



TRLIA
15th QUARTERLY
PROGRESS REPORT
FOR

UPPER YUBA RIVER LEVEE
IMPROVEMENT PROJECT

- 1. Quarterly Progress Report (QPR) for 15th Quarter: April 1 – June 30, 2013**
- 2. Date of Report: September 20, 2013**
- 3. Purpose of Quarterly Report:** This report summarizes the work completed in the 15th Quarter.
- 4. Specific Project Element Information:**
 - Major accomplishments this quarter (i.e. tasks completed, milestones met, meetings held or attended, press releases, etc.)
 - Proposed Project Element 7:
 - Final order of condemnation entered in action for Federal Fruit Distributors parcel April 15, 2013.
 - Final order of condemnation entered in action for Humam El Sharif parcel April 15, 2013.
 - Significant Events, media releases, and media coverage during the Quarter:
 - None
 - **Project Element Information:**
 - At the request of DWR, restructuring of Project Elements and Features occurred during the preparation of the 4th Quarterly Work Plan (QWP) submitted on May 28, 2010. The following changes were made and a revised Overall Work Plan Budget was submitted with the 4th QWP that reflected the following changes.

- A new Element 7: Right of Way Capital was added. This was previously Element 3: Feature 3.3. The purpose of this change was to separate Right of Way acquisition costs into a separate and distinct Element.
- Element 2 Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State.

All subsequent discussion in this QPR is reflective of these modifications.

- **Engineering and Construction matters:**

- **Project Element 1: Flatten Waterside Levee Slope – Station 3+00 to 33+50**

- Feature 1 Design: All actions have been **completed**.
- Feature 2 Permitting: All actions have been **completed**.
- Feature 3 Right of Way: All actions have been **completed**.
- Feature 4 Construction Management: All actions have been **completed**. The Construction Documentation Report was submitted on March 26, 2010.
- Feature 5 Construction: All actions have been **completed**.
- Feature 6: O&M Manual: All actions have been **completed**. O&M addendum was submitted on March 26, 2010.

- **Project Element 1A: Levee Landside Improvement – Station 5+80 to 9+00; added by the 9th Quarterly Work Plan.**

The U.S Army Corps of Engineers (USACE) performed a detailed inspection of the Reclamation District (RD) 784 Levee System in November-December 2010, which identified a significant issue along the landside of the Yuba River levee on the other side of levee where Project Element 1 work was performed.

- Feature 1 Design: This feature is **completed**
- Feature 2 Permitting: This feature is **completed**
- Feature 3 Right of Way Support:
 - ✓ Real Estate offers:

- ❖ No new offers in the 15th Quarter.
- ❖ Jason Allen obtained and provided to TRLIA an appraisal of the property at issue in the eminent domain action for the Allen property. TRLIA is analyzing that appraisal. Trial is scheduled in the eminent domain action for March 4, 2014.
- ✓ Relocation plan efforts during the quarter: See table below in Element 7 re status of relocation efforts at the Allen property.
- Feature 4 Construction Management:
 - ✓ Limited Construction management occurred during this quarter to handle issues raised by property owner.
 - ✓ The construction management team continued to work on the Construction Documentation Report during this quarter. Contractor has supplied quality control test results and as-builts and these were returned with comments. Construction Documentation Report is expected to be completed and ready for review in September 2013 (16th Quarter)
- Feature 5 Construction: Work on punch list items was completed in the 15th quarter. Final walk through will be conducted in the 16th quarter.
- Feature 6: O&M Manual: The existing O&M Manual was revised to add the completed work and submitted to DWR and CVFPB for their review on February 14, 2013. TRLIA requested any comments by April 15, 2013. TRLIA coordinated with these agencies to determine status of review. CVFPB commented that USACE Section 408 requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. This will require TRLIA to combine the Phase 3 Bear Setback, Phase 4 Feather, and the Phase 1, Phase 2, and Phase 4 Yuba Addendums into one document. CVFPB supports the USACE requirement and asked for the combination also. TRLIA will initiate this effort in the 16th quarter and plans to complete in the 17th quarter. RD 784 is using the revised February 2013 O&M addendum for ongoing O&M.
- **Project Element 2:Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts**
 - Feature 1 Alternatives Analysis: This feature is **completed**.
 - Feature 2 CEQA/NEPA: This feature is **completed**
 - Features 2.3, 2.4 and 2.5 (Preliminary Design, Preliminary Permitting and Preliminary Right of Way Support) have been moved to Element 3 at request of

DWR. These changes were made in the 4th Quarterly Work Plan. The purpose of this change was to remove all features that were able to be funded at the full 70% cost share from Element 2 so that as a whole, all costs in Element 2 are funded at 50% and all costs in Element 3 are funded at 70% by the State. This feature is **completed**

➤ **Project Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work**

- Feature 2.3 Preliminary Design: This feature is **completed**
- Feature 2.4 Preliminary Permit Preparation: This feature is **completed**
- Feature 2.5 Right of Way Support:
 - Real Estate and Legal staff worked on the property acquisitions and Final Accounting Packages (FAP's) listed in Element 7 of this report during the 15th quarter.
- Feature 1 Design: This feature is **completed**.
- Feature 2 Permitting: Work on Federal, State, and local permits continued this quarter.
 - See Attachment 1 for list of permits.
 - ✓ Pending PG&E and LCWD encroachment permits that are needed to complete the project file:
 - ❖ PG&E Gas Line Modification – Modify an existing 2" PG&E gas line with current encroachment permit No. 3446. Line was raised and modified to meet current Title 23 Standards and is located at Station 138+00. Modification approved by CVFPB Letter of Authorization dated June 17, 2011 and was done in 2011. CVFPB Staff believes this permit falls under the recently expanded authority of the Executive Officer to approve. CVFPB staff is pursuing Executive Officer approval.
 - ❖ LCWD Water Main Modification – Modify an existing 6" Linda County Water District (LCWD) water line. The line was raised and modified to meet current Title 23 Standards and is located at Station 148+55. Modification approved by CVFPB Letter of Authorization dated July 28, 2011 and was done in 2011. CVFPB Staff believes this permit falls under the recently expanded authority of the Executive Officer to approve. CVFPB staff is pursuing Executive Officer approval.

- 100-yr Hydraulic Analysis: During the 15th quarter work was performed for FEMA certification that is EIP eligible per discussions with DWR. A Draft FEMA Certification Summary Supplement Report continues to be under preparation. This Supplement will be to the May 2010 FEMA Certification Summary Report and will provide the information required to certify the Upper Reach of the Yuba South Levee for the 100-year flood. The Summary Supplement Report is expected to be submitted to FEMA in the 17th quarter.
 - TRLIA Evaluated the UYLIP against the State's Urban Levee 200-year Design Criteria, and worked with the DWR Urban Levee Evaluation team during the 15th quarter. UYLIP portions of the Yuba Levee were found by DWR to be in compliance with the State's ULDC.
 - Feature 3 Right of Way Capital: This feature was moved to Project Element 7 as requested by DWR. See May 28, 2010 4th Quarterly Work Plan.
 - Feature 4 Construction Management: Limited activity occurred during this quarter to address items required by settlement of eminent domain settlements (e.g. Dan Luis settlement).
 - Feature 5 Environmental Mitigation:
 - During the 15th Quarter TRLIA continued to work with property owners in close proximity to the project to identify and mitigate any impacts to their water wells that may be caused by the building of the cut-off wall. TRLIA met with Luhdorff and Scalmanini (L&S) on September 19, 2012 to discuss well monitoring results. No impacts to wells were identified at that time or at the time of this report. L&S was directed to prepare a final report on well monitoring which will present all monitoring results, an opinion as to well impacts, and a recommendation as to the need for future monitoring. TRLIA anticipated that L&S would complete the report in the 15th quarter, but they did not. Monitoring is planned to cease once the report is completed.
 - Elderberry Mitigation - Use of Anderson Mitigation Site: The additional elderberry seedling plantings were planted in the 14th quarter and plant maintenance was performed in the 15th quarter to ensure their establishment.
 - Feature 6 O&M Manual Addendum:
 - The existing O&M Addendum for the Yuba South Levee has been revised to add the work accomplished for the UYLIP. This revised manual has been reviewed and discussed with RD 784. The revised Addendum was submitted to CVFPB and DWR EIP on February 14, 2013. TRLIA requested any comments by April 15, 2013. TRLIA coordinated with these agencies to determine status of review. CVFPB commented that USACE Section 408

requirement for the UYLIP required that all O&M Addendums prepared by TRLIA for the recent RD 784 levee system improvements be combined into one comprehensive addendum for the RD 784 levee system. This will require TRLIA to combine the Phase 3 Bear Setback, Phase 4 Feather, and the Phase 1, Phase 2, and Phase 4 Yuba Addendums into one document. CVFPB supports the USACE requirement and asked for the combination also. TRLIA will initiate this effort in the 16th quarter and plans to complete in the 17th quarter. RD 784 is using the revised February 2013 O&M addendum for ongoing O&M.

➤ **Project Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction – Station 102+50 to 303+**

- Feature 1 Construction:
 - The TRLIA portion of this feature has been **completed**
 - PG&E has been requested to schedule the final relocation of the waterside power line to 15 feet from the levee toe. A portion of this relocation will be underground. This work will be done by PG&E under CVFPB Permit 18695 that was approved on January 26, 2012. Schedule has not been established but will most likely occur in the 17th quarter.

➤ **Project Element 5: Prior Completed Work**

- Feature 1 Prior Completed Work: On November 18, 2009 TRLIA submitted invoices for \$7,853,673 of credit. During the 3rd quarter TRLIA and DWR worked together to verify the appropriate credit to be approved. On July 19, 2010 DWR approved \$6,619,616. \$165,857 of the original request was deemed to be ineligible. \$1,068,200 of the original request pertained to prior Bear River Real Estate actions that may still be eligible for credit. On June 30th TRLIA notified DWR that upon further review of the Bear River Real estate costs, it was determined that the additional \$1,068,200 of project costs were not eligible for credit.

➤ **Project Element 6: Project Management, legal support, community relations support, land management support, and overhead**

- Activity occurred in all Features during this quarter except Travel and Memberships.

➤ **Element 7: Right of Way Capital**

- The closure of real estate actions described in this element will extend beyond the current Agreement date (September 30, 2013). The Funding Agreement needs to be extended September 30, 2015, and even later into 2016 due to the

proposed addition of Element 9.

This issue is listed in the Issue/Concerns portion of this report

- Feature 7-3.3 Right of Way:
 - DWR approved the Upper Yuba River Real Estate Plan on June 28, 2010.
 - On March 5, 2012 TRLIA submitted Amendment No. 1 to the UYLIP project. Copy of the transmittal letter is attached (attachment 2). No response has been received from DWR on this submission.

This issue is listed in the Issue/Concerns portion of this report

- Land Transfer issues identified in the 15th quarter:
 - ✓ Western Aggregate: TRLIA has been in negotiations with Western Aggregates to acquire fee title to portions of the levee and O&M corridors owned by Western Aggregates. TRLIA offered to grant back railroad easements over the land that TRLIA seeks to acquire from Western Aggregates in fee, as Western Aggregates has stated that it hopes to use that land to eventually build a railroad spur for the transportation of aggregate from the Goldfields. DWR has agreed to allow these Western Aggregate easements to remain in place.

During TRLIA's Goldfields Flood Prevention discussions with Western Aggregates to do work on their property, Western Aggregate has requested TRLIA grant railroad easements not only on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. TRLIA met with DWR officials about this request and DWR is considering allowing this proposal. This request for additional easements has significantly slowed down both the UYLIP and Goldfields negotiations.

This issue is listed in the Issue/Concerns portion of this report

- The following Real Estate actions were accomplished in the 15th quarter (January thru March 2013):
 - ✓ Acquisition being negotiated:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14070	Michele R. Barker	Settlement Reached; not signed				\$64,750.0	Property owners are reviewing proposed cross-levee easement before signing the settlement agreement. The easement has been provided to the property owners' attorney.
14066	Richard G. Wilbur Trust	Litigation				\$16,100.0	Property owner has retained a new attorney, and the trial date was taken off calendar as a result. New trial date will likely be scheduled at hearing in September 2013.
14065	Richard G. Wilbur Trust	Litigation					See comment for CVFPB 14066
14063	Anthony J. & Zelma B. Luis	Settlement Reached				\$11,200.0	Settlement agreement signed. Agreement has contingencies that we are working on. As a result, the eminent domain action is still pending until settlement contingencies are completed.
14062	Ajit S. Bains & Maria Del Carmen	Litigation				\$15,050.0	Trial scheduled 2/14
not assigned	Richard G. Wilbur	Litigation				see comments	See comment for CVFPB 14066
14050	Western Aggregates, LLC	Negotiation					TRLIA has offered to grant back railroad easement over properties it seeks to acquire in fee. Western responded that it wants additional railroad easements along other properties TRLIA has acquired. Parties are negotiating.

14074	Dunning Properties, LLC	Negotiation					TRLIA has been advised Western Aggregates owns the Dunning Brothers property. Accordingly, this property has the same issues as the other Western Aggregate Properties
not assigned	Western Aggregates, Inc	Negotiation					See comment for CVFPB 14050
not assigned	Dunning Bros	Negotiation					See comments for Western Aggregates parcels.
not assigned	Richard G. Wilbur	Negotiation					First Written Offer 3/7/13
not assigned	Richard G. Wilbur	Negotiation					First Written Offer 3/7/13
not assigned	Western Aggregates, et al	Negotiation					See comments for Western Aggregates parcels.
not assigned	Jason G. Allen	Litigation				Not invoiced yet	Trial scheduled 3/14. The invoice for this has not yet been submitted.

- ✓ Negotiated Acquisitions – The following parcels have been acquired. Final Accounting Packages are being prepared.

At BRI:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14071	Shintaffer Farms, Inc.	In Escrow	19th Qtr	\$5,500.0	\$3,850	\$437.0	In escrow - Need Mineral Rights cleared
14067	Henry P. Smith	Complete	19th Qtr	\$64,000.0	\$44,800	\$22,400.0	Obtained Smith's signature on access easement; proceeding with acquisition
not assigned	Naumes, Inc.	Complete	16th Qtr	\$3,000.0	\$2,100	\$175.0	TCE Only
not assigned	Rahul, Surjit S. & Parmjit	Complete	16th Qtr	\$500.0	\$350	\$175.0	TCE Only
14064	Daniel J. Luis	Complete	18th Qtr	\$46,477.0	\$32,534	\$5,670.0	Judgment Obtained 2/14/13.

14056	Humam El Sharif	Complete	18th Qtr	\$2,100.0	\$1,470	Not invoiced yet	Judgment Obtained 2/14/13.
not assigned	Federal Fruit Distributors	Complete	18th Qtr	\$800.0	\$560	Not invoiced yet	Judgment Obtained 2/14/13.
14059	Stephanie Morris	In Escrow	19th Qtr	\$7,900.0	\$5,530	\$4,060.0	Escrow Opened 1-30-13. - Need Recon from Chase Bank
14061	Howard R Miller	In Escrow	19th Qtr	\$1,400.0	\$980	Not invoiced yet	IRS Liens on property are preventing close of escrow
14069	Awtar S. Sohal & Sukhvir K. Sohal, Co-Trustees of the Sohal Family Trust	Complete	17th Qtr	\$41,500.0	\$29,050	\$21,787.5	
14054	Hust Bro. Inc. Pension Plan /Roy E. Lanza	Complete	18th Qtr	\$5,200.0	\$3,640	\$1,820.0	escrow closed and Recorded 5-2-2013; waiting for Final Policy of Title
not assigned	Orchard Growers	In Escrow	18th Qtr	\$500.0	\$350		7-31 Escrow Officer stated that they would be closing by August 30.

At Downey Brand:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14068	Walbinder S. Sohal Living Trust,	Complete	16th Qtr	\$598,500.0	\$418,950		TO DB 3-6-2013
14072	Bhajan S. & Rajwant K. Sohal	Complete	16th Qtr	\$598,500.0	\$418,950		TO DB 3-6-2013
14051	Ronnie C. Willis	Complete	17th Qtr	\$29,500.0	\$20,650	\$3,675.0	TO DB 7-16-2013
14057	Orest and Irene Wesely	Complete	17th Qtr	\$33,000.0	\$23,100	\$17,325.0	TO DB 7-16-2013

✓ Final Accounting Packages at DWR:

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT	STATE SHARE OF SETTLEMENT	INVOICED TO DATE	COMMENTS
14060	Robert Glenn Wood	Complete	submitted	\$3,200.0	\$2,240	\$2,240.0	To DWR 4/17/13
14058	COF Quail Hollow Land 148T, LLC	Complete	submitted	\$34,750.0	\$24,325	\$24,325.0	To DWR 4/17/13
14053	Lothar S. & Brigitta M. Klug	Complete	submitted	\$2,800.0	\$1,960	\$1,960.0	To DWR 4/17/13
14052	Bernard J. Perez	Complete	submitted	\$10,350.0	\$7,245	\$7,245.0	To DWR 4/17/13
14055	Steve and Denise Gilbert	Complete	submitted	\$15,700.0			
14055	Steve and Denise Gilbert	Complete	submitted	\$5,500.0	\$14,840	\$14,840.0	To DWR 8/1/13

✓ Status of Relocation Actions:

CVFPB #	Property Owner	Relocated Tenant	Status of Relocation	Amount of Relocation Benefits Paid	Comments
14064	Daniel J. Luis	Kimberly Fuller	Complete	\$42,550.47	
	Jason G. Allen	Bill and Marilyn Jensen	Complete	\$26,800.57	
	Jason G. Allen	Trevor Jensen and Sammantha Pettet	Complete	\$31,130.00	
	Jason G. Allen	Ed Widener	Complete	\$12,272.00	
	Jason G. Allen	Jack Fouse	Incomplete	\$0	No response from tenant, who moved from Park.
	Jason G. Allen	Les Begley	Complete	\$1,100.00	
	Jason G. Allen	Judy Cordova	Complete	\$13,672.66	
	Jason G. Allen	Sarah Fite	Complete	\$27,964.56	
	Jason G. Allen	David Haughton	Complete	\$2,402.78	
	Jason G. Allen	Jason G. Allen	In Progress	\$24,754.99	Relocation assistance appears to be complete, but condemnation action with Allen is still in progress.

➤ **Project Element 8 Closeout Process (Element added by 14th QWP):**

- 8 Feature 1: Closeout Process
 - During the 15th Quarter TRLIA continued the process of assembling documentation to submit a request for at least a 50% retention release of the State share of completed Elements & Features to TRLIA. This process will continue as construction completion documents are prepared. TRLIA expects that during the 17th quarter that it will submit a request for a partial retention release.

➤ **Proposed Addition by the 15th QWP - Project Element 9 WPIC 200-yr Standard:**

- The 15th QWP has a detailed breakout of the proposed additional work. To do this work the funding agreement will need to be extended to March 30, 2016. DWR asked TRLIA to update the UYLIP Financial Plan and Area Plan to reflect the proposed work. TRLIA submitted these updated plans to DWR on May 20, 2013. DWR is comparing the TRLIA proposed work with what the DWR Urban Levee Evaluation team recommended to done. It is anticipated that this work will be approved in the 17th quarter.

This item is listed in the Issues and Concerns portion of the report.

- **Proposed Feature 1 Design:** The current HDR TRLIA design contract was amended to include this levee design work. The design cost to do this work is estimated to be \$800,000.
- **Proposed Feature 2 Permitting & Environmental Documentation:**
 - ✓ See Attachment 3 for added Permit listing.
 - ✓ TRLIA will apply to the CVFPB for either a new encroachment permit to do this work. TRLIA will obtain 408 authorization to modify the existing WPIC levee. TRLIA will prepare CEQA and NEPA documents. The permitting & environmental documentation cost to do this work is estimated to be \$300,000.
- **Proposed Feature 3 Right of Way Acquisition:** As part of this Work Plan Addition, TRLIA will modify the UYLIP Real Estate Plan to include property acquisition needed to perform the levee work and acquire the levee footprint, and landside levee toe access corridor in fee. The real estate and legal support cost to do this work is estimated to be \$1,000,000.
- **Proposed Feature 4 Right of Way Support:** The real estate and legal support cost to do this work is estimated to be \$200,000.

- **Proposed Feature 5 Construction Management:** Construction Management (CM) will be needed for this action. The cost to do this CM work is estimated to be \$800,000.
- **Proposed Feature 6 Construction:** Construction of these improvements is anticipated to occur in the Spring/Summer of 2014/15. The Construction cost to do this work is estimated to be \$12,000,000.
- **Proposed Feature 7: O&M Manual:** This levee work will be added to the future combined RD 784 Levee System O&M Manual Addendum. The cost to do this work is estimated to be \$50,000
- **Environmental matters**
 - **Project Element 3:** See Project Element 3 Feature 5 Environmental Mitigation above for this information.
- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
 - **Element 3:** See attachment 1 for list.
 - **Proposed Element 9:** See attachment 3 for list
- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**
 - **Issue 1:** The Goal of the EIP program is to fund projects that have NO REGRETS to achieve 200-yr flood protection. Achieving 200-year flood protection has been an evolving process. Until recently there was no State or Federal 200-year levee design criteria. The California Department of Water Resources (DWR) developed the Urban Levee Design Criteria (ULDC) in May 2012 as required by Senate Bill (SB) 5. SB 5 calls for 200-year flood protection to be the minimum level of protection for urban and urbanizing areas in the Sacramento-San Joaquin Valley (i.e., the urban level of flood protection).

SB 5 also required that DWR evaluate the current level of performance of the State-Federal flood protection system in the Central Valley. The evaluation of current system performance is called the **Urban Levee Evaluation (ULE)** Program. The ULE Program was initiated in 2007 and started by gathering initial data for evaluation. This data included new levee topography, geotechnical explorations, geomorphologic assessments, electromagnetic surveys of the levee, and researching historic levee performance. TRILIA Staff has been coordinating with DWR since the ULE program began evaluating the RD 784 levees. The results of the ULE program are now just becoming final.

The UYLIP project was to be the final project TRILIA project for the TRILIA UYLIP

Area Plan in order to achieve 200-yr flood protection. Additional proposed work is needed to achieve 200-yr flood protection due to the recent results of the state 200-yr Urban Levee Evaluation Program (ULE), which has produced findings after the UYLIP project was approved. **This additional work could not have been anticipated.**

Recommended Solution: DWR approval of the proposed addition of the proposed Project Element 9 – WPIC 200-yr Standard to the UYLIP funding agreement and the extension of the Funding Agreement to March 30, 2016.

- **Issue 2:** On March 5, 2012 TRLIA submitted Amendment No. 1 to the UYLIP project. No response has been received from DWR on this submission.

Recommended Solution: DWR approve Amendment No. 1

- **Issue 3:** The closure of real estate actions will extend into 2015, which is beyond the current Funding Agreement date of September 30, 2013. At DWR’s request, TRLIA submitted a revised Overall Work Plan to reflect the extended time period of September 30, 2013. The cause of this request is due to the extended amount of time that is needed complete real estate acquisitions that were taken to accomplish this project, and then complete the transfer of the property acquired to the state. TRLIA impacted 42 parcels for the UYLIP project. Acquisition Negotiations are still underway for 18 of these parcels. Five of the 18 parcels are eminent domain cases that pending pre-court settlements or court action (trial dates are in 2014). Once the negotiations are completed the Final accounting packages for DWR will be completed and the property transferred to the state. Upon land transfer to the state the funding agreement can finalize the contract closeout process.

Recommended Solution: Extend the Agreement date to September 30, 2015

- **Issue 4:** During TRLIA’s Goldfields Flood Prevention discussions with Western Aggregates to do work on their property, Western Aggregate has requested TRLIA grant railroad easements not only on the properties that TRLIA seeks to acquire from Western Aggregates, but also along other sections of the Upper Yuba Project levee and O&M corridors where Western Aggregates does not currently have any ownership interest. TRLIA met with DWR officials about this request and DWR is considering allowing this proposal. This request for additional easements has significantly slowed down both the UYLIP and Goldfields negotiations.

Recommended Solution: DWR approve the additional easement requests by Western Aggregate so that the UYLIP and Goldfields negotiations can be completed.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- As noted above in Section 4, a Proposed Element 9 has been incorporated into the Overall Work Plan to reflect work associated with 200-Year Compliance actions on the WPIC. The budget information in Section 5 of the 15th UYLIP QWP provides the cost associated with this work as well as other adjustments to the Overall Work Plan Budget.
 - DWR has requested an updated financial plan for the UYRLIP to support the incorporation of Element 9 into the Overall Work Plan. TRLIA prepared an updated financial plan and submitted the plan to the State on June 14, 2013. DWR is in the process of reviewing the updated financial plan and evaluating the incorporated scope of work.
- **Demonstrate financial ability to pay local cost share of Eligible Project Costs required to complete the Project:**

- Local funding for the remaining costs of the project is to come from three sources (listed in order of priority of use by TRLIA):
 - Credits accrued from State funding of prior completed work (Element 5 Work as well as other costs incurred prior to the funding agreement execution).
 - State Funding of Segment 1 of the Feather River EIP Project.
 - Local funding from the proceeds of bond sales completed by the Yuba Levee Finance Authority.

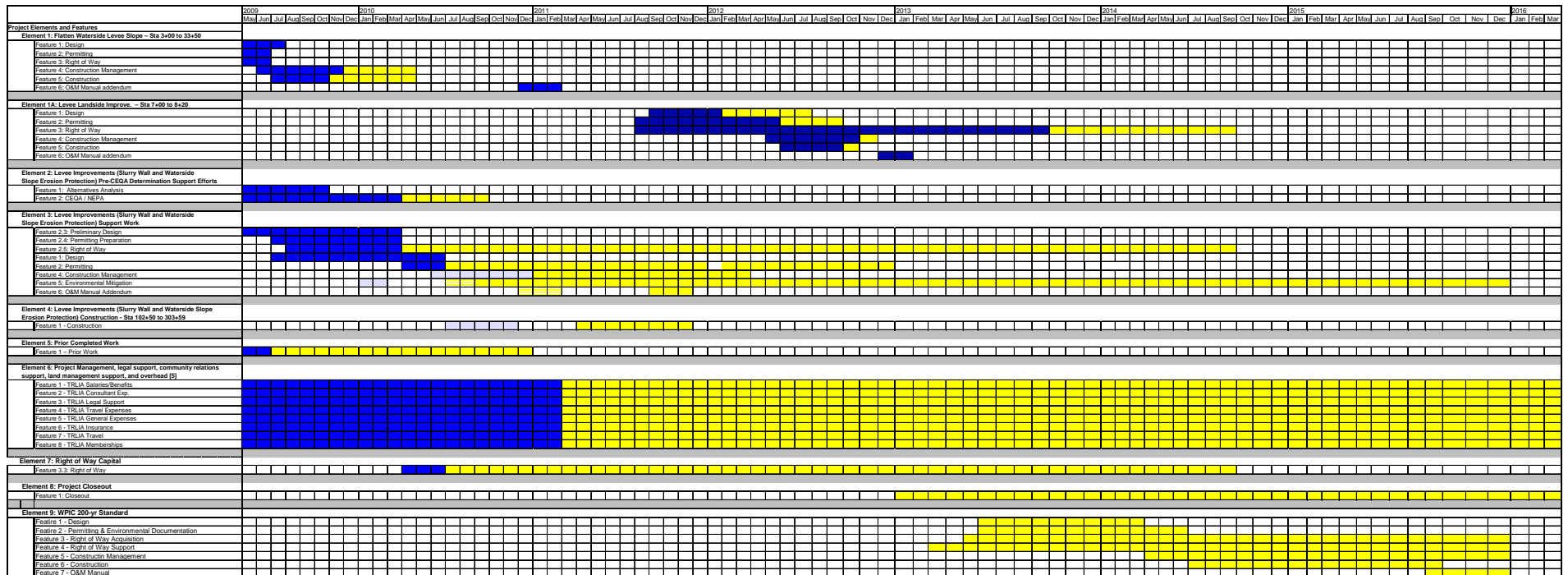
The Local Share of the Project based upon the revised overall work plan budget submitted as part of the 15th Quarterly Work Plan is approximately \$16.2 million. Within this budget, Element 5 work has already been completed and the local share of this work had been previously paid for by TRLIA, (approximately \$2.3 million) therefore the local share of these costs can be deducted to determine the remaining local share of costs of the project, approximately \$13.9 million.

The local funding sources available to cover the remaining project costs are detailed as follows;

- As noted in #4 – Project Element 5 above, on July 19, 2010, DWR informed TRLIA that it had approved the majority of TRLIA’s credit request in the amount of \$6,619,616. To date, the State has funded \$5,575,931 of the approved Credit to cover local share costs.
- DWR provided reimbursement for Segment 1 and Erosion Site 2 of TRLIA’s Feather River project and a payment of \$8,089,379 was received on June 29, 2010. These funds will be used to fund a portion of the local share of Upper Yuba River Costs.

- The above two items total \$13,153,371 of local funding received by TRLIA to date. This covers nearly all of local share of the costs of the project. Additionally, there is still remaining credit to be received by TRLIA. As noted by TRLIA within its amended financial plan presented to support the inclusion of Element 9, TRLIA also has local funds available from the Yuba Levee Finance Authority to assist in cash flowing the project should the need arise. TRLIA noted that there is over \$7,000,000 of available funding for this work. This amount continues to be available of the date of this report.
- **Legal Matters:** No issues for all Project Elements
- **Cost Information by Project Element:** This information is provided in an attached Appendix I at end of this Quarterly Progress Report.

5. Schedule Information by Project Element: Shown in the figure below is an updated Exhibit A (overall project schedule), which includes the proposed addition of Project Element 9. This is the same schedule shown in the 16th QWP



Blue: Original **Pattern:** Not Viable **Yellow:** Prior Quarter Adjustments **Green:** Changes this quarter

- **A discussion on how the projected schedule compares to the original or last reported schedule:**
 - None

6. Attachments

1. Permit Status Update – Upper Yuba Remaining Work
2. TRLIA letter to DWR, dated March 5, 2012
3. Proposed Addition Tab D: Proposed Project Element and Features Permits & Environmental Documents

7. Appendices

Appendix I: TRLIA Upper Yuba River Levee Improvement Project 15th Quarterly Progress Report Budget Information, dated September 19, 2013.

Attachment 1
Tab D: Project Element and Features Permits & Environmental Documents

Upper Yuba River Levee: Remaining Work

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	PROJECTED START and COMPLETION DATES
Central Valley Flood Protection Board	UYLIP Construction Encroachment Permit	Yes	<ul style="list-style-type: none"> CVFPB issued permit April 11, 2011 (# 18577 BD)
Central Valley Flood Protection Board	PG&E Gas Line Modification	Yes	<ul style="list-style-type: none"> Application submitted July 6, 2011. Modification approved by CVFPB Letter of Authorization dated June 17, 2011 and work was completed. Actual permit issuance is still pending.
Central Valley Flood Protection Board	PG&E Power Line Raise	Yes	<ul style="list-style-type: none"> Application submitted July 6, 2011. Modification approved by CVFPB Letter of Authorization dated June 17, 2011. Permit 18577-1 approved by CVFPB at January 26, 2012 Meeting.
Central Valley Flood Protection Board	PG&E Relocate Power Line	Yes	<ul style="list-style-type: none"> Application submitted July 6 2011. Modification approved by CVFPB Letter of Authorization dated June 17, 2011. Permit 18695 approved by CVFPB at January 26, 2012 Meeting.
Central Valley Flood Protection Board	LCWD Water Main Modification	Yes	<ul style="list-style-type: none"> Application submitted August 2, 2011. Modification approved by CVFPB Letter of Authorization dated July 28, 2011 and work was completed. Actual permit issuance is still pending.
Central Valley Flood Protection Board	Anderson Elderberry Site Encroachment Permit	Yes	<ul style="list-style-type: none"> CVFPB Approved January 28, 2011; issued February 7, 2010
Central Valley Flood Protection Board	Additional Anderson Elderberry Site Encroachment Permit	Yes	<ul style="list-style-type: none"> CVFPB Approved September 28, 2012; issued October 19, 2012.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	No	<ul style="list-style-type: none"> Project is above the OHWM No permit required letter received from Corps on June 7, 2010.

Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> Wetland Delineation Report submitted to Corps February 2010
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> Request to CVFCB to Initiate 408 Environmental Process June 2009 HQs Approval December 22, 2010 District Permission letter issued March 24, 2011
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	No	<ul style="list-style-type: none"> Project is above the OHWM and no sediment will discharge to waters of California No 404 permit triggering 401 compliance.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Application June 2010 Permit Received July 26, 2010
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Carl Moyer Mitigation Letter received December 2009 Submission of BMP's March/April 2010 Authority issued June 2011
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> Draft Biological Assessment prepared and submitted to the Corps for review and initiation of Consultation April 2010 BO issued August 16, 2010
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> F&WS is amending B.O. to include dormant season elderberry shrub transplant Amendment issued in August 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance (2081)	No	<ul style="list-style-type: none"> Project design and mitigation measures prevent take of threatened and endangered species
DFG	Streambed Alteration Agreement	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and no alterations to the streambed will occur.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the Yuba River ordinary high water mark and outside SLC

			jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70).
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	<ul style="list-style-type: none"> HDR on behalf of TRLIA contacted NAHC on November 25, 2009 NAHC responded on December 3, 2009 with negative results for its search of the Sacred Lands File; no further action necessary
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> SHPO issued no adverse impact determination on Sep 10, 2010
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Encroachment Permit Application anticipated to be signed March/April 2010
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> Permit issued November 2010
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Received SMGB exemption letter on May 18, 2010 Current approach is to use on site borrow site (exempt from SMARA) and also make the contractor provide additional soil from commercial site.
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> Initiation June 2009 CEQA IS/MND Approved April 20, 2010
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> First Admin Draft EA submitted to Corps February 2010 EA/FONSI signed December 29, 2010
Section 104 Credit	Future federal projects cost sharing	Yes	<ul style="list-style-type: none"> Application March/April 2010 ASA-CW denied May 5, 2011
Section 103 Credit	Credit under Section 2003	Yes	<ul style="list-style-type: none"> MOU signed between USACE and CVFPB on June 30, 2010

Attachment 2
TRLIA letter to DWR, dated March 5, 2012



**THREE RIVERS LEVEE
IMPROVEMENT AUTHORITY**

1114 Yuba Street, Suite 218
Marysville, CA 95901
Office (530) 749-7841 Fax (530) 749-6990

March 5, 2012

Kent Zenobia
CA Department of Water Resources
Division of Flood Management
3310 El Camino Avenue, Room 180
Sacramento, California 95821

Mr. Zenobia,

Please find enclosed three copies of Amendment No. 1 for Real Estate Plan for Upper Yuba Levee Improvement Project, Phase 4 Segment 4. This plan amendment has been prepared in compliance with directives and guidelines set forth in the Department of Water Resources Exhibits Binder titled "State-Federal Control System Modification Program, Early Implementation Project, Land Acquisition Process," dated December 2009.

Please distribute these copies to the appropriate branches at DWR for review and final approval. Laurie Walker of DWR Real Estate Branch has previously been sent copies of Exhibit B. Project Parcel Map, and Exhibit D, 60% Construction Plans. If you have any questions, please contact Bob Morrison at Bender Rosenthal, Inc. (916) 978-4900.

Sincerely,

Paul G. Brunner
Executive Director
Three Rivers Levee Improvement Authority

Cc: Wes Dote, DWR Real Estate Branch

**Attachment 3
Proposed Addition
Tab D: Project Element and Features Permits & Environmental
Documents**

WPIC 200-yr Standard

PERMITTING AGENCY	PERMIT	PERMIT NEEDED (Y/N)	PROJECTED START and COMPLETION DATES
Central Valley Flood Protection Board	WPIC 200-yr Standard	Yes	<ul style="list-style-type: none"> Permit No. 17782 BD was issued by the CVFPB (Reclamation Board) on May 19, 2005 for the original WPIC work. This permit will either be modified or a new permit issued.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	No	<ul style="list-style-type: none"> Navigable waterways not affected
Corps	Section 404 Permit	Yes	<ul style="list-style-type: none"> Waters of the United States will be impacted
Corps	Wetlands Delineation	Yes	<ul style="list-style-type: none"> A wetland delineation will be prepared during preparation of CEQA and NEPA Documents
Corps	408 approval of project levee alteration	Yes	<ul style="list-style-type: none"> A request will be made to CVFPB to Initiate the Section 408 Process
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	<ul style="list-style-type: none"> The 404 Permit will require Water Quality Certification
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	<ul style="list-style-type: none"> Notice of Intent (NOI) and Storm water Pollution prevention Plan (SWPPP) will be submitted
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	<ul style="list-style-type: none"> Contractor will submit required documentation prior to construction.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	<ul style="list-style-type: none"> Consultation with the USFWS will occur during preparation of the NEPA Document
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	No	<ul style="list-style-type: none"> The project will avoid take of listed fish species
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance (2081)	Yes	<ul style="list-style-type: none"> Consultation with the DFG will occur during preparation of the CEQA Document

DFG	Streambed Alteration Agreement	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high water mark and no alterations to the streambed will occur.
California State Lands Commission (SLC)	Encroachment Permit	No	<ul style="list-style-type: none"> All ground disturbances are above the WPIC ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	No	<ul style="list-style-type: none"> No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	No	<ul style="list-style-type: none"> No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	No	<ul style="list-style-type: none"> Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70).
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	<ul style="list-style-type: none"> Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	<ul style="list-style-type: none"> Coordination with the NAHC will occur during preparation of CEQA and NEPA Documents
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	<ul style="list-style-type: none"> Coordination with RD 784 will occur during design and while requesting a CVFPB encroachment permit
Yuba County	Grading Permit	Yes	<ul style="list-style-type: none"> A grading permit from Yuba County will be obtained prior to construction
State Mining and Geology Board (SMGB)	SMARA Permit	No	<ul style="list-style-type: none"> Contractor will use existing commercial sources as needed
CEQA	IS/MND	Yes	<ul style="list-style-type: none"> CEQA documentation will commence during final design as remediation project is defined
NEPA	EA/FONSI	Yes	<ul style="list-style-type: none"> NEPA documentation will commence after the CVFPB initiates the Section 408 process with USACE
Section 104 Credit	Future federal projects cost sharing	No	<ul style="list-style-type: none"> No longer applicable per Secretary of the Army
Section 103 Credit	Credit under Section 2003	Yes	<ul style="list-style-type: none"> MOU signed between USACE and CVFPB on June 30, 2010. Credit documentation to be determined

Appendix I

Appendix I: TRLIA Upper Yuba River Levee Improvement Project 15th Quarterly Progress Report Budget Information, dated September 19, 2013



Memorandum

TRLIA Feather River Levee Improvement Project

15th Quarterly Progress Report – Financial Document

September 19, 2013

Prepared for: Paul Brunner, TRLIA

Prepared by: Seth Wurzel

This memorandum has been prepared by Larsen Wurzel & Associates, Inc. (LWA) at the request of Three Rivers Levee Improvement Authority (TRLIA) to supplement the 14th Quarterly Progress Report (15th QPR) to the Department of Water Resources (DWR) dated September 23, 2013 for the Upper Yuba River Levee Improvement Project (Project). As indicated in TRLIA's 15th QPR, the cost and budget information is being provided within this memorandum to DWR as an attachment to the 15th QPR.

This memorandum includes two sets of tables that present the following analyses:

1. **Quarterly Work Plan Reconciliation** — A reconciliation of the 15th Quarterly Work Plan forecasted expenditures to the actual expenses incurred for the quarter's work and, the associated funding of the State Share received pursuant to Section 14.C of TRLIA's funding agreement with DWR (Funding Agreement).
2. **Cost and Funding Status through the 15th Quarter** — A summary of the cumulative costs incurred since November 6, 2006 through June 30, 2013¹ and a comparison of those costs incurred to the current Overall Work Plan Budget (based on the revisions by TRLIA submitted on June 23, 2013²) included as Exhibit A to the Funding Agreement.

1. Quarterly Work Plan Reconciliation

The following tables provide detailed information regarding the costs incurred for each Element and Feature during the 15th Quarter (April 1, 2013 through June 30, 2013) and compare these amounts to the forecasted expenditures for the Quarter presented within the 15th Quarterly Work Plan (QWP). The tables show the State Share of eligible expenses net of the required 10% withholding of the State Share and compares this amount to the funding received by TRLIA from the State through the 15th Quarter.

¹ Inclusive of the expenses incurred through June 30, 2013 and paid by TRLIA through the month of July 2013. Reference the September 19, 2013 TRLIA Statement of Costs for the 15th Quarter (subject to review and approval by DWR).

² On June 23, 2013 TRLIA submitted a Revised Exhibit A that adjusted the Overall Work Plan Budget as part of the 15th Quarterly Work Plan. The revisions to the OAWP Budget reflected the inclusion of a 9th Element to support 200-Year ULDC Compliance on the WPIC.

The following presents a summary of the conclusions drawn from the tables:

15th Quarter Reconciliation Summary (Elements 1 through 4, 6 & *)

	Total	State Share
Total Non-Real Estate Expenses Incurred During 15th Quarter [1]	\$208,747	\$146,123
10% Required Retention		-\$14,612
State Share Net of Retention		\$131,511
plus State Share of Funding Owed for Prior Quarters		\$752,232
State Share Due		\$883,743
Local Share to be Paid from Credit		\$62,624
plus State Share of Funding Owed for Prior Quarter		\$748,591
Local Credit Due		\$811,215
Total Funding Due for Quarter		\$1,694,958

[1] Estimated amount, subject to review and approval of Statement of Costs by DWR.

This information concludes that, for the 15th Quarter, the State has under-funded its share of expenses net of the 10% hold-back provision in the Funding Agreement. The State Share due is \$883,743. With respect to the Local Credit advanced, the State owes \$811,215 to TRLIA. The result of these two funding sources is a total due to TRLIA of \$1,694,958. This analysis reflects funding received through the 13th Quarter.

Prior advance funding of the state share had created an overfunding situation during prior quarters, as a result TRLIA accumulated interest on those Advance Funds. The following presents an analysis of the interest earned on State Share Advance Funding through the 14th Quarter for the Upper Yuba Project.

Interest Earned on State Advance Funds

Interest earned by TRLIA on State Advance funding before the funding is expended on eligible expenses will be used by TRLIA on the State's share of eligible expenses. For the Upper Yuba Project, all funds advanced for the State Share of eligible expenses are deposited into a separate fund held by the Yuba County Treasurer. The Yuba County Treasurer apportions interest earned on all pooled funds on a quarterly basis. The following is a detailed statement of the interest earned and apportioned to the fund (Fund 817) that is dedicated for the State Share of eligible expenses for the Upper Yuba Project.

Allocable Interest for UYRLIP Funding by Quarter

Quarter of Transaction	Transaction Description	State Share Yuba River
Q4 - 09/10	3rd Quarter Interest Earned FY 09/10	\$ 348.32
Q1 - 10/11	4th Quarter Interest Earned FY 09/10	\$ 535.37
Q2 - 10/11	1st Quarter Interest Earned FY 10/11	\$ 21,704.87
Q3 - 10/11	2nd Quarter Interest Earned FY 10/11	\$ 21,995.55
Q4 - 10/11	3rd Qtr Interest Earned FY 10/11	\$ 20,375.65
Q1 - 11/12	4 th Quarter Interest Earned FY 10/11	\$ 17,053.36
Q2 - 11/12	1st Qtr Interest Earned 10/1/11 FY 11/12	\$ 19,224.16
Q3 - 11/12	2nd Qtr Interest Earned 1/1/12 FY 11/12	\$ 5,033.57
Q4 - 11/12	3rd Qtr Interest Earned 4/1/12 FY 11/12	\$ 174.43
Q1 - 12/13	4th Qtr Interest Earned 7/1/12 FY 11/12	\$ 299.15
Q2 - 12/13	1st Qtr Interest Earned 10/1/12 FY 12/13	\$ 13.91
Q3 - 12/13	2nd Qtr Interest Earned 1/1/13 FY 12/13	\$ 17.11
Q4 - 12/13	3rd Qtr Interest Earned 4/1/13 FY 12/13	\$ 12.73
Q1 - 13/14	4th Qtr Interest Earned 7/1/13 FY 12/13	\$ 10.94
TOTAL		\$ 106,799.12

TRLIA has determined that the most efficient way to account for and provide the interest funding is to deduct this amount from future funding to be disbursed from to State to TRLIA. As shown above, the State has earned \$106,799.12 on the advance funding for the Upper Yuba Project through the 14th Quarter.

On April 11th, 2012, via e-mail, the State confirmed that it will handle this funding, by deducting the interest earned on State funding from a future construction funding payment (i.e. future release of remaining retention).

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba (Real Estate)

For Period April/May/June 2013 (15th Qtr)

ELEMENT 7

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			15th Quarter Expenses [2]		
			Total	State Share	Local Share	DWR Direct Pay	Local Credit Invoiced	Total
Formula	a	b	c	d=c*a	e=c-d	f	g	h = f+g
Element 7: Right of Way Capital								
7-3.3 - Right of Way Capital [3]	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal Element 7		\$0	\$0	\$0	\$0	\$0	\$0	\$0
		Difference Between QWP & Actuals	\$0					

"Yub15QPRElem7"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

[3] All Real Estate capital has been advanced by TRLIA and will be invoiced through the Real Estate acquisition process.

Summary of TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

SUMMARY ALL NON-REAL ESTATE ELEMENTS

Elements and Features	Total 15th QWP Quarter Work Plan [1]	Total Expenses for 15th QWP Quarter [2]	State Share			Local Share		
			To be Funded Net of 10% Holdback a	State Funding Rec'd for 15th Quarter b	State Funding Remaining to be Received for Quarter c = a - b	Local Share of Actual Costs for Quarter d	Local Funding Rec'd from Credit for Quarter e	Remaining Local Share Funded by TRLIA f = e - d
Non-Real Estate Eligible Elements								
Element 1: Flatten Waterside Levee Slope	\$0	\$5,000	\$3,150	\$0	\$3,150	\$1,500	\$0	\$1,500
Element 1A: Land Side Improvement (Shadpad)	\$55,000	\$15,082	\$9,502	\$0	\$9,502	\$4,525	\$0	\$4,525
Element 2: Pre-CEQA Determination Support	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 3: Levee Improvements Support Work	\$210,000	\$96,240	\$60,631	\$0	\$60,631	\$28,872	\$0	\$28,872
Element 4: Levee Improvements Construction	\$150,000	\$27,370	\$17,243	\$0	\$17,243	\$8,211	\$0	\$8,211
Element 6: Admin & Overhead	\$92,516	\$65,055	\$40,985	\$0	\$40,985	\$19,517	\$0	\$19,517
Element 8: Project Closeout Efforts	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 9: WPIC 200-Year Standard	\$78,333	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal Above Elements	\$585,849	\$208,747	\$131,511	\$0	\$131,511	\$62,624	\$0	\$62,624
		<u>\$377,102</u>						

Element 5 Credit [Includes only Expenses Incurred Prior to October 20, 2009, not Shown Here]

"Yub15QPRSumm"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 1

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50						
1.1: Design	70.0000%	\$0	\$0	\$0	\$0	\$0
1.2: Permitting	70.0000%	\$0	\$0	\$0	\$0	\$0
1.3: Right of Way	70.0000%	\$0	\$5,000	\$3,500	\$1,500	\$3,150
1.4: Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
1.5: Construction [1]	70.0000%	\$0	\$0	\$0	\$0	\$0
1.6: O&M Manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 1		\$0	\$5,000	\$3,500	\$1,500	\$3,150
Difference Between QWP & Actuals			-\$5,000			

"Yub15QPRElem1"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 1A

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d=c*a</i>	<i>e=c-d</i>	<i>f = d*90%</i>
Element 1A: Land Side Levee Improvement						
- Sta 7+00 to 8+20 (Shadpad Road)						
1A.1: Design	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.2: Permitting	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.3: Right of Way	70.0000%	\$50,000	\$6,081	\$4,257	\$1,824	\$3,831
1A.4: Construction Management	70.0000%	\$0	\$9,001	\$6,301	\$2,700	\$5,671
1A.5: Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
1A.6: O&M Manual addendum	70.0000%	\$5,000	\$0	\$0	\$0	\$0
Subtotal Element 1A		\$55,000	\$15,082	\$10,558	\$4,525	\$9,502
Difference Between QWP & Actuals			\$39,918			

"Yub15QPRElem1A"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 2

Elements and Features	State Share %	15th QWP Forecast	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	[1]	[2]	c	d=c*a	e=c-d	f = d*90%
Element 2: Levee Improvements (Slurry Wall & Waterside Slope Erosion Protection) Pre-CEQA Determination Support Efforts						
2.1: Alternatives Analysis	50.0000%	\$0	\$0	\$0	\$0	\$0
2.2: CEQA / NEPA	50.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 2		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"Yub15QPRElem2"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 3

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 3: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Support Work						
3-2.3: Preliminary Design	70.0000%	\$0	\$0	\$0	\$0	\$0
3-2.4: Permitting Preparation	70.0000%	\$0	\$0	\$0	\$0	\$0
3-2.5: Right of Way Support	70.0000%	\$165,000	\$50,784	\$35,549	\$15,235	\$31,994
3.1: Final Design	70.0000%	\$15,000	\$3,638	\$2,547	\$1,092	\$2,292
3.2: Permitting	70.0000%	\$15,000	\$29,224	\$20,456	\$8,767	\$18,411
3.4: Construction Management	70.0000%	\$0	\$4,670	\$3,269	\$1,401	\$2,942
3.5: Environmental Mitigation	70.0000%	\$0	\$6,690	\$4,683	\$2,007	\$4,215
3.6: O&M Manual addendum	70.0000%	\$15,000	\$1,235	\$865	\$371	\$778
Subtotal Element 3		\$210,000	\$96,240	\$67,368	\$28,872	\$60,631
Difference Between QWP & Actuals			\$113,760			

"Yub15QPRElem3"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 4

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to 303+59						
4.1 - Construction	70.0000%	\$150,000	\$27,370	\$19,159	\$8,211	\$17,243
Subtotal Element 4		\$150,000	\$27,370	\$19,159	\$8,211	\$17,243
			Difference Between QWP & Actuals	\$122,630		

"Yub15QPRElem4"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 5

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 5: Prior Completed Work						
5.1 - Prior Completed Work	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 5		\$0	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$0			

"Yub15QPRElem5"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 6

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
Formula	a	b	c	d=c*a	e=c-d	f = d*90%
Element 6: Project Management, legal support, community relations support, land management support, and overhead						
6.1 - TRLIA Salaries/Benefits	70.0000%	\$51,481	\$42,642	\$29,850	\$12,793	\$26,865
6.2 - TRLIA Consultant Exp.	70.0000%	\$14,709	\$9,395	\$6,576	\$2,818	\$5,919
6.3 - TRLIA Legal Support	70.0000%	\$18,386	\$246	\$172	\$74	\$155
6.4 - TRLIA Travel Expenses	70.0000%	\$1,839	\$0	\$0	\$0	\$0
6.5 - TRLIA General Expenses	70.0000%	\$5,516	\$4,532	\$3,173	\$1,360	\$2,855
6.6 - TRLIA Insurance	70.0000%	\$0	\$7,743	\$5,420	\$2,323	\$4,878
6.7 - TRLIA Travel - Vehicle Lease	70.0000%	\$586	\$497	\$348	\$149	\$313
6.8 - TRLIA Memberships	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 6		\$92,516	\$65,055	\$45,539	\$19,517	\$40,985
			Difference Between QWP & Actuals	\$27,461		

"Yub15QPRElem6"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 8

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 8: Project Closeout Efforts						
8.1 - Project Close Out Efforts	70.0000%	N/A	\$0	\$0	\$0	\$0
Subtotal Element 8		\$0	\$0	\$0	\$0	\$0
			Difference Between QWP & Actuals	\$0		

"Yub15QPRElem8"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

TRLIA Quarterly Progress Report Budget Reconciliation

Upper Yuba

For Period April/May/June 2013 (15th Qtr)

ELEMENT 9

Elements and Features	State Share %	15th QWP Forecast [1]	15th Quarter Expenses [2]			State Share
			Total	State Share	Local Share	To be Funded Net of 10% Holdback
<i>Formula</i>	a	b	c	d=c*a	e=c-d	f = d*90%
Element 9: WPIC 200-Yr Standard						
9.1 - Design	70.0000%	\$22,222	\$0	\$0	\$0	\$0
9.2 - Permitting & Environmental Documentati	70.0000%	\$11,111	\$0	\$0	\$0	\$0
9.3 - Right of Way Acquisition	70.0000%	\$0	\$0	\$0	\$0	\$0
9.4 - Right of Way Support	70.0000%	\$45,000	\$0	\$0	\$0	\$0
9.5 - Construction Management	70.0000%	\$0	\$0	\$0	\$0	\$0
9.6 - Construction	70.0000%	\$0	\$0	\$0	\$0	\$0
9.7 - O&M Manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0
Subtotal Element 9		\$78,333	\$0	\$0	\$0	\$0
Difference Between QWP & Actuals			\$78,333			

"Yub15QPRElem9"

[1] As reflected in the 15th QWP dated March 18, 2013.

[2] Reflects costs from January 1 to March 31, 2013

2. Cost and Funding Status through the 15th Quarter

The following set of tables present the status of the project on a cumulative expenditure basis as it relates to the current Overall Work Plan Budget (OAWP) (based on the revisions made by TRLIA submitted as part of the 15th QWP on March 18, 2013). Note: As part of that Work Plan, Element 9 was submitted which includes work for 200-Year ULDC compliance on the WPIC. The State is still evaluating this additional scope of work request.

In summary, through June 30, 2013, TRLIA has incurred expenses for the project which represent 65.7% of the total amended budget for Elements 1 through 9. When excluding Element 5 (which represents only prior completed work eligible for Credit), only 55.5% of the total budget for remaining work has been expended. TRLIA has received a total of \$18,889,196 of State Funding through the 15th Quarter, approximately 50.6% of its share of current amended State Budget of \$37.3 million which includes the proposed Element 9. It is important to note that the total Funding Agreement limit on State Funds is \$47.43 Million and the current funding received represents 39.8% of this amount. Due to the delay in construction, TRLIA had previously received excess advance funding from the State. TRLIA has now caught up with this excess funding and additional funding from the State is now due. The summary below quantifies how much.

Cumulative Funding Reconciliation - State Share

Total Non-Real Estate Expenses Incurred from October 20 to Date	\$21,290,488
State Share of above Expenses	\$14,903,342
Retention on State Share (10%)	-\$1,490,334
State Share Net of Retention	\$13,413,008
State Funding Received through 14th Quarter	\$12,529,265
Funding Due (True-Up Due After 14th Quarter)	\$883,743

Cumulative Funding Reconciliation - Local Credit

Total Non-Real Estate Expenses Incurred from October 20 to Date	\$21,290,488
Local Share of above Expenses	\$6,387,147
Local Credit Received	\$5,575,931
Local Credit Due [1]	\$811,215

[1] The Local remaining as of the date of this report is \$1,043,685.

In total, as previously stated above, TRLIA is due \$1,694,958 in combined State Share Advance funding and Local Credit.

Two individual feature budget within the elements has exceeded the revised total Overall Work Plan Budgets by greater than 5% excluding Element 5.

Element 1, Feature 3 – Right of Way Support has exceeded the original budgets by 29%.

Element 1A – Construction Management and Construction have exceeded their budgets by 10% and 64% respectively. As a result the overall budget of Element 1A has been exceeded by approximately 10%. The reason this Element has exceeded the original budget is due to an overly optimistic Construction budget at the outset of the work for Shad Road. The construction is complete and on an overall basis the Element has exceeded the budget by approximately 10%. As the overall funding ability of the State will not be exceeded for the project, TRLIA will monitor this budget and adjust accordingly as needed in the future.

With respect to Element 5, this Element has exceeded the original forecasted budget for Credit, however, the State is aware of this fact and this element only represents creditable expenses. On July 19, 2010 the State finalized the Credit amount for the Project ultimately approving \$6,619,616 of credit leaving an additional \$1,068,200 of potentially creditable expenses open pending further investigation. On June 30, 2011, TRLIA finalized this issue by sending notification to DWR that it had determined that these expenses were not eligible however, these costs are still reflected within these tables as project expenses funded solely from local sources.

Summary of TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

SUMMARY ALL ELEMENTS

Elements and Features	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
	Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c = a - b	d	e	f = d - e	g = a - d	h = b - e	i = c - f	j = d/a
Quarterly Progress Report Reconciliation										
Element 1: Flatten Waterside Levee Slope	\$1,007,007	\$704,905	\$302,102	\$733,160	\$513,212	\$219,948	\$273,847	\$191,693	\$82,154	72.8%
Element 1A: Land Side Improvement (Shadpa)	\$835,000	\$584,500	\$250,500	\$914,051	\$639,836	\$274,215	-\$79,051	-\$55,336	-\$23,715	109.5%
Element 2: Pre-CEQA Determination Support	\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	99.5%
Element 3: Support Work	\$10,101,168	\$7,080,817	\$3,020,350	\$8,982,847	\$6,287,993	\$2,694,854	\$1,118,321	\$792,824	\$325,496	88.9%
Element 4: Construction	\$9,800,000	\$6,924,000	\$2,876,000	\$8,648,588	\$6,054,011	\$2,594,576	\$1,151,412	\$869,989	\$281,424	88.3%
Element 5: Prior Completed Work	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%
Element 6: Admin & Overhead	\$4,501,913	\$3,151,339	\$1,350,574	\$2,671,979	\$1,870,385	\$801,594	\$1,829,934	\$1,280,954	\$548,980	59.4%
Element 7: Real Estate Capital	\$3,302,095	\$2,311,467	\$990,629	\$2,421,006	\$1,694,704	\$726,302	\$881,090	\$616,763	\$264,327	73.3%
Element 8: Project Closeout Efforts	\$100,000	\$70,000	\$30,000	\$62	\$43	\$18	\$99,938	\$69,957	\$29,982	0.1%
Element 9: WPIC 200-Year Standard	\$15,150,000	\$10,605,000	\$4,545,000	\$0	\$0	\$0	\$15,150,000	\$10,605,000	\$4,545,000	0.0%
Subtotal	\$53,549,257	\$37,344,498	\$16,204,760	\$35,197,536	\$24,425,264	\$10,772,271	\$18,351,722	\$12,919,233	\$5,432,488	65.7%
Subtotal Excluding Element 5	\$45,867,095	\$31,966,984	\$13,900,111	\$25,436,744	\$17,592,710	\$7,844,034	\$20,430,351	\$14,374,274	\$6,056,077	55.5%
Subtotal Excluding Elements 5 and 7	\$42,565,000	\$29,655,517	\$12,909,483	\$23,015,739	\$15,898,006	\$7,117,732	\$19,549,261	\$13,757,511	\$5,791,750	54.1%
State Funding Received Through 14th Quarter										
State Share of Real Estate Advances	\$784,000									
Construction Funding	\$12,529,264.94									
Credit Funding Received	\$5,575,931									
Total Funding Received	\$18,889,196									
Percentage of State Budget Funded	50.6% (Received Through June 2013)									

"Yub14QPRTotSumm"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 1

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 1: Flatten Waterside Levee Slope – Sta 3+00 to 33+50											
1.1: Design	70.0000%	\$204,279	\$142,995	\$61,284	\$198,854	\$139,198	\$59,656	\$5,424	\$3,797	\$1,627	97.3%
1.2: Permitting	70.0000%	\$167,134	\$116,994	\$50,140	\$869	\$608	\$261	\$166,265	\$116,386	\$49,880	0.5%
1.3: Right of Way	70.0000%	\$22,285	\$15,599	\$6,685	\$28,731	\$20,112	\$8,619	-\$6,447	-\$4,513	-\$1,934	128.9%
1.4: Construction Management	70.0000%	\$179,492	\$125,645	\$53,848	\$179,455	\$125,618	\$53,836	\$37	\$26	\$11	100.0%
1.5: Construction [1]	70.0000%	\$350,250	\$245,175	\$105,075	\$325,250	\$227,675	\$97,575	\$25,000	\$17,500	\$7,500	92.9%
1.6: O&M Manual addendum	70.0000%	\$83,567	\$58,497	\$25,070	\$0	\$0	\$0	\$83,567	\$58,497	\$25,070	0.0%
Subtotal Element 1		\$1,007,007	\$704,905	\$302,102	\$733,160	\$513,212	\$219,948	\$273,847	\$191,693	\$82,154	72.8%

"Yub15QPRTotElem1"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 1A

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 1A: Land Side Levee Improvement											
- Sta 7+00 to 8+20 (Shadpad Road)											
1A.1: Design	70.0000%	\$80,000	\$56,000	\$24,000	\$77,706	\$54,394	\$23,312	\$2,294	\$1,606	\$688	97.1%
1A.2: Permitting	70.0000%	\$100,000	\$70,000	\$30,000	\$99,649	\$69,754	\$29,895	\$351	\$246	\$105	99.6%
1A.3: Right of Way	70.0000%	\$225,000	\$157,500	\$67,500	\$120,761	\$84,532	\$36,228	\$104,239	\$72,968	\$31,272	53.7%
1A.4: Construction Management	70.0000%	\$150,000	\$105,000	\$45,000	\$165,888	\$116,121	\$49,766	-\$15,888	-\$11,121	-\$4,766	110.6%
1A.5: Construction	70.0000%	\$275,000	\$192,500	\$82,500	\$450,047	\$315,033	\$135,014	-\$175,047	-\$122,533	-\$52,514	163.7%
1A.6: O&M Manual addendum	70.0000%	\$5,000	\$3,500	\$1,500	\$0	\$0	\$0	\$5,000	\$3,500	\$1,500	0.0%
Subtotal Element 1A		\$835,000	\$584,500	\$250,500	\$914,051	\$639,836	\$274,215	-\$79,051	-\$55,336	-\$23,715	109.5%

"Yub15QPRTotElem1"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

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TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 2

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
& Waterside Slope											
Erosion Protection) Pre-CEQA Determination											
2.1: Alternatives Analysis	50.0000%	\$419,771	\$209,885	\$209,885	\$415,488	\$207,744	\$207,744	\$4,283	\$2,141	\$2,141	99.0%
2.2: CEQA / NEPA	50.0000%	\$650,142	\$325,071	\$325,071	\$649,566	\$324,783	\$324,783	\$576	\$288	\$288	99.9%
Subtotal Element 2		\$1,069,913	\$534,956	\$534,956	\$1,065,054	\$532,527	\$532,527	\$4,859	\$2,430	\$2,430	99.5%

"Yub15QPRTotElem2"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 3

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 3: Levee Improvements (Slurry Wall and Waterside)											
3-2.3: Preliminary Design	70.0000%	\$1,378,778	\$965,145	\$413,633	\$1,368,300	\$957,810	\$410,490	\$10,478	\$7,335	\$3,144	99.2%
3-2.4: Permitting Preparation	70.0000%	\$225,344	\$157,741	\$67,603	\$33,937	\$23,756	\$10,181	\$191,407	\$133,985	\$57,422	15.1%
3-2.5: Right of Way Support	70.0000%	\$2,735,767	\$1,915,037	\$820,730	\$2,619,251	\$1,833,476	\$785,775	\$116,516	\$81,561	\$34,955	95.7%
3.1: Final Design	70.0000%	\$2,279,307	\$1,605,515	\$673,792	\$2,236,760	\$1,565,732	\$671,028	\$42,548	\$39,783	\$2,764	98.1%
3.2: Permitting	70.0000%	\$702,461	\$491,723	\$210,738	\$152,040	\$106,428	\$45,612	\$550,422	\$385,295	\$165,126	21.6%
3.4: Construction Management	70.0000%	\$2,175,000	\$1,522,500	\$652,500	\$2,152,461	\$1,506,723	\$645,738	\$22,539	\$15,777	\$6,762	99.0%
3.5: Environmental Mitigation	70.0000%	\$415,091	\$290,564	\$124,527	\$404,088	\$282,861	\$121,226	\$11,003	\$7,702	\$3,301	97.3%
3.6: O&M Manual addendum	70.0000%	\$189,419	\$132,593	\$56,826	\$16,012	\$11,208	\$4,803	\$173,407	\$121,385	\$52,022	8.5%
Subtotal Element 3		\$10,101,168	\$7,080,817	\$3,020,350	\$8,982,847	\$6,287,993	\$2,694,854	\$1,118,321	\$792,824	\$325,496	88.9%

"Yub15QPRTotElem3"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 4

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 4: Levee Improvements (Slurry Wall and Waterside Slope Erosion Protection) Construction - Sta 102+50 to											
4.1 - Construction	70.0000%	\$9,800,000	\$6,924,000	\$2,876,000	\$8,648,588	\$6,054,011	\$2,594,576	\$1,151,412	\$869,989	\$281,424	88.3%
Subtotal Element 4		\$9,800,000	\$6,924,000	\$2,876,000	\$8,648,588	\$6,054,011	\$2,594,576	\$1,151,412	\$869,989	\$281,424	88.3%

"Yub15QPRTotElem4"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 5

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 5: Prior Completed Work											
5.1 - Prior Completed Work	70.0000%	\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%
Subtotal Element 6		\$7,682,162	\$5,377,514	\$2,304,649	\$9,760,791	\$6,832,554	\$2,928,237	-\$2,078,629	-\$1,455,040	-\$623,589	127.1%

"Yub15QPRTotElem5"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 6

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 6: Project Management, legal support, community relations support, land management support, and overhead											
6.1 - TRLIA Salaries/Benefits	70.0000%	\$1,270,714	\$889,500	\$381,214	\$788,302	\$551,811	\$236,491	\$482,412	\$337,688	\$144,724	62.0%
6.2 - TRLIA Consultant Exp.	70.0000%	\$1,260,377	\$882,264	\$378,113	\$922,631	\$645,842	\$276,789	\$337,745	\$236,422	\$101,324	73.2%
6.3 - TRLIA Legal Support	70.0000%	\$1,044,235	\$730,964	\$313,270	\$330,782	\$231,547	\$99,234	\$713,453	\$499,417	\$214,036	31.7%
6.4 - TRLIA Travel Expenses	70.0000%	\$9,992	\$6,995	\$2,998	\$94	\$66	\$28	\$9,898	\$6,929	\$2,970	0.9%
6.5 - TRLIA General Expenses	70.0000%	\$356,643	\$249,650	\$106,993	\$114,263	\$79,984	\$34,279	\$242,380	\$169,666	\$72,714	32.0%
6.6 - TRLIA Insurance	70.0000%	\$514,119	\$359,883	\$154,236	\$502,572	\$351,800	\$150,772	\$11,547	\$8,083	\$3,464	97.8%
6.7 - TRLIA Travel - Vehicle Lease	70.0000%	\$26,714	\$18,700	\$8,014	\$13,335	\$9,335	\$4,001	\$13,379	\$9,365	\$4,014	49.9%
6.8 - TRLIA Memberships	70.0000%	\$19,119	\$13,383	\$5,736	\$0	\$0	\$0	\$19,119	\$13,383	\$5,736	0.0%
Subtotal Element 6		\$4,501,913	\$3,151,339	\$1,350,574	\$2,671,979	\$1,870,385	\$801,594	\$1,829,934	\$1,280,954	\$548,980	59.4%

"Yub15QPRTotElem6"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 7

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d = b-c</i>	<i>e</i>	<i>f = e*a</i>	<i>g = e-f</i>	<i>h=b-e</i>	<i>i=c-f</i>	<i>j=d-g</i>	<i>k = e/b</i>
Element 7: Right of Way Capital											
7-3.3 - Right of Way Capital	70.0000%	\$3,302,095	\$2,311,467	\$990,629	\$2,421,006	\$1,694,704	\$726,302	\$881,090	\$616,763	\$264,327	73.3%
Subtotal Element 7		\$3,302,095	\$2,311,467	\$990,629	\$2,421,006	\$1,694,704	\$726,302	\$881,090	\$616,763	\$264,327	73.3%

"Yub15QPRTotElem7"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 8

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 8: Project Closeout Efforts											
8.1 - Project Close Out Efforts	70%	\$100,000	\$70,000	\$30,000	\$62	\$43	\$18	\$99,938	\$69,957	\$29,982	0.1%
Subtotal Element 8		\$100,000	\$70,000	\$30,000	\$62	\$43	\$18	\$99,938	\$69,957	\$29,982	0.1%

"Yub15QPRTotElem8"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

TRLIA Progress Report Overall Funding Status

Upper Yuba

For Period April/May/June 2013 (15th Quarter)

ELEMENT 9

Elements and Features	State Share %	Overall Budget [1]			Actual Expenses to Date [2]			Remaining Budget			Percentage of Total Budget Expended
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	
<i>Formula</i>	a	b	c	d = b-c	e	f = e*a	g = e-f	h=b-e	i=c-f	j=d-g	k = e/b
Element 9: WPIC 200-Yr Standard											
9.1 - Design	70%	\$800,000	\$560,000	\$240,000	\$0	\$0	\$0	\$800,000	\$560,000	\$240,000	0.0%
9.2 - Permitting & Environmental Dc	70%	\$300,000	\$210,000	\$90,000	\$0	\$0	\$0	\$300,000	\$210,000	\$90,000	0.0%
9.3 - Right of Way Acquisition	70%	\$1,000,000	\$700,000	\$300,000	\$0	\$0	\$0	\$1,000,000	\$700,000	\$300,000	0.0%
9.4 - Right of Way Support	70%	\$200,000	\$140,000	\$60,000	\$0	\$0	\$0	\$200,000	\$140,000	\$60,000	0.0%
9.5 - Construction Management	70%	\$800,000	\$560,000	\$240,000	\$0	\$0	\$0	\$800,000	\$560,000	\$240,000	0.0%
9.6 - Construction	70%	\$12,000,000	\$8,400,000	\$3,600,000	\$0	\$0	\$0	\$12,000,000	\$8,400,000	\$3,600,000	0.0%
9.7 - O&M Manual addendum	70%	\$50,000	\$35,000	\$15,000	\$0	\$0	\$0	\$50,000	\$35,000	\$15,000	0.0%
Subtotal Element 8		\$15,150,000	\$10,605,000	\$4,545,000	\$0	\$0	\$0	\$15,150,000	\$10,605,000	\$4,545,000	0.0%

"Yub15QPRTotElem9"

[1] As reflected in the 15th QWP dated March 18, 2013

[2] Includes expenses incurred through March 31, 2012 (and paid through April 2013)

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