



TRLIA
27th QUARTERLY WORK PLAN
FOR
FEATHER RIVER LEVEE
IMPROVEMENT PROJECT

1. **27th Quarterly Work Plan for quarter: October 1 – December 31, 2014**
2. **Date of Report: October 14, 2014**
3. **27th Quarter Work Plan Summary:**

Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Element 1 & 8 - Land Acquisition	\$0	\$0	\$0	\$0
Elements 2 through 7, 9A & 10	\$130,859	\$33,457	\$164,316	\$0
Total	\$130,859	\$33,457	\$164,316	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 1 & 8	\$0
Local Share Elements 1 & 8	\$0
Estimated Total Quarterly State Real Estate Funding	\$0

Quarterly Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 2 through 7, 9A & 10	\$98,144
Prior State Share Underfunded <i>(Estimated Based on 26th Quarter Advance & 25th QPR Reconciliation)</i>	\$2,530,876
Total Request of State Share for 27th Quarter (excludes any retention)	\$2,629,021

The calculation of Total Funding due for State Share at the start of 27th Quarter is subject to True-Ups to account for actual expenses incurred through the 25th Quarter (based on the most recent 25th QPR Submission and advance funding received to date). The State has processed advance payments through the 14th Quarter and, has also provided a release of \$7,078,412.40 of retention on State share of construction funding. At this point in time, because the State has provided more than 90% of its share of projected costs, no additional advance payments are expected.

The following analysis presents TRLIA's estimate of State funding due assuming no additional retention held. The purpose of this analysis is to provide the State, *given the accrued actual expenses to date*, an estimate of the funding due to TRLIA for construction related expenditures. This amount would represent a projection of the amount of retention held through the 26th Quarter.

Reconciliation of Prior State Funding for Construction

Per 25th Quarter QPR (True-Up)

Total Construction Expenses (Elements 2 - 9A) [1]	\$131,379,037
Total State Share of Construction Expenses [2]	\$105,869,879
Total State Funding Due [3]	\$105,869,879
Total State Advance/True-Up Funding Received to Date for Construction	\$102,901,764
State Share Construction Funding Due as of 24th Quarter	\$2,968,115

[1] Excludes Creditable Expenses.

[2] DWR Review of 25th QPR Statement of Costs still in process.

[3] Note: Assumes that retention is no longer held, however, the State has authorized the release of \$7,078,412.40 of retention to date.

Per 26th Quarter QWP

Total Construction Projection (Elements 2 – 7, 9A & 10)	\$188,223
State Share of Projection	\$147,637
75% of State Share for Quarterly Advance	\$110,728

State Credits Accrued Reported in 25th QPR (net of retention deductions)

Interest Earned on State Advanced Funding	\$940
State Share of Ag Lease Payments on FR Lands	\$83,748
State Share of Condemnation Settlements Less than Advance	\$141,653
Excess Property Disposition	\$321,625
Total State Funding Credits with TRLIA to Date	\$547,967

State Share Due (25th Qtr True Up plus 26th Qtr Advance less Credits) <i>Assumes no retention held and includes 24th Quarter Projection for demonstrative purposes</i>	\$2,530,876
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4. Project Element Information:

- **Project Element Information:**

- All Project Elements and Features: The 3rd Funding Agreement Amendment was approved on May 28, 2014, which extended the Funding Agreement date to June 30, 2016.

- **Engineering and construction matters:**

- **Element 1 - Land Acquisition**

- **Feature 1 (Segment 2/Setback Levee)**

- **Land Transfer:** During the 27th quarter TRLIA and the state will continue to have discussions pertaining to land transfer.

- **Final Accounting Packages (FAPs) and Cadastral Requirements:** TRLIA will continue to work with the State to finalize the FAPs and resolve any outstanding cadastral issues. It is anticipated that the Cadastral issues that caused the FAPs listed in Table 1 will be resolved in the 27th quarter.

Tables 2 – 4 provide the FAP status.

○ **Table 1 – List of FAPs and Cadastral Approval Status**

Number	CVFPB #	Owner	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1	14001	J.M. Smith	2	Pending	Pending
2	14002	Danna	3	Pending	Approved 4-8-14
3	14003	Mann	1	Pending	Approved 3-27-14
4	14004	Mann	1	Pending	Easement Only Approved 4-8-14
5	14005	Davit	1		Approved 4-8-14
6	14006	Terry	1	Pending	Pending
7	14007	Naumes	4 (2 in Sutter County)	Approved 4-14-2014	Approved 4-8-14
8	14008	T. Rice	1	Approved 6-25-2014	Approved 4-8-14
9	14009	B. Heir	1	Approved 3-6-2012	Approved 4-8-14
10	14010	Dang	1	Approved 2-22-2013	Approved 4-8-14
11	14011	Uppal	1	Approved 6-25-2014	Approved 3-27-14

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12	14012	Anderson	2	Approved 6-25-2014	Approved 3-27-14
13	14013	Nordic	1	Approved 12-12-2012	Approved 3-27-14
14	14014	Johl	1	Approved 3-4-2010	Approved 3-27-14
15	14015	Webb	1	Approved 3-4-2010	Approved 3-27-14
16	14016	H&H Trenching	1	Approved 3-4-2010	Approved 3-27-14
17	14017	P.F. Rice	1	Approved 6-25-2014	Approved 3-27-14
18	14018	Hadley	1	Approved 10-16-2013	Approved 3-27-14
19	14019	T. Miller	1	Approved 3-4-2010	Approved 3-27-14
20	14020	Maxey	1	Approved 3-4-2010	Approved 3-27-14
21	14021	P. Heir	1	Approved 3-9-2012	Approved 4-8-2014
22	14022	Foster Residence	1	Pending	Rejected
23	14022	Foster	5	Pending	2 parcels Approved; 3 parcels rejected
24	14023	L. Nieschulz	1	Pending	Rejected
25	14024	Souza	1	Approved 1-3-2013	Rejected
26	14025	Herold	1	Approved 6-25-2014	Approved 4-8-14
27	14026	Flores	1	Approved 6-15-2011	Rejected
28	14027	Foster, et al bar property	1	Approved 6-25-2014	Rejected
29	14078	S. Nieschulz	1	Pending	Rejected
			40	19	22

○ **Table 2** – Final Accounting Packages at DWR for Approval

CVFPB	APN	OWNER	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	STATE REMAINING BALANCE
14001	013-010-046; 013-010-026	J.M. Smith, et al.	\$284,900.00	\$235,967.29	\$125,810.29
14002	013-010-010; 013-010-035	Danna Investments	\$1,301,597.17	\$1,078,042.65	\$(140,293.78)
14003	013-010-013	Joga S. Mann, et ux	\$1,458,474.69	\$1,207,975.83	\$172,668.33
14004	013-010-016	Joga S. Mann, et ux	\$11,200.00	\$9,276.36	\$9,276.36
14005	013-010-014	Satinder N. Davit, et ux	\$2,975,063.08	\$2,464,084.10	\$(7,192.49)
14006	014-240-022	Nora Lee Terry Trust	\$128,800.00	\$106,678.08	\$1,649.03
14022	016-010-008	Foster Residence	\$51,404.99	\$42,575.98	\$22,830.03
14022	014-370-030; 014-370-029; 016-010-002; 016-010-007; 016-020-005	Foster Ranch	\$4,946,084.19	\$4,096,574.45	\$49,863.80
14023	016-010-006	Lloyd R. Nieschulz, et al.	\$43,771.96	\$36,253.95	\$21,495.63
14078	016-010-016	Susanna M. Nieschulz Trust	\$1,406,403.88	\$1,164,848.39	\$19,362.66
TOTAL					\$275,469.86

○ **Table #3** – Final Accounting Packages APPROVED by DWR

CVFPB	APN	OWNER	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	STATE REMAINING BALANCE
14007	014-250-028; 014-250-027	Naumes, Inc.	\$17,548,012.12	\$14,534,070.85	\$(4762.41)

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14008	014-250-029	T. Rice, et. ux.	\$211,721.00	\$175,357.07	\$38,294.09
14009	014-290-004	B. Heir, et al.	\$546,122.12	\$452,323.46	\$(1.07)
14010	014-290-033	Quinn X. Dang	\$330,000.00	\$273,321.18	\$(717.80)
14011	014-290-034	R.S. Uppal, et. ux.	\$731,350.00	\$605,737.71	\$302,886.02
14011	014-290-034	R. S. Uppal (excess land sale)	\$(388,321.00)	\$(321,625.31)	\$(321,625.31)
14012	014-370-039; 014-370-006	Gene R. Anderson	\$536512.24	\$444,364.12	\$173,782.11
14013	014-370-036	Nordic Industries, Inc.	\$1,125,000.00	\$931,776.75	\$(317,556.30)
14014	014-370-037	Jaspal S. Clar, et ux (Johl)	\$781,000.00	\$646,860.13	\$825.13
14015	014-370-026	R. E. Webb, et ux	\$785,000.00	\$650,173.11	\$0
14016	014-037-003	H&H Trenching, Inc.	\$293,500.00	\$243,090.20	\$4,140.08
14017	014-370-007	P.F. Rice, et ux	\$382,500.00	\$316,804.10	\$(2,164.88)
14018	014-370-033	Harold D. Hadley, Jr., Trust et al.	\$432,154.40	\$357,930.15	\$36,767.81
14019	014-370-033	T. Miller	\$1,020,000.00	\$844,810.92	\$0
14020	014-370-024	Steve Maxey, et ux	\$495,000.00	\$409,981.77	\$0
14021	014-370-017	P. Heir	\$18,500.00	\$15,322.55	\$0
14024	016-010-015; 016-010-016	F.D. Souza Trust	\$900.00	\$745.42	\$745.42
14025	016-010-009	Eleanor Herold	\$419,524.50	\$347,469.49	\$297,309.76
14026	016-010-010	Herminita Flores and Mark Aldrin Flores	\$167,000.00	\$138,317.08	\$(0.39)
14027	016-010-001	Foster et al Bar Property	\$105,000.00	\$86,965.83	\$1,113.88
TOTAL					\$342,280.31

○ **Table #4** – Relocation Final Accounting Packages Approved by DWR

CVFPB	OWNER	SETTLEMENT AMOUNT	STATE SHARE	F.A.P. APPROVED	STATE REMAINING BALANCE
14002	Eden (Danna)	\$126,218.86	\$94,881.06	1/17/2013	\$(14,592.82)
14014	Mincher (Johl)	\$21,238.91	\$17,591.04	3/16/2012	\$(105,086.48)
14014	Johl	\$1,882.75	\$1,559.38	3/21/2012	\$see Mincher above
14015	Webb	\$307,767.36	\$155,296.13	10/7/2013	\$99,610.91
14016	Timmins (H & H)	\$26,331.00	\$21,808.55	3/21/2012	\$(21,674.37)
14017	Cummings (P.F.Rice)	\$900.00	\$745.42	11/8/2012	\$745.42
14019	Miller	\$149,688.50	\$123,978.90	1/17/2013	\$(31,317.23)
14025	Herold	\$195,000.00	\$161,507.97	8/15/2012	\$161,507.97
TOTAL					\$89,193.39

○ **Feature 2 (Segment 3):**

- **Land Transfer:** During the 26th quarter TRLIA and the state will continue to have discussions pertaining to land transfer.
- **Cadastral Requirements:** During the 27th quarter TRLIA will continue to work with the state to resolve cadastral issues that are impacting finalizing the Feather FAPs. The information below in Tables 5 to 11 reflect the recent Real Estate actions and lists the status of the Segment 3 Final Accounting Packages:

Table 5: Segment 3 - List of FAPs and Cadastral Approval Status

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1	14000	Steven Stewart, et ux	1	Approved 7-7-2014	Pending
2	14001	J.M. Smith	1	Pending	Pending
3	14028	Trumon G. Cooper Trust	3 different acquisitions. 2 resulted in Eminent Domain Action. The 3rd was a small portion of previously abandoned Virginia Avenue was granted willingly.	Pending	Pending
4	14029	Richard G. Wilbur Trust	2	Pending	Pending
5	14030	Jourbee Khang, et ux	1	Approved 2-5-2013 & 4- 17-2013	Pending
6	14031	State of California	1	Approved 5-24- 2011	Pending
7	14032	R.D. 784	1	Pending	Pending
8	14033	Henry P. Smith	2	Pending	Pending
9	14034	Linda County Water District	3	Pending	Pending
10	14036	Richard G. Wilbur Trust	1	Pending	Pending
11	14037	E Street MX, Inc.	1	Pending	Pending
12	14038	John Roth	1	Approved 7-7-2014	Pending
13	14039	California Capital Loans	1	Approved 7-7-2014	Pending
14	14040	John Roth	1	Approved 7-7-2014	Pending
15	14041	Carolyn J. & Gary D. Tindel	1	Approved 7-7-2014	Pending
16	14042	Salvation Army	2	Pending	Pending
17	14043	Forrest Ray Miller	1	Pending	Pending

18	14044	Vernon Adams	1	Approved 7-7-2014	Pending
19	14045	Ronald R. & Marcia E. Wessels	1	Pending	Pending
20	14046	Roadway	1	Pending	Pending
			27	8	0

Table 6 – Segment 3 – Parcels Not Yet Acquired

CVFPB	APN	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14029	020-330-010	Richard G. Wilbur Trust	NEGOTIATING				Preparing Updated Appraisal
14032	020-330-007	RD 784	In Process				Will obtain at no cost
14045	020-440-017	Ronald R. & Marcia E. Wessels	NEGOTIATING				7/30/13: Rec'd approval from DWR for access reservation. Acquisition impacted by pending foreclosure.
14046		Roadway	In Process				No ownership information available; still working with Title; may require legal action

Table 7– Segment 3 – Parcel Acquisitions and Final Accounting Packages being completed by BRI

CVFPB	APN	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	State Remaining Balance	COMMENTS
14036	020-010-015	Richard G. Wilbur Trust	Pending					Negotiations impacted by eminent domain action for property on the Upper Yuba Project.

Table 8– Segment 3 – Parcel Acquisitions and Final Accounting Packages at Downey Brand for Review

CVFPB	APN	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	State Remaining Balance	COMMENTS
14028	020-360-060; 020-360-061; 020-360-062	Trumon G. Cooper Trust	Escrow Closed	26th Qtr	\$21,500.00	\$15,050.00	\$4,550.00	Need Final Policy of Title
14033	020-010-023	Henry P. Smith	Escrow Closed	26th Qtr	\$46,300.00	\$32,410.00	\$32,410.00	
14037	020-091-026	E Street MX, Inc.	Escrow Closed	26th Qtr	\$2,550.00	\$1,785.00	\$1,785.00	Policy of Title had error and is being corrected; new target date 6/15/14
14043	020-091-006	Forrest Ray Miller	Escrow Closed	26th Qtr	\$1,650.00	\$1,155.00	\$1,155.00	Need Final Policy of Title

Table 9 – Segment 3 – Final Accounting Packages at DWR for Approval

CVFPB	APN	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	State Remaining Balance
14001	013-010-046; 013-010-026	J.M. Smith, et al.	Escrow Closed	submitted	\$22,000.00	\$15,400.00	\$15,400.00

14034	020-360-054; 013-010-001; 013-010-002; 013-010-045	Linda County Water District	Escrow Closed	submitted	\$6,800.00	\$4,760.00	\$4,760.00
14042	020-091-004; 020-091-005	Salvation Army	Escrow Closed	submitted	\$62,600.00	\$43,820.00	\$43,820.00

Table 10 – Segment 3 – Final Accounting Packages Approved by DWR

CVFPB	APN	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. (target to be submitted to DWR)	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	State Remaining Balance
14000	013-010-045	Steven Stewart, et ux	Complete	Approved	\$1,650.00	\$1,155.00	\$875.00
14031	020-330-008	State of California	Complete	Approved	\$7,100.00	\$4,970.00	\$(7,539.00)
14030	020-360-022	Jourbee Khang, et ux	Complete	Approved	\$72,500.00	\$50,750.00	\$41,790.00
14038	020-091-028	John Roth	Complete	Approved	\$750.00	\$525.00	\$525.00
14039	020-091-027	California Capital Loans	Complete	Approved	\$1,000.00	\$700.00	\$700.00
14040	020-091-026	John Roth	Complete	Approved	See 14038		
14041	020-091-002	Carolyn J. & Gary D. Tindel	Complete	Approved	\$5,580.00	\$3,906.00	\$3,906.00
14044	020-091-007	Adams	Complete	Approved	\$1,000.00	\$700.00	\$700.00

Table #11 – Segment 3 - Relocation Final Accounting Packages Approved by DWR

CVFPB	OWNER	SETTLEMENT AMOUNT	STATE SHARE	F.A.P. APPROVED	STATE REMAINING BALANCE
14042	Salvation Army	\$1,295.00	\$906.50	1/17/2013	\$906.50

➤ **Element 2: Feather River Segment 2 setback levee w/o tie-ins and new levee construction requiring federal action (except as noted in features):**

- Feature 1.1 Design: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.

- Feature 1.2 Permitting:
 - TRLIA will continue to work with DWR floodway maintenance staff and F&WS to develop an acceptable approach to floodway maintenance for all parties in the Feather River Floodway during the 27th quarter. This plan impacts the way TRLIA will manage and transfer the Feather Setback area (Element 6).
 - The OMRR&R Agreement was approved and signed by CVFPB, TRLIA and RD784 in August 2011. TRLIA will continue to perform its obligations under this Agreement during the 27th quarter.
- Feature 1.3 Right of Way Support:
 - Both legal and real estate activity will continue during the 27th quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 1.
 - During the 27th quarter TRLIA will continue to work with DWR on developing the process to transfer property to the state. This action is also necessary for Project Element 1 Feature 2, and Project Element 8 Feature 1 property transfers. TRLIA will capture the cost of this effort for all three Project Element Features in this feature.
 - Cadastral Requirements: During the 27th quarter TRLIA will continue to work with the state to resolve cadastral issues. It is anticipated that the Cadastral issues that caused the FAPs listed in Table 1 will be resolved in the 27th quarter.
- Feature 2 Construction Management: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout. Any additional construction management oversight for Project Element 2 Feature 4 work will be accounted for under Project Element 6 as part of land management activities.
- Feature 3.1 Construction of Setback Levee Foundation: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- Feature 3.2 Construction of Setback Levee Embankment and related items: **Completed** – Portion of retention released to TRLIA on April 26, 2012.

Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.

- Feature 3.3 Relocation of PG&E facilities: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- Feature 4 Environmental and Cultural Mitigation:
 - Monitoring of the Vegetated Wave Buffer will continue in the 27th quarter.
 - Environmental Mitigation/Restoration: Environmental monitoring of the Wetlands Mitigation, Swale, and Elderberry Mitigation Area will continue in the 27th quarter.
 - Cultural Mitigation: Monitoring of the planting at the Cultural Site will continue during the 27th quarter.
- Feature 5 O&M Manual:
 - This Feature is **completed** – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention release for this item will be requested later with another separate larger milestone.

A comprehensive O&M Addendum for Segments 1, 2, and 3 was issued on December 12, 2011. USACE has informed TRLIA that they intend to wait until the work along the Segment 3 TAC is completed before issuing an acceptance letter for Section 408 compliance. The toe access corridor construction completed this quarter. RD 784 has the Segments 1, 2, and 3 manual and is using it to perform O&M. USACE requested that one combined O&M Addendum which includes all Addendums for the RD 784 Levee System be prepared. A draft Combined Addendum was submitted to the CVFPB on January 8, 2014. USACE has completed its review of the combined Addendum. USACE has concerns with elements that they do not consider to be part of the Federal Project being in a federal version of a combined manual. USACE is preparing their draft version of what the combined addendum should be and will submit that to CVFPB as the manual to follow for maintenance of the Federal Project. The draft combined O&M Addendum submitted to USACE included O&M for areas for which TRLIA has committed to provide O&M. These portions of the draft Manual will need to be captured,

presented in a separate supplement to the federal version of the combined O&M Manual, and followed in order to meet past commitments.

- **Element 3:** Feather River Segment 2 tie-ins and new levee construction requiring federal action.
 - Feature 1 - Construction (Embankment Feature 1.1 and Foundation Feature 1.2): **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.

- **Element 4:** Feather River Segment 2 existing levee degrade
 - Feature 4.1 Construction: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.

- **Element 5:** Feather River Segment 3 levee improvement:
 - Feature 1.1 Design: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.

 - Feature 1.2 Permitting:
 - During the 22nd quarter the DWR Urban Levee Evaluation Review team completed its ULDC (Urban Levee Design Criteria) review of this element. The ULE team did not find any issues. The TRLIA evaluation team has completed its ULOP evaluation. A draft report on the ULOP evaluation is scheduled for the 26th Quarter; document not received at the time of the report.

 - Feature 1.3 Right of Way Support:
 - Both legal and real estate activity will continue during the 27th quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 2.

 - Feature 2 Construction Management:
 - This feature is **completed**

 - Feature 3 Construction:

- This feature is **completed**
- Feature 4: O&M manual addendum:
 - This Feature is **completed** – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention release for this item will be requested later with another separate larger milestone.
- **Element 5A:** Feather River Segment 3 Erosion Site 2 (Added to Agreement by Amendment 1)
 - Feature A1.1 Design: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
 - Feature A1.2 Permitting: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
 - Feature A1.3 Right of Way Support: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout. Future right of way costs will be included in Project Element 5 Feature 1.3.
 - Feature A2 Construction Management: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
 - Feature A3 Construction: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
 - Feature A4 O&M Manual: Final O&M manual addendum was issued on December 12, 2011, which included this Project Element (Erosion Site 2). **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.

➤ **Element 6:** Feather River Land Management:

- Feature 1 Environmental Maintenance Activities: Environmental monitoring of the Elderberry Mitigation Area, Wetlands, and Swale will continue in the 27th quarter.
- Feature 2 Lease Back Activities (e.g. farming): TRLIA has 7 farming leases in the Feather Setback area and one Revocable Easement and Profit Agreement (Rice) in the landside levee toe access corridor. In the 19th quarter 2 interim non-renewable leases (Sharma and Atwal) were approved by TRLIA. Management of these leases will continue in the 27th quarter.

Funds received pursuant to the leases are reported to DWR within the quarterly progress reports and the State share of these funds are to be used to offset the remaining funding due to TRLIA through the EIP funding agreement. Lease revenues are held in separate funds at Yuba County and interest earned on these funds is accounted for as well.

As part of the June 11, 2014 notice of retention release, the State has deducted a total of \$286,783.13 for lease revenues received through March 31, 2014 from the retention release. March 31, 2014 is the new baseline for accumulated Ag revenues that are due to DWR. As part of the 25th QPR TRLIA reported all lease revenues collected after March 31, 2014.

During the 18th quarter the acreages of some of the parcels were found to be off based on survey information. The updated acreages are shown below in (red) if a change was found.

1. Foster (016-020-005, 014-370-030, 014-370-029, 016-010-002, 016-010-007) - 248 (239.6) Acre Walnut Orchard. Term of lease January 1, 2009 through December 31, 2014. During the 25th quarter the state approved a three year lease extension and the lease was extended to December 31, 2017. Rent is 10% of the Adjusted Gross Income.
2. T. Miller (014-370-033) – 20 Acre Walnut Orchard. Term of lease August 16, 2008 to August 15, 2013. Rent is 10% of the Gross Sales. During the 22nd quarter TRLIA extended the lease to June 30, 2014. During the 25th quarter the state approved a three year lease extension and the lease was extended to December 31, 2017.
3. Maxey (014-370-024) – 27.38 (28.8) Acres Walnut, persimmons, mandarins, chestnuts and jujube Orchards. Term of lease August 16, 2008 to August 15, 2013. Rent is 10% of the Gross Income on walnuts and 10 % of the Adjusted Gross Income for other crops. During the 22nd quarter TRLIA is extended the lease to June 30, 2014. During the 25th

quarter the state approved a three year lease extension and the lease was extended to December 31, 2017.

4. Hadley (014-370-020) – 9 (6.3) Acre Walnut Orchard. Term of lease November 1, 2008 to November 30, 2013. Rent is 10% of the Gross Sales. During the 22nd quarter TRLIA extended the lease to June 30, 2014. During the 25th quarter the state approved a three year lease extension and the lease was extended to December 31, 2017.
5. Davit (013-010-014) – 99 (81.9) Acre Walnut & Peach Orchard. Term of lease October 21, 2009 to December 31, 2014. Rent is 10% of the Adjusted Gross Income. Davit was allowed to remove the peach orchard (found to be too old) and replace with walnuts at his own risk, since there is no guarantee the state will extend the lease. During the 25th quarter the state approved a three year lease extension and the lease was extended to December 31, 2017.
6. F. Miller (014-370-003 and 014-370-037) – 66 Acres Walnut Orchard. Term of lease July 21, 2010 through December 31, 2013. Rent is 30% of the Gross Income. During the 22nd quarter TRLIA extended the lease to June 30, 2014. During the 25th quarter the state approved a three year lease extension and the lease was extended to December 31, 2017. This latest extension terminated the automatic extension clause in the lease.
7. Nieschulz (016-010-016) – 35.07 (30.3) Acres of Orchard trees. Term of lease retroactively to January 1, 2009 and terminating at the end of the 2011 crop year. The lease was executed in September 2010. After the 3 year term, the lease is automatically extended each Jan 1. For the 2010 crop year and all subsequent years Tenant shall pay the amount of 15% of the Gross Income from the property over the course of the applicable prior twelve month period. During the 25th quarter the state approved a three year lease extension and the lease was extended to December 31, 2017. This latest extension terminated the automatic extension clause in the lease.
8. Rice (014-250-029) – 4.82 Acres of Peach Orchard. This lease terminated on 1 October 2014. Mr. Rice was notified that his lease will be terminated on September 30, 2014 (end of the 26th quarter) by TRLIA letter dated July 16, 2013. This letter was coordinated with DWR and CVFPB in the 21st quarter.
9. Sharma (APN 014-250-027). During the 19th quarter TRLIA awarded a non-renewable 2 to 5 year lease to Mr. Sharma (Sunrise Orchards) to plant row crops on 537 acres (Naumes Property) within the Feather Setback area. Tenant shall pay to Landlord, in addition to providing a valuable service of maintenance and upkeep of the Property, including

maintenance of any wells and pumps, weed abatement, controlling of trash dumping, pest control pertaining to the crop being grown, and property surveillance, an annual rent for the Premises in the amount of the lesser of five percent (5%) of the Gross Income from the Property over the course of the applicable prior twelve-month period, or an amount equal to \$10,000 per month. Rent shall be paid in arrears, on December 1st of each year.

The term of this Lease shall commence upon August 1, 2012 and terminate on July 31, 2017. The term of this Lease shall be non-renewable. After two years from the commencement date of the Lease, either party to the Lease, and any successors and assigns to the Lease pursuant to Paragraph 33 below, may give 30 days' written notice to terminate this Lease. Notwithstanding the foregoing, Landlord may terminate this Lease at any time on 60 days' notice if Landlord finds it necessary to do so in meeting Landlord's needs, including any federal or state requirements.

During the 18th quarter TRLIA requested DWR allow TRLIA to extend leases 1 to 7 for a long term (20 to 30 years) to provide farmers the ability to plan future maintenance and replacement investments for the leased orchards. Late in the 23rd quarter DWR granted the leases to be extended for an interim period (3 years) so that the farmers could continue to maintain the leased orchards. The interim extensions were approved by DWR in the 25th quarter. The issue of longer term leases for the orchards is still being considered.

This item is listed in the issues/concerns portion of this report.

A schedule of payments is shown below for the 27th Quarter: (Note: A Summary of Ag Lease Payments received is also included with the regular Quarterly Progress Reports. The projections included within this report reflect agricultural lease revenues received since May 31, 2014 and reported within the last QPR.)

- Miller, F. rent payment of 30% due on December 31.
- Nieschulz Walnut Ranch rent payment of 10% due on December 1.
- Sharma/Sunrise Orchards rent payment of 5% due on December 1.
- Feature 3 Land Maintenance of Setback area (e.g. security and land management activities) post construction and prior to transfer of property to State: TRLIA will continue to manage activities on the 1600 acres that are required for the Setback levee in the 27th quarter.

- TRLIA will continue to perform interim land operations and maintenance activities for the Feather Setback area per the Setback Area O&M Plan. The plan included short-term and long-term operations and maintenance of the borrow sites, security/maintenance road, onsite mitigation areas (wetlands, swale, and elderberry area), farm leases, security of setback area, and trash pickup.
- TRLIA anticipates continuing limited public access into the Feather Setback area during the 27th quarter and will continue to operate and monitor security cameras. Three of the four cameras are operating (PS 3, Broadway Ramp, near Star Bend). The fourth camera by Country Club Road/former FR Levee has poor signal strength and will be moved to a better location.
- In the 27th quarter (may still happen in the 26th quarter pending letter from the Corps to the CVFPB allowing encroachment permit to be issued) TRLIA anticipates it will move Broadway public entrance to Star Bend using local funding. In the 23rd quarter TRLIA submitted an encroachment permit application to the CVFPB that would open the Feather River Setback levee waterside access corridor gate at Star Bend which would allow the public to have access to the setback area via the waterside access corridor. TRLIA plans to place a barb wire fence along the edge of the corridor to block the public from freely entering into nearby orchards.
- In the 27th quarter TRLIA will participate in the DWR Lower Feather River Floodway Corridor Management committee and the following subcommittees: Funding, Hydraulics, Permitting, and Policy.

In the 27th quarter it is anticipated that TRLIA will be working with FESSRO on the TRLIA Feather River Floodway Corridor Restoration Project. It is anticipated that TRLIA will continue to work with DWR to find an acceptable path to use the rents collected under the current Feather Setback Area Ag leases to fund long term maintenance for existing and proposed state mitigation areas in the Feather River area.

This item is discussed in the issue and concerns part of this report

- In the 27th quarter it is anticipated that TRLIA will be working with the Flood Corridor Program on the Three Rivers Flood Corridor Project
- **Element 7:** Project Management, legal support, community relations support, land management support, and overhead
- Activity is anticipated in all Features during the 27th quarter.

- As reported in the 26th QWP TRLIA will continue to use in the 27th quarter the revised allocation of its administration and overhead expenses to reflect an apportionment of these expenses to additional work TRLIA has underway throughout the basin. The revised projections for expenses allocated to the FRLIP have been reduced to reflect a lower allocation.

➤ **Element 8:** Feather River EIP Land Acquisition (formally Associated Project Element 1

- Feature 1 Feather Segment 1: The TRLIA Segment 1 Real Estate Plan was approved in November 2011. During the 27th quarter TRLIA will continue negotiations with property owners within Feather Segment 1 to appraise and acquire the 50 foot wide levee O&M toe access corridor. Below are tables that reflect the estimated acquisition costs reflected in the Segment 1 Real Estate Plan:

Table 12: Segment 1- List of FAPs and Cadastral Approval Status

Number	CVFPB #	OWNER	Number of Parcels	DWR RE Approval Status	DWR Cadastral Approval Status
1	14047	Danna Properties, LP	2	Pending	Pending
2	14048	Danna Properties, LP	1	Pending	Pending
3	14049	Shoei Food USA, Inc.	1	Pending	Pending
4	14029	Valley View Packing Co.	1	Pending	Pending
			5	0	0

Table 13: Property Not Yet Acquired

CVFPB	APN	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P.	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14047	016-120-015; 016-120-016	Danna Properties, LP	NEGOTIATING				

14048	016-120-008	Danna Properties, LP	NEGOTIATING				
14076	016-090-008	Valley View Packing Co.	Cancelled				This parcel will be eliminated; TRLIA will seek an exemption for this very small area.

Table 14: Properties Acquired & FAP Status

CVFPB	APN	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P.	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	State Remaining Balance
14049	016-060-019	Shoei Food USA, Inc.	closed	submitted	\$166,543.17	\$116,580.22	\$116,580.22

- Landside and Waterside Access Toe Corridor License Agreements: Shoei was issued a landside access corridor license. It is anticipated that the following properties are proposed to have licenses issued to them in the 27th quarter: Danna, land and waterside access corridor; and Valley View, waterside access corridor.
- **Element 9:** Feather River Segment 1 Levee Improvement (formally Associated Project Element 2)
 - Feature 1.1 Design: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
 - Feature 1.2 Permitting: The DWR Urban Levee Evaluation (ULE) team completed their review of this section of the TRLIA levee system. The DWR ULE team identified one ULDC exceedence in this element. Through seepage criteria was exceeded at design station 133+00. This exceedence will be remediated with an erosion protection berm. An encroachment permit application package for this work was submitted to the CVFPB on May 13, 2013. The CVFPB did approve the encroachment permit (permit No. 18869) to do this work in the 22nd quarter, but the permit was conditioned on receiving a minor 408 letter from USACE. USACE was not

able to produce the letter before the 2013 construction season had expired. TRLIA continues to coordinate with USACE to produce the minor 408 letter. NEPA clearance was an issue but has been resolved. As of August 19, 2014, USACE has yet to provide comments on the encroachment permit application. It is unknown at this point if USACE will be able to supply comments in time to accomplish this work in the 2014 construction season. TRLIA will use only local funds to pay for this remediation berm; therefore, this work is not listed in the construction feature of this project element. The TRLIA evaluation team has completed its ULOP evaluation of this feature. A draft report on the ULOP evaluation is scheduled for the 26th Quarter.

- Feature 1.3 Right of Way Support:
 - Both legal and real estate activity will continue during the 27th quarter to support the ongoing land acquisition activities associated with Project Element 8 Feature 1.

- Feature 2 Construction Management:
 - **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.

- Feature 3 Construction:
 - **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.

- Feature 4 O&M manual addendum:
 - This Feature is **completed** – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention release for this item will be requested later with another separate larger milestone.

- **Element 9A: Feather River Segment 1 Crack Repair (Added to Work Plan by Addendum 1)**
 - Feature A1.1 Engineering and Construction Management: **Completed** - Crack monitoring (e.g. inclinometers) continued during this quarter. On October 20, 2011 TRLIA asked CVFPB to reduce the monitoring from monthly to quarterly and after any large flood events. While some movement is still discernible, it is very small and the section is considered stable by TRLIA. By letter dated July 22, 2014, the CVFPB agreed to reduce the monitoring requirements to only occur after a large flood event. The approval also required a Plan of Action to implement should significant movement occur at

this location. The combined RD 784 O&M Manual Addendum has been revised to reflect this most recent monitoring revision.

- Feature A1.2 Right of Way Support: See Project Element 9 Feature 1.3
As part of a supplemental retention release request submitted during the 19th Quarter on January 3, 2013, this item was **deemed complete** and a release of retention was requested. This item is now highlighted in yellow in the tables below.
- Feature A2 Construction: **Completed** – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- Feature A3 O&M manual addendum: See Project Element 9 Feature 4
- **Element 10 (Added by Amendment 2): Closeout Process post June 30, 2012:**
 - 10.1 Feature 1: Closeout Process post June 30, 2012:
 - In April 2012, DWR released a portion, \$5,000,000 of the retention held on the State’s share of funding for cost incurred by TRLIA. In support of this partial retention release, on August 16, 2012 TRLIA delivered to DWR all of the requested hard copy documentation related to the partial release of retention. TRLIA will supplement the closeout documentation when the final closeout of the project occurs as noted within the closeout documentation report provided with the hard copy transmission of documentation.
 - On January 3, 2013, TRLIA provided DWR with a request for the release of additional retention of the State Cost share for the project. Specifically, TRLIA requested the release of an additional \$1,153,562 of retention based upon additional costs incurred since the April 2012 release of retention and additional progress made toward the completion of project through the 18th Quarter. DWR reviewed this request and in response to meetings with TRLIA, TRLIA refined the request and DWR made a determination to release \$2,078,412.40. TRLIA received this retention release on June 25, 2014.
 - TRLIA will continue to work with the State in the 26th quarter to prepare additional closeout documentation for DWR and associated reviewers for formal project closeout review to support of the project final closeout.
- **Environmental matters:**
 - Project Element 2:

- River Partners will continue to maintain the Feather River Elderberry mitigation area, wetlands, and drainage swale.
- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
 - **Project Elements 2, 3, 4, 5, 5A, 9, & 9A:**
 - See Attachment 1 for the Permit status update.
 - **Project Element 2:**
 - TRLIA will continue to work with DWR floodway maintenance staff and F&WS to develop an acceptable approach to floodway maintenance for all parties in the Feather River Floodway during this quarter. DWR has set up a committee to guide the actions within the Feather River Corridor, with participation from various branches of DWR, the CVFPB, the local maintenance districts, TRLIA, the counties, and other interested stakeholder groups. The Committee is called the Feather River Corridor Management Plan development team.
 - TRLIA will continue to perform its obligations under the OMRR&R Agreement during the 27th quarter.
- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.):**
 - Project Element 1 Feature 1: It is anticipated that the Cadastral issues that caused the FAPs listed in Table 1 of this report will be resolved in the 27th quarter.
- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**
 - **Issue 1:** During the 18th quarter TRLIA requested DWR allow TRLIA to extend leases 1 to 7 for a long term (20 to 30 years) to provide farmers the ability to plan future maintenance and replacement investments for the leased orchards. In the 25th quarter DWR approved the leases to be extended for an interim period (3 years) so that the farmers could continue to maintain the leased orchards. The issue of longer term leases for the orchards is still being considered.

Recommended Resolution: DWR agree to extend the leases for an extended time period; preferably at least 10 to 15 years or if possible even longer so that the farmers can make long term investments into the leased orchards.

- **Issue 2:** Since the 24th quarter TRLIA has requested the Department of Water Resources (DWR) put the agricultural leases to work for broader state-wide flood control and environmental goals by approving the use of the rents collected under the leases to fund long term maintenance to support existing and proposed mitigation areas in the Feather River area. The revenue stream from the leases is a critical source of funding for maintenance of ecosystem restoration work in the Feather setback area.

Recommended Resolution: DWR amend the Feather funding agreement to allow TRLIA use revenues from the existing orchard leases in the Feather setback area.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- No changes have been identified as part of this Quarterly Work Plan.

- **Any litigation, proceedings or claims relating to the Project:**

- Elements 1 thru 10: None at this time

5. Cost Information by Project Element: TRLIA is submitting this 27th Quarterly Work Plan after the completion of the 25th Quarterly Progress report. The budgets and remaining budgeted expenditures are reflective of actual costs incurred as of the 25th Quarter and estimated costs to be incurred for the 26th Quarter. As result, the financial information included within this Quarterly Work Plan presents the projected remaining budget as of September 31, 2014 expected in each element taking into consideration projected expenses for the 26th Quarter based on the 26th QWP with adjustments for actual expenditures as they are known.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed) :**

No changes are planned at this time. This report reflects modifications provided as part of the modified Overall Work Plan Budget submitted with the 24th QPR.

- **Projected costs compared to Overall Work Plan Budget:**

- Element 1 – Real Estate (Segments 2 & 3): The Revised Overall Work Plan Budget for Element 1 is \$39.9 million based upon a refined estimate of

remaining costs outlined within the 24th QPR. Through December 2014, TRLIA expects to have expended approximately 98% of the revised total budget, about \$39.2 million. In the 27th quarter of the project, TRLIA does not expect to expend funds on additional ROW acquisition for the Segment Toe-Access-Corridor.

- Element 8 – Real Estate (Segment 1): The Overall Work Plan Budget for Element 8 is \$305,000 based upon a refined estimate of remaining costs outlined within the 24th QPR. Through September 2014, TRLIA expects to have expended approximately 67% of the revised total budget, about \$204,000. TRLIA's updated schedule as noted previously in this report is to continue the process for the acquisition of the Segment 1 Toe-Access-Corridor in this 27th Quarter. TRLIA has no forecasted expenditures for property acquisition this quarter however this could change based upon the actual timing of acquisitions.
- Element 2 – Segment 2 Construction (Except Tie-Ins): The revised overall budget for Element 2 is \$90.3 million. Through the end of the 26th Quarter (September 2014) TRLIA expects to have expended \$89.3 million. During the 27th Quarter TRLIA expects to incur an additional \$667,000. This will primarily be related to ROW support work. Including this work, through December 2014, TRLIA expects to have expended approximately 99% of the revised budget for Element 2.
- Element 3 – Segment 2 Construction (Tie-Ins): This element is complete and no work is forecasted this Quarter.
- Element 4 – Segment 2 Existing Levee Degrade: This element is complete and no work is forecasted this Quarter.
- Element 5 – Segment 3 Levee Improvements: The revised overall budget for Element 5 is \$13.8 million. Through end of the 26th Quarter (September 2014), TRLIA expects to have expended approximately 95% of the total revised budget, about \$13.11 million. Through the 27th Quarter (December 2014), TRLIA expects to work on acquisition and required maintenance easements. During the 27th Quarter TRLIA expects to incur an additional \$27,000. This will predominately be ROW support expenses (transfer of property and cadastral work). Including this work, through December 2014, TRLIA expects to have expended approximately 95% of the revised budget for Element 5.
- Element 5A – Segment 3 Erosion Site 2: This element is complete and no work is forecasted this Quarter.
- Element 6 – Feather River Land Management: The revised overall budget for Element 6 is \$2,305,000. Through the 26th Quarter, September 2014, TRLIA will have expended approximately 48.7% (\$1.12 million) of this budget. Additional activities related to managing the 1,600 acre setback area will continue this quarter. Additionally, as previously discussed, TRLIA will continue to manage all Agricultural Leases on the property and any income produced from the property will be regularly reported and go to offset the costs of the project.

- **Element 7 - Project Management, Legal, Community Relations, Land Management, and Overhead:** The revised overall budget for Element 7 is \$6.8 million. The budget has been adjusted to reflect the extended duration of the project related to the second amendment to the funding agreement. Through the 26th Quarter (September 2014), TRLIA expects to have expended \$6.15 million. Through the 27th Quarter (December 2014), TRLIA expects to expend an additional \$3,300. With the 26th quarter report, TRLIA adjusted the overhead allocation to the Feather River project down to reflect the reduced remaining expenses of the project.
- **Element 9 – Segment 1 Levee Improvements:** The overall budget for Element 9 is \$12.7 million. Through end of the 26th Quarter (September 2014), TRLIA expects to have expended approximately 96.5% of the revised total budget, about \$12.23 million. Through the 27th Quarter (December 2014), TRLIA expects to work on the ROW transfer efforts.
- **Element 9A –Segment 1 Crack Repair:** This element is complete and no work is forecasted this Quarter.
- **Element 10 – Project Closeout efforts:** This Element was added to the project as part of the 2nd Amendment to the funding agreement. The overall budget for Element 10 is \$100,000. Through end of the 26th Quarter (September 2014), TRLIA will have expended approximately \$18,000 on close out efforts (18%). Through the 27th Quarter (September 2014), TRLIA expects to expend approximately \$20,000 associated with continuing with its request for an additional release of retention and preparing associated supporting close-out documentation.

The amount of funds sought from the State and the Amount of Local Funds intended to be expended

As presented in Item 3 of this 27th Quarter Work Plan:

Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Element 1 & 8 - Land Acquisition	\$0	\$0	\$0	\$0
Elements 2 through 7, 9A & 10	\$130,859	\$33,457	\$164,316	\$0
Total	\$130,859	\$33,457	\$164,316	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 1 & 8	\$0
Local Share Elements 1 & 8	\$0
Estimated Total Quarterly State Real Estate Funding	\$0

Quarterly Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 2 through 7, 9A & 10	\$98,144
Prior State Share Underfunded <i>(Estimated Based on 26th Quarter Advance & 25th QPR Reconciliation)</i>	\$2,530,876
Total Request of State Share for 27th Quarter <i>(excludes any retention)</i>	\$2,629,021

The calculation of Total Funding due for State Share at the start of 27th Quarter is subject to True-Ups to account for actual expenses incurred through the 25th Quarter (based on the most recent 25th QPR Submission and advance funding received to date). The State has processed advance payments through the 14th Quarter and, has also provided a release of \$7,078,412.40 of retention on State share of construction funding. At this point in time, because the State has provided more than 90% of its share of projected costs, no additional advance payments are expected.

The following analysis presents TRLIA's estimate of State funding due assuming no additional retention held. The purpose of this analysis is to provide the State, *given the accrued actual expenses to date*, an estimate of the funding due to TRLIA for construction related expenditures. This amount would represent a projection of the amount of retention held through the 26th Quarter.

Reconciliation of Prior State Funding for Construction

Per 25th Quarter QPR (True-Up)

Total Construction Expenses (Elements 2 - 9A) [1]	\$131,379,037
Total State Share of Construction Expenses [2]	\$105,869,879
Total State Funding Due [3]	\$105,869,879
Total State Advance/True-Up Funding Received to Date for Construction	\$102,901,764
State Share Construction Funding Due as of 24th Quarter	\$2,968,115

[1] Excludes Creditable Expenses.

[2] DWR Review of 25th QPR Statement of Costs still in process.

[3] Note: Assumes that retention is no longer held, however, the State has authorized the release of \$7,078,412.40 of retention to date.

Per 26th Quarter QWP

Total Construction Projection (Elements 2 – 7, 9A & 10)	\$188,223
State Share of Projection	\$147,637
75% of State Share for Quarterly Advance	\$110,728

State Credits Accrued Reported in 25th QPR (net of retention deductions)

Interest Earned on State Advanced Funding	\$940
State Share of Ag Lease Payments on FR Lands	\$83,748
State Share of Condemnation Settlements Less than Advance	\$141,653
Excess Property Disposition	\$321,625
Total State Funding Credits with TRLIA to Date	\$547,967

State Share Due (25th Qtr True Up plus 26th Qtr Advance less Credits) <i>Assumes no retention held and includes 24th Quarter Projection for demonstrative purposes</i>	\$2,530,876
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➤ **Detailed Quarterly Work Plan Budget Forecast**

The following tables present Element and Feature level details for this 27th Quarterly Work Plan.

Summary of TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

SUMMARY ALL ELEMENTS

Elements and Features	Revised Overall Budget [1]			Forecasted Expenses (through June 2014)				Forecasted Remaining Budget			Quarterly Forecast			Advance	
	Total	State	Local	Total	State Share	Credit	Payments	Local Share (after credit)	Total	State Share	Local Share	Total	State	Local	State Share
Formula	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e		f	g	h=e-f-g	i=b-e	j=c-f-g	k=d-h	l	m=lx	n=l-m	o=m*75%
				[2]		[3]	[4]	<small>*Not less than zero</small>							
Quarterly Advance Request															
Element 2: Segment 2 New Levee	\$90,366,703	\$74,845,860	\$15,520,844	\$89,287,370	\$6,777,121	\$67,174,771	\$15,335,479	\$1,079,333	\$893,968	\$185,365	\$67,016	\$55,506	\$11,510	\$41,629	
Element 3: Segment 2 Levee Tie Ins	\$7,684,448	\$6,364,613	\$1,319,835	\$7,684,448	\$0	\$6,364,612	\$1,319,836	\$0	\$2	-\$1	\$0	\$0	\$0	\$0	
Element 4: Segment 2 Levee Degradation	\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$0	\$13,686,287	\$0	\$0	\$3	-\$3	\$0	\$0	\$0	\$0	
Element 5: Segment 3 Levee Improvement	\$13,806,560	\$9,664,592	\$4,141,968	\$13,113,426	\$3,155,224	\$6,024,174	\$3,934,028	\$693,135	\$485,194	\$207,940	\$23,000	\$16,100	\$6,900	\$12,075	
Element 5A: Segment 3 Erosion Site 2	\$684,518	\$479,163	\$205,355	\$684,518	\$0	\$479,163	\$205,355	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Element 6: Land Management	\$2,305,000	\$1,909,107	\$395,893	\$1,124,526	\$0	\$931,384	\$193,142	\$1,180,474	\$977,723	\$202,751	\$45,000	\$37,271	\$7,729	\$27,953	
Element 7: PM & Overhead	\$6,820,606	\$5,205,454	\$1,615,152	\$6,156,401	\$1,464,186	\$3,234,366	\$1,457,849	\$664,204	\$506,901	\$157,303	\$3,300	\$2,519	\$781	\$1,889	
Element 9: Segment 1 Levee Improvement	\$12,679,728	\$8,875,810	\$3,803,918	\$12,231,416	\$987,512	\$7,574,479	\$3,669,425	\$448,312	\$313,819	\$134,494	\$6,000	\$4,200	\$1,800	\$3,150	
Element 9A: Segment 1 Crack Repair	\$575,000	\$402,500	\$172,500	\$507,433	\$0	\$355,203	\$152,230	\$67,567	\$47,297	\$20,270	\$0	\$0	\$0	\$0	
Element 10: Project Closeout Efforts	\$100,000	\$76,320	\$23,680	\$18,058	\$0	\$13,782	\$4,276	\$81,942	\$62,538	\$19,404	\$20,000	\$15,264	\$4,736	\$11,448	
Subtotal Elements 2 through 9A	\$151,446,990	\$121,433,389	\$30,013,602	\$147,313,965	\$12,384,043	\$105,824,439	\$26,267,343	\$4,133,026	\$3,224,907	\$908,119	\$164,316	\$130,859	\$33,457	\$98,144	
Totals for Quarter Eligible for 75% Advance of State Share															
Real Estate Forecast for Quarter															
	Cumulative Estimated Expenses (through March)														
Element 1: Land Acquisition	\$39,895,000	\$32,992,217	\$6,902,783	\$39,286,640	\$3,022,287	\$29,477,814	\$6,786,538	\$608,360	\$492,115	\$116,245	\$0	\$0	\$0	\$0	
Element 8: Segment 1 Land Acquisition	\$305,000	\$213,500	\$91,500	\$203,490	\$47,728	\$94,715	\$61,047	\$101,510	\$71,057	\$30,453	\$0	\$0	\$0	\$0	
Subtotal Real Estate Forecast	\$40,200,000	\$33,205,717	\$6,994,283	\$39,490,130	\$3,070,016	\$29,572,529	\$6,847,585	\$709,870	\$563,172	\$146,698	\$0	\$0	\$0	\$0	
Total Elements 1 through 9A	\$191,646,990	\$154,639,106	\$37,007,884	\$186,804,095	\$15,454,058	\$135,396,969	\$33,114,928	\$4,842,896	\$3,788,079	\$1,054,817	\$164,316	\$130,859	\$33,457		

Local Credit Reconciliation

Total Local Share of Expenses this Quarter	\$33,457 (From Above)
Total Approved Local Credit	\$15,454,060 (Based on Approval Letter)
Use of Local Credit	
Use of Local Credit for Real Estate to Date	-\$5,282,078
Payments of Credit w/ 1st Quarterly Advance (Per Invoice Advance - 1)	-\$2,461,666
Payments of Credit w/ 2nd Quarterly Advance (Per Revised Invoice Advance-2)	-\$1,469,507
Payments of Credit w/2nd Quarter True-Up	-\$3,812,451
Payments of Credit w/3rd Quarterly Advance	-\$567,189
Payments of Credit w/3rd Quarterly Advance (Update based on Addtl. Costs)	-\$825,928
Payments for 9th and 10th Quarter Progress Reports	-\$1,035,241
Use of Local Credit	-\$15,454,060
Total Local Credit Used	-\$15,454,060
Remaining Local Credit	\$0
Requested Local Credit to be Paid with Advance	\$0 (No Local Credit Remaining)

27QSumm

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 1

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)			Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share Amounts		Local Share	Total	State Share		Local Share	Total	State		Local
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payment g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx
<i>Formula</i>				[2]	[3]	[4]										
Element 1: Feather River EIP Land Acquisition (see real estate Acquisition Plan)																
Feature 1 - Feather Segment 2	82.8246%	\$39,500,000	\$32,715,717	\$6,784,283	\$38,983,365	\$2,983,247	\$29,304,562	\$6,695,556	\$516,635	\$427,908	\$88,727	1%	\$0	\$0	\$0	0%
Feature 2 - Feather Segment 3	70.0000%	\$395,000	\$276,500	\$118,500	\$303,275	\$39,040	\$173,252	\$90,983	\$91,725	\$64,208	\$27,518	23%	\$0	\$0	\$0	0%
Subtotal Element 1		\$39,895,000	\$32,992,217	\$6,902,783	\$39,286,640	\$3,022,287	\$29,477,814	\$6,786,538	\$608,360	\$492,115	\$116,245	2%	\$0	\$0	\$0	0%

27QElem1

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

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[3] Amount paid from credit.

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For Period October / November / December 2014

ELEMENT 8

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)			Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share Amounts		Local Share	Total	State Share		Local Share	Total	State		Local
<i>Formula</i>	a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f <small>Credit</small>	g <small>Payment</small>	h=e-f-g	i=b-e	j=c-f-g	k=d-h	l	m=lx	n=l-m		
					[2]	[3]	[4]									
Element 8: Segment 1 Feather River EIP Land Acquisition																
Feature 1 - Feather Segment 1	70.0000%	\$305,000	\$213,500	\$91,500	\$203,490	\$47,728	\$94,715	\$61,047	\$101,510	\$71,057	\$30,453	33%	\$0	\$0	\$0	0%
Subtotal Element 8		\$305,000	\$213,500	\$91,500	\$203,490	\$47,728	\$94,715	\$61,047	\$101,510	\$71,057	\$30,453	33%	\$0	\$0	\$0	0%

27QElem8

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 2

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)				Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	Total		State	Local		
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f <small>Credit</small>	g <small>Payments</small>	h=e-f-g <small>(after credit)</small>	i=b-e	j=c-f-g		k=d-h	l	m=l-x	
<i>Formula</i>				[2]	[3]	[4]	<small>*Not less than zero</small>									
Element 2: Feather River Segment 2 new levee (Excludes Levee Tie Ins and Existing Levee Degradation)																
2.1.1: Design	82.8246%	\$6,604,016	\$5,469,750	\$1,134,266	\$6,604,016	\$4,533,871	\$935,878	\$1,134,267	\$0	\$1	-\$1	0%	\$0	\$0	\$0	0%
2.1.2: Permitting	82.8246%	\$3,270,000	\$2,708,364	\$561,636	\$3,078,236	\$1,204,454	\$1,345,082	\$528,700	\$191,764	\$158,828	\$32,936	6%	\$2,250	\$1,864	\$386	1%
2.1.3: Right of Way Support	82.8246%	\$6,468,380	\$5,357,409	\$1,110,970	\$5,987,725	\$940,436	\$4,018,872	\$1,028,417	\$480,655	\$398,101	\$82,553	7%	\$45,000	\$37,271	\$7,729	9%
2.2: Construction Management	82.8246%	\$7,366,914	\$6,101,617	\$1,265,297	\$7,366,914	\$14,362	\$6,087,254	\$1,265,298	\$0	\$2	-\$1	0%	\$0	\$0	\$0	0%
2.3 Construction of Setback																
2.3.1: Foundation	82.8246%	\$23,606,069	\$19,551,632	\$4,054,437	\$23,606,069	\$0	\$19,551,628	\$4,054,441	\$0	\$4	-\$4	0%	\$0	\$0	\$0	0%
2.3.2: Embankment	82.8246%	\$34,127,788	\$28,266,204	\$5,861,584	\$34,127,788	\$42,486	\$28,223,711	\$5,861,590	\$0	\$6	-\$6	0%	\$0	\$0	\$0	0%
2.3.3: Relocation of PG&E Fac.	82.8246%	\$2,605,433	\$2,157,939	\$447,494	\$2,605,433	\$41,412	\$2,116,527	\$447,494	\$0	\$0	-\$1	0%	\$0	\$0	\$0	0%
2.4: Environmental & Cultural Mitigation																
2.4.1: Mitigation & Restoration	82.8246%	\$6,100,000	\$5,052,300	\$1,047,700	\$5,890,761	\$99	\$4,878,899	\$1,011,763	\$209,239	\$173,302	\$35,937	3%	\$19,766	\$16,371	\$3,395	9%
2.4.2: Cultural Mitigation/Section 1	82.8246%	\$50,000	\$41,412	\$8,588	\$0	\$0	\$0	\$0	\$50,000	\$41,412	\$8,588	100%	\$0	\$0	\$0	0%
2.5: Operation and Maintenance Me	82.8246%	\$168,103	\$139,231	\$28,872	\$20,429	\$0	\$16,920	\$3,509	\$147,674	\$122,311	\$25,364	88%	\$0	\$0	\$0	0%
Subtotal Element 2		\$90,366,703	\$74,845,860	\$15,520,844	\$89,287,370	\$6,777,121	\$67,174,771	\$15,335,479	\$1,079,333	\$893,968	\$185,365	1%	\$67,016	\$55,506	\$11,510	6%

27QElem2

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

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TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)			Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f <small>Credit</small>	g <small>Payments</small>	h=e-f-g <small>(after credit)</small>	i=b-e		j=c-f-g	k=d-h	l		m=lxa
Formula					[2]	[3]	[4]									
Element 3: Feather River Segment 2 Levee Tie Ins																
3.1.1: Foundation	82.8246%	\$5,146,426	\$4,262,507	\$883,919	\$5,146,426	\$0	\$4,262,505	\$883,920	\$0	\$1	-\$1	0%	\$0	\$0	\$0	0%
3.1.2: Embankment	82.8246%	\$2,538,022	\$2,102,107	\$435,915	\$2,538,022	\$0	\$2,102,106	\$435,916	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Subtotal Element 3		\$7,684,448	\$6,364,613	\$1,319,835	\$7,684,448	\$0	\$6,364,612	\$1,319,836	\$0	\$2	-\$1	0%	\$0	\$0	\$0	0%

"27QElem3"

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 4

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)			Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payments g	h=e-f-g <small>*Not less than zero</small>	i=b-e	j=c-f-g		k=d-h	l	m=lxa		n=l-m
Formula	a			[2]	[3]	[4]										
Element 4: Feather River Segment 2 Levee Degradation																
4.1: Construction	82.8246%	\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$0	\$13,686,287	\$2,838,139	\$0	\$3	-\$3	0%	\$0	\$0	\$0	0%
Subtotal Element 4		\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$0	\$13,686,287	\$0	\$0	\$3	-\$3	0%	\$0	\$0	\$0	0%

27QElem4

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 5

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)				Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	Credit f	Payments g	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
Element 5: Feather River Segment 3 levee improvement																
5.1.1: Design	70.0000%	\$937,396	\$656,177	\$281,219	\$937,396	\$582,099	\$74,079	\$281,219	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5.1.2: Permitting	70.0000%	\$400,910	\$280,637	\$120,273	\$402,036	\$91,124	\$190,301	\$120,611	-\$1,126	-\$788	-\$338	0%	\$5,000	\$3,500	\$1,500	-444%
5.1.3: Right of Way Support	70.0000%	\$1,270,000	\$889,000	\$381,000	\$1,002,860	\$136,399	\$565,603	\$300,858	\$267,140	\$186,998	\$80,142	21%	\$18,000	\$12,600	\$5,400	7%
5.2: Construction Management	70.0000%	\$1,400,000	\$980,000	\$420,000	\$1,406,224	\$438,350	\$546,007	\$421,867	-\$6,224	-\$4,357	-\$1,867	0%	\$0	\$0	\$0	0%
5.3 Construction	70.0000%	\$9,769,203	\$6,838,442	\$2,930,761	\$9,363,225	\$1,907,252	\$4,647,006	\$2,808,968	\$405,977	\$284,184	\$121,793	4%	\$0	\$0	\$0	0%
5.4: O&M Manual	70.0000%	\$29,052	\$20,336	\$8,716	\$1,683	\$0	\$1,178	\$505	\$27,369	\$19,158	\$8,211	94%	\$0	\$0	\$0	0%
Subtotal Element 5		\$13,806,560	\$9,664,592	\$4,141,968	\$13,113,426	\$3,155,224	\$6,024,174	\$3,934,028	\$693,135	\$485,194	\$207,940	5%	\$23,000	\$16,100	\$6,900	3%

27QElem5

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

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ELEMENT 5A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)			Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f <small>Credit</small>	g <small>Payments</small>	h=e-f-g <small>(after credit)</small>	i=b-e		j=c-f-g	k=d-h	l		m=lx
Formula																
														<small>[2]</small>		
														<small>[3]</small>		
														<small>[4]</small>		
														<small>*Not less than zero</small>		
Element 5A: Feather River Segment 3 Erosion Site 2																
5A.1.1 - Design	70.0000%	\$53,962	\$37,773	\$16,189	\$53,962	\$0	\$37,773	\$16,189	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.1.2 - Permitting	70.0000%	\$111,341	\$77,939	\$33,402	\$111,341	\$0	\$77,939	\$33,402	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.1.3 - ROW Support	70.0000%	\$5,830	\$4,081	\$1,749	\$5,830	\$0	\$4,081	\$1,749	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.2 - Construction Management	70.0000%	\$31,634	\$22,144	\$9,490	\$31,634	\$0	\$22,144	\$9,490	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.3 - Construction	70.0000%	\$481,751	\$337,226	\$144,525	\$481,751	\$0	\$337,226	\$144,525	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.4 - O&M manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Subtotal Element 5A		\$684,518	\$479,163	\$205,355	\$684,518	\$0	\$479,163	\$205,355	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%

27QElem5A

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

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TRLIA Quarterly Work Plan Budget

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ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)			Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share (after credit)	Total	State Share	Local Share		Total	State	Local		
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f <small>Credit</small>	g <small>Payments</small>	h=e-f-g <small>*Not less than zero</small>	i=b-e		j=c-f-g	k=d-h	l		m=lxa
Element 6: Feather River Land Management																
Feature 6.1: Env. Maintenance	82.8246%	\$210,000	\$173,932	\$36,068	\$112,914	\$0	\$93,520	\$19,393	\$97,086	\$80,411	\$16,675	46%	\$0	\$0	\$0	0%
Feature 6.2: Lease Back	82.8246%	\$25,000	\$20,706	\$4,294	\$8,164	\$0	\$6,762	\$1,402	\$16,836	\$13,944	\$2,892	67%	\$0	\$0	\$0	0%
Feature 6.3: Land Maintenance	82.8246%	\$2,070,000	\$1,714,469	\$355,531	\$1,003,448	\$0	\$831,101	\$172,346	\$1,066,552	\$883,368	\$183,184	52%	\$45,000	\$37,271	\$7,729	4%
Subtotal Element 6		\$2,305,000	\$1,909,107	\$395,893	\$1,124,526	\$0	\$931,384	\$193,142	\$1,180,474	\$977,723	\$202,751	51%	\$45,000	\$37,271	\$7,729	4%

"27QElem6"

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 7

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)				Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
Formula					[2]	[3]	[4]	<small>*Not less than zero</small>								
Element 7: Project Management, Legal, Community Relations support, Land Management, and Overhead																
Feature 7.1: Salaries	76.3198%	\$1,650,000	\$1,259,277	\$390,723	\$1,569,969	\$373,722	\$824,475	\$371,772	\$80,031	\$61,080	\$18,951	5%	\$1,700.00	\$1,297	\$403	2%
Feature 7.2: Consulting	76.3198%	\$2,060,571	\$1,572,605	\$487,966	\$1,839,959	\$461,956	\$942,297	\$435,706	\$220,612	\$168,352	\$52,260	11%	\$700.00	\$534	\$166	0%
Feature 7.3: Legal	76.3198%	\$1,346,000	\$1,027,264	\$318,736	\$1,294,789	\$381,323	\$606,857	\$306,609	\$51,211	\$39,084	\$12,127	4%	\$700.00	\$534	\$166	1%
Feature 7.4: Travel	76.3198%	\$10,827	\$8,263	\$2,564	\$8,519	\$3,933	\$2,568	\$2,017	\$2,309	\$1,762	\$547	21%	\$0.00	\$0	\$0	0%
Feature 7.5: General	76.3198%	\$550,756	\$420,336	\$130,420	\$341,253	\$60,919	\$199,524	\$80,809	\$209,503	\$159,892	\$49,611	38%	\$200.00	\$153	\$47	0%
Feature 7.6: Insurance	76.3198%	\$1,156,375	\$882,543	\$273,832	\$1,071,320	\$174,173	\$643,456	\$253,691	\$85,056	\$64,914	\$20,141	7%	\$0.00	\$0	\$0	0%
Feature 7.7: Travel/Vehicle	76.3198%	\$27,273	\$20,815	\$6,458	\$23,902	\$6,230	\$12,012	\$5,660	\$3,371	\$2,572	\$798	12%	\$0.00	\$0	\$0	0%
Feature 7.8: Memberships	76.3198%	\$18,804	\$14,351	\$4,453	\$6,691	\$1,930	\$3,176	\$1,584	\$12,113	\$9,245	\$2,868	64%	\$0.00	\$0	\$0	0%
Subtotal Element 7		\$6,820,606	\$5,205,454	\$1,615,152	\$6,156,401	\$1,464,186	\$3,234,366	\$1,457,849	\$664,204	\$506,901	\$157,303	10%	\$3,300	\$2,519	\$781	0%

*27QElem7

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 9

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)				Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	Total		State	Local		
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payments g	h=e-f-g <small>*Not less than zero</small>	i=b-e	j=c-f-g		k=d-h	l	m=lx	
Element 9: Feather River Segment 1 levee improvement																
Feature 9.1.1 - Design	70.0000%	\$1,185,322	\$829,725	\$355,597	\$1,192,319	\$740,120	\$94,503	\$357,696	-\$6,997	-\$4,898	-\$2,099	-1%	\$0	\$0	\$0	0%
Feature 9.1.2 - Permitting	70.0000%	\$295,934	\$207,154	\$88,780	\$274,694	\$112,717	\$79,569	\$82,408	\$21,240	\$14,868	\$6,372	7%	\$3,000	\$2,100	\$900	14%
Feature 9.1.3 - ROW Support	70.0000%	\$725,781	\$508,047	\$217,734	\$312,859	\$0	\$219,002	\$93,858	\$412,921	\$289,045	\$123,876	57%	\$3,000	\$2,100	\$900	1%
Feature 9.2 - Construction Mgt.	70.0000%	\$1,381,680	\$967,176	\$414,504	\$1,373,820	\$132,417	\$829,257	\$412,146	\$7,860	\$5,502	\$2,358	1%	\$0	\$0	\$0	0%
Feature 9.3 - Construction	70.0000%	\$9,078,414	\$6,354,890	\$2,723,524	\$9,076,695	\$2,258	\$6,351,429	\$2,723,009	\$1,719	\$1,203	\$516	0%	\$0	\$0	\$0	0%
Feature 9.4 - O&M Segment 1	70.0000%	\$12,597	\$8,818	\$3,779	\$1,029	\$0	\$720	\$309	\$11,569	\$8,098	\$3,471	92%	\$0	\$0	\$0	0%
Subtotal Element 9		\$12,679,728	\$8,875,810	\$3,803,918	\$12,231,416	\$987,512	\$7,574,479	\$3,669,425	\$448,312	\$313,819	\$134,494	4%	\$6,000	\$4,200	\$1,800	1%

27QElem9

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 9A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)				Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
Element 9A: Feather River Segment 1 Crack Repair																
Feature 1.1 - Engineering, Design,	70.0000%	\$125,000	\$87,500	\$37,500	\$75,314	\$0	\$52,720	\$22,594	\$49,686	\$34,780	\$14,906	40%	\$0	\$0	\$0	0%
Feature 1.2 - Right of Way Support	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Feature 2 - Construction	70.0000%	\$440,000	\$308,000	\$132,000	\$432,119	\$0	\$302,483	\$129,636	\$7,881	\$5,517	\$2,364	2%	\$0	\$0	\$0	0%
Feature 3 - Operations & Maintenance	70.0000%	\$10,000	\$7,000	\$3,000	\$0	\$0	\$0	\$0	\$10,000	\$7,000	\$3,000	100%	\$0	\$0	\$0	0%
Subtotal Element 9A		\$575,000	\$402,500	\$172,500	\$507,433	\$0	\$355,203	\$152,230	\$67,567	\$47,297	\$20,270	12%	\$0	\$0	\$0	0%

27QElem9A

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October / November / December 2014

ELEMENT 10

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through September 2014)			Forecasted Remaining Budget			% of Total Budget Remaining	27th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share (after credit)	Total	State Share	Local Share		Total	State	Local		
<i>Formula</i>	a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payments g	h=e-f-g <small>*Not less than zero</small>	i=b-e	j=c-f-g	k=d-h		l	m=lx	n=l-m	
Element 10: Project Closeout Efforts																
Feature 1.1 - Engineering, Design,	76.3198%	\$100,000	\$76,320	\$23,680	\$18,058	\$0	\$13,782	\$4,276	\$81,942	\$62,538	\$19,404	82%	\$20,000	\$15,264	\$4,736	24%
Subtotal Element 10		\$100,000	\$76,320	\$23,680	\$18,058	\$0	\$13,782	\$4,276	\$81,942	\$62,538	\$19,404	82%	\$20,000	\$15,264	\$4,736	24%

27QElem9A

[1] From Exhibit A of the OAWP Budget Included as part of the Feather River 24th Quarterly Progress Report

[2] Reflects costs incurred through submitted 24th QPR March '14) with estimates of expenses forecasted in 26th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2014 plus forecasted amount (ref. Note 2).

6. Schedule Information by Project Element: Shown in the figure below is an updated & truncated Exhibit A (overall project schedule) that reflects the Funding Agreement Amendment 3 extension to June 30, 2016.

Contract #60020246, Am 4B
 Addendum to Exhibit A, Attachment 1
 Page 1 of 1

Project Elements and Features																																																			
Year	2013												2014												2015												2016														
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec			
Element 1: Land Acquisition (see real estate Acquisition Plan)																																																			
Feature 1 - Feather Segment 2																																																			
Feature 2 - Feather Segment 3																																																			
Element 2: Feather River Segment 2 setback levee into levee, new levee construction requiring federal action, and levee upgrade (except as noted in features)																																																			
Feature 1.1 - all segment 2 Design Completed																																																			
Feature 1.2 - all segment 2 Permitting																																																			
Feature 1.3 - all segment 2 ROW support																																																			
Feature 2 - all Construction Mng. Completed																																																			
Feature 3.1 - Foundation Construction Completed																																																			
Feature 3.2 - Embankment Construction Completed																																																			
Feature 4 - Environmental & Cultural Mitigation																																																			
Feature 5 - all O&M Manual Segment 2 Completed																																																			
Element 3: Feather River Segment 2 levee and new levee construction requiring federal action																																																			
Feature 1 - Construction Completed																																																			
Element 4: Feather River Segment 2 levee degradation																																																			
Feature 1 - Segment 2 Existing levee Upgrade Completed																																																			
Element 5: Feather River Segment 2 levee improvement																																																			
Feature 1.1 - Design Completed																																																			
Feature 1.2 - Permitting																																																			
Feature 1.3 - ROW Support																																																			
Feature 2 - Construction Mng. Completed																																																			
Feature 3 - Construction																																																			
Feature 4 - O&M Manual Completed																																																			
Element 5A: Erosion Site 3																																																			
Feature 1.1 - Design Completed																																																			
Feature 1.2 - Permitting Completed																																																			
Feature 1.3 - ROW Support Completed																																																			
Feature 2 - Construction Mng. Completed																																																			
Feature 3 - Construction Completed																																																			
Feature 4 - O&M Manual Completed																																																			
Element 6: Feather River Land Management																																																			
Feature 1 - Erosion Maintenance																																																			
Feature 2 - Lease back activities																																																			
Feature 3 - Land Tenure Security																																																			
Element 7: Project Management (see legal support, community relations support, land management support, and overhead)																																																			
Feature 1 - TRUA Support/Overhead																																																			
Feature 2 - TRUA Consultant Exp.																																																			
Feature 3 - TRUA Legal Support																																																			
Feature 4 - TRUA Travel Expenses																																																			
Feature 5 - TRUA General Expenses																																																			
Feature 6 - TRUA Insurance																																																			
Feature 7 - TRUA Travel																																																			
Feature 8 - TRUA Membership																																																			
Element 8: Feather River EIP Land Acquisition (see real estate Acquisition Plan)																																																			
Feature 1 - Segment 1																																																			
Element 9: Feather River Segment 1 levee improvement																																																			
Feature 1.1 - Design Completed																																																			
Feature 1.2 - Permitting																																																			
Feature 1.3 - ROW Support																																																			
Feature 2 - Construction Mng. Completed																																																			
Feature 3 - Construction Completed																																																			
Feature 4 - O&M Manual Completed																																																			
Element 9B: Feather River Segment 1 Creek Repair																																																			
Feature 1.1 - Design & Permitting Completed																																																			
Feature 1.2 - ROW Support Completed																																																			
Feature 2 - Construction Completed																																																			
Feature 3 - O&M Manual Completed																																																			
Element 10: Closure Process (see June 30, 2012)																																																			
Feature 1 - Closure Process (see June 30, 2012)																																																			

Green: Amendment 2, Yellow: Proposed Amendment 3

- **A discussion on how the projected schedule compares to original or last reported schedule:**
 - None

Attachments

1. Permit Status Update for Project Element 2, 3, 4, 5, 5A, 9, & 9A

Attachment 1 - Updated Permit Tables for Project Elements 2, 3, 4, 5, 5A, 9, & 9A

<u>Project Element 2</u> Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)			
PERMITTING AGENCY	PERMIT	ACTION DONE	STATUS/COMMENTS
The Central Valley Flood Protection Board	Encroachment Permits	Yes	The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008 that allows construction in non-federal jurisdictional areas
California Central Valley Flood Protection Board	Encroachment Permit – Vegetated Wave Buffer	Yes	CVFPB approved permit #18556 at the February 2011 CVFPB meeting
California Central Valley Flood Protection Board	Encroachment Permit – Elderberry Mitigation Area	Yes	CVFPB approved permit #18637 at the March 25, 2011 CVFPB meeting
The Central Valley Flood Protection Board	Encroachment Permit	Pending	Encroachment permit Application submitted on December 10, 2013 for Ag Fence in Setback Area. CVFPB has assigned permit # 18916 and is waiting for Corp comment letter to issue the permit
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted Fugitive Dust Plan to FRAQMD
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on

			January 2, 2008
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Central Valley Flood Protection Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	EIR Addendum #1	Yes	EIR addendum for offsite Platter Borrow site
CEQA	EIR Addendum #2	Yes	EIR addendum for Ella offsite borrow site
CEQA	EIR Addendum #3	Yes	EIR addendum for Vegetated Wave Buffer
Section 104 Credit Application	Future federal projects cost Sharing	Yes	CVFPB submitted a request on June 15, 2007 for \$154.3M Section 104 credit to the Sacramento District.
Section 104 Credit Approval (N/A)	Future federal projects cost sharing	Yes	At the March 21 CVFPB meeting DWR stated it would waive the need for 104 credits – DWR waiver letter issued on May 2, 2008

Project Element 3 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)			
PERMITTING AGENCY	PERMIT	Action Done	STATUS/COMMENTS
The Central Valley Flood Protection Board	Encroachment Permits	Yes	The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008. The permit has two parts: Part A – Project Element 2 work to be done outside of jurisdictional waters

			of the U.S., and Part B – Project Element 3 work to be within jurisdictional waters of the U.S.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.
Corps	408 approval of project levee alteration	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008.
Corps	Wetlands Delineation	Yes	Completed March 11, 2008
Corps	Wetlands Delineation	Yes	Amended September 30, 2008
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008. Copy available on the
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish species under NMFS jurisdiction.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Section 2081 Permit received in August 2009

DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All project facilities are outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.
NEPA	EIS	Yes	Corps of Engineers Finalized the

			Environmental Impact Statement and signed the Record of Decision on December 8, 2008.
Section 104 Credit	Future federal projects cost Sharing	Yes	The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009

Project Element 4 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)			
PERMITTING AGENCY	PERMIT	Action Done	STATUS/COMMENTS
California Central Valley Flood Protection Board	Encroachment Permit	Yes	CVFPB issued permit No 18430 at the July 2009 Board meeting
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.
Corps	408 approval of project levee alteration	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008.
Corps	Wetlands Delineation	Yes	Completed March 11, 2008
Corps	Wetlands Delineation	Yes	Amended September 30, 2008
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District	Authority to Construct	Yes	Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to

(FRAQMD)			initiating construction on Segment 2.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish species under NMFS jurisdiction.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Section 2081 Permit received in August 2009
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All project facilities are outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in

			May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.
NEPA	EIS	Yes	Corps of Engineers Finalized the Feather River Setback Levee Environmental Impact Statement and signed the Record of Decision on December 8, 2008.
Section 104 Credit	Future federal projects cost Sharing	Yes	The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009

<u>Project Element 5</u> Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)			
PERMITTING AGENCY	PERMIT	ACTION DONE	STATUS/COMMENT
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170 BD issued on August 7, 2007.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	CVFPB issued landside access corridor fence permit No. 18690 BD on March 12, 2012 meeting.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170 BD permit modified for seepage berm to provide final fix for cutoff wall trench cave-in, issued on 7/17/08
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18690 issued for construction of Segment 3 toe Access Corridor. Permit includes Special conditions dealing with elderberry shrub mitigation and drainage impacts.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170-1 BD permit modification for Elderberry shrub relocation.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	No jurisdictional features affected.

			Corps issued “Letter of No Permit Required” on July 23, 2007
Corps	408 Approval of project levee alteration	Yes	Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on August 2, 2007.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor’s Fugitive Dust Plan has been developed and submitted to the FRAQMD.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any endangered species.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	F&WS issued a Biological Opinion to support Elderberry shrub transplants for CVFPB Permit No. 18170-1 BD on January 15, 2013. This Biological Opinion was revised on July 16, 2013 to reflect the changes identified during the Elderberry shrub transplants.
National Marine Fisheries Service	Endangered Species Act Compliance	Yes	Project will have no affect on fishery resources and habitats.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species.
DFG	Streambed Alteration Agreement	Yes	All ground disturbances is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required.

California State Lands Commission (SLC)	Encroachment Permit	Yes	All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Caltrans	Encroachment on Caltrans property	Yes	A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC has occurred and no known Native American sites or sensitive areas would be affected by the project
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for a CVFPB encroachment permit
Yuba County	Grading Permit	Yes	Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.
CEQA	EIR Processed for Various Permits	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of

			Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	EIR Addendum # 2	Yes	EIR addendum for the Seepage berm addition needed to provide the final solution to the cutoff wall trench failure.
Section 104 Credit	Future federal projects cost sharing	Yes	\$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007

Project Element 5A: Feather River Erosion Site 2

PERMITTING AGENCY	PERMIT	ACTION DONE	STATUS/COMMENT
Central Valley Flood Protection Board	Encroachment Permits	Yes	Encroachment permit No. 18479 issued on September 10, 2009
Corps	Section 404 Permit	Yes	Obtained Nationwide Permit, application submitted to Corps on June 25, 2009
Corps	Wetlands Delineation	Yes	Delineation submitted to Corps on May 27, 2009
Corps	408 approval of project levee alteration	Yes	Corps does not consider this as eligible under the Section 408 approval given for Segments 1 & 3. Per discussion with the Corps, they will approve work under Section 208 authority when they comment on the CVFPB Encroachment Permit Application.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	Obtained on July 9, 2009
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	TRLIA has a current WDID # 5S5BC348901 that was issued by the Regional Water Quality Control Board. The permit covers Feather River Segments 1, 2, and 3 and encompasses the Erosion Site. This permit is current.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Used Documentation provided for Project Element 5
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	Biological opinion prepared, take avoided, no Incidental Take authorization needed.

National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	Biological opinion prepared, take avoided, no Incidental Take authorization needed.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance (2081)	Yes	No potential take of State listed species.
DFG	Streambed Alteration Agreement	Yes	Obtained on July 9, 2009
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Cultural resources surveys and consultation with Native American groups have found no evidence of burials or artifacts
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Cultural resources surveys and consultation with Native American groups have found no evidence of significant historic or cultural resources
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with minor conditions.
Yuba County	Grading Permit	Yes	Used Documentation provided for Project Element 5
State Mining and Geology Board (SMGB)	SMARA Permit	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009. Used Ella Site.
CEQA	EIR	Yes	ISIMND certified by TRLIA Board on 10/17/06
NEPA	EIS	Yes	No federal action constituting a NEPA trigger

Section 104 Credit	Future federal projects cost sharing	Yes	Work is not eligible for Section 104 Credit because it being accomplished under Section 208 authority and was not approved under Section 408 authority.
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Project Element 9, & 9A Permit and Environmental Documentation			
PERMITTING AGENCY	PERMIT	ACTION DONE	STATUS/COMMENT
The Central Valley Flood Protection Board	Encroachment Permit	Yes	Permit No. 18170 BD issued on August 7, 2007.
The Central Valley Flood Protection Board	Encroachment Permit	Yes	Permit No. 18170 BD modified for design changes, issued on 7/17/08
The Central Valley Flood Protection Board	Encroachment Permit	Pending	Encroachment permit Application submitted on May 13, 2013 for Erosion Protection Berm to remediate ULDC Criteria Exceedence. CVFPB approved permit (No. 18869) on September 27, 2013 conditioned that the Corps issue a minor 408 letter, which has not yet occurred.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	No jurisdictional features affected. Corps issued "Letter of No Permit Required" on July 23, 2007. Subsequently, a Nationwide permit was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
Corps	408 Approval of project levee alteration	Yes	Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on August 2, 2007. Subsequently, water quality certification was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.

Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor's Fugitive Dust Plan has been developed and submitted to the FRAQMD.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any endangered species.
National Marine Fisheries Service	Endangered Species Act Compliance	Yes	Project will have no affect on fishery resources and habitats.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species.
DFG	Streambed Alteration Agreement	Yes	All ground disturbance is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required. Subsequently, a streambed authorization permit was received in June 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.

The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Caltrans	Encroachment on Caltrans property	Yes	A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC has occurred and no known Native American sites or sensitive areas would be affected by the project
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors RD 784 signed the application for a CVFPB encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.
CEQA	EIR Processed for Various Permits	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	CEQA Addendum #2	Yes	EIR addendum for the Water Blanket modifications.
Section 104 Credit	Future federal projects cost sharing	Yes	\$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007