation will commence during design as remediation project is defined
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> NEPA documentation will commence after the CVFPB initiates the Section 408 process with USACE
Section 221 Credit	Credit under Section 221, as amended	No	<ul style="list-style-type: none"> The proposed work is not eligible for Section 221 credit as it is not being considered as part of an ongoing or authorized Federal project.

Attachment 3

TAB D: ULE 86' Break Area 200-yr Standard (added by Amendment 3)

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	Status
Central Valley Flood Protection Board	Encroachment Permit	Yes	<ul style="list-style-type: none"> An application for an encroachment permit was submitted to the CVFPB on August 14, 2014
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
Corps	Wetlands Delineation	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> USACE is reviewing the project as part of the Section 408 Process
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	No	<ul style="list-style-type: none"> Waters of the United States will not be impacted
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	No	<ul style="list-style-type: none"> The project will avoid take of listed endangered species
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Wildlife (DFW)	California Endangered Species Act Compliance (2081)	No	<ul style="list-style-type: none"> The project will avoid take of listed endangered species and will be constructed under a Categorical Exclusion
DFW	Streambed Alteration Agreement	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and no alterations to the streambed will occur.

California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70).
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 will occur during design and while requesting a CVFPB encroachment permit. The RD 784 board of Directors endorsed the encroachment permit application on August 5, 2014.
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	No	<ul style="list-style-type: none"> The project will be constructed under a Categorical Exclusion
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> USACE is preparing the NEPA documentation required for the Section 408 process
Section 104 Credit	Future federal projects cost sharing	No	<ul style="list-style-type: none"> No longer applicable per Assistant Secretary of the Army
Section 103 Credit	Credit under Section 2003	No	<ul style="list-style-type: none"> This work is not part of a USACE Feasibility Study; therefore, credit will not be sought

Appendix I

Appendix I: TRLIA Upper Yuba River Levee Improvement Project 21st Quarterly Progress Report Budget Information, dated March 22, 2015.

Memorandum

TRLIA Feather River Levee Improvement Project

27th Quarterly Progress Report – Financial Document

March 22, 2015

Prepared for: Paul Brunner, TRLIA

Prepared by: Seth Wurzel, CGFM

This memorandum has been prepared by Larsen Wurzel & Associates, Inc. (LWA) at the request of Three Rivers Levee Improvement Authority (TRLIA) to supplement the 27th Quarterly Progress Report (27th QPR) to the Department of Water Resources (DWR) for the Feather River Levee Improvement Project (Project). As indicated in TRLIA's 27th QPR, the cost and budget information is being provided within this memorandum to DWR as an attachment to the 27th QPR.

This memorandum includes two sets of tables that present the following analyses:

1. **Quarterly Work Plan Reconciliation** — A reconciliation of the 27th Quarterly Work Plan forecasted expenditures to the actual expenses incurred for the quarter's work and, the associated funding of the State Share received pursuant to Section 14.C of TRLIA funding agreement with DWR (Funding Agreement).
2. **Cost and Funding Status through the 27th Quarter** — A summary of the cumulative costs incurred since November 6, 2006 through December 31, 2014¹ and a comparison of those costs incurred to the current Overall Work Plan Budget (based on the revisions by TRLIA submitted as part of the 24th QPR²) included as Exhibit A to the Funding Agreement.

In order to coordinate correspondence with the State, it is important to note that as part of a separate request on August 15, 2011, TRLIA requested a partial release of the retention held on State Funding pursuant to Section 17 of the Funding Agreement. As part of that process, TRLIA submitted a Final Statement of Costs dated August 15, 2011 that presents the Total Cumulative Costs incurred for the project for those Features and Elements that TRLIA deems complete and has satisfied the requirement of Section 17 for a partial release of retention. The partial release of the retention was made in April 2012 with TRLIA's receipt of \$5,000,000. As a cross reference to that partial retention release request, for those Features and Elements deemed complete, references in the attached tables are highlighted in Orange.

¹ Inclusive of the expenses incurred through December 31, 2014 and paid by TRLIA through the month of January 2015. Reference the March 22, 2015 TRLIA Statement of Costs for the 27th Quarter (subject to review and approval by DWR).

² As part of the June 18, 2014 24th Quarter QPR TRLIA submitted a Revised Exhibit A that adjusts the Overall Work Plan Budget to reflect a reduction in budget associated with remaining ROW acquisition costs estimated in coordination with DWR.

On January 3, 2013, TRLIA made request for an additional release of retention. As part of this request, TRLIA re-submitted a Supplemental Final Statement of Costs dated December 31, 2012 documenting the costs incurred and Elements and Features deemed complete. Those Elements and Features deemed completed as of that January 3rd request were highlighted in yellow. TRLIA reiterated this request in its June 5, 2014 re-stated request for a partial release of retention. This additional partial release of the retention was made in June 2014 with TRLIA's receipt of \$2,078,412. As a cross reference to that supplemental retention release request, for those Features and Elements deemed complete, references in the attached tables are also highlighted in Yellow.

1. Quarterly Work Plan Reconciliation

The following tables provide detailed information regarding the costs incurred for each Element and Feature during the 27th Quarter (October 1, 2014 through December 31, 2014) and compares these amounts to the forecasted expenditures for the Quarter presented within the 27th Quarterly Work Plan (QWP). The tables show the State Share of eligible expenses net of the required 10% withholding of the State Share and compares this amount to the funding received by TRLIA from the State for through the 27th Quarter. Real Estate costs (Elements 1 and 8) and funding are shown separately from the costs and funding for Elements 2 through 10.

The following presents a summary of the conclusions drawn from the tables:

26th Quarter Reconciliation Summary (Elements 2 through 7, 9, 9A & 10)

	Total	State Share
Total Expenses Incurred During 27th Quarter	\$187,796	\$151,359
10% Required Retention		-\$15,136
State Share Net of Retention		\$136,223
Less Funding Received for State Share		N/A
Additional State Funding Received for 27th Quarter		N/A
27th Quarter Funding True-Up Amount		\$136,223

This information concludes that, for the 27th Quarter, the State has not funded its share of the expenses net of retention. However, as noted above, because the State has funded more than 90% of its total funding commitment, the State will continue to withhold additional payments until a release of any remaining retention occurs. *However, it should be noted that as TRLIA continues to fund expenses, the amount of retention released is diluted and TRLIA is put into a position of having funded more State share of expenses on a percentage basis than had been previously released.*

Real Estate Funding Reimbursement's Received

As a result of Real Estate eminent domain actions that have been resolved for lesser amounts than original appraised amounts, TRLIA has received funding back from defendants. Because in these cases that State had funded it's proportionate share of the original appraised amount in order to take possession of the property, a portion of the money received back is the State's. TRLIA has determined that the most efficient way to account for the differences is by deducting the amount of funding TRLIA has received from future construction

payments. TRLIA has provided this detailed accounting as part of the above referenced retention request. TRLIA has suggested that a true-up for the amounts due back to DWR could be reconciled as part of the retention release.

TRLIA is restating the information provided as part of this 27th QPR and expects this funding to be part of the calculations when providing future retention funding in the future.

For the Davit Case: TRLIA received a payment of \$65,228.18 in January 2010.

For the Danna Case: TRLIA received a payment of \$47,299.94 in June 2010.

For the Herold Case: TRLIA received a payment of \$58,500.00 in March 2011.

The total funding received is \$ 171,028.12.

At the State Cost Share of 82.8246%, the State Share of the total funding to be deducted from future construction funding is \$141,653.36. On April 11, 2012, via e-mail, the State confirmed that it will handle this funding, by deducting this overpayment to TRLIA from a future construction funding payment (i.e. future release of remaining retention). As final accounting packages for ROW are resolved, the exact amount of credit due will be determined. **This deduction was NOT addressed in TRLIA's supplemental request for retention processed in June 2014.**

In addition, TRLIA has disposed of excess property acquired as part of the project. This property includes remnant parcels and parcels acquired in the process of obtaining borrow material for the project.

Uppal Property: TRLIA received \$388,321 net proceeds through the sale of the excess property in November 2013

The total funding received is \$388,321.

At the State Cost Share of 82.8246%, the State Share of the excess sale is \$ 321,625.31. Additional funds are still due from the State for this property in the amount of \$302,886.02. The net amount, \$18,739.29 is to be deducted from a future State Payment. **This deduction has NOT been addressed in TRLIA's supplemental request for retention currently in process as of June 11, 2014.**

TRLIA will continue to account for this funding as part of this Quarterly Progress Reporting process.

Interest Earned on State Advance Funds

Interest earned by TRLIA on State Advance funding before the funding is expended on eligible expenses is to be used by TRLIA on the State's share of eligible expenses. On August 17, 2010, TRLIA provided the State with a detailed accounting of the allocable share of interest earned by TRLIA between Q4 FY 07/08 (1st Quarter of the FRLIP) and Q3 FY 09/10 (8th Quarter of FRLIP) based upon advance funding received during that time frame. This analysis was revised based upon comments received and at the request of DWR. The revised analysis was

resubmitted as part of the retention release request on August 15, 2011. TRLIA has accounted for the interest earned through March 31, 2014 and this funding was deducted from the retention release as indicated in the June 11, 2014 letter from DWR. A reduction of \$134,807.47 has been subtracted from the retention release. Additional interest has been apportioned to TRLIA for State advance funds since March 31, 2014. The following listing accounts for this additional interest earned on State funds.

Interest Earned on State Advanced Funding for the FRLIP Funding by Quarter

Quarter of Transaction	Transaction Description	State Share Feather River
Q1-14/15	4th Qtr Interest Earned 7/1/14 FY 13/14	\$940.16
Q2-14/15	1st Qtr Interest Earned 10/1/14 FY 14/15	\$114.62
Q3-14/15	2nd Qtr Interest Earned 1/1/15 FY 14/15	\$273.01
TOTAL		\$1,327.79

Ag Lease Payments

Finally, one additional component of income TRLIA has received that is allocable to the State are lease payments received on Agricultural properties that were original purchased through the Real Estate acquisition process cost shared by the State. As part of the June 11, 2014 notice of retention release, the State has deducted a total of \$286,783.13 for lease revenues received through March 31, 2014. The following is a summary of all lease payments received by TRLIA **after** March 31, 2014. 100% of these funds are credited into the State Advance Funding Fund so that interest is allocated to that fund on behalf of the State (as noted above). For conservative purposes on accounting, TRLIA has deposited 100% of those Ag lease payments into this fund. TRLIA expects that the revenues listed below will be deducted from any future or final retention releases as part of the project closeout process.

Ag Lease Payments on FR Lands

Date of Transaction	Transaction Description	Amount
5/1/2014	Annual Rent-Full Pymt-2013 AG LEASE AGREEMENT/R DAVIT/5/1/14	\$14,591.45
5/13/2014	Annual Rent-3rd & Final Pymt-2013 AG LEASE AGREEMENT/F MILLER 5/13/14	\$2,857.03
4/11/2014	Annual Rent-2nd Pymt-2013 AG LEASE AGREEMENT/ F MILLER 4/11/14	\$6,416.49
4/24/2014	Annuual Rent-2nd & Final Pymt -2013 AG LEASE AGREEMENT/T MILLER 4/24/14	\$779.44
6/23/2014	Annual Rent-Full Pymt-2013 AG LEASE AGREEMENT/HADLEY ORCHARDS 6/23/14	\$6,406.94
7/17/2014	Annual Rent-4th Pymt-2013 AG LEASE AGREEMENT/F Miller 7/17/14	\$1,211.88
7/22/2014	Annual Rent-Full Pymt-2013 AG LEASE AGREEMENT/MAXEY 7/22/14	\$10,802.47
7/30/2014	Annual Rent-1st Pymt-2013 AG LEASE AGREEMENT/FOSTER RANCH 7/30/14	\$40,000.00
8/6/2014	Annual Rent-3rd Pymt-2013 AG LEASE AGREEMENT/T Miller 8/6/14	\$682.10
12/30/2014	Annual Rent-Pymt-2014 AG LEASE AGREEMENT/F MILLER 12/30/14	\$17,458.12
12/29/2014	Annual Rent-Pymt-2014 AG LEASE AGREEMENT/T MILLER 12/29/14	\$1,485.87
1/12/2015	Annual Rent-Pymt -2014 AG LEASE AGREEMENT/FOSTER RANCH 1/12/15	\$40,000.00
1/12/2015	Annual Rent-Pymt- 2013 AG LEASE AGREEMENT/FOSTER RANCH 1/12/15	\$2,986.00
3/2/2015	Annual Rent-Pymt- 2014 AG LEASE AGREEMENT/NIESCHULZ 3/2/15	\$7,963.46
TOTAL		\$153,641.25

TRLIA Quarterly Progress Report Real Estate Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 1

Elements and Features	State Share Percentage	27th QWP Forecast Total [1]	27th Qtr Expenses [2]			27th QWP Qtr Funding		
			Total	State Share	Local Share	DWR Direct Pay	Local Credit Invoiced	Total
Formula	a	b	c	d = a*c	e = c - d	f	g	h = f+g
Element 1: Feather River EIP Land Acquisition (see real estate Acquisition Plan)								
Feature 1 - Feather Segment 2	82.8246%	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Feature 1 - Feather Segment 2 [3]	82.8246%	N/A	\$0	\$0	\$0	\$0	\$0	\$0
Feature 2 - Feather Segment 3	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal Element 1		\$0	\$0	\$0	\$0	\$0	\$0	\$0
		Difference Between QWP & Actuals	\$0					

"27QPRElem1"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

[3] This line represents Real Estate expenses directly funded by TRLIA but eligible for funding through the final accounting package process.

TRLIA Quarterly Progress Report Real Estate Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 8

Elements and Features	State Share Percentage	27th QWP Forecast Total [1]	27th Qtr Expenses [2]			27th QWP Qtr Funding		
			Total	State Share	Local Share	DWR Direct Pay	Local Credit Invoiced	Total
<i>Formula</i>	a	b	c	d = a*c	e = c - d	f	g	h = f+g
Element 8: Segment 1 Feather River EIP Land Acquisition								
Feature 1 - Feather Segment 1	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal Element 8		\$0	\$0	\$0	\$0	\$0	\$0	\$0
			Difference Between QWP & Actuals	\$0				

"27QPRElem8"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

Summary of TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

SUMMARY ALL NON-REAL ESTATE ELEMENTS

Elements and Features	Total 27th QWP Quarter Work Plan	Total Expenses for 27th QWP Quarter	State Share			Local Share		
			To be Funded Net of 10% Holdback	State Funding Rec'd for 27th QWP Quarter	State Funding Remaining to be Received for Quarter	Local Share of Actual Costs for Quarter	Balance of Local Credit Funding Remaining	Remaining Local Share Funded by TRLIA
<i>Formula</i>			a	[1] b	[2] c = a - b	d	[3] e	f = e - d
ALL OTHER ELEMENTS								
Element 2: Segment 2 New Levee	\$67,016	\$122,420	\$91,254	\$0	\$91,254	\$21,026	\$0	-\$21,026
Element 3: Segment 2 Levee Tie Ins	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 4: Segment 2 Levee Degradation	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 5: Segment 3 Levee Improvement	\$23,000	\$16,236	\$10,229	\$0	\$10,229	\$4,871	\$0	-\$4,871
Element 5A: Feather River Segment 3 Erosion Site 2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 6: Land Management	\$45,000	\$27,846	\$20,757	\$0	\$20,757	\$4,783	\$0	-\$4,783
Element 7: PM & Overhead	\$3,300	\$9,984	\$6,858	\$0	\$6,858	\$2,364	\$0	-\$2,364
Element 9: Segment 1 Levee Improvement	\$6,000	\$11,309	\$7,125	\$0	\$7,125	\$3,393	\$0	-\$3,393
Element 9A: Segment 1 Crack Repair	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 10: Project Closeout Efforts	\$20,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal Elements 2 through 7, 9 & 9A, 10	\$164,316	\$187,796	\$136,223	\$0	\$136,223	\$36,437	\$0	-\$36,437
Difference Between QWP & Actuals		-\$23,480						

"27thQPRSumm"

[1] No 27th Quarter Funding has been received.

[2] Amount represents funding received from the State based on actual expenses for the 27th QWP Quarter. This amount will be offset based on True-Ups adjustments.

[3] TRLIA has no local credit remaining.

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 2

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 2: Feather River Segment 2 new levee (Excludes Levee Tie Ins and Existing Levee Degradation)						
2.1.1: Design	82.8246%	\$0	\$0	\$0	\$0	\$0
2.1.2: Permitting	82.8246%	\$2,250	\$17,413	\$14,422	\$2,991	\$12,980
2.1.3: Right of Way Support	82.8246%	\$45,000	\$5,024	\$4,161	\$863	\$3,745
2.2: Construction Management	82.8246%	\$0	\$0	\$0	\$0	\$0
2.3 Construction of Setback						
2.3.1: Foundation	82.8246%	\$0	\$0	\$0	\$0	\$0
2.3.2: Embankment	82.8246%	\$0	\$0	\$0	\$0	\$0
2.3.3: Reloc. of PG&E Facilities	82.8246%	\$0	\$0	\$0	\$0	\$0
2.4: Environmental & Cultural Mitigation						
2.4.1: Mitigation & Restoration	82.8246%	\$19,766	\$99,983	\$82,810	\$17,172	\$74,529
2.4.2: Cultural Mit./Section 106	82.8246%	\$0	\$0	\$0	\$0	\$0
2.5: O&M Manual	82.8246%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 2		\$67,016	\$122,420	\$101,394	\$21,026	\$91,254
Difference Between QWP & Actuals			-\$55,404			

"27QPRElem2"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 3

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 3: Feather River Segment 2 Levee Tie Ins						
3.1.1: Foundation	82.8246%	\$0	\$0	\$0	\$0	\$0
3.1.2: Embankment	82.8246%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 3		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"27QPRElem3"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 4

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 4: Feather River Segment 2 Levee Degradation						
4.1: Construction	82.8246%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 4		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"27QPRElem4"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 5

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 5: Feather River Segment 3 levee improvement						
5.1.1: Design	70.0000%	\$0	\$0	\$0	\$0	\$0
5.1.2: Permitting	70.0000%	\$5,000	\$6,735	\$4,714	\$2,020	\$4,243
5.1.3: Right of Way Support [4]	70.0000%	\$18,000	\$5,057	\$3,540	\$1,517	\$3,186
5.2: Construction Management	70.0000%	\$0	\$4,445	\$3,111	\$1,333	\$2,800
5.3 Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
5.4: O&M Manual	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 5		\$23,000	\$16,236	\$11,365	\$4,871	\$10,229
Difference Between QWP & Actuals			\$6,764			

"27QPRElem5"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

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TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 5A

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 5A: Feather River Segment 3 Erosion Site 2						
5A.1.1 - Design	70.0000%	\$0	\$0	\$0	\$0	\$0
5A.1.2 - Permitting	70.0000%	\$0	\$0	\$0	\$0	\$0
5A.1.3 - ROW Support	70.0000%	\$0	\$0	\$0	\$0	\$0
5A.2 - Construction Management.	70.0000%	\$0	\$0	\$0	\$0	\$0
5A.3 - Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
5A.4 - O&M manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 5A		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"27QPRElem5A"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 6

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 6: Feather River Land Management						
Feature 6.1: Env. Maintenance	82.8246%	\$0	\$0	\$0	\$0	\$0
Feature 6.2: Lease Back	82.8246%	\$0	\$3,946	\$3,268	\$678	\$2,942
Feature 6.3: Land Maintenance	82.8246%	\$45,000	\$23,900	\$19,795	\$4,105	\$17,816
Subtotal Element 6		\$45,000	\$27,846	\$23,064	\$4,783	\$20,757
Difference Between QWP & Actuals			\$17,154			

"27QPRElem6"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 7

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 7: Project Management, Legal, Community Relations support, Land Management, and Overhead						
Feature 7.1: Salaries	76.3198%	\$1,700	\$3,094	\$2,361	\$733	\$2,125
Feature 7.2: Consulting [3]	76.3198%	\$700	\$2,785	\$2,126	\$660	\$1,913
Feature 7.3: Legal	76.3198%	\$700	\$3,535	\$2,698	\$837	\$2,428
Feature 7.4: Travel	76.3198%	\$0	\$0	\$0	\$0	\$0
Feature 7.5: General	76.3198%	\$200	\$570	\$435	\$135	\$392
Feature 7.6: Insurance	76.3198%	\$0	\$0	\$0	\$0	\$0
Feature 7.7: Travel/Vehicle	76.3198%	\$0	\$0	\$0	\$0	\$0
Feature 7.8: Memberships	76.3198%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 7		\$3,300	\$9,984	\$7,620	\$2,364	\$6,858
Difference Between QWP & Actuals			-\$6,684			

"27QPRElem7"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

[3] Includes FEMA System Certification Efforts

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 9

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 9: Feather River Segment 1 levee improvement						
Feature 9.1.1 - Design	70.0000%	\$0	\$0	\$0	\$0	\$0
Feature 9.1.2 - Permitting	70.0000%	\$3,000	\$10,091	\$7,064	\$3,027	\$6,357
Feature 9.1.3 - ROW Support	70.0000%	\$3,000	\$1,218	\$853	\$365	\$768
Feature 9.2 - Construction Mgt.	70.0000%	\$0	\$0	\$0	\$0	\$0
Feature 9.3 - Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
Feature 9.4 - O&M Segment 1	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 9		\$6,000	\$11,309	\$7,917	\$3,393	\$7,125
Difference Between QWP & Actuals			-\$5,309			

"27QPRElem9"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 9A

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 9A: Feather River Segment 1 Crack Repair						
Feature 1.1 - Engineering, Design, Permitting & Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
Feature 1.2 - Right of Way Support	70.0000%	\$0	\$0	\$0	\$0	\$0
Feature 2 - Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
Feature 3 - Operations & Maintenance Manual	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 9A		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"27QPRElem9A"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

TRLIA Quarterly Progress Report Budget Reconciliation

For Period Oct/Nov/Dec 2014 (27th Qtr)

ELEMENT 10

Elements and Features	State Share %	27th QWP Forecast [1]	27th Qtr Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 10: Project Closeout Efforts						
Feature 1 - Project Closeout Efforts (Post June	76.3198%	\$20,000	\$0	\$0	\$0	\$0
Subtotal Element 10		\$20,000	\$0	\$0	\$0	\$0
			Difference Between QWP & Actuals	\$20,000		

"27QPRElem10"

[1] From 27th QWP Dated 10/14/2014.

[2] From October 1, 2014 through December 31, 2014

2. Cost and Funding Status through the 27th Quarter

The following set of tables present the status of the project on a cumulative expenditure basis as it relates to the Overall Work Plan Budget. As part of this report, TRLIA is submitting changes to the Overall Work Plan Budget and allocating remaining budget after a refinement of ROW Acquisition costs to other budget line items (Features and Elements) within the OAWP Budget. This analysis compares actual expenses to these updated Budget items.

In summary, through December 31, 2014, TRLIA has incurred expenses for the project which represent 97.5% of the total amended budget for Elements 1 through 10 (\$186.92 million of the now \$191.75 million dollar total budget currently eligible for State Sharing). TRLIA has received a total of \$146.67 million of State Funding, approximately 94.8% of its share of current total State Budget of \$154.72 million (*no change from the 26th QPR*).

State Audit Note: As part of the Audit of the project by Dept. of Finance Auditors, an error included on TRLIA original Submission of Credit approved by DWR was noted. The error related to invoices for construction expenses (submitted by Nordic Industries accounted for within Element 5 Feature 3) incurred by TRLIA prior to the date of funding agreement which TRLIA submitted for credit and ultimately received the funding as Local Share advances during the early stages of the project. As a result of overstated credit amount, TRLIA received excess payments of funding as Local Credit. These excess Local Credit payments received totaled \$164,032.25. Ultimately this amount was considered as part of the determination of the Partial Release of retention, however the amount was not formalized within the accounting associated with the release. The recommended resolution is to effectively re-characterize this funding as State Share funding versus Local Credit funding and "True-Up" this amount formally as part of a final payment for the Project. TRLIA's tables reflect this re-characterization as well as the credited interest and Ag Lease revenues included as part of the last retention release.

Summary of TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c = a - b	d	e	f = d - e	g = a - d	h = b - e	i = c - f	j = d/a
Quarterly Progress Report Reconciliation										
Element 1: Feather River EIP Land Acquisition	\$39,895,000	\$32,992,217	\$6,902,783	\$39,286,640	\$32,538,995	\$6,747,644	\$608,360	\$453,222	\$155,138	98.5%
Element 8: Feather River Segment 1 Land Acquisitic	\$305,000	\$213,500	\$91,500	\$203,490	\$168,540	\$34,950	\$101,510	\$44,960	\$56,550	66.7%
Element 2: Segment 2 New Levee	\$90,366,703	\$74,845,860	\$15,520,844	\$89,361,685	\$74,013,442	\$15,348,242	\$1,005,018	\$832,417	\$172,601	98.9%
Element 3: Segment 2 Levee Tie Ins	\$7,684,448	\$6,364,613	\$1,319,835	\$7,684,448	\$6,364,612	\$1,319,836	\$0	\$2	-\$1	100.0%
Element 4: Segment 2 Levee Degradation	\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$13,686,287	\$2,838,139	\$0	\$3	-\$3	100.0%
Element 5: Segment 3 Levee Improvement	\$13,806,560	\$9,664,592	\$4,141,968	\$13,131,356	\$9,191,949	\$3,939,407	\$675,204	\$472,643	\$202,561	95.1%
Element 5A: Feather River Segment 3 Erosion Site 2	\$684,518	\$479,163	\$205,355	\$684,518	\$479,163	\$205,355	\$0	\$0	\$0	100.0%
Element 6: Land Management	\$2,305,000	\$1,909,107	\$395,893	\$1,124,813	\$931,622	\$193,191	\$1,180,187	\$977,485	\$202,702	48.8%
Element 7: PM & Overhead	\$6,820,606	\$5,205,454	\$1,615,152	\$6,166,765	\$4,706,462	\$1,460,303	\$653,841	\$498,992	\$154,849	90.4%
Element 9: Segment 1 Levee Improvement	\$12,679,728	\$8,875,810	\$3,803,918	\$12,225,198	\$8,557,638	\$3,667,559	\$454,530	\$318,171	\$136,359	96.4%
Element 9A: Segment 1 Crack Repair	\$575,000	\$402,500	\$172,500	\$507,433	\$355,203	\$152,230	\$67,567	\$47,297	\$20,270	88.2%
Element 10: Project Closeout Efforts	\$100,000	\$76,320	\$23,680	\$17,058	\$13,018	\$4,039	\$82,942	\$63,301	\$19,641	17.1%
Subtotal Elements 1 through 9A	\$191,746,990	\$154,715,426	\$37,031,565	\$186,917,829	\$151,006,932	\$35,910,897	\$4,829,161	\$3,708,494	\$1,120,667	97.5%
State Funding Received Through 27th Quarter [3]										
State Share of Real Estate Advances	\$33,755,826	<i>(Reflects actual funding received.)</i>								
Construction Funding	\$95,823,351	<i>(Includes overpayment of credit of \$164,032.25, application of Uppal property Credit in the amount of \$18,739.29, interest on State Advance funds in the amount of \$134,807.46, and Ag Lease Revenues in the amount of \$286,783.13)</i>								
Credit Funding Received	\$10,007,950	<i>(Reflects reduction of credit paid of \$164,032.25 as noted above.)</i>								
Retention Received	\$7,078,412	<i>(Includes release of retention in the amount of \$2,078,412 received in June 2014.)</i>								
Total Funding Received	\$146,665,539									
Percentage of State Budget Funded	94.8%	<i>(Through December 2014)</i>								

"27QPRToSumm"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

[3] Includes funding received through Dec 31, 2014.

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 1

Elements and Features	State Share Percentage	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 1: Feather River EIP Land Acquisition (see real estate Acquisition Plan)											
1.1 - Feather Segment 2	82.8246%	\$39,500,000	\$32,715,717	\$6,784,283	\$38,983,365	\$32,287,809	\$6,695,556	\$516,635	\$427,908	\$88,727	98.7%
1.2 - Feather Segment 3	70.0000%	\$395,000	\$276,500	\$118,500	\$303,275	\$251,186	\$52,089	\$91,725	\$25,314	\$66,411	76.8%
Subtotal Element 1		\$39,895,000	\$32,992,217	\$6,902,783	\$39,286,640	\$32,538,995	\$6,747,644	\$608,360	\$453,222	\$155,138	98.5%

"27QPRTotElem1"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project as reflected within TRLIA Feather River FAP Summary spreadsheet 5-20-14 with edits by TRLIA (includes creditable expenses prior to effective date of agreement).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 8

Elements and Features	State Share	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended k = e/b
		Total	State	Local	Total	State	Local	Total	State	Local	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 8: Segment 1 Feather River EIP											
Land Acquisition											
8.1 - Feather Segment 1	70.0000%	\$305,000	\$213,500	\$91,500	\$203,490	\$168,540	\$34,950	\$101,510	\$44,960	\$56,550	66.7%
Subtotal Element 8		\$305,000	\$213,500	\$91,500	\$203,490	\$168,540	\$34,950	\$101,510	\$44,960	\$56,550	66.7%

"27QPRTotElem8"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project as reflected within TRLIA Feather River FAP Summary spreadsheet 5-20-14 with edits by TRLIA (includes creditable expenses prior to effective date of agreement).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 2

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
Formula	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 2: Feather River Segment 2 new levee											
(Excludes Levee Tie Ins and Existing Levee Degradation)											
2.1.1: Design	82.8246%	\$6,604,016	\$5,469,750	\$1,134,266	\$6,604,016	\$5,469,749	\$1,134,267	\$0	\$1	-\$1	100.0%
2.1.2: Permitting	82.8246%	\$3,270,000	\$2,708,364	\$561,636	\$3,109,877	\$2,575,742	\$534,134	\$160,123	\$132,621	\$27,502	95.1%
2.1.3: Right of Way Support	82.8246%	\$6,468,380	\$5,357,409	\$1,110,970	\$5,950,182	\$4,928,213	\$1,021,969	\$518,198	\$429,196	\$89,002	92.0%
2.2: Construction Management	82.8246%	\$7,366,914	\$6,101,617	\$1,265,297	\$7,366,914	\$6,101,616	\$1,265,298	\$0	\$2	-\$1	100.0%
2.3 Construction of Setback											
2.3.1: Foundation	82.8246%	\$23,606,069	\$19,551,632	\$4,054,437	\$23,606,069	\$19,551,628	\$4,054,441	\$0	\$4	-\$4	100.0%
2.3.2: Embankment	82.8246%	\$34,127,788	\$28,266,204	\$5,861,584	\$34,127,788	\$28,266,198	\$5,861,590	\$0	\$6	-\$6	100.0%
2.3.3: Reloc. of PG&E Facilities	82.8246%	\$2,605,433	\$2,157,939	\$447,494	\$2,605,433	\$2,157,939	\$447,494	\$0	\$0	-\$1	100.0%
2.4: Environmental & Cultural Mitigation											
2.4.1: Mitigation & Restoration	82.8246%	\$6,100,000	\$5,052,300	\$1,047,700	\$5,970,978	\$4,945,437	\$1,025,540	\$129,022	\$106,863	\$22,159	97.9%
2.4.2: Cultural Mit./Section 106	82.8246%	\$50,000	\$41,412	\$8,588	\$0	\$0	\$0	\$50,000	\$41,412	\$8,588	0.0%
2.5: O&M Manual	82.8246%	\$168,103	\$139,231	\$28,872	\$20,429	\$16,920	\$3,509	\$147,674	\$122,311	\$25,364	12.2%
Subtotal Element 2		\$90,366,703	\$74,845,860	\$15,520,844	\$89,361,685	\$74,013,442	\$15,348,242	\$1,005,018	\$832,417	\$172,601	98.9%

"27QPRTotElem2"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 3

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 3: Feather River Segment 2 Levee Tie Ins											
3.1.1: Foundation	82.8246%	\$5,146,426	\$4,262,507	\$883,919	\$5,146,426	\$4,262,505	\$883,920	\$0	\$1	-\$1	100.0%
3.1.2: Embankment	82.8246%	\$2,538,022	\$2,102,107	\$435,915	\$2,538,022	\$2,102,106	\$435,916	\$0	\$0	\$0	100.0%
Subtotal Element 3		\$7,684,448	\$6,364,613	\$1,319,835	\$7,684,448	\$6,364,612	\$1,319,836	\$0	\$2	-\$1	100.0%

"27QPRTotElem3"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 4

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 4: Feather River Segment 2 Levee Degradation											
4.1: Construction	82.8246%	\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$13,686,287	\$2,838,139	\$0	\$3	-\$3	100.0%
Subtotal Element 4		\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$13,686,287	\$2,838,139	\$0	\$3	-\$3	100.0%

"27QPRTotElem4"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 5

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 5: Feather River Segment 3 levee improvement											
5.1.1: Design	70.0000%	\$937,396	\$656,177	\$281,219	\$937,396	\$656,178	\$281,219	\$0	\$0	\$0	100.0%
5.1.2: Permitting	70.0000%	\$400,910	\$280,637	\$120,273	\$411,117	\$287,782	\$123,335	-\$10,207	-\$7,145	-\$3,062	102.5%
5.1.3: Right of Way Support	70.0000%	\$1,270,000	\$889,000	\$381,000	\$1,004,408	\$703,085	\$301,322	\$265,592	\$185,915	\$79,678	79.1%
5.2: Construction Management	70.0000%	\$1,400,000	\$980,000	\$420,000	\$1,413,526	\$989,468	\$424,058	-\$13,526	-\$9,468	-\$4,058	101.0%
5.3 Construction	70.0000%	\$9,769,203	\$6,838,442	\$2,930,761	\$9,363,225	\$6,554,258	\$2,808,968	\$405,977	\$284,184	\$121,793	95.8%
5.4: O&M Manual	70.0000%	\$29,052	\$20,336	\$8,716	\$1,683	\$1,178	\$505	\$27,369	\$19,158	\$8,211	5.8%
Subtotal Element 5		\$13,806,560	\$9,664,592	\$4,141,968	\$13,131,356	\$9,191,949	\$3,939,407	\$675,204	\$472,643	\$202,561	95.1%

"27QPRTotElem5"

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[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 5A

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
Formula	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 5A: Feather River Segment 3 Erosion Site 2											
5A.1.1 - Design	70.0000%	\$53,962	\$37,773	\$16,189	\$53,962	\$37,773	\$16,189	\$0	\$0	\$0	100.0%
5A.1.2 - Permitting	70.0000%	\$111,341	\$77,939	\$33,402	\$111,341	\$77,939	\$33,402	\$0	\$0	\$0	100.0%
5A.1.3 - ROW Support	70.0000%	\$5,830	\$4,081	\$1,749	\$5,830	\$4,081	\$1,749	\$0	\$0	\$0	100.0%
5A.2 - Construction Management.	70.0000%	\$31,634	\$22,144	\$9,490	\$31,634	\$22,144	\$9,490	\$0	\$0	\$0	100.0%
5A.3 - Construction	70.0000%	\$481,751	\$337,226	\$144,525	\$481,751	\$337,226	\$144,525	\$0	\$0	\$0	100.0%
5A.4 - O&M manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Subtotal Element 5A		\$684,518	\$479,163	\$205,355	\$684,518	\$479,163	\$205,355	\$0	\$0	\$0	100.0%

"27QPRTotElem5A"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

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Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 6

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 6: Feather River Land Management											
6.1: Env. Maintenance	82.8246%	\$210,000	\$173,932	\$36,068	\$112,914	\$93,520	\$19,393	\$97,086	\$80,411	\$16,675	53.8%
6.2: Lease Back	82.8246%	\$25,000	\$20,706	\$4,294	\$12,111	\$10,031	\$2,080	\$12,889	\$10,675	\$2,214	48.4%
6.3: Land Maintenance	82.8246%	\$2,070,000	\$1,714,469	\$355,531	\$999,788	\$828,071	\$171,718	\$1,070,212	\$886,399	\$183,813	48.3%
Subtotal Element 6		\$2,305,000	\$1,909,107	\$395,893	\$1,124,813	\$931,622	\$193,191	\$1,180,187	\$977,485	\$202,702	48.8%

"27QPRTotElem6"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 7

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 7: Project Management, Legal, Community Relations support, Land Management, and Overhead											
7.1: Salaries	76.3198%	\$1,650,000	\$1,259,277	\$390,723	\$1,573,010	\$1,200,518	\$372,492	\$76,990	\$58,759	\$18,231	95.3%
7.2: Consulting	76.3198%	\$2,060,571	\$1,572,605	\$487,966	\$1,842,704	\$1,406,348	\$436,356	\$217,867	\$166,257	\$51,610	89.4%
7.3: Legal	76.3198%	\$1,346,000	\$1,027,264	\$318,736	\$1,298,759	\$991,210	\$307,549	\$47,241	\$36,054	\$11,187	96.5%
7.4: Travel	76.3198%	\$10,827	\$8,263	\$2,564	\$8,519	\$6,501	\$2,017	\$2,309	\$1,762	\$547	78.7%
7.5: General	76.3198%	\$550,756	\$420,336	\$130,420	\$341,860	\$260,907	\$80,953	\$208,896	\$159,429	\$49,467	62.1%
7.6: Insurance	76.3198%	\$1,156,375	\$882,543	\$273,832	\$1,071,320	\$817,629	\$253,691	\$85,056	\$64,914	\$20,141	92.6%
7.7: Travel/Vehicle	76.3198%	\$27,273	\$20,815	\$6,458	\$23,902	\$18,242	\$5,660	\$3,371	\$2,572	\$798	87.6%
7.8: Memberships	76.3198%	\$18,804	\$14,351	\$4,453	\$6,691	\$5,106	\$1,584	\$12,113	\$9,245	\$2,868	35.6%
Subtotal Element 7		\$6,820,606	\$5,205,454	\$1,615,152	\$6,166,765	\$4,706,462	\$1,460,303	\$653,841	\$498,992	\$154,849	90.4%

"27QPRTotElem7"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 9

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 9: Feather River Segment 1 Levee Improvement											
Feature 9.1.1 - Design	70.0000%	\$1,185,322	\$829,725	\$355,597	\$1,192,319	\$834,623	\$357,696	-\$6,997	-\$4,898	-\$2,099	100.6%
Feature 9.1.2 - Permitting	70.0000%	\$295,934	\$207,154	\$88,780	\$266,164	\$186,315	\$79,849	\$29,771	\$20,839	\$8,931	89.9%
Feature 9.1.3 - ROW Support	70.0000%	\$725,781	\$508,047	\$217,734	\$315,172	\$220,620	\$94,552	\$410,609	\$287,426	\$123,183	43.4%
Feature 9.2 - Construction Mgt.	70.0000%	\$1,381,680	\$967,176	\$414,504	\$1,373,820	\$961,674	\$412,146	\$7,860	\$5,502	\$2,358	99.4%
Feature 9.3 - Construction	70.0000%	\$9,078,414	\$6,354,890	\$2,723,524	\$9,076,695	\$6,353,687	\$2,723,009	\$1,719	\$1,203	\$516	100.0%
Feature 9.4 - O&M Segment 1	70.0000%	\$12,597	\$8,818	\$3,779	\$1,029	\$720	\$309	\$11,569	\$8,098	\$3,471	8.2%
Subtotal Element 9		\$12,679,728	\$8,875,810	\$3,803,918	\$12,225,198	\$8,557,638	\$3,667,559	\$454,530	\$318,171	\$136,359	96.4%

"27QPRTotElem9"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 9A

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 9A: Feather River Segment 1 Crack Repair											
Feature 1.1 - Engineering, Design, P	70.0000%	\$125,000	\$87,500	\$37,500	\$75,314	\$52,720	\$22,594	\$49,686	\$34,780	\$14,906	60.3%
Feature 1.2 - Right of Way Support	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Feature 2 - Construction	70.0000%	\$440,000	\$308,000	\$132,000	\$432,119	\$302,483	\$129,636	\$7,881	\$5,517	\$2,364	98.2%
Feature 3 - Operations & Maintenan	70.0000%	\$10,000	\$7,000	\$3,000	\$0	\$0	\$0	\$10,000	\$7,000	\$3,000	0.0%
Subtotal Element 9A		\$575,000	\$402,500	\$172,500	\$507,433	\$355,203	\$152,230	\$67,567	\$47,297	\$20,270	88.2%

"27QPRTotElem9A"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

TRLIA Progress Report Overall Funding Status

Cumulative Through Dec 2014 (27th Quarter)

ELEMENT 10

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 10: Project Closeout Efforts											
Feature 1 - Project Closeout Efforts (76.3198%	\$100,000	\$76,320	\$23,680	\$17,058	\$13,018	\$4,039	\$82,942	\$63,301	\$19,641	17.1%
Subtotal Element 10		\$100,000	\$76,320	\$23,680	\$17,058	\$13,018	\$4,039	\$82,942	\$63,301	\$19,641	17.1%

"27QPRTotElem10"

[1] As reflected in the June 18, 2014 24th QPR which included a re-allocation of budget / Revised Exhibit A adjusting the Overall Work Plan Exhibit A.

[2] Total expenses for project through September 30, 2014 including creditable expenses prior to effective date of agreement (26th Quarter SOC).

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