



**TRLIA**  
**22<sup>nd</sup> QUARTERLY WORK PLAN**  
**FOR**  
**FEATHER RIVER LEVEE**  
**IMPROVEMENT PROJECT**

1. 22<sup>nd</sup> Quarterly Work Plan for quarter: July 1 – September 30, 2013
2. Date of Report: June 25, 2013
3. 22<sup>nd</sup> Quarter Work Plan Summary:

<b>Summary</b>				
<b>Description</b>	<b>State Share</b>	<b>Local Share</b>	<b>Total</b>	<b>Local Credit Applied</b>
Element 1 & 8 - Land Acquisition	\$120,073	\$51,460	\$171,533	\$0
Elements 2 through 7, 9A & 10	\$1,114,732	\$404,143	\$1,518,875	\$0
<b>Total</b>	<b>\$1,234,805</b>	<b>\$455,603</b>	<b>\$1,690,408</b>	<b>\$0</b>

<b>Quarterly Summary for Real Estate Activity</b>	
State Share Elements 1 & 8	\$120,073
Local Credit Applied Elements 1 & 8	\$0
<b>Estimated Total Quarterly State Real Estate Funding</b>	<b>\$120,073</b>

<b>Quarterly Advance Summary for Non-Real Estate Activity</b>	
75% of State Share Elements 2 through 7, 9A & 10	\$836,049
Prior State Share Underfunded <i>(Estimated Based on 21<sup>st</sup> Quarter Advance &amp; 20<sup>th</sup> QPR Reconciliation Below)</i>	\$3,974,590
<b>Total Advance Request of State Share for 22<sup>nd</sup> Quarter</b>	<b>\$4,677,954</b>

The calculation of Total Funding due for State Share at the start of 22<sup>nd</sup> Quarter is subject to True-Ups to account for actual expenses incurred through the 20<sup>th</sup> Quarter (based on the most recent 20<sup>th</sup> QPR Submission and advance funding received to date). The State has processed advance payments through the 14<sup>th</sup> Quarter and, has also provided a release of \$5,000,000 of retention on State share of construction funding. At this point in time, because the State has provided more than 90% of its share of projected costs, no additional advance payments are expected. However, as further discussed in this QWP, TRLIA has requested an additional

release of retention from the State based upon the project's near completion and the relative amount of retention held compared to costs to complete the project.

The following analysis presents TRLIA's estimate of State funding due with no retention held. The purpose of this analysis is to provide the State, given the accrued actual expenses to date, an estimate of the funding due to TRLIA for construction related expenditures. This amount would represent a projection of the amount of retention held through the 19<sup>th</sup> Quarter.

**Reconciliation of Prior State Funding for Construction**

**Per 20<sup>th</sup> Quarter QPR (True-Up)**

Total Construction Expenses (Elements 2 – 7, 9A & 10) [1]	\$129,040,956
Total State Share of Construction Expenses [2]	\$104,155,447
Total State Funding Due[3]	<b>\$104,155,447</b>
Total State Advance/True-Up Funding Received to Date for Construction	<b>\$100,218,989</b>
State Share Construction Funding Due as of 20 <sup>th</sup> Quarter True-Up	<b>\$3,936,458</b>

[1] Excludes Creditable Expenses.

[2] DWR Review of 20<sup>th</sup> QPR Statement of Costs still in process.

[3] The analysis, for demonstrative purposes assumes that retention is no longer held, however, the State has only authorized the release of \$5,000,000 of retention to date.

**Per 21<sup>st</sup> Quarter QWP**

Total Construction Projection (Elements 2 – 7, 9A & 10)	\$809,359
State Share of Projection	\$603,053
75% of State Share for Quarterly Advance	<b>\$452,290</b>

**State Accumulated Credit Reported in 20<sup>th</sup> QPR**

Interest Earned on State Advanced Funding	\$132,603
State Share of Ag Lease Payments on FR Lands	\$139,902
State Share of Condemnation Settlements Less than Advance	\$141,653
Total State Funding Credits with TRLIA to Date	<b>\$414,158</b>

<b>Net State Share Due (20<sup>th</sup> Qtr True Up plus 21<sup>st</sup> Qtr Advance)</b> <i>Assumes no retention held</i>	<b>\$3,974,590</b>
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**4. Project Element Information:**

- **Engineering and construction matters:**

- **Project Element 1 - Land Acquisition**

- **Features 1 & 2:** Finalizing the Final Accounting Packages (FAPs) for both Features 1 and 2 is a critical item to complete so that TRLIA can transfer the property to the State. The state cadastral requirements have become an issue for the Feather FAPs. TRLIA officials met with DWR officials (FloodSafe, Cadastral, and Real Estate) on April 2, 2013 to resolve this issue. A

resolution was reached at the April 2 meeting amongst all parties (see minutes from meeting, attachment 1); however, the DWR Cadastral office later recanted their agreement. This issue remains unresolved and will impact the finalization of the Final Accounting Packages in 22<sup>nd</sup> Quarter.

**This item is listed in the Issues/Concerns portion of this report.**

▪ **Feature 1 (Segment 2/Setback levee):**

The tables below reflect the current status of Real Estate actions and the proposed actions for the 22<sup>nd</sup> quarter.

**Table 1 – Final Accounting Packages Being Processed at Bender Rosenthal**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. To DWR	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14002	Danna Investments	complete	21st Qtr	\$1,282,139.24	\$1,061,926.70	
14003	Joga S. Mann, et ux	complete	21st Qtr	\$11,200.00	\$ 9,276.36	
14004	Joga S. Mann, et ux	complete	21st Qtr	\$1,400,000.00	\$1,159,544.40	
14005	Satinder N. Davit, et ux	complete	21st Qtr	\$2,883,962.00	\$2,388,629.99	
14006	Nora Lee Terry Trust	complete	21st Qtr	\$125,500.00	\$103,944.87	Waiting for Final Policy of Title
14012	Gene R. Anderson estate	complete	21st Qtr	\$536,512.24	\$444,364.12	Pending resolution of easement issue

**Table 2 – Final Accounting Packages In Review at Downey Brand**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. To DWR	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14022	Foster Residence	complete	22nd Qtr	\$45,000.00	\$37,271.07	To DB 4/12/13
14022	Foster Ranch	complete	22nd Qtr	\$4,880,000.00	\$4,041,840.48	To DB 4/12/13
14027	Foster, et al bar property	complete	22nd Qtr	\$100,000.00	\$82,824.60	To DB 4/12/13

**Table #3 – Final Accounting Packages at DWR for Approval**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P.	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14001	J.M. Smith, et al.	complete	Submitted to DWR	\$306,900.00	\$254,188.70	To DWR 11/15/12
14008	T. Rice, et ux	complete	Submitted to DWR	\$211,721.00	\$175,357.07	To DWR 3/22/13
14011	R.S. Uppal, et. ux	complete	Submitted to DWR	\$731,305.00	\$605,700.44	To DWR 11/15/12
14018	Harold D. Hadley, Jr., Trust et al.	complete	Submitted to DWR	\$432,154.40	\$357,930.15	To DWR 12/4/12
14023	Lloyd R. Nieschulz, et al.	complete	Submitted to DWR	\$30,000.00	\$24,847.38	To DWR 3/6/13
14025	Eleanor Herold	complete	Submitted to DWR	\$414,524.49	\$343,328.25	At DWR
14033	Susanna M. Nieschulz Trust	complete	Submitted to DWR	\$1,335,000.00	\$1,105,708.41	To DWR 3/6/13

**Table #4 – Final Accounting Packages Approved by DWR**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P.	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14007	Naumes, Inc.	complete	Complete	\$17,548,012.12	\$14,534,070.85	Approved subject to Cadastral Resolution
14009	B. Heir, et al.	complete	Complete	\$546,122.12	\$452,323.46	Approved subject to Cadastral Resolution
14010	Quinn X. Dang	complete	Complete	\$330,000.00	\$273,321.18	Approved subject to Cadastral Resolution
14013	Nordic Industries, Inc.	complete	Complete	\$1,125,000.00	\$931,776.75	Approved subject to Cadastral Resolution
14014	Jaspal S. Clar, et ux (Johl)	complete	Complete	\$781,000.00	\$646,860.13	Approved subject to Cadastral Resolution

*Feather River Levee Improvement Project  
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14015	R. E. Webb, et ux	complete	Complete	\$785,000.00	\$650,173.11	Approved subject to Cadastral Resolution
14016	H&H Trenching, Inc.	complete	Complete	\$293,500.00	\$243,090.20	Approved subject to Cadastral Resolution
14017	P.F. Rice, et ux	complete	Complete	\$382,500.00	\$316,804.10	Approved subject to Cadastral Resolution
14019	T. Miller	complete	Complete	\$1,020,000.00	\$844,810.92	Approved subject to Cadastral Resolution
14020	Steve Maxey, et ux	complete	Complete	\$495,000.00	\$409,981.77	Approved subject to Cadastral Resolution
14021	P. Heir	complete	Complete	\$18,500.00	\$15,322.55	Approved subject to Cadastral Resolution
14024	F.D. Souza Trust	complete	Complete	\$900.00	\$745.42	Approved subject to Cadastral Resolution
14026	Herminita Flores and Mark Aldrin Flores	complete	Complete	\$167,000.00	\$138,317.08	Approved subject to Cadastral Resolution

**Table #5 – Relocation Final Accounting Packages in Process**

CVFPB	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	PENDING DWR PAYMENT	F.A.P. APPROVED	COMMENTS
14002	Eden (Danna)	COMPLETED	\$126,218.86	\$11,362.59		DWR ltr 1-17-2013
14015	Webb	DWR for Approval	\$307,767.36	\$99,610.96		Approval Pending
14019	Miller	COMPLETED	\$149,688.50	-\$31,317.22		DWR ltrs 1-17-2013 & 2-12-2013

**Table 6** – Relocation Final Accounting Packages Approved by DWR

CVFPB	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	PENDING DWR PAYMENT	F.A.P. APPROVED	COMMENTS
14017	Cummings (P.F.Rice)	COMPLETED	\$900.00	\$745.42	11/08/12	DWR APPROVED 11-08-12
14025	Herold	COMPLETED	\$195,000.00	\$161,507.97	08/15/12	DWR APPROVED 8-15-12
14014	Mincher (Johl)	COMPLETED	\$21,238.91	-\$106,645.86	03/16/12	DWR APPROVED 3-16-12
14014	Johl	COMPLETED	\$1,882.75	\$1,559.38	03/21/12	DWR APPROVED 3-21-12
14016	Timmins (H & H)	COMPLETED	\$26,331.00	-\$21,674.37	03/15/12	DWR APPROVED 3-15-12

**Uppal Parcel:** TRLIA anticipates selling the property through a public bidding process in the 22<sup>nd</sup> Quarter.

In October 2008, using eminent domain, TRLIA acquired approximately 39.53 acres of real property (Assessor’s Parcel No. 014-290-034), located on Anderson Avenue in Marysville, California (the “Uppal Property”). The Uppal Property was acquired for both the flood control structures and borrow. The borrow operation is complete. At the October 18, 2011, TRLIA Board Meeting, the TRLIA Board declared a portion of the Uppal Property as Excess Land. This area included roughly 23 acres of land originally purchased for borrow. Pursuant to the funding agreement, TRLIA first asked DWR if it wanted the Uppal Excess Property. DWR has indicated it is not interested. Pursuant to the Government Code, TRLIA has sent a written offer to sell or lease the Uppal Excess Land in the 18th Quarter to certain required public agencies, who have 60 days to notify TRLIA in writing that they wish to purchase or lease the property. TRLIA has been advised none of the government entities are interested in purchasing the property. TRLIA awarded a one-year farm lease to Heer/Atwal Orchards in August 2012. In the 19<sup>th</sup> quarter Heer/Atwal Orchards planted the property with Wheat. The wheat crop was not successful.

▪ **Feature 2 (Segment 3):**

The information below reflects the recent Real Estate actions and identifies the work proposed in the 22<sup>nd</sup> quarter.

- ✓ In the 22<sup>nd</sup> quarter TRLIA will finalize any remaining Legal Descriptions and complete the Conditional Easement Agreements for use of State property. This item is a joint CVFPB and TRLIA cost and is not part of the EIP funding agreement. Below is a listing of the parcels with the conditional easements:

*Feather River Levee Improvement Project  
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Assessor's Parcel Number	LOT Number	DWR Parcel No.	Owner	Signed Easement Doc. Rec'd
020-201-011	155	2475-C1	Alan J. Young and Alma D. Rodriguez	No response
020-201-010	155	2475-C2	Arnold Craft	1/29/2013
020-201-009	154	2475-C3	Arnold Craft	1/29/2013
020-201-008	153	2475-C4	Monty Hecker and Debra Hecker	1/29/2013
020-201-012	153 / 152	2475-C5	Monty D. Hecker and Debra J. Hecker	1/29/2013
020-201-005	152	2475-C6	Quintilia Naranjo	4/30/2013
020-201-004	151	2475-C7	Robert Hamilton	No
020-201-003	151	2475-C8	Scott R. Currier and Gayle M. Currier	No
020-201-002	150	2475-C9	Mohammad A. Khan	3/11/2013
020-201-001	150	2475-C10	Susan R. LaGrand	3/25/2013
020-171-015	149	2475-C11	Mary Cress	3/11/2013
020-171-014	148	2475-C12	Scott R. Currier and Gayle M. Currier	No
020-171-013	148	2475-C13	Celia Lopez, Maria Mendoza, et. al.	3/19/1930
020-171-012	147	2475-C14	Billy J. Bean and Clara J. Bean and David Moses Bean	No
020-171-011	146	2475-C15	Clara Jean Bean	No
020-171-010	145	2475-C16	Javier Quintero	3/21/2013
020-171-009	145	2475-C17	Javier and Ana Quintero	3/21/2013
020-171-008	144	2475-C18	Chad Edward Playso	No
020-171-007	144	2475-C19	Jose and Magdalena F. Vasquez	No
020-171-006	143	2475-C20	Daniel and Virginia A. Frizzell	No
020-171-005	143	2475-C21	Jesse and Ruth Burns	No
020-171-004	142	2475-C22	Evangelina Cabrera	3/18/2013
020-171-003	142	2475-C23	Mary Lipscomb	1/24/2013
020-171-002	141	2475-C24	Delora J. & Deewayne Field	2/12/2013
020-171-001	141	2475-C25	Carol & Philip Miller	No
020-121-019	140	2475-C26	Jose G. and Magdalena Vasquez	No
020-121-018	140	2475-C27	James M. and Nancy D. Blocker IV	No response
020-121-017	139 / 138	2475-C28	Daniel A. Charter	3/27/2013
020-121-016	138	2475-C29	Rosa Del Toro	2/8/2013
020-121-015	137	2475-C30	Johal, LLC, a California limited liability company; Attention Makhan Johal	3/11/2013
020-121-021	136	2475-C31	Michael George King	2/5/2013

Assessor's Parcel Number	LOT Number	DWR Parcel No.	Owner	Signed Easement Doc. Rec'd
020-121-020	135	2475-C32	Graciela Fernandez	No response
020-121-012	134	2475-C33	Leopuldo Vasquez and Ana Luiza Vasquez	No response
020-121-011	133	2475-C34	Russell Ensslin and Janinie M. Ensslin	1/31/2013
020-121-010	132	2475-C35	Kimberly Rene LaValleur	2/15/2013
020-121-025	131	2475-C36	Bazzano Investment Properties, LLC; Attention Paul A. Bazzano	1/24/2013
020-121-008	130	2475-C37	Bazzano Investment Properties, LLC; Attention Paul A. Bazzano	1/24/2013
020-121-028	139 / 128	2475-C38	Michael M. and Lesley G. Furtado	No
020-121-006	128	2475-C39	Almira Vasquez	2/8/2013
020-121-005	127	2475-C40	Brikemen LLC, A California Limited Liability Company	No response
020-121-004	126	2475-C41	Sacramento Valley Teen Challenge, Inc.	2/12/2013
020-121-003	126	2475-C42	Sacramento Valley Teen Challenge, Inc.	2/12/2013
020-121-002	126 / 125	2475-C43	Sacramento Valley Teen Challenge, Inc.	2/12/2013
020-121-022	125	2475-C44	Sacramento Valley Teen Challenge, Inc.	2/12/2013
020-091-025	123	2475-C45	Sacramento Valley Teen Challenge, Inc.	2/12/2013
020-091-024	122	2475-C46	Sacramento Valley Teen Challenge, Inc.	2/12/2013
020-091-015	121	2475-C47	W. D. and Juanita Cozine, Jr.	No response
020-091-009	121	2475-C48	Harold Ervine Coones, an unmarried man	No response
020-091-008	120	2475-C49	Richard Creighton and Gloria Jean Grant	3/11/2013

- ✓ Below is a listing of the parcels and their current status for all real estate transactions within Segment 3 with the exception of the proposed Conditional Easement Agreements.



**Table 6 Segment 3 Parcels not yet acquired**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P.	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14029	Richard G. Wilbur Trust	NEGOTIATING				Negotiations impacted by eminent domain action for property on the Upper Yuba Project with trial set for 8/13
14045	Ronald R. & Marcia E. Wessels	NEGOTIATING				Negotiations impacted by need for access easement to trailer park

**Table #7 – Segment 3 – Parcel Acquisitions being completed and Final Accounting Packages being processed**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. To DWR	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14000	Steven Stewart, et ux	In Escrow	23rd Qtr	\$1,650	\$1,155	
14028	Trumon G. Cooper Trust	In Escrow	23rd Qtr	\$6,900	\$4,830	
14033	Henry P. Smith	ESCROW CLOSED	22nd Qtr	\$46,300	\$32,410	
14034	Linda County Water District	In Escrow	23rd Qtr	\$16,760	\$11,732	
14037	E Street MX, Inc.	ESCROW CLOSED	22nd Qtr	\$4,200	\$2,940	
14038	John Roth	In Escrow	23rd Qtr	\$1,150	\$805	
14039	California Capital Loans	ESCROW CLOSED	22nd Qtr	\$1,000	\$700	

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P. To DWR	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14040	John Roth	In Escrow	23rd Qtr	SEE ABOVE		
14041	Carolyn J. & Gary D. Tindel	In Escrow	23rd Qtr	\$5,580	\$3,906	
14042	Salvation Army	ESCROW CLOSED	22nd Qtr	\$62,600	\$43,820	
14043	Forrest Ray Miller	In Escrow	23rd Qtr	\$1,650	\$1,155	
14044	Adams	ESCROW CLOSED	22nd Qtr	\$1,400	\$980	

**Table 8 – Segment 3 - Final Accounting Packages in Review at Downey Brand**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P.	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
None						

**Table 9 – Segments 3 – Final Accounting Packages Approved by DWR**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P.	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14031	State of California	complete	Complete	\$7,100	\$4,970	APPROVED 5-24-11
14030	Jourbee Khang, et ux	complete	Complete	\$ 72,500	\$ 50,750	APPROVED 4-17-13

- ✓ Additional costs that are associated with the additional real estate Water/Landside Access Corridor. There are additional construction costs that are listed in Project Element 5 Features 5.1.3 and 5.3.

Category	Total Estimated Costs
Flood Control Structure Fee	\$70,000
Legal Descriptions/obtaining Licenses Agreements for use of State property	\$150,000
<b>TOTAL</b>	<b>\$220,000</b>

- **Element 2: Feather River Segment 2 setback levee w/o tie-ins and new levee construction requiring federal action (except as noted in features):**
  - Feature 1.1 Design: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature 1.2 Permitting:
    - TRLIA will continue to work with DWR floodway maintenance staff and F&WS to develop an acceptable approach to floodway maintenance for all parties in the Feather River Floodway during the 22<sup>st</sup> quarter. DWR has set up a committee to guide the actions within the Feather River Corridor, with participation from various branches of DWR, the CVFPB, the local maintenance districts, TRLIA, the counties, and other interested stakeholder groups. The Committee is called the Feather River Corridor Management Plan development team.
    - The OMRR&R Agreement was approved and signed by CVFPB, TRLIA and RD784 in August 2011. TRLIA will continue to perform its obligations under this Agreement during the 22<sup>th</sup> Quarter.
    - Hydraulic evaluations to determine freeboard for the Urban Level of Protection (ULOP) will complete in the 21<sup>st</sup> quarter. Preliminary results indicate that this element has adequate freeboard for the 200-year flood. The geotechnical evaluations for the ULOP were performed during the original design for this element. The recent Urban Levee Design Criteria (ULDC) was beginning to be formulated and the Design Team was aware of the proposed criteria. The evaluation Team will update seismic vulnerability performed during the original design and evaluate seismic deformation and compare to the 10-yr water surface profile. This effort is expected to be completed during the 22<sup>nd</sup> quarter. The DWR ULE did not evaluate this element but instead relied on the Engineer of Record’s evaluation for this element.
  - Feature 1.3 Right of Way Support:

- Both legal and real estate activity will continue during the 22<sup>nd</sup> quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 1.
- During the 22<sup>nd</sup> quarter TRLIA will continue to work with DWR on developing the process to transfer property to the state. This action is also necessary for Project Element 1 Feature 2, and Project Element 8 Feature 1 property transfers. TRLIA will capture the cost of this effort for all three Project Element Features in this feature.
- Feature 2 Construction Management: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.  
Any additional construction management oversight for Project Element 2 Feature 4 work will be accounted for under Project Element 6 as part of land management activities.
- Feature 3.1 Construction of Setback Levee Foundation: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- Feature 3.2 Construction of Setback Levee Embankment and related items: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- Feature 3.3 Relocation of PG&E facilities: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- Feature 4 Environmental and Cultural Mitigation:
  - Monitoring of the Vegetated Wave Buffer will continue in the 22<sup>st</sup> quarter.
  - Environmental Mitigation/Restoration: Environmental monitoring of the Wetlands Mitigation, Swale, and Elderberry Mitigation Area will continue in the 22<sup>nd</sup> quarter.
  - Cultural Mitigation: Monitoring of the planting at the Cultural Site will continue during the 22<sup>nd</sup> quarter.
- Feature 5 O&M Manual:

1. This Feature is completed – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention release for this item will be requested later with another separate larger milestone.
  2. A comprehensive addendum covering all three Feather River levee segments was issued on December 12, 2011. As of late in the 19<sup>th</sup> quarter the Corps has reviewed the combined manual and provided no additional comments. The Corps was preparing a Section 408 compliance acceptance letter for the Feather East Levee. However additional work on the toe access corridor in Element 5 has delayed this acceptance. The Corps will now wait for this additional work to be completed before issuing an acceptance letter. The toe access corridor is expected to complete in the 23<sup>rd</sup> quarter. RD 784 has the combined manual and is using it to perform O&M.
- **Element 3:** Feather River Segment 2 tie-ins and new levee construction requiring federal action.
- Feature 1 - Construction (Embankment Feature 1.1 and Foundation Feature 1.2): Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- **Element 4:** Feather River Segment 2 existing levee degrade
- Feature 4.1 Construction: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- **Element 5:** Feather River Segment 3 levee improvement:
- Feature 1.1 Design: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature 1.2 Permitting:
    - Hydraulic evaluations to determine freeboard for the Urban Level of Protection (ULOP) will complete in the 21<sup>st</sup> quarter. Preliminary results indicate that this element has adequate freeboard for the 200-year flood. . Element 5 and Element 9 will be geotechnically evaluated together. Seepage and stability analyses for the hydraulic top of levee will be performed. The

Team will evaluate the seepage gradient at the relief well ditch (Element 9). The Team will evaluate seepage gradients at seepage berms at the Site 7 extension (Element 9) and Segment 3 (Element 5), and impacts of the LCWD WTP improvements (element 5). Seismic deformation will be evaluated and compared to the 10-yr water surface profile. The ULOP geotechnical evaluations are expected to complete in this quarter. The DWR ULE did not identify any criteria exceedence for this element.

- On March 12, 2012 the CVFPB issued Encroachment Permit No. 18690 BD to install fencing within the landside access corridor North of Island Ave. During the 19<sup>th</sup> quarter TRLIA and CVFPB staff worked with the Corps of Engineers to find a way for the Corps to provide the needed federal nexus to transplant elderberries that are located in the levee access corridor. TRLIA transplanted the Elderberry Shrubs in the 20<sup>th</sup> quarter during the dormant season.

It is anticipated the construction of the landside access corridor will continue in the 22<sup>nd</sup> quarter and be completed by October 2013.

○ Feature 1.3 Right of Way Support:

- Both legal and real estate activity will continue during the 22<sup>nd</sup> quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 2.

○ Feature 2 Construction Management:

- Construction Management work will be performed during the 22<sup>nd</sup> quarter to accommodate construction actions needed to support the ongoing Project Element 1 Feature 2 (Segment 3) additional property rights acquisition and construction of the landside access corridor.

○ Feature 3 Construction:

- It is anticipated the construction of the landside access corridor will continue in the 22<sup>nd</sup> quarter and be completed by October 2013.
- Feature 4: O&M manual addendum: This Feature is completed – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention release for this item will be requested later with another separate larger milestone.

- **Element 5A:** Feather River Segment 3 Erosion Site 2 (Added to Agreement by Amendment 1)

- Feature A1.1 Design: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature A1.2 Permitting: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature A1.3 Right of Way Support: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.  
Future right of way costs will be included in Project Element 5 Feature 1.3.
  - Feature A2 Construction Management: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature A3 Construction: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature A4 O&M Manual: Final O&M manual addendum was issued on December 12, 2011, which included this Project Element (Erosion Site 2). Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- **Element 6:** Feather River Land Management:
- Feature 1 Environmental Maintenance Activities: Environmental monitoring of the Elderberry Mitigation Area, Wetlands, and Swale will continue in the 22<sup>nd</sup> quarter.
  - Feature 2 Lease Back Activities (e.g. farming): TRLIA has 7 farming leases in the Feather Setback area and one Revocable Easement and Profit Agreement (Rice) in the landside levee toe access corridor. In the 19<sup>th</sup> quarter 2 interim non-renewable leases (Sharma and Atwal) were approved by TRLIA.

Funds received pursuant to the leases are reported to DWR within the quarterly progress reports and the State share of these funds are to be used to offset the remaining funding due to TRLIA through the EIP funding agreement. Lease revenues are held in separate funds at Yuba County and interest earned on these funds is accounted for as well.

During the 18<sup>th</sup> quarter the acreages of some of the parcels were found to be off based on survey information. The updated acreages are shown below in (red) if a change was found.

**Management of these leases will continue in 22<sup>nd</sup> quarter:**

1. Foster (016-020-005, 014-370-030, 014-370-029, 016-010-002, 016-010-007) - 248 (239.6) Acre Walnut Orchard. Term of lease January 1, 2009 through December 31, 2014. Rent is 10% of the Adjusted Gross Income.
2. T. Miller (014-370-033) – 20 Acre Walnut Orchard. Term of lease August 16, 2008 to August 15, 2013. Rent is 10% of the Gross Sales.
3. Maxey (014-370-024) – 27.38 (28.8) Acres Walnut, persimmons, mandarins, chestnuts and jujube Orchards. Term of lease August 16, 2008 to August 15, 2013 (June 30, 2014 – see comment below). Rent is 10% of the Gross Income on walnuts and 10 % of the Adjusted Gross Income for other crops.

In the 19<sup>th</sup> quarter Mr. Maxey requested TRLIA to extend his lease at least one year so that he is assured he will be able to harvest the crops in next year. It is anticipated that TRLIA extended this lease to June 30, 2014 (current expiration date of the TRLIA EIP funding agreement).

4. Hadley (014-370-020) – 9 (6.3) Acre Walnut Orchard. Term of lease November 1, 2008 to November 30, 2013. Rent is 10% of the Gross Sales
5. Davit (013-010-014) – 99 (81.9) Acre Walnut & Peach Orchard. Term of lease October 21, 2009 to December 31, 2014. Rent is 10% of the Adjusted Gross Income. Davit was allowed to remove the peach orchard (found to be to old) and replace with walnuts at his own risk, since there is no guarantee the state will extend the lease.
6. F. Miller (014-370-003 and 014-370-037) – 66 Acres Walnut Orchard. Term of lease July 21, 2010 through December 31, 2013. Rent is 30% of the Gross Income.
7. Nieschulz (016-010-016) – 35.07 (30.3) Acres of Orchard trees. Term of lease retroactively to January 1, 2009 and terminating at the end of the 2011 crop year. The lease was executed in September 2010. After the 3 year term, the lease is automatically extended each Jan 1. For the 2010 crop year and all



subsequent years Tenant shall pay the amount of 15% of the Gross Income from the property over the course of the applicable prior twelve month period.

8. Rice (014-250-029) – 4.82 Acres of Peach Orchard. Term of Agreement: June 22, 2010 through transfer of property to the State. Beginning September 2013, Agreement may be terminated at the end of any calendar year on 90 days notice. Agreement may be terminated earlier by CVFPB.
9. Sharma (APN 014-250-027). During the 19<sup>th</sup> quarter TRLIA awarded a non-renewable 2 to 5 year lease to Mr. Sharma (Sunrise Orchards) to plant row crops on 537 acres (Naumes Property) within the Feather Setback area. Tenant shall pay to Landlord, in addition to providing a valuable service of maintenance and upkeep of the Property, including maintenance of any wells and pumps, weed abatement, controlling of trash dumping, pest control pertaining to the crop being grown, and property surveillance, an annual rent for the Premises in the amount of the lesser of five percent (5%) of the Gross Income from the Property over the course of the applicable prior twelve-month period, or an amount equal to \$10,000 per month. Rent shall be paid in arrears, on December 1st of each year.

The term of this Lease shall commence upon August 1, 2012 and terminate on July 31, 2017. The term of this Lease shall be non-renewable. After two years from the commencement date of the Lease, either party to the Lease, and any successors and assigns to the Lease pursuant to Paragraph 33 below, may give 30 days' written notice to terminate this Lease. Notwithstanding the foregoing, Landlord may terminate this Lease at any time on 60 days notice if Landlord finds it necessary to do so in meeting Landlord's needs, including any federal or state requirements.

10. Atwal (APN 014-290-034). During the 19<sup>th</sup> quarter TRLIA awarded a non-renewable 1 year lease to Mr. Sarbdeep Atwal (Heer/Atwal Orchards) to plant row crops on 23 acres (Uppal Property) of reclaimed borrow area east of the landside levee toe access corridor.

The term of this Lease shall commence upon September 1, 2012 and terminate on August 31, 2013. The term of this Lease shall be non-renewable. Notwithstanding the foregoing, Landlord may terminate this Lease at any time on 60 days notice if Landlord finds it necessary to do so in meeting Landlord's needs, including any federal or state requirements.

Tenant shall pay to Landlord, in addition to providing a valuable service of maintenance and upkeep of the Property, including maintenance of any wells and pumps, weed abatement, controlling of trash dumping, pest control pertaining to the crops being grown, and property surveillance, an annual rent for the Premises in the amount of the lesser of three percent (3%) of the Gross Income from the Property over the course of the applicable prior twelve-

month period, or an amount equal to \$10,000 per month. Rent shall be due on August 31, 2013.

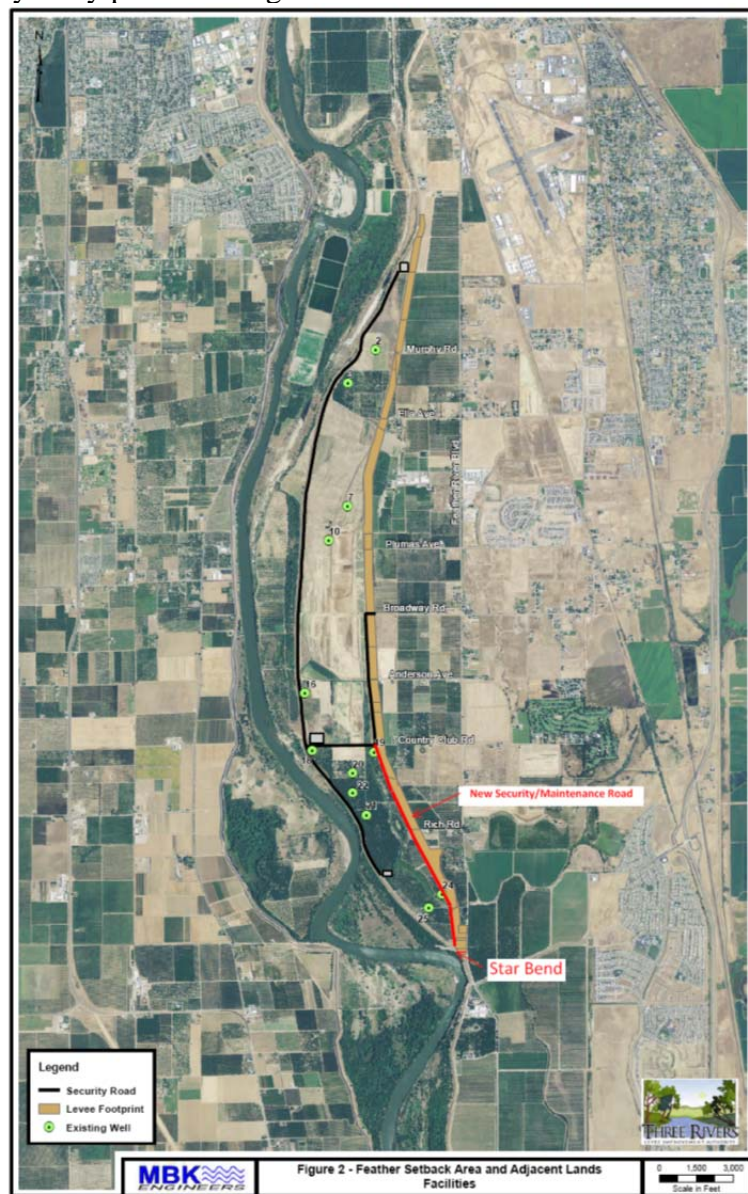
During the 18<sup>th</sup> quarter TRLIA requested DWR allow TRLIA to extend leases 1 to 7 for a long term (20 to 30 years) to provide farmers the ability to plan future maintenance and replacement investments for the leased orchards. DWR is considering this request and may be agreeable, but for a shorter time period. **This becomes critical because the leases begin to expire in 2013. This item is listed in the issues/concerns portion of this report.**

**A schedule of payments is shown below for the 22<sup>nd</sup> Quarter: (Note: A Summary of Ag Lease Payments received is also included with the regular Quarterly Progress Reports.)**

- Foster: No rent has been received for the 2010, 2011, and 2012 crop years. Mr. Foster has been contacted and he is in the process of making these payments to TRLIA. Anticipate payment in the 21<sup>st</sup> or 22<sup>nd</sup> quarter. Mr. Foster was not making the payments because he believed TRLIA owed him money from the eminent domain settlement, which has now been resolved.
- F. Miller – Rent payment due on April 15 (20%) of annual rent.
- Davit – Rent payment due on May 1.
- Feature 3 Land Maintenance of Setback area (e.g. security and land management activities) post construction and prior to transfer of property to State: TRLIA will continue to manage activities on the 1600 acres that are required for the Setback levee in the 22<sup>nd</sup> quarter.
  - TRLIA will continue to perform interim land operations and maintenance activities for the Feather Setback area per the Setback Area O&M Plan. The plan included short-term and long-term operations and maintenance of the borrow sites, security/maintenance road, onsite mitigation areas (wetlands, swale, and elderberry area), farm leases, security of setback area, and trash pickup.
  - TRLIA anticipates continuing limited public access into the Feather Setback area during the 22<sup>nd</sup> quarter and will continue to operate and monitor security cameras. Three of the four cameras are operating (PS 3, Broadway Ramp, near Star Bend). The fourth camera by Country Club Road/former FR Levee will be moved to a better location in the 22<sup>nd</sup> quarter due to poor signal quality.
  - As reported in the 20<sup>th</sup> Quarter Progress Report, TRLIA experienced access issues at the Broadway entrance. The critical concern is the issue of dust being created along Broadway prior to the levee crossing by people driving

along the shoulder of Broadway. Broadway has many ruts, which people drive on the shoulder to avoid. The dust is impacting the surrounding orchards and possible could create a mite infestation. TRLIA is working with the residents along Broadway to correct this situation.

To fix this situation and be able to continue limited public access to the Feather Setback area, TRLIA asks that the primary entrance to the Setback Area be moved to Star Bend. Star Bend is already a public access point to the Feather River Boat Ramp. The access would go from Star Bend along the waterside levee toe access corridor to the Country Club intersection. This would eliminate the dust problem along Broadway. Broadway would become a secondary entry point. See figure below.



The cost of making this entrance change is estimated to be \$228,680.

**Star Bend to Country Club, Waterside Patrol Road AB and Gates**

	quantity	unit	unit \$	estimate \$
<b><i>6" base rock on 20' roadway</i></b>				
Station 0+00 to 91+80	9,180	LF		
x 20 ft width- Sub grade Preparation	183,600	SF	0.15	27,540
x 6" thickness	3,400	CY		
x 1.8 TNS/CY	6,120	TNS	27.00	165,240
 <b><i>pipe fence @ waterside ramps</i></b>				
Rich Road	100	LF		
Country Club	100	LF		
Anderson	100	LF		
	300	LF	38.00	11,400
Pipe Gates	3	EA	6,500.00	19,500
Signage	1	LS	5,000.00	5,000
<b>TOTAL - South Reach</b>				<b>\$228,680</b>

TRLIA proposes to make this entrance change during the 22<sup>nd</sup> Quarter and has incorporated this item into Project Element 6 Feature 3.

- TRLIA will participate in the DWR Lower Feather River Floodway Corridor Management committee and the following subcommittees: Funding, Hydraulics, Permitting, and Policy.
- It is anticipated that TRLIA will be working with FESSRO on the TRILA Feather River Floodway Corridor Restoration Project.

- It is anticipated that TRLIA will be working with the Flood Corridor Program on the Three Rivers Flood Corridor Project
  
- **Element 7:** Project Management, legal support, community relations support, land management support, and overhead
  - Activity is anticipated in all Features during the 22<sup>nd</sup> quarter.
  - Feature 2 Consulting Support: The administration of TRLIA’s funding agreement and management of the overall FRLIP program will continue until the final completion of the project.
  - Starting with the 17<sup>th</sup> quarter work plan TRLIA has shifted the allocation of its administration and overhead expenses to reflect an apportionment of these expenses to additional work TRLIA has underway in the Goldfields in addition to its EIP projects. The projections for expenses allocated to the FRLIP for this quarter have been reduced to reflect a lower allocation.
  
- **Element 8:** Feather River EIP Land Acquisition (formally Associated Project Element 1)
  - Feature 1 Feather Segment 1: The TRLIA Segment 1 Real Estate Plan was approved in November 2011. During the 22<sup>nd</sup> quarter TRLIA will continue negotiations with property owners within Feather Segment 1 to appraise and acquire the 50 foot wide levee O&M toe access corridor, and will likely commence eminent domain proceedings. Below are tables that reflect the estimated acquisition costs reflected in the Segment 1 Real Estate Plan:

**Property Acquisition Status**

CVFPB	OWNER	STATUS OF ACQUISITION	STATUS OF F.A.P.	SETTLEMENT AMOUNT / TOTAL CLAIMED	STATE SHARE OF SETTLEMENT	COMMENTS
14047 14048	Danna Properties, LP	NEGOTIATING				Danna obtaining appraisal
14049	Shoei Food USA, Inc.	NEGOTIATING				Shoei obtaining appraisal
14076	Valley View Packing Co.	NEGOTIATING				Valley View has raised the necessity of an access easement over the levee as an issue

### **Capital Outlay and Support Costs**

<b>Category</b>	<b>Estimated Costs</b>
Capital Outlay	\$244,763
Temporary Damages	\$37,500.
Support Costs	\$177,200.
<b>TOTAL</b>	<b>\$459,463</b>

- Landside and Waterside Access Toe Corridor License Agreements: It is anticipated that the following properties are proposed to have licenses issued to them in the 22<sup>nd</sup> quarter: Danna, land and waterside access corridor; Shoei, landside access corridor; and Valley View, waterside access corridor.

In support of the RE plan, TRLIA submitted to DWR proposed license agreements for joint use of the Toe Access Corridor. The license agreement would potentially be used with any property owner who owns agriculture property that abuts the levee and who wants to use the Toe Access Corridor for farming purposes. The licenses were presented to the Central Valley Flood Protection Board as an information item at its meeting on March 2, 2012. The CVFPB had no objections.

- **Element 9:** Feather River Segment 1 Levee Improvement (formally Associated Project Element 2)
  - Feature 1.1 Design: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature 1.2 Permitting:
    - Hydraulic evaluations to determine freeboard for the Urban Level of Protection (ULOP) will complete in the 21<sup>st</sup> quarter. Preliminary results indicate that this element has adequate freeboard for the 200-year flood. . Element 5 and Element 9 will be geotechnically evaluated together. Seepage and stability analyses for the hydraulic top of levee will be performed. The Team will evaluate the seepage gradient at the relief well ditch (Element 9). The Team will evaluate seepage gradients at seepage berms at the Site 7 extension (Element 9) and Segment 3 (Element 5), and impacts of the LCWD WTP improvements (element 5). Seismic deformation will be evaluated and compared to the 10-yr water surface profile. The ULOP geotechnical evaluations are expected to complete this quarter. The DWR ULE identified one ULDC exceedance in this element. Through seepage criteria was exceeded at design station 133+00. This exceedance will be remediated with an erosion protection berm which is expected to be constructed in the 23<sup>rd</sup> quarter. An encroachment permit application package for this work was

submitted to the CVFPB on May 13, 2013. The work is so minor that TRLIA has requested that this work be approved through a Letter of Authorization.

- Feature 1.3 Right of Way Support:
    - Both legal and real estate activity will continue during the 22<sup>nd</sup> quarter to support the ongoing land acquisition activities associated with Project Element 8 Feature 1.
  - Feature 2 Construction Management: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature 3 Construction: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
  - Feature 4 O&M manual addendum: This Feature is completed – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention release for this item will be requested later with another separate larger milestone.
- **Element 9A:** Feather River Segment 1 Crack Repair (Added to Work Plan by Addendum 1)
- Feature A1.1 Engineering and Construction Management: Crack monitoring (e.g. inclinometers) may continue by TRLIA during the 20<sup>th</sup> quarter pending additional requests to the CVFPB to reduce the monitoring requirements. Minimal costs are associated with this effort therefore no costs have been forecasted.

**This item is listed in the Issues/Concerns portion of this report.**

As part of a supplemental retention release request submitted during the 19<sup>th</sup> Quarter on January 3, 2013, this item was deemed complete and a release of retention was requested. This item is now highlighted in yellow in the tables below.

- Feature A1.2 Right of Way Support: See Project Element 9 Feature 1.3

As part of a supplemental retention release request submitted during the 19<sup>th</sup> Quarter on January 3, 2013, this item was deemed complete and a release of retention was requested. This item is now highlighted in yellow in the tables below.

- Feature A2 Construction: Completed – Portion of retention released to TRLIA on April 26, 2012. Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. See Project Element 10 for Project Closeout.
- Feature A3 O&M manual addendum: See Project Element 9 Feature 4
- **Element 10 (Added by Amendment 2): Closeout Process post June 30, 2012:**
  - 10.1 Feature 1: Closeout Process post June 30, 2012:
    - In April 2012, DWR released a portion, \$5,000,000 of the retention held on the State’s share of funding for cost incurred by TRLIA. In support of this partial retention release, on August 16, 2012 TRLIA delivered to DWR all of the requested hard copy documentation related to the partial release of retention. TRLIA will supplement the closeout documentation when the final closeout of the project occurs as noted within the closeout documentation report provided with the hard copy transmission of documentation.
    - On January 3, 2013, TRLIA provided DWR with a request for the release of additional retention of the State Cost share for the project. Specifically, TRLIA requested the release of an additional \$1,153,562 of retention based upon additional costs incurred since the April 2012 release of retention and additional progress made toward the completion of project through the 18<sup>th</sup> Quarter. DWR is reviewing what additional documentation is needed to support this request.

The State continues to withhold in excess of \$3,000,000 of State cost sharing on expenses incurred through the 19<sup>th</sup> Quarter (December 31, 2012). TRLIA continues to incur costs on the project adding to the amount of retention held. TRLIA expects that there is less than \$5,000,000 of costs remaining to complete the project. Given this fact, the State is effectively withholding well over 50% of the remaining costs of the project which is in excess of any reasonable amount of retention needed to ensure the completion of the project. Within TRLIA’s January 3<sup>rd</sup> request,

TRLIA will continue to work with the State to prepare final closeout documentation for DWR and associated reviewers for formal project closeout review to support an additional release of retention.

- **Environmental matters:**

- Project Element 2:



- River Partners will continue to maintain the Feather River Elderberry mitigation area, wetlands, and drainage swale.
- Vegetated Wave Buffer: See Project Element 2 Feature 1.2 for update.
- Elderberry Mitigation Area: See Project Element 2 Feature 1.2 for update.
- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
  - **Project Elements 2, 3, 4, 5, 5A, 9, & 9A:**
    - See Attachment 2 for the Permit status update.
  - **Project Element 2:**
    - TRLIA will continue to work with DWR floodway maintenance staff and F&WS to develop an acceptable approach to floodway maintenance for all parties in the Feather River Floodway during this quarter. DWR has set up a committee to guide the actions within the Feather River Corridor, with participation from various branches of DWR, the CVFPB, the local maintenance districts, TRLIA, the counties, and other interested stakeholder groups. The Committee is called the Feather River Corridor Management Plan development team.
    - TRLIA will continue to perform its obligations under the OMRR&R Agreement during the 22<sup>nd</sup> quarter.
- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.):**
  - Project Element 5, Feature 3: Construction – Continue construction of the levee access corridor.
- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**
  - **Issue 1:** Segment 1 Crack monitoring (e.g. inclinometers) continues at expense to both TRLIA and RD784. Recent monitoring reports identified minimal movement in the inclinometers. On October 20, 2011 TRLIA asked CVFPB to reduce the monitoring from monthly to quarterly and after any large flood events. While some movement is still discernible, it is very small and the section is considered stable by TRLIA. The CVFPB has refused to reduce the requirements. TRLIA proposes to monitor monthly through the 22<sup>nd</sup> Quarter to discern movement trends. TRLIA will

evaluate the movement at the end of the 22<sup>nd</sup> Quarter and discuss monitoring frequency with CVFPB staff.

**Recommended Resolution:** DWR intercede with the CVFPB staff and work out a solution agreeable to all parties.

- **Issue 2:** During the 18<sup>th</sup> quarter TRLIA requested DWR allow TRLIA to extend leases 1 to 7 for a long term (20 to 30 years) to provide farmers the ability to plan future maintenance and replacement investments for the leased orchards. DWR is considering this request and may be agreeable, but for a shorter time period. This item is now critical because the leases begin to expire in 2013. Farmers need to know if they will be able to use the orchards for the next harvest season!

**Recommend Resolution:** DWR agree to extend the leases for an extended time period; a minimum of 5 years; preferably at least 10 to 15 years.

- **Issue 3:** Finalizing the Final Accounting Packages (FAPs) for both Features 1 and 2 is a critical item to complete so that TRLIA can transfer the property to the State. The state cadastral requirements have become an issue for the Feather FAPs. TRLIA officials met with DWR officials (FloodSafe, Cadastral, and Real Estate) on April 2, 2013 to resolve this issue. A resolution was reached at the April 2 meeting amongst all parties (see minutes from meeting, attachment 2); however, the DWR Cadastral office later recanted their agreement. This issue remains unresolved – TRLIA is awaiting DWR FloodSafe direction. Agreement on cadastral approach needs to be so that the FAFs can be completed and land transferred to the state.

**Recommend Resolution:** DWR agree with approach outlined in the April 2, 2013 TRLIA meeting memo

- **Issue 4:** Retention: On January 3, 2013, TRLIA requested an Additional Release of Retention held by DWR on the State Cost Share for the Project. The State has not responded to this request. The State continues to withhold in excess of \$3,000,000 of State cost sharing on expenses incurred through the 18<sup>th</sup> Quarter (September 30, 2012). TRLIA continues to incur costs on the project adding to the amount of retention held. TRLIA estimates that the State is withholding well over 50% of the remaining costs of the project which is in excess of a reasonable amount of retention needed to ensure the completion of the project. Within TRLIA's January 3<sup>rd</sup> request, TRLIA demonstrated that it has provided documentation satisfying the funding agreement's criteria for the release retention.

**Recommend Resolution:** DWR should process the requested retention release requested by TRLIA as soon as possible.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- No changes have been identified as part of this Quarterly Work Plan.

- **Any litigation, proceedings or claims relating to the Project:**

- Elements 1 thru 10: None at this time

**5. Cost Information by Project Element:** TRLIA is submitting this 22<sup>nd</sup> Quarterly Work Plan after the completion of the 20<sup>th</sup> Quarterly Progress report. The budgets and remaining budgeted expenditures are reflective of actual costs incurred as of the 20<sup>th</sup> Quarter and estimated costs to be incurred for the 21<sup>st</sup> Quarter. As result, the financial information included within this Quarterly Work Plan presents the projected remaining budget as of June 30, 2013 expected in each element taking into consideration projected expenses for the 21<sup>st</sup> Quarter based on the 21<sup>st</sup> QWP and adjustments for actual expenditures as they are known.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed) :**

No changes are planned at this. The modifications provided as of the Overall Work Plan Budget submitted with the 2<sup>nd</sup> Amendment to Funding Agreement are reflected here.

- **Projected costs compared to Overall Work Plan Budget:**

- Element 1 – Real Estate (Segments 2 & 3): The Revised Overall Work Plan Budget for Element 1 is \$42.024 million. Through June 2013, TRLIA expects to have expended approximately 98% of the revised total budget, about \$41.3 million. In the 21<sup>st</sup> quarter of the project, TRLIA expects to expend funds on additional ROW acquisition for the Segment Toe-Access-Corridor.
- Element 8 – Real Estate (Segment 1): The Overall Work Plan Budget for Element 8 is \$630,272. Through June 2013, TRLIA expects to have expended approximately 68% of the revised total budget, about \$430,000. TRLIA’s updated schedule as noted previously in this report is to continue the condemnation process for the acquisition of the Segment 1 Toe-Access-Corridor in this 22<sup>nd</sup> Quarter. As part of this process, TRLIA would be required to made deposits into condemnation and incur costs in the 22<sup>nd</sup> Quarter. TRLIA has forecasted additional deposits of approximately \$167,000. This forecast could vary dependent on factors associated with the condemnation process.
- Element 2 – Segment 2 Construction (Except Tie-Ins): The revised overall budget for Element 2 is \$89.4 million. Through the end of the 21<sup>st</sup> Quarter (March 2013) TRLIA expects to have expended \$89 million. During the 22<sup>nd</sup> Quarter TRLIA expects to incur an additional \$105,000. This will be related to 200-Year compliance determination, ROW support and environmental monitoring expenses. Including this work, through September 2013, TRLIA expects to have expended approximately 99.5% of the revised budget for Element 2.

- Element 3 – Segment 2 Construction (Tie-Ins): This element is complete and no work is forecasted this Quarter.
- Element 4 – Segment 2 Existing Levee Degrade: This element is complete and no work is forecasted this Quarter.
- Element 5 – Segment 3 Levee Improvements: The revised overall budget for Element 5 is \$13.1 million. Through end of the 21<sup>st</sup> Quarter (June 2013), TRLIA expects to have expended approximately 90% of the total revised budget, about \$11.8 million. Through the 22<sup>nd</sup> Quarter (September 2013), TRLIA expects to work on acquisition and required maintenance easements as well as commence construction. During the 22<sup>nd</sup> Quarter TRLIA expects to incur an additional \$1,238,000. This will predominately be construction related expenses associated fence construction. Including this work, through September 2013, TRLIA expects to have expended approximately 98.4% of the revised budget for Element 5.
- Element 5A – Segment 3 Erosion Site 2: This element is complete and no work is forecasted this Quarter.
- Element 6 – Feather River Land Management: The revised overall budget for Element 6 is \$2,305,000. Through the 21<sup>st</sup> Quarter, June 2013, TRLIA will have expended approximately 47.0% (\$890,000) of this budget. Additional activities related to managing the 1,600 acre setback area will continue this quarter. As noted above, TRLIA will be doing work related to the relocation of the primary access gates to the Setback Area. The cost of this work is included in the estimate of \$275,600 related to set-back area maintenance activities for the quarter. Additionally, as previously discussed, TRLIA will continue to manage all Agricultural Leases on the property and any income produced from the property will go to offset the costs of the project.
- Element 7 - Project Management, Legal, Community Relations, Land Management, and Overhead: The revised overall budget for Element 7 is \$6.2 million. The budget has been adjusted to reflect the extended duration of the project related to the second amendment to the funding agreement. Through the 21<sup>st</sup> Quarter (June 2013), TRLIA expects to have expended \$5.9 million. Through the 22<sup>nd</sup> Quarter (September 2013), TRLIA expects to expend an additional \$32,000. TRLIA has adjusted the overhead allocation to the Feather River project and will continue to monitor the budget of this element and, if the expenses are forecasted to exceed the budget by more than 5%, TRLIA will adjust the overall budget for the element.
- Element 9 – Segment 1 Levee Improvements: The overall budget for Element 9 is \$12.5 million. Through end of the 21<sup>st</sup> Quarter (June 2013), TRLIA expects to have expended approximately 98% of the total budget, about \$12.2 million. Through the 22<sup>nd</sup> Quarter (September 2013), TRLIA expects to work on the acquisition of the required levee toe access corridor. Expenses for the quarter are primarily related to Real Estate support activities.

- Element 9A –Segment 1 Crack Repair: This element is complete and no work is forecasted this Quarter.
- Element 10 – Project Closeout efforts: This Element was added to the project as part of the 2<sup>nd</sup> Amendment to the funding agreement. The overall budget for Element 10 is \$100,000. Through end of the 21<sup>st</sup> Quarter (June 2013), TRLIA will have expended approximately \$20,000 on close out efforts (21%) on this element. Through the 22<sup>nd</sup> Quarter (September 2013), TRLIA expects to expend approximately \$10,000 associated with continuing with its request for an additional release of retention and preparing associated supporting close-out documentation.

### The amount of funds sought from the State and the Amount of Local Funds intended to be expended

As presented in Item 3 of this 22<sup>nd</sup> Quarter Work Plan:

<b>Summary</b>				
Description	State Share	Local Share	Total	Local Credit Applied
Element 1 & 8 - Land Acquisition	\$120,073	\$51,460	\$171,533	\$0
Elements 2 through 7, 9A & 10	\$1,114,732	\$404,143	\$1,518,875	\$0
<b>Total</b>	<b>\$1,234,805</b>	<b>\$455,603</b>	<b>\$1,690,408</b>	<b>\$0</b>

<b>Quarterly Summary for Real Estate Activity</b>	
State Share Elements 1 & 8	\$120,073
Local Credit Applied Elements 1 & 8	\$0
<b>Estimated Total Quarterly State Real Estate Funding</b>	<b>\$120,073</b>

<b>Quarterly Advance Summary for Non-Real Estate Activity</b>	
75% of State Share Elements 2 through 7, 9A & 10	\$836,049
Prior State Share Underfunded <i>(Estimated Based on 21<sup>st</sup> Quarter Advance &amp; 20<sup>th</sup> QPR Reconciliation Below)</i>	\$3,974,590
<b>Total Advance Request of State Share for 22<sup>nd</sup> Quarter</b>	<b>\$4,677,954</b>

The calculation of Total Funding due for State Share at the start of 22<sup>nd</sup> Quarter is subject to True-Ups to account for actual expenses incurred through the 20<sup>th</sup> Quarter (based on the most recent 20<sup>th</sup> QPR Submission and advance funding received to date). The State has processed advance payments through the 14<sup>th</sup> Quarter and, has also provided a release of \$5,000,000 of retention on State share of construction funding. At this point in time, because the State has provided more than 90% of its share of projected costs, no additional advance payments are expected. However, as further discussed in this QWP, TRLIA has requested an additional

release of retention from the State based upon the project's near completion and the relative amount of retention held compared to costs to complete the project.

The following analysis presents TRLIA's estimate of State funding due with no retention held. The purpose of this analysis is to provide the State, given the accrued actual expenses to date, an estimate of the funding due to TRLIA for construction related expenditures. This amount would represent a projection of the amount of retention held through the 19<sup>th</sup> Quarter.

**Reconciliation of Prior State Funding for Construction**

**Per 20<sup>th</sup> Quarter QPR (True-Up)**

Total Construction Expenses (Elements 2 – 7, 9A & 10) [1]	\$129,040,956
Total State Share of Construction Expenses [2]	\$104,155,447
Total State Funding Due[3]	<b>\$104,155,447</b>
Total State Advance/True-Up Funding Received to Date for Construction	<b>\$100,218,989</b>
State Share Construction Funding Due as of 20 <sup>th</sup> Quarter True-Up	<b>\$3,936,458</b>

[1] Excludes Creditable Expenses.

[2] DWR Review of 20<sup>th</sup> QPR Statement of Costs still in process.

[3] The analysis, for demonstrative purposes assumes that retention is no longer held, however, the State has only authorized the release of \$5,000,000 of retention to date.

**Per 21<sup>st</sup> Quarter QWP**

Total Construction Projection (Elements 2 – 7, 9A & 10)	\$809,359
State Share of Projection	\$603,053
75% of State Share for Quarterly Advance	<b>\$452,290</b>

**State Accumulated Credit Reported in 20<sup>th</sup> QPR**

Interest Earned on State Advanced Funding	\$132,603
State Share of Ag Lease Payments on FR Lands	\$139,902
State Share of Condemnation Settlements Less than Advance	\$141,653
Total State Funding Credits with TRLIA to Date	<b>\$414,158</b>

<b>Net State Share Due (20<sup>th</sup> Qtr True Up plus 21<sup>st</sup> Qtr Advance)</b> <i>Assumes no retention held</i>	<b>\$3,974,590</b>
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➤ **Detailed Quarterly Work Plan Budget Forecast**

The following tables present Element and Feature level details for this 22<sup>nd</sup> Quarterly Work Plan.

**Summary of TRLIA Quarterly Work Plan Budget**

For Period July/August/September 2013

**SUMMARY ALL ELEMENTS**

Elements and Features	Revised Overall Budget [1]			Forecasted Expenses (through March 2012)				Forecasted Remaining Budget			Quarterly Forecast			Advance
	Total	State	Local	Total	State Share	Local Share	Local Share (after credit)	Total	State Share	Local Share	Total	State	Local	State Share
Formula	b	c* <small>Based on Overall Workplan</small>	d = b-c	e	Credit f	Payments g	h=e-f-g <small>*Not less than zero</small>	i=b-e	j=c-f-g	k=d-h	l	m=lx	n=l-m	o=m*75%
<b>Quarterly Advance Request</b>														
Element 2: Segment 2 New Levee	\$89,422,362	\$74,063,713	\$15,358,649	\$88,920,336	\$6,777,121	\$66,870,776	\$15,272,439	\$502,027	\$415,816	\$86,210	\$105,457	\$87,345	\$18,113	\$65,508
Element 3: Segment 2 Levee Tie Ins	\$7,684,448	\$6,364,613	\$1,319,835	\$7,684,448	\$0	\$6,364,612	\$1,319,836	\$0	\$2	-\$1	\$0	\$0	\$0	\$0
Element 4: Segment 2 Levee Degradation	\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$0	\$13,686,287	\$0	\$0	\$3	-\$3	\$0	\$0	\$0	\$0
Element 5: Segment 3 Levee Improvement	\$13,103,230	\$9,172,261	\$3,930,969	\$11,865,198	\$3,155,224	\$5,150,415	\$3,559,559	\$1,238,032	\$866,622	\$371,409	\$1,022,503	\$715,752	\$306,751	\$536,814
Element 5A: Segment 3 Erosion Site 2	\$684,518	\$479,163	\$205,355	\$684,518	\$0	\$479,163	\$205,355	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 6: Land Management	\$2,305,000	\$1,909,107	\$395,893	\$1,084,445	\$0	\$898,187	\$186,258	\$1,220,555	\$1,010,920	\$209,635	\$275,600	\$228,265	\$47,335	\$171,198
Element 7: PM & Overhead	\$6,263,840	\$4,780,533	\$1,483,307	\$5,963,065	\$1,464,186	\$3,086,812	\$1,412,066	\$300,775	\$229,535	\$71,241	\$31,944	\$24,379	\$7,564	\$18,284
Element 9: Segment 1 Levee Improvement	\$12,429,728	\$8,700,810	\$3,728,918	\$12,210,053	\$987,512	\$7,559,525	\$3,663,016	\$219,675	\$153,772	\$65,902	\$73,371	\$51,360	\$22,011	\$38,520
Element 9A: Segment 1 Crack Repair	\$575,000	\$402,500	\$172,500	\$507,433	\$0	\$355,203	\$152,230	\$67,567	\$47,297	\$20,270	\$0	\$0	\$0	\$0
Element 10: Project Closeout Efforts	\$100,000	\$76,320	\$23,680	\$20,891	\$0	\$10,119	\$10,772	\$79,109	\$66,201	\$12,908	\$10,000	\$7,632	\$2,368	\$5,724
<b>Subtotal Elements 2 through 9A</b>	<b>\$148,992,554</b>	<b>\$119,558,991</b>	<b>\$29,433,563</b>	<b>\$145,443,923</b>	<b>\$12,384,043</b>	<b>\$104,450,981</b>	<b>\$25,770,760</b>	<b>\$3,548,630</b>	<b>\$2,723,967</b>	<b>\$824,664</b>	<b>\$1,518,875</b>	<b>\$1,114,732</b>	<b>\$404,143</b>	<b>\$836,049</b>
<b>Totals for Quarter Eligible for 75% Advance of State Share</b>														
<b>Real Estate Forecast for Quarter</b>				<b>Cumulative Estimated Expenses (through March)</b>										
Element 1: Land Acquisition	\$42,024,165	\$34,693,564	\$7,330,601	\$41,308,961	\$3,022,287	\$31,151,305	\$7,135,368	\$715,204	\$519,972	\$195,232	\$4,867	\$3,407	\$1,460	
Element 8: Segment 1 Land Acquisition	\$630,272	\$441,190	\$189,082	\$429,787	\$47,728	\$253,123	\$128,936	\$200,485	\$140,339	\$60,145	\$166,667	\$116,667	\$50,000	
<b>Subtotal Real Estate Forecast</b>	<b>\$42,654,437</b>	<b>\$35,134,755</b>	<b>\$7,519,682</b>	<b>\$41,738,748</b>	<b>\$3,070,016</b>	<b>\$31,404,428</b>	<b>\$7,264,305</b>	<b>\$915,689</b>	<b>\$660,311</b>	<b>\$255,378</b>	<b>\$171,533</b>	<b>\$120,073</b>	<b>\$51,460</b>	
<b>Total Elements 1 through 9A</b>	<b>\$191,646,990</b>	<b>\$154,693,745</b>	<b>\$36,953,245</b>	<b>\$187,182,671</b>	<b>\$15,454,058</b>	<b>\$135,855,409</b>	<b>\$33,035,065</b>	<b>\$4,464,319</b>	<b>\$3,384,278</b>	<b>\$1,080,041</b>	<b>\$1,690,408</b>	<b>\$1,234,805</b>	<b>\$455,603</b>	

**Local Credit Reconciliation**

<b>Total Local Share of Expenses this Quarter</b>	<b>\$404,143 (From Above)</b>
<b>Total Approved Local Credit</b>	<b>\$15,454,060 (Based on Approval Letter)</b>
<b>Use of Local Credit</b>	
Use of Local Credit for Real Estate to Date	-\$5,282,078
Payments of Credit w/ 1st Quarterly Advance (Per Invoice Advance - 1)	-\$2,461,666
Payments of Credit w/ 2nd Quarterly Advance (Per Revised Invoice Advance-2)	-\$1,469,507
Payments of Credit w/2nd Quarter True-Up	-\$3,812,451
Payments of Credit w/3rd Quarterly Advance	-\$567,189
Payments of Credit w/3rd Quarterly Advance (Update based on Addtl. Costs)	-\$825,928
Payments for 9th and 10th Quarter Progress Reports	-\$1,035,241
<b>Use of Local Credit</b>	<b>-\$15,454,060</b>
<b>Total Local Credit Used</b>	<b>-\$15,454,060</b>
<b>Remaining Local Credit</b>	<b>\$0</b>
<b>Requested Local Credit to be Paid with Advance</b>	<b>\$0 (No Local Credit Remaining)</b>

\*21QSumm\*

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

[2] Reflects costs incurred through submitted 19th QPR (December '12) with estimates of expenses forecasted in 20th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through December 2012 plus forecasted amount (ref. Note 2).

## TRLIA Quarterly Work Plan Budget

For Period July/August/September 2013

**ELEMENT 1**

Elements and Features	State Share	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)				Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share Amounts		Local Share	Total	State Share	Local Share		I	m=lxa	n=l-m	
						Credit	Payment									
<i>Formula</i>	a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f	g	h=e-f-g	i=b-e	j=c-f-g	k=d-h	I	m=lxa	n=l-m	g	
					[2]	[3]	[4]									
<b>Element 1: Feather River EIP Land Acquisition (see real estate Acquisition Plan)</b>																
Feature 1 - Feather Segment :	82.8246%	\$41,144,743	\$34,077,969	\$7,066,774	\$40,994,082	\$2,983,247	\$30,969,930	\$7,040,905	\$150,661	\$124,791	\$25,869	0%	\$0	\$0	\$0	0%
Feature 2 - Feather Segment :	70.0000%	\$879,422	\$615,595	\$263,827	\$314,879	\$39,040	\$181,375	\$94,464	\$564,543	\$395,180	\$169,363	64%	\$4,867	\$3,407	\$1,460	1%
<b>Subtotal Element 1</b>		<b>\$42,024,165</b>	<b>\$34,693,564</b>	<b>\$7,330,601</b>	<b>\$41,308,961</b>	<b>\$3,022,287</b>	<b>\$31,151,305</b>	<b>\$7,135,368</b>	<b>\$715,204</b>	<b>\$519,972</b>	<b>\$195,232</b>	<b>2%</b>	<b>\$4,867</b>	<b>\$3,407</b>	<b>\$1,460</b>	<b>1%</b>

\*21QElem1\*

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[4] Represents State Share of actual expenses through December 2012 plus forecasted amount (ref. Note 2).



### TRLIA Quarterly Work Plan Budget

For Period July/August/September 2013

**ELEMENT 8**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)				Forecasted Remaining Budget			22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Amounts	Local Share	Total	State Share	Local Share	% of Total Budget Remaining	Total	State		Local
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payment g	h=e-f-g	i=b-e	j=c-f-g	k=d-h	l	m=lxa		n=l-m
<i>Formula</i>				[2]	[3]	[4]										
<b>Element 8: Segment 1 Feather River EIP Land Acquisition</b>																
Feature 1 - Feather Segment	70.0000%	\$630,272	\$441,190	\$189,082	\$429,787	\$47,728	\$253,123	\$128,936	\$200,485	\$140,339	\$60,145	32%	\$166,667	\$116,667	\$50,000	83%
<b>Subtotal Element 8</b>		<b>\$630,272</b>	<b>\$441,190</b>	<b>\$189,082</b>	<b>\$429,787</b>	<b>\$47,728</b>	<b>\$253,123</b>	<b>\$128,936</b>	<b>\$200,485</b>	<b>\$140,339</b>	<b>\$60,145</b>	<b>32%</b>	<b>\$166,667</b>	<b>\$116,667</b>	<b>\$50,000</b>	<b>83%</b>

\*21QElem1\*

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

[2] Reflects costs incurred through submitted 19th QPR (December '12) with estimates of expenses forecasted in 20th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through December 2012 plus forecasted amount (ref. Note 2).

## TRLIA Quarterly Work Plan Budget

For Period July/August/September 2013

**ELEMENT 2**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)			Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lxa
<i>Formula</i>																
<b>Element 2: Feather River Segment 2 new levee (Excludes Levee Tie Ins and Existing Levee Degradation)</b>																
2.1.1: Design	82.8246%	\$6,604,016	\$5,469,750	\$1,134,266	\$6,604,016	\$4,533,871	\$935,878	\$1,134,267	\$0	\$1	-\$1	0%	\$0	\$0	\$0	0%
2.1.2: Permitting	82.8246%	\$3,175,659	\$2,630,226	\$545,433	\$3,045,702	\$1,204,454	\$1,318,136	\$523,112	\$129,957	\$107,636	\$22,321	4%	\$20,457	\$16,944	\$3,514	16%
2.1.3: Right of Way Support	82.8246%	\$6,218,380	\$5,150,348	\$1,068,032	\$5,852,922	\$940,436	\$3,907,223	\$1,005,264	\$365,457	\$302,690	\$62,768	6%	\$65,000	\$53,836	\$11,164	18%
2.2: Construction Management	82.8246%	\$7,366,914	\$6,101,617	\$1,265,297	\$7,366,914	\$14,362	\$6,087,254	\$1,265,298	\$0	\$2	-\$1	0%	\$0	\$0	\$0	0%
2.3 Construction of Setback																
2.3.1: Foundation	82.8246%	\$23,606,069	\$19,551,632	\$4,054,437	\$23,606,069	\$0	\$19,551,628	\$4,054,441	\$0	\$4	-\$4	0%	\$0	\$0	\$0	0%
2.3.2: Embankment	82.8246%	\$34,127,788	\$28,266,204	\$5,861,584	\$34,127,788	\$42,486	\$28,223,711	\$5,861,590	\$0	\$6	-\$6	0%	\$0	\$0	\$0	0%
2.3.3: Relocation of PG&E Fac	82.8246%	\$2,605,433	\$2,157,939	\$447,494	\$2,605,433	\$41,412	\$2,116,527	\$447,494	\$0	\$0	-\$1	0%	\$0	\$0	\$0	0%
2.4: Environmental & Cultural Mitigation																
2.4.1: Mitigation & Restoration	82.8246%	\$5,500,000	\$4,555,353	\$944,647	\$5,691,062	\$99	\$4,713,499	\$977,464	-\$191,062	-\$158,246	-\$32,817	-3%	\$20,000	\$16,565	\$3,435	-10%
2.4.2: Cultural Mitigation/Sectic	82.8246%	\$50,000	\$41,412	\$8,588	\$0	\$0	\$0	\$0	\$50,000	\$41,412	\$8,588	100%	\$0	\$0	\$0	0%
2.5: Operation and Maintenance	82.8246%	\$168,103	\$139,231	\$28,872	\$20,429	\$0	\$16,920	\$3,509	\$147,674	\$122,311	\$25,364	88%	\$0	\$0	\$0	0%
<b>Subtotal Element 2</b>		<b>\$89,422,362</b>	<b>\$74,063,713</b>	<b>\$15,358,649</b>	<b>\$88,920,336</b>	<b>\$6,777,121</b>	<b>\$66,870,776</b>	<b>\$15,272,439</b>	<b>\$502,027</b>	<b>\$415,816</b>	<b>\$86,210</b>	<b>1%</b>	<b>\$105,457</b>	<b>\$87,345</b>	<b>\$18,113</b>	<b>21%</b>

\*21QElem2\*

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[2] Reflects costs incurred through submitted 19th QPR (December '12) with estimates of expenses forecasted in 20th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through December 2012 plus forecasted amount (ref. Note 2).

### TRLIA Quarterly Work Plan Budget

For Period July/August/September 2013

**ELEMENT 3**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)			Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c*	d = b-c	e	Credit f	Payments g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 3: Feather River Segment 2 Levee Tie Ins</b>																
3.1.1: Foundation	82.8246%	\$5,146,426	\$4,262,507	\$883,919	\$5,146,426	\$0	\$4,262,505	\$883,920	\$0	\$1	-\$1	0%	\$0	\$0	\$0	0%
3.1.2: Embankment	82.8246%	\$2,538,022	\$2,102,107	\$435,915	\$2,538,022	\$0	\$2,102,106	\$435,916	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
<b>Subtotal Element 3</b>		<b>\$7,684,448</b>	<b>\$6,364,613</b>	<b>\$1,319,835</b>	<b>\$7,684,448</b>	<b>\$0</b>	<b>\$6,364,612</b>	<b>\$1,319,836</b>	<b>\$0</b>	<b>\$2</b>	<b>-\$1</b>	<b>0%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

\*21QElem3\*

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

[2] Reflects costs incurred through submitted 19th QPR (December '12) with estimates of expenses forecasted in 20th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through December 2012 plus forecasted amount (ref. Note 2).

**TRLIA Quarterly Work Plan Budget**

For Period July/August/September 2013

**ELEMENT 4**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)			Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share (after credit)	Total	State Share	Local Share		Total	State	Local		
<i>Formula</i>	a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e <small>[2]</small>	f <small>[3]</small>	g <small>[4]</small>	h=e-f-g <small>*Not less than zero</small>	i=b-e	j=c-f-g	k=d-h	l	m=lxa	n=l-m	g Budget	
<b>Element 4: Feather River Segment 2 Levee Degradation</b>																
4.1: Construction	82.8246%	\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$0	\$13,686,287	\$2,838,139	\$0	\$3	-\$3	0%	\$0	\$0	\$0	0%
<b>Subtotal Element 4</b>		<b>\$16,524,427</b>	<b>\$13,686,291</b>	<b>\$2,838,136</b>	<b>\$16,524,427</b>	<b>\$0</b>	<b>\$13,686,287</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3</b>	<b>-\$3</b>	<b>0%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

"21QElem4"

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

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**TRLIA Quarterly Work Plan Budget**

For Period July/August/September 2013

**ELEMENT 5**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)				Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	Credit f	Payments g	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 5: Feather River Segment 3 levee improvement</b>																
5.1.1: Design	70.0000%	\$937,396	\$656,177	\$281,219	\$937,396	\$582,099	\$74,079	\$281,219	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5.1.2: Permitting	70.0000%	\$303,096	\$212,167	\$90,929	\$294,668	\$91,124	\$115,144	\$88,400	\$8,428	\$5,899	\$2,528	3%	\$24,428	\$17,099	\$7,328	290%
5.1.3: Right of Way Support	70.0000%	\$893,821	\$625,674	\$268,146	\$870,169	\$136,399	\$472,719	\$261,051	\$23,652	\$16,556	\$7,096	3%	\$35,000	\$24,500	\$10,500	148%
5.2: Construction Management	70.0000%	\$1,170,663	\$819,464	\$351,199	\$1,166,678	\$438,350	\$378,324	\$350,003	\$3,985	\$2,790	\$1,196	0%	\$25,000	\$17,500	\$7,500	627%
5.3 Construction	70.0000%	\$9,769,203	\$6,838,442	\$2,930,761	\$8,594,604	\$1,907,252	\$4,108,971	\$2,578,381	\$1,174,598	\$822,219	\$352,379	12%	\$938,075	\$656,653	\$281,423	80%
5.4: O&M Manual	70.0000%	\$29,052	\$20,336	\$8,716	\$1,683	\$0	\$1,178	\$505	\$27,369	\$19,158	\$8,211	94%	\$0	\$0	\$0	0%
<b>Subtotal Element 5</b>		<b>\$13,103,230</b>	<b>\$9,172,261</b>	<b>\$3,930,969</b>	<b>\$11,865,198</b>	<b>\$3,155,224</b>	<b>\$5,150,415</b>	<b>\$3,559,559</b>	<b>\$1,238,032</b>	<b>\$866,622</b>	<b>\$371,409</b>	<b>9%</b>	<b>\$1,022,503</b>	<b>\$715,752</b>	<b>\$306,751</b>	<b>83%</b>

\*21QElem5\*

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**TRLIA Quarterly Work Plan Budget**

For Period July/August/September 2013

**ELEMENT 5A**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)			Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c*	d = b-c	e	Credit f	Payments g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lxa
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 5A: Feather River Segment 3 Erosion Site 2</b>																
5A.1.1 - Design	70.0000%	\$53,962	\$37,773	\$16,189	\$53,962	\$0	\$37,773	\$16,189	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.1.2 - Permitting	70.0000%	\$111,341	\$77,939	\$33,402	\$111,341	\$0	\$77,939	\$33,402	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.1.3 - ROW Support	70.0000%	\$5,830	\$4,081	\$1,749	\$5,830	\$0	\$4,081	\$1,749	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.2 - Construction Manager	70.0000%	\$31,634	\$22,144	\$9,490	\$31,634	\$0	\$22,144	\$9,490	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.3 - Construction	70.0000%	\$481,751	\$337,226	\$144,525	\$481,751	\$0	\$337,226	\$144,525	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.4 - O&M manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
<b>Subtotal Element 5A</b>		<b>\$684,518</b>	<b>\$479,163</b>	<b>\$205,355</b>	<b>\$684,518</b>	<b>\$0</b>	<b>\$479,163</b>	<b>\$205,355</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

\*21QElem5A\*

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**TRLIA Quarterly Work Plan Budget**

For Period July/August/September 2013

**ELEMENT 6**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)			Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Local Share	Total	State Share		Local Share	Total	State		Local
		a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 6: Feather River Land Management</b>																
Feature 6.1: Env. Maintenance	82.8246%	\$210,000	\$173,932	\$36,068	\$120,914	\$0	\$100,146	\$20,767	\$89,086	\$73,785	\$15,301	42%	\$0	\$0	\$0	0%
Feature 6.2: Lease Back	82.8246%	\$25,000	\$20,706	\$4,294	\$4,567	\$0	\$3,782	\$784	\$20,433	\$16,924	\$3,509	82%	\$0	\$0	\$0	0%
Feature 6.3: Land Maintenance	82.8246%	\$2,070,000	\$1,714,469	\$355,531	\$958,965	\$0	\$794,259	\$164,706	\$1,111,035	\$920,211	\$190,825	54%	\$275,600	\$228,265	\$47,335	25%
<b>Subtotal Element 6</b>		<b>\$2,305,000</b>	<b>\$1,909,107</b>	<b>\$395,893</b>	<b>\$1,084,445</b>	<b>\$0</b>	<b>\$898,187</b>	<b>\$186,258</b>	<b>\$1,220,555</b>	<b>\$1,010,920</b>	<b>\$209,635</b>	<b>53%</b>	<b>\$275,600</b>	<b>\$228,265</b>	<b>\$47,335</b>	<b>23%</b>

\*21QElem6\*

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**TRLIA Quarterly Work Plan Budget**

For Period July/August/September 2013

**ELEMENT 7**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)				Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share	Total		State	Local		
		a	b	c*	d = b-c	e	Credit f	Payments g	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 7: Project Management, Legal, Community Relations support, Land Management, and Overhead</b>																
Feature 7.1: Salaries	76.3198%	\$1,464,737	\$1,117,885	\$346,853	\$1,491,003	\$373,722	\$764,209	\$353,073	-\$26,266	-\$20,046	-\$6,220	-2%	\$14,000	\$10,685	\$3,315	-53%
Feature 7.2: Consulting	76.3198%	\$1,864,571	\$1,423,020	\$441,551	\$1,821,856	\$461,956	\$928,481	\$431,419	\$42,715	\$32,583	\$10,132	2%	\$9,000	\$6,869	\$2,131	21%
Feature 7.3: Legal	76.3198%	\$1,270,497	\$969,641	\$300,856	\$1,269,818	\$381,323	\$587,800	\$300,696	\$679	\$518	\$161	0%	\$7,000	\$5,342	\$1,658	1031%
Feature 7.4: Travel	76.3198%	\$10,827	\$8,263	\$2,564	\$8,519	\$3,933	\$2,568	\$2,017	\$2,309	\$1,762	\$547	21%	\$0	\$0	\$0	0%
Feature 7.5: General	76.3198%	\$550,756	\$420,336	\$130,420	\$332,138	\$60,919	\$192,568	\$78,651	\$218,618	\$166,849	\$51,769	40%	\$1,800	\$1,374	\$426	1%
Feature 7.6: Insurance	76.3198%	\$1,056,375	\$806,223	\$250,152	\$1,009,700	\$174,173	\$596,428	\$239,099	\$46,675	\$35,623	\$11,053	4%	\$0	\$0	\$0	0%
Feature 7.7: Travel/Vehicle	76.3198%	\$27,273	\$20,815	\$6,458	\$23,340	\$6,230	\$11,583	\$5,527	\$3,933	\$3,002	\$931	14%	\$144	\$110	\$34	4%
Feature 7.8: Memberships	76.3198%	\$18,804	\$14,351	\$4,453	\$6,691	\$1,930	\$3,176	\$1,584	\$12,113	\$9,245	\$2,868	64%	\$0	\$0	\$0	0%
<b>Subtotal Element 7</b>		<b>\$6,263,840</b>	<b>\$4,780,533</b>	<b>\$1,483,307</b>	<b>\$5,963,065</b>	<b>\$1,464,186</b>	<b>\$3,086,812</b>	<b>\$1,412,066</b>	<b>\$300,775</b>	<b>\$229,535</b>	<b>\$71,241</b>	<b>5%</b>	<b>\$31,944</b>	<b>\$24,379</b>	<b>\$7,564</b>	<b>11%</b>

\*21QElem7\*

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**TRLIA Quarterly Work Plan Budget**

For Period July/August/September 2013

**ELEMENT 9**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)			Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payments g	h=e-f-g <small>*Not less than zero</small>	i=b-e		j=c-f-g	k=d-h	l		m=lx
<b>Element 9: Feather River Segment 1 levee improvement</b>																
Feature 9.1.1 - Design	70.0000%	\$1,185,322	\$829,725	\$355,597	\$1,192,319	\$740,120	\$94,503	\$357,696	-\$6,997	-\$4,898	-\$2,099	-1%	\$0	\$0	\$0	0%
Feature 9.1.2 - Permitting	70.0000%	\$295,934	\$207,154	\$88,780	\$246,524	\$112,717	\$59,849	\$73,957	\$49,410	\$34,587	\$14,823	17%	\$28,371	\$19,860	\$8,511	57%
Feature 9.1.3 - ROW Support	70.0000%	\$475,781	\$333,047	\$142,734	\$319,668	\$0	\$223,767	\$95,900	\$156,113	\$109,279	\$46,834	33%	\$45,000	\$31,500	\$13,500	29%
Feature 9.2 - Construction Mgt.	70.0000%	\$1,381,680	\$967,176	\$414,504	\$1,373,820	\$132,417	\$829,257	\$412,146	\$7,860	\$5,502	\$2,358	1%	\$0	\$0	\$0	0%
Feature 9.3 - Construction	70.0000%	\$9,078,414	\$6,354,890	\$2,723,524	\$9,076,695	\$2,258	\$6,351,429	\$2,723,009	\$1,719	\$1,203	\$516	0%	\$0	\$0	\$0	0%
Feature 9.4 - O&M Segment 1	70.0000%	\$12,597	\$8,818	\$3,779	\$1,029	\$0	\$720	\$309	\$11,569	\$8,098	\$3,471	92%	\$0	\$0	\$0	0%
<b>Subtotal Element 9</b>		<b>\$12,429,728</b>	<b>\$8,700,810</b>	<b>\$3,728,918</b>	<b>\$12,210,053</b>	<b>\$987,512</b>	<b>\$7,559,525</b>	<b>\$3,663,016</b>	<b>\$219,675</b>	<b>\$153,772</b>	<b>\$65,902</b>	<b>2%</b>	<b>\$73,371</b>	<b>\$51,360</b>	<b>\$22,011</b>	<b>33%</b>

"21QElem9"

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## TRLIA Quarterly Work Plan Budget

For Period July/August/September 2013

**ELEMENT 9A**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)			Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Local Share	Total	State Share		Local Share	Total	State		Local
		a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lxa
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 9A: Feather River Segment 1 Crack Repair</b>																
Feature 1.1 - Engineering, Desi	70.0000%	\$125,000	\$87,500	\$37,500	\$75,314	\$0	\$52,720	\$22,594	\$49,686	\$34,780	\$14,906	40%	\$0	\$0	\$0	0%
Feature 1.2 - Right of Way Supr	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Feature 2 - Construction	70.0000%	\$440,000	\$308,000	\$132,000	\$432,119	\$0	\$302,483	\$129,636	\$7,881	\$5,517	\$2,364	2%	\$0	\$0	\$0	0%
Feature 3 - Operations & Mainte	70.0000%	\$10,000	\$7,000	\$3,000	\$0	\$0	\$0	\$0	\$10,000	\$7,000	\$3,000	100%	\$0	\$0	\$0	0%
<b>Subtotal Element 9A</b>		<b>\$575,000</b>	<b>\$402,500</b>	<b>\$172,500</b>	<b>\$507,433</b>	<b>\$0</b>	<b>\$355,203</b>	<b>\$152,230</b>	<b>\$67,567</b>	<b>\$47,297</b>	<b>\$20,270</b>	<b>12%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

\*21QElem9A\*

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**TRLIA Quarterly Work Plan Budget**

For Period July/August/September 2013

**ELEMENT 10**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2013)			Forecasted Remaining Budget			% of Total Budget Remaining	22nd Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Local Share	Total	State Share		Local Share	Total	State		Local
		b	c*	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa		n=l-m
<i>Formula</i>	a				[2]	[3]	[4]									
			<small>*Based on Overall Workplan</small>				<small>*Not less than zero</small>									
<b>Element 10: Project Closeout Efforts</b>																
Feature 1.1 - Engineering, Desi	76.3198%	\$100,000	\$76,320	\$23,680	\$20,891	\$0	\$10,119	\$10,772	\$79,109	\$66,201	\$12,908	79%	\$10,000	\$7,632	\$2,368	13%
<b>Subtotal Element 10</b>		<b>\$100,000</b>	<b>\$76,320</b>	<b>\$23,680</b>	<b>\$20,891</b>	<b>\$0</b>	<b>\$10,119</b>	<b>\$10,772</b>	<b>\$79,109</b>	<b>\$66,201</b>	<b>\$12,908</b>	<b>79%</b>	<b>\$10,000</b>	<b>\$7,632</b>	<b>\$2,368</b>	<b>13%</b>

\*21QElem9A\*

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- **A discussion on how the projected schedule compares to original or last reported schedule:**
  - None

### **Attachments**

1. TRLIA meeting minutes, April 2, 2013
2. Permit Status Update for Project Element 2, 3, 4, 5, 5A, 9, & 9A

## Attachment 1 – TRLIA meeting memo, April 2, 2013



**BENDER  
ROSENTHAL, INC.**  
COMMERCIAL VALUATION AND RIGHT OF WAY SERVICES

4400 Auburn Boulevard, Suite 102  
Sacramento, CA 95841  
main: 916.978.4900 • fax: 916.978.4904  
www.benderrosenthal.com

Meeting Minutes, April 2, 2013, 1:30 PM,

Re: Three Rivers Flood Control Project

Location: DWR – 1416 9th Street, Room 518, Sacramento, CA

Attendees: Bahadur Mann, DWR Paul Brunner, 3-Rivers Flood Control  
Gregory Garner, DWR Kevin Heeney, CTA Engineering and Surveying  
Wesley Dote, DWR Cydney Bender, Bender Rosenthal, Inc.  
Robert Chesner, DWR  
Elizabeth Bryson, DWR  
Kelly Fucciolo, DWR

Item 1 - To identify TRLIA Cadastral Survey issues related to Deeds/Legal Descriptions in the Final Accounting Packages and how to resolve the issues.

1. Initially TRLIA used a surveying grid that was established by the Corps of Engineers. TRLIA has since corrected the surveying grid to meet to the DWR Cadastral Survey requirements. This means TRLIA's survey ties to monuments and the monuments used are now acceptable to DWR. A corrective deed for each acquisition or resigning of grant deeds is a very expensive awkward solution. It was agreed that the TRLIA FAPs' (legal descriptions, plat maps, and deeds) do not need to be redone; instead, these items will be taken care of at the time of property transfer (see item 2 below)
2. Properties have been acquired by TRLIA with vesting documents and legal descriptions that differ from the Geodetic Branch – Cadastral Survey guidelines. The Final Accounting Packages (FAPs) require Geodetic Branch approval.

To acquire Geodetic Branch approval of the parcel, TRLIA will compile documentation and vesting documents as it relates to the parcel to be transferred including:

- a. Investigation of prior ownership and vesting,
- b. Providing a copy of the current deed,
- c. And any deed or maps referenced within the description for each of the legal descriptions.

These documents will be compiled for each FAP previously submitted. New FAPs will include a separate tab with the documentation. If the



**BENDER  
ROSENTHAL, INC.**

COMMERCIAL VALUATION AND RIGHT OF WAY SERVICES

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documentation is satisfactory to Geodetic Branch, the approval of the Grant Deed and Legal Description will be granted. This will satisfy cadastral component of the FAP.

3. Distribution of Vesting Tab – Vesting research to be labeled by parcel, and provided to:
  - a. 2 Copies to DWR Real Estate
  - b. 1 Copy to Elizabeth Bryson, DWR
  - c. If required, provide an additional binder for information (paper copies only to be provided to Elizabeth.)

Item 2 – How to prepare the Legal Descriptions/Deeds to transfer property from TRLIA to the State.

1. TRLIA will meet with DWR and determine how to group the parcels together for transfer.
2. A legal description describing the entire land parcel grouping is to be developed in accordance with the Cadastral Surveys Guidelines, Standards and Requirements.
3. Title to be transferred to SSJDD unless otherwise designated by DWR FloodSafe.
4. An exhibit to be provided noting all exceptions to title or utility easements based on the individual grant deeds.
5. DWR Parcel # listed on SSJDD easement.

Attachment: CTA Engineering & Surveying Project Memo dated November 30, 2013

## Attachment 2 - Updated Permit Tables for Project Elements 2, 3, 4, 5, 5A, 9, & 9A

<b><u>Project Element 2</u> Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b>			
<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>ACTION DONE</b>	<b>STATUS/COMMENTS</b>
The Central Valley Flood Protection Board	Encroachment Permits	Yes	The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008 that allows construction in non-federal jurisdictional areas
California Central Valley Flood Protection Board	Encroachment Permit – Vegetated Wave Buffer	Yes	CVFPB approved permit #18556 at the February 2011 CVFPB meeting
California Central Valley Flood Protection Board	Encroachment Permit – Elderberry Mitigation Area	Yes	CVFPB approved permit #18637 at the March 25, 2011 CVFPB meeting
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted Fugitive Dust Plan to FRAQMD
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the



			application for the Central Valley Flood Protection Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	EIR Addendum #1	Yes	EIR addendum for offsite Platter Borrow site
CEQA	EIR Addendum #2	Yes	EIR addendum for Ella offsite borrow site
CEQA	EIR Addendum #3	Yes	EIR addendum for Vegetated Wave Buffer
Section 104 Credit Application	Future federal projects cost Sharing	Yes	CVFPB submitted a request on June 15, 2007 for \$154.3M Section 104 credit to the Sacramento District.
Section 104 Credit Approval (N/A)	Future federal projects cost sharing	Yes	At the March 21 CVFPB meeting DWR stated it would waive the need for 104 credits – DWR waiver letter issued on May 2, 2008

**Project Element 3 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)**

<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>Action Done</b>	<b>STATUS/COMMENTS</b>
The Central Valley Flood Protection Board	Encroachment Permits	Yes	The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008. The permit has two parts: Part A – Project Element 2 work to be done outside of jurisdictional waters of the U.S., and Part B – Project Element 3 work to be within jurisdictional waters of the U.S.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	Corps of Engineers signed the Feather

			River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.
Corps	408 approval of project levee alteration	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008.
Corps	Wetlands Delineation	Yes	Completed March 11, 2008
Corps	Wetlands Delineation	Yes	Amended September 30, 2008
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008. Copy available on the
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish species under NMFS jurisdiction.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Section 2081 Permit received in August 2009
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All project facilities are outside SLC jurisdiction.
California Department of	Conversion of	Yes	No timberland conversion is required

Forestry	timberland to other uses.		for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.
NEPA	EIS	Yes	Corps of Engineers Finalized the Environmental Impact Statement and signed the Record of Decision on December 8, 2008.
Section 104 Credit	Future federal projects cost Sharing	Yes	The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009

<b>Project Element 4 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b>			
<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>Action Done</b>	<b>STATUS/COMMENTS</b>
California Central Valley Flood Protection Board	Encroachment Permit	Yes	CVFPB issued permit No 18430 at the July 2009 Board meeting
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.
Corps	408 approval of project levee alteration	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008.
Corps	Wetlands Delineation	Yes	Completed March 11, 2008
Corps	Wetlands Delineation	Yes	Amended September 30, 2008
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish

			species under NMFS jurisdiction.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Section 2081 Permit received in August 2009
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All project facilities are outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State

			Clearinghouse (Number 2006062071) on February 8, 2007.
NEPA	EIS	Yes	Corps of Engineers Finalized the Feather River Setback Levee Environmental Impact Statement and signed the Record of Decision on December 8, 2008.
Section 104 Credit	Future federal projects cost Sharing	Yes	The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009

<b>Project Element 5 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b>			
<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>ACTION DONE</b>	<b>STATUS/COMMENT</b>
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170 BD issued on August 7, 2007.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	CVFPB issued landside access corridor fence permit No. 18690 BD on March 12, 2012 meeting.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170 BD permit modified for seepage berm to provide final fix for cutoff wall trench cave-in, issued on 7/17/08
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18690 issued for construction of Segment 3 toe Access Corridor. Permit includes Special conditions dealing with elderberry shrub mitigation and drainage impacts.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	No	Permit No. 18170-1 BD permit modification for Elderberry shrub relocation. This permit has been conditionally approved but will not be issued until USACE provides comment letter.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	No jurisdictional features affected. Corps issued "Letter of No Permit Required" on July 23, 2007
Corps	408 Approval of project levee alteration	Yes	Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on

			August 2, 2007.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor's Fugitive Dust Plan has been developed and submitted to the FRAQMD.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any endangered species.
National Marine Fisheries Service	Endangered Species Act Compliance	Yes	Project will have no affect on fishery resources and habitats.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species.
DFG	Streambed Alteration Agreement	Yes	All ground disturbances is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.

California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Caltrans	Encroachment on Caltrans property	Yes	A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC has occurred and no known Native American sites or sensitive areas would be affected by the project
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for a CVFPB encroachment permit
Yuba County	Grading Permit	Yes	Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.
CEQA	EIR Processed for Various Permits	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	EIR Addendum # 2	Yes	EIR addendum for the Seepage berm addition needed to provide the final solution to the cutoff wall trench failure.
Section 104 Credit	Future federal projects cost sharing	Yes	\$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007



**Project Element 5A: Feather River Erosion Site 2**

<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>ACTION DONE</b>	<b>STATUS/COMMENT</b>
Central Valley Flood Protection Board	Encroachment Permits	Yes	Encroachment permit No. 18479 issued on September 10, 2009
Corps	Section 404 Permit	Yes	Obtained Nationwide Permit, application submitted to Corps on June 25, 2009
Corps	Wetlands Delineation	Yes	Delineation submitted to Corps on May 27, 2009
Corps	408 approval of project levee alteration	Yes	Corps does not consider this as eligible under the Section 408 approval given for Segments 1 & 3. Per discussion with the Corps, they will approve work under Section 208 authority when they comment on the CVFPB Encroachment Permit Application.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	Obtained on July 9, 2009
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	TRLIA has a current WDID # 5S5BC348901 that was issued by the Regional Water Quality Control Board. The permit covers Feather River Segments 1, 2, and 3 and encompasses the Erosion Site. This permit is current.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Used Documentation provided for Project Element 5
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	Biological opinion prepared, take avoided, no Incidental Take authorization needed.
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	Biological opinion prepared, take avoided, no Incidental Take authorization needed.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance (2081)	Yes	No potential take of State listed species.

DFG	Streambed Alteration Agreement	Yes	Obtained on July 9, 2009
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Cultural resources surveys and consultation with Native American groups have found no evidence of burials or artifacts
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Cultural resources surveys and consultation with Native American groups have found no evidence of significant historic or cultural resources
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with minor conditions.
Yuba County	Grading Permit	Yes	Used Documentation provided for Project Element 5
State Mining and Geology Board (SMGB)	SMARA Permit	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009. Used Ella Site.
CEQA	EIR	Yes	ISIMND certified by TRLIA Board on 10/17/06
NEPA	EIS	Yes	No federal action constituting a NEPA trigger
Section 104 Credit	Future federal projects cost sharing	Yes	Work is not eligible for Section 104 Credit because it being accomplished under Section 208 authority and was not approved under Section 408 authority.

<b>Project Element 9, &amp; 9A Permit and Environmental Documentation</b>			
<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>ACTION DONE</b>	<b>STATUS/COMMENT</b>
The Central Valley Flood	Encroachment Permit	Yes	Permit No. 18170 BD issued on

Protection Board			August 7, 2007.
The Central Valley Flood Protection Board	Encroachment Permit	Yes	Permit No. 18170 BD modified for design changes, issued on 7/17/08
The Central Valley Flood Protection Board	Encroachment Permit	No	Encroachment permit Application submitted on May 13, 2013 for Erosion Protection Berm to remediate ULDC Criteria Exceedence
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	No jurisdictional features affected. Corps issued "Letter of No Permit Required" on July 23, 2007. Subsequently, a Nationwide permit was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
Corps	408 Approval of project levee alteration	Yes	Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on August 2, 2007. Subsequently, water quality certification was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor's Fugitive Dust Plan has been developed and submitted to the FRAQMD.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a

			letter on May 7, 2007 agreeing that there were no impacts to any endangered species.
National Marine Fisheries Service	Endangered Species Act Compliance	Yes	Project will have no affect on fishery resources and habitats.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species.
DFG	Streambed Alteration Agreement	Yes	All ground disturbance is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required. Subsequently, a streambed authorization permit was received in June 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Caltrans	Encroachment on Caltrans property	Yes	A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to

			protected habitats occur.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC has occurred and no known Native American sites or sensitive areas would be affected by the project
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors RD 784 signed the application for a CVFPB encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.
CEQA	EIR Processed for Various Permits	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	CEQA Addendum #2	Yes	EIR addendum for the Water Blanket modifications.
Section 104 Credit	Future federal projects cost sharing	Yes	\$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007