



TRLIA
18th QUARTERLY WORK PLAN
FOR
FEATHER RIVER LEVEE
IMPROVEMENT PROJECT

1. 18th Quarterly Work Plan for quarter: July 1 – September 30, 2012
2. Date of Report: June 26, 2012
3. 18th Quarter Work Plan Summary:

Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Element 1 & 8 - Land Acquisition	\$455,000	\$195,000	\$650,000	\$0
Elements 2 through 7, 9A	\$292,191	\$93,453	\$385,643	\$0
Total	\$747,191	\$288,453	\$1,035,643	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 1 & 8	\$455,000
Local Credit Applied Elements 1 & 8	\$195,000
Estimated Total Quarterly State Real Estate Funding	\$650,000

Quarterly Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 2 through 7 & 9A	\$219,143
Prior State Share Underfunded <i>(Estimated Based on 17th Quarter Advance & Reconciliation Below)</i>	\$2,870,586
Total Advance Request of State Share for 18th Quarter	\$0

The calculation of Total Funding due for State Share at the start of 18th Quarter is subject to True-Ups to account for actual expenses incurred through the 16th and 17th Quarter (based on the most recent 16th QPR Submission and advance funding received to date). The State has processed advance payments through the 14th Quarter and, to date, based upon the calculations provided below, when including credits accrued from real estate settlements, interest on advance funding and other payments, the State has underfunded its share of expenses for construction funding. *Note: This does not take into consideration any retention on State funding which is*

still a requirement of the funding agreement. As of the completion of the 17th Quarter, the State has release a portion of its retention. The following analysis presents TRLIA's estimate of State funding due with no retention held. The purpose of this analysis is to provide the State, given the accrued actual expenses to date an estimate of the funding due to TRLIA for construction related expenditures. This would represent the amount of retention held on State funding due. Given this fact, no advance would be expected from the State based upon this 18th Quarterly Work Plan.

Reconciliation of Prior State Funding for Construction

Per 16th Quarter QPR (True-Up)

Total Construction Expenses (Elements 2 - 9A) [1]	\$127,807,963
Total State Share of Construction Expenses [2]	\$103,202,186
Total State Funding Due[3]	\$103,202,186
Total State Advance/True-Up Funding Received to Date for Construction	\$95,218,989
State Share Construction Funding Due as of 14th Quarter True-Up	-\$2,851,878

[1] Excludes Creditable Expenses.

[2] DWR Review of 15th QPR Statement of Costs still in process.

[3] The analysis, for demonstrative purposes assumes that retention is no longer held, however, the State has only authorized the release of \$5,000,000 of retention to date.

Per 17th Quarter Approved QWP

Total Construction Projection (Elements 2 - 9A) [1]	\$428,996
State Share of Projection	\$326,646
75% of State Share for Quarterly Advance	\$244,985

State Accumulated Credit Reported in 15th QPR

Interest Earned on State Advanced Funding	\$131,204
State Share of Ag Lease Payments on FR Lands	\$84,738
State Share of Condemnation Settlements Less than Advance	\$141,653
Total State Funding Credits with TRLIA to Date	\$357,596

Net State Share Due (16th Qtr True Up plus 17th Qtr Advance) <i>Assumes no retention held</i>	\$2,870,586
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4. Project Element Information:

- **Engineering and construction matters:**

- **Project Element 1 - Land Acquisition**

- **Feature 1 (Segment 2/Setback levee):**

During the 17th quarter, it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to April, 2014

The tables below reflect the current status of Real Estate actions and the proposed actions for the 18th quarter.

Table 1 – Final Accounting Packages Submitted to DWR

CVFPB NO.	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	PENDING DWR PAYMENT	ISSUE ON F.A.P	TRLIA RESPONSE
14008	T. Rice, et ux	Submitted to DWR	\$211,721.00	\$38,294.72	DWR Concerned Related to Easement to Rice for Farming	DB updated lease. Will present to T. Rice for signature in 18th Quarter. Should be resolved in the 18th quarter.
14006	Nora Lee Terry Trust	Submitted to DWR	\$125,500.00	\$106,678.00	DWR Concerns: 1. Update Value of Appraisal 2. Provide TRLIA Resolution 07-02 3. Submit Waiver Valuation for approval 4. Provide Recorded Easement 5. Provide Road Abandment 6. Provide Title Insurance.	All items will be submitted once title policy complete. Should be resolved in the 18th quarter.
14010	Quinn X. Dang	Submitted to DWR	\$330,000.00	-\$719.51	DWR Concerns: 1. Interest Calculations 2. Title Insurance	All items submitted to DWR February 21, 2012
14011	R.S. Uppal, et. ux	Submitted to DWR	\$731,350.00	\$301,932.92	DWR Concerns: Need a final Title Insurance Policy prior to approval.	All items submitted to DWR February 23, 2012
14012	Gene R. Anderson estate	Submitted to DWR	\$536,512.24	\$173,783.39	Drainage Easement needs to be investigated and removed. Final easement delineation will be submitted in December 2011.	DOWNEY BRAND / TRLIA reviewed easement. Searching for owners of easement to get Quit Claim. Resolution projected for Spring of 2013.
14018	Harold D. Hadley, Jr., Trust et al.	Submitted to DWR	\$432,154.40	\$36,769.51	DWR Concerns: 1. Explain Statutory Costs 2. Provide Lease and DWR Approval of Lease 3. Update Appraisal approval value	All items delivered to DWR on February 2, 2012
14025	Eleanor Herold	Submitted to DWR	\$414,524.49	\$288,513.20	FAP Submitted to DWR January 17, 2012-5-4-12 DWR RESPONSE: Need relo costs included; May 11,2011 memo does the possibility for contamination from UST still remains.	
14017	P.F. Rice, et ux	Submitted to DWR	\$382,500.00	\$2,167.04	FAP submitted November, 2011	
14013	Nordic Industries, Inc.	Submitted to DWR	\$1,125,000.00	-\$317,550.38	FAP to be Submitted February 2012	FAP SUBMITTED TO DWR 3-9-2012
14001	J.M. Smith, et al.	Sent to DB 2-2-2012	\$306,900.00	\$144,031.70	F.A.P. SUBMITTED TO DWR 3-15-12	SUBMITTED TO DWR 3-15-12
				\$773,900.39		

Table 2 – Final Accounting Packages Submitted to DWR – Approved.

CVFPB NO.	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	PENDING DWR PAYMENT	ISSUE ON F.A.P	REVISED F.A.P. STATUS
14026	Herminita Flores and Mark Aldrin Flores	Approved by DWR	\$165,000.00	\$0.00	Approved 6-15-11	
14007	Naumes, Inc.	Approved by DWR	\$17,548,012.12	\$50,000.00	Clear 84 acres of oil and gas rights	Should be resolved in 18th Quarter.
14007	Naumes, Inc.	Approved by DWR			4/14/2010	
14014	Jaspal S. Clar, et ux (Johl)	Approved by DWR	\$780,000.00	\$0.00	APPROVED 3-14-10	
14016	H&H Trenching, Inc.	Approved by DWR	\$288,500.00	\$0.00	APPROVED 3-14-10	
14020	Steve Maxey, et ux	Approved by DWR	\$495,000.00	\$0.00	APPROVED 3-14-10	
14019	T. Miller	Approved by DWR	\$1,020,000.00	\$0.00	APPROVED 3-14-10	
14015	R. E. Webb, et ux	Approved by DWR	\$785,000.00	\$0.00	APPROVED 3-14-10	
14009	B. Heir, et al.	Approved by DWR	\$535,515.12	\$217,324.05	APPROVED 3-6-2012	APPROVED BY DWR 3-6-2012
14021	P. Heir	Approved by DWR	\$18,500.00	\$14,136.36	APPROVED 3-9-2012	APPROVED BY DWR 3-9-2012
				\$281,460.41		

Table #3 – Final Accounting Packages in Process

CVFPB NO.	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	PENDING DWR PAYMENT	ISSUE ON F.A.P	REVISED F.A.P. STATUS
14022	Foster Ranch	BRI Processing	\$4,880,000.00	\$79,279.78	Need Gimmy appraisal for FAP.	
14022	Foster Residence	BRI Processing	\$45,000.00	\$22,362.64	Need Gimmy appraisal for FAP.	
14027	Foster, et al bar property	BRI Processing	\$100,000.00	\$1,064.71	Need Gimmy appraisal for FAP.	
14033	Susanna M. Nieschulz Trust	BRI Processing	\$1,335,000.00	\$17,674.97	FAP to be submitted in July 2012. Need Stip for Judgment	
14023	Lloyd R. Nieschulz, et al.	BRI Processing	\$30,000.00	\$21,473.72	FAP to be submitted in July 2012. Need Stip for Judgment	
14004	Joga S. Mann, et ux	BRI Processing	\$1,400,000.00	\$124,236.90	Need owner's appraisal for FAP and receipt for mineral rights appraisal.	State may raise issue related to drainage easement.
14003	Joga S. Mann, et ux	Ready to send to DB	\$11,200.00	\$9,276.36		FAP completed 3-19-12
14024	F.D. Souza Trust	N/A	\$900.00	\$745.42	Land Transferring to RD 784. No FAP required.	
14002	Danna Investments	BRI Processing	1,282,139.24	-\$150,058.27	Need oil & Gas lease cleared prior to submitting to DWR. DB working on clearing.	Project FAP in 18th Quarter.
14005	Satinder N. Davit, et ux	BRI Processing		-\$16,164.38	FAP to be Submitted July 2012.	
				\$109,891.85		

Table #4 – Relocation Final Accounting Packages in Process

CVFPB NO.	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	DWR PAYMENT	PENDING DWR PAYMENT	ISSUE ON F.A.P
14002	Eden (Danna)	COMPLETED	\$126,218.86	\$93,177.68	\$11,362.59	FAP SUBMITTED 6-7-12
14017	Cummings (P.F.Rice)	COMPLETED	\$900.00		\$745.42	FAP Submitted 2-14-12
14025	Herold	COMPLETED	\$195,000.00		\$161,507.97	FAP SUBMITTED 6-4-12
14015	Webb	Processing	\$307,767.36	\$155,296.13	\$99,610.96	FAP tentatively schedule for submittal in 17th
14014	Mincher (Johl)	COMPLETED	\$21,238.91	\$124,236.90	-\$106,645.86	FAP submitted and approved. Invoice Pending.
14014	Johl	COMPLETED	\$1,882.75		\$1,559.38	FAP submitted and approved. Invoice Pending.
14016	Timmins (H & H)	COMPLETED	\$26,331.00	\$43,482.92	-\$21,674.37	FAP Submitted 2-14-12. FAP Approved
14019	Miller	COMPLETED	\$149,688.50	\$155,296.13	-\$31,317.22	FAP submitted to DWR
					\$115,148.87	

- Uppal Parcel: In October 2008, using eminent domain, TRLIA acquired approximately 39.53 acres of real property (Assessor's Parcel No. 014-290-034), located on Anderson Avenue in Marysville, California (the "Uppal Property"). The Uppal Property was acquired for both the flood control structures and borrow. The borrow operation is complete. At the October 18, 2011, TRLIA Board Meeting, the TRLIA Board declared a portion of the Uppal Property as Excess Land. This area included roughly 23 acres of land originally purchased for borrow. Pursuant to the funding agreement, TRLIA first asked DWR if it wanted the Uppal Excess Property. DWR has indicated it is not interested. Pursuant to the Government Code, TRLIA will send a written offer to sell or lease the Uppal Excess Land

in the 18th Quarter to certain required public agencies, who will then have 60 days to notify TRLIA in writing that they wish to purchase or lease the property. If TRLIA timely receives written notice from an entity desiring to purchase or lease the property, then TRLIA and the entity shall enter into good faith negotiations. If no public entity responds to the written offers to sell or lease within 60 days, then the TRLIA Board will need to adopt a new resolution declaring its intention to sell or lease the Uppal Excess Property. The resolution will describe the property, specify the minimum price or rental terms, and fix a time thereafter for a public meeting at which sealed proposals to purchase or lease will be received and considered. Once a resolution is adopted, TRLIA will post copies of the resolution in at least 3 public places within the jurisdiction, and post notice once a week for 3 weeks in a newspaper serving the area. At the scheduled public meeting, TRLIA will open all sealed proposals, and examine and declare them for the record. TRLIA will be obligated to accept the highest conforming bid from a responsible bidder, unless a higher qualifying oral bid is made, or the Board rejects all bids.

- **Landside and Waterside Toe Access Corridor License Agreements:** TRLIA submitted to DWR proposed license agreements for joint use of the Toe Access Corridor. The license agreements would potentially be used with any property owner who owns agriculture property that abuts the levee and who wants to use the Toe Access Corridor for farming purposes. The licenses were presented to the Central Valley Flood Protection Board as an information item at its meeting on March 2, 2012. The CVFPB had no objections. There are two property owners currently farming the waterside along the Toe Access Corridor in Segment 3: Henry Smith and Richard G. Wilbur.
- **Oil and Gas Interest on Former Naumes Property:** OXY USA, Inc. owns an oil and gas interest which burdens approximately 85 acres of the former Naumes property. TRLIA settled with OXY USA in the 17th quarter. It is anticipated that the settlement transaction will close in the 18th Quarter.

o **Feature 2 (Segment 3):**

During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to March, 2014.

The information below reflects the recent Real Estate actions and identifies the work proposed in the 18th quarter.

- ✓ The Addendum #1 to the Segment 3 Real Estate Plan was approved on Oct 7, 2011. This addendum reflects actions needed for Segment 3 Erosion Site 2 and also acquire both landside and waterside levee access corridors.

The acquisition of the landside levee access corridor for this feature has some community concerns. A Community meeting is scheduled to be conducted on June 11, 2012 to update the community on the progress to create the landside levee access corridor.

During the 18th quarter it is anticipated that the CVFPB Board at their August meeting will approve the use of excess state land by the previous believed property owners. If this approval occurs then TRLIA will begin crafting the Legal Descriptions and obtaining License Agreements for use of State property. Approximately 50 License Agreements could be issued by TRLIA. This item is a joint CVFPB and TRLIA cost and is not part of the EIP funding agreement.

- ✓ Below is a listing of the parcels and their current status for all real estate transactions within Segment 3 with the exception of the proposed License Agreements.

CVFPB NO.	OWNER	STATUS OF ACQUISITION	STATUS OF FAP	SETTLEMENT AMOUNT	DWR SHARE
14002	Danna Investment Co.	COMPLETE	In Review	4100	\$3,395.81
14000	Steven Stewart, et ux	NEGOTIATING	Needs Updating to reflect additional acquisition. FAP projected for 20th Q.	\$1,250.00	
	Steven Stewart, et ux	IN ESCROW 5-11-12		\$400.00	
14034	Linda County Water District	IN ESCROW	Needs Updating to reflect additional acquisition. FAP projected for 20th Q.	\$3,000.00	\$13,832.00
	Linda County Water District	IN ESCROW		\$10,360.00	
	Linda County Water District	IN ESCROW		\$6,400.00	
	Linda County Water District	IN ESCROW			
14028	Trumon G. Cooper Trust	E.D. AMENDED FINAL	Needs Updating to reflect additional acquisition. FAP projected for 20th Q.	\$15,000.00	
	Trumon G. Cooper Trust				
	Trumon G. Cooper Trust	NEGOTIATING		\$6,900.00	
14030	Jourbee Khang, et ux	COMPLETE	FAP TO DWR	\$72,500.00	\$50,750.00
14031	State of California	COMPLETE	APPROVED BY DWR	\$7,100.00	\$4,970.00
	R.D. 784	COMPLETE	NO FAP - RD784 ONLY	\$1,500.00	\$0.00
14029	Richard G. Wilbur Trust	NEGOTIATING- (DB to include with E.D.Case?)		\$4,100.00	
	Richard G. Wilbur Trust	COMPLETE	Needs Updating to reflect additional acquisition. FAP projected for 20th Q.	\$2,550.00	
14029	Richard G. Wilbur	COMPLETE	Needs Updating to reflect additional acquisition. FAP projected for 20th Q.	\$1,500.00	\$5,705.00
14033	Henry P. Smith	COMPLETE	Needs Updating to reflect additional acquisition	\$25,100.00	\$23,905.00
	Henry P. Smith	IN ESCROW		\$9,050.00	
14037	E Street MX, Inc.	ESCROW CLOSED	FAP projected 22st Q.	\$1,250.00	
14037	E Street MX, Inc.	ESCROW CLOSED	FAP projected 22st Q.	\$1,300.00	\$1,785.00
14038	John Roth	NEGOTIATING	FAP projected 22st Q.	\$200.00	\$140.00
14039	California Capital Loans	NEGOTIATING	FAP projected 22st Q.	\$220.00	\$154.00
14040	John Roth	NEGOTIATING	FAP projected 22st Q.	\$150.00	\$105.00
14041	Carolyn J. & Gary D. Tindel	NEGOTIATING	FAP projected 22st Q.	\$1,950.00	\$1,365.00
14042	Salvation Army	NEGOTIATING	FAP projected 22st Q.	\$62,600.00	\$43,820.00
		NEGOTIATING	FAP projected 22st Q.		\$0.00
14043	Forrest Ray Miller	NEGOTIATING	FAP projected 22st Q.	\$1,650.00	\$1,155.00
14044	Adams	New owner ordered updated prelim	FAP projected 22st Q.	\$100.00	\$70.00
14045	Ronald R. & Marcia E. Wessels	NEGOTIATING	FAP projected 22st Q.	\$2,450.00	\$1,715.00
14046	ROADWAY				
					\$152,866.81

Additional costs that are associated with the additional real estate property rights that are being proposed to be acquired in the addendum (Erosion Site 2, and Water/Landside Access Corridor). These costs have been revised from the costs reflected in the 17th Quarter Work Plan.

Category	Total Estimated Costs
Flood Control Structure Fee	\$260,660
Flood Control Structure Easement	\$7,100.00
Access/Haul Routes	\$8,640.00
Staging	\$6,160.00
Private Utility Relocation	\$22,000
Relocation Assistance Program	\$50,000
Legal Descriptions/obtaining Licenses Agreements for use of State property	\$150,000
Support Costs	\$334,400
TOTAL	\$838,860

✓ **There are additional construction costs that are listed in Project Element 5 Features 5.1.3 and 5.3.**

- Landside and Waterside Toe Access Corridor License Agreements: In support of the amended RE plan, TRLIA submitted to DWR proposed license agreements for joint use of the Toe Access Corridor. The license agreement would potentially be used with any property owner who owns agriculture property that abuts the levee and who wants to use the Toe Access Corridor for farming purposes. The licenses were presented to the Central Valley Flood Protection Board as an information item at its meeting on March 2, 2012. The CVFPB had no objections. For this feature these License Agreements will be issued to Richard G. Wilbur and Henry P. Smith both farming within the waterside area. The Smith purchase is in Escrow. The Wilbur purchase is being handled by Downey Brand as Wilbur has refused to sign the purchase documents pending the outcome of the access across the levee issues on his Upper Yuba property.

- **Element 2: Feather River Segment 2 setback levee w/o tie-ins and new levee construction requiring federal action (except as noted in features):**
Note: Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables. These features are deemed complete and TRLIA will be working with the State to finalize the closeout requirements for these items.
 - Feature 1.1 Design: Completed – Retention Release previously requested by TRLIA
 - Feature 1.2 Permitting:

- During the 17th quarter is anticipated that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to December 2013
 - TRLIA will continue to work with DWR floodway maintenance staff and F&WS to develop an acceptable approach to floodway maintenance for all parties in the Feather River Floodway during this quarter. DWR has set up a committee to guide the actions within the Feather River Corridor, with participation from various branches of DWR, the CVFPB, the local maintenance districts, TRLIA, the counties, and other interested stakeholder groups. The Committee is called the Feather River Corridor Management Plan development team.
 - The OMRR&R Agreement was approved and signed by CVFPB, TRLIA and RD784 in August 2011. TRLIA will continue to perform its obligations under this Agreement during the 18th Quarter.
 - Evaluation of the State's Interim Levee 200-year Design Criteria will continue in the 18th quarter. Hydraulic evaluation profiles will be developed this quarter and work will begin on developing the geotechnical evaluation sections.
- Feature 1.3 Right of Way Support:
 - During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to April, 2014
 - Both legal and real estate activity will continue during the 18th quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 1.
 - During the 18th quarter TRLIA will be working with DWR on developing the process to transfer property to the state. This action is also necessary for Project Element 1 Feature 2, and Project Element 8 Feature 1 property transfers. TRLIA will capture the cost of this effort for all three Project Element Features in this feature.
 - Feature 2 Construction Management: Completed – Retention Release previously requested by TRLIA on August 15, 2011. Any additional construction management oversight for Project Element 2 Feature 4 work will be accounted for under Project Element 6 as part of land management activities.
 - Feature 3.1 Construction of Setback Levee Foundation: Completed – Retention release previously requested by TRLIA on August 15, 2011.

- Feature 3.2 Construction of Setback Levee Embankment and related items: Completed – Retention release previously requested by TRLIA on August 15, 2011.
- Feature 3.3 Relocation of PG&E facilities: Completed – Retention release previously requested by TRLIA on August 15, 2011.
- Feature 4 Environmental and Cultural Mitigation:
 - During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to April, 2014
 - Monitoring of the Vegetated Wave Buffer will continue in the 18th quarter.
 - Environmental Mitigation/Restoration: Environmental monitoring of the Wetlands Mitigation, Swale, and Elderberry Mitigation Area will continue in the 18th quarter.
 - Cultural Mitigation: Monitoring of the planting at the Cultural Site will continue during the 18th quarter.
- Feature 5 O&M Manual:
 - This Feature is completed – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention release for this item will be requested later with another separate larger milestone.
 - This comprehensive addendum was issued on December 12, 2011. As of late into the 17th quarter the Corps continues to review the combined manual as they complete Section 408 compliance verification for the Feather East Levee. RD 784 has the combined manual and is using it to perform O&M.
- **Element 3:** Feather River Segment 2 tie-ins and new levee construction requiring federal action.
 - Feature 1 - Construction (Embankment Feature 1.1 and Foundation Feature 1.2): Completed – Retention release previously requested by TRLIA on August 15, 2011.
- **Element 4:** Feather River Segment 2 existing levee degrade

- Feature 4.1 Construction: Completed – Retention release previously requested by TRLIA on August 15, 2011.

➤ **Element 5:** Feather River Segment 3 levee improvement:

- Feature 1.1 Design: Completed – Retention release previously requested by TRLIA on August 15, 2011.
- Feature 1.2 Permitting:
 - During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to June, 2013
 - Evaluation of the State’s Interim Levee 200-year Design Criteria will continue in the 18th quarter. Hydraulic evaluation profiles will be developed this quarter and work will begin on developing the geotechnical evaluation sections.
 - On March 12, 2012 the CVFPB issued Encroachment Permit No. 18690BD to install fencing within the landside access corridor. During the 18th quarter permit work will be done preparing required drainage and elderberry plans.

Construction of the Feather River Segment 3 landside access corridor fence is impacted by the need to transplant elderberry shrubs. Currently, TRLIA does not have a federal nexus (Section 7) with FWS to quickly obtain the necessary Biological Opinion requirements for the transplanting.

On May 8, 2012 TRLIA requested the CVFPB revise encroachment permit 18170 BD (Feather Segments 1 and 3) to add the work for vegetation removal and corridor establishment to meet the USACE and DWR policies for levees. The intention for the revision is to create the federal nexus so that FWS can provide the needed consultation.

Work will be done on this item during the 18th quarter. This item is listed in the Issues/Concerns portion of this report.

- Feature 1.3 Right of Way Support:
 - During the 17th quarter it is anticipated that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to March, 2014

- Both legal and real estate activity will continue during the 18th quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 2.
- Feature 2 Construction Management:
 - During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to Jan, 2014
 - Construction Management work will be performed during the 18th quarter to accommodate construction actions needed to support the ongoing Project Element 1 Feature 2 (Segment 3) additional property rights acquisition.
- **Feature 3 Construction:**
 - During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this feature was extended to October, 2013
 - No activity is anticipated for this feature during the 18th quarter.

This feature was reopened in the 15th quarter to accommodate construction actions needed to support the ongoing Project Element 1 Feature 2 (Segment 3) additional property rights acquisition. During investigations to assure a clear landside toe access corridor, it was discovered that most of the fences (lots 155 to 120) on the landside of the levee north of Island Avenue to the junction with the Yuba River levee had encroached onto land owned by the State. Establishing the property line in the correct location would provide the 20 foot clear corridor that would meet recently established DWR levee criteria. To establish the real estate line in the correct location, TRLIA will remove current fences; build a new fence on an alignment designated by the CVFPB; clear trees, other woody vegetation, and elderberry shrubs from the corridor; remove trash and other encroachments as authorized from the corridor; grade the corridor to improve travel and allow access during high water events; improve landside drainage; and place aggregate base rock to improve travel. There may also be some slight grading of the landside levee slope to improve access along the levee toe. In the 17th quarter the estimated cost for this effort was increased to \$1,250,000 (the original estimate was \$400,000).

- Feature 4: O&M manual addendum: This Feature is completed – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention

release for this item will be requested later with another separate larger milestone.

- **Element 5A:** Feather River Segment 3 Erosion Site 2 (Added to Agreement by Amendment 1)
 - Feature A1.1 Design: Completed – Retention release previously requested by TRLIA on August 15, 2011.
 - Feature A1.2 Permitting: Completed – Retention release previously requested by TRLIA on August 15, 2011.
 - Feature A1.3 Right of Way Support: Right of Way support for this Project Element is now complete – Retention Release previously requested by TRLIA. Future right of way costs will be included in Project Element 5 Feature 1.3.
 - Feature A2 Construction Management: Completed – Retention release previously requested by TRLIA on August 15, 2011.
 - Feature A3 Construction: Completed – Retention release previously requested by TRLIA on August 15, 2011.
 - Feature A4 O&M Manual: Final O&M manual addendum was issued on December 12, 2011, which included this Project Element (Erosion Site 2). Completed – Retention release previously requested by TRLIA on August 15, 2011.

➤ **Element 6:** Feather River Land Management:

During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this Element was extended to April, 2014.

- Feature 1 Environmental Maintenance Activities: Environmental monitoring of the Elderberry Mitigation Area, Wetlands, and Swale will continue in the 18th quarter.
- Feature 2 Lease Back Activities (e.g. farming): TRLIA has 7 farming leases in the Feather Setback area and one Revocable Easement and Profit Agreement (Rice) in the landside levee toe access corridor. Management of these items will continue in 18th quarter. These items are listed below:
 1. Foster (016-020-005, 014-370-030, 014-370-029, 016-010-002, 016-010-007) - 248 Acre Walnut Orchard. Term of lease January 1, 2009 through December 31, 2014.

2. T. Miller (014-370-033) – 20 Acre Walnut Orchard. Term of lease August 16, 2008 to August 15, 2013.
3. Maxey (014-370-024) – 27.38 Acres Walnut, persimmons, mandarins, chestnuts and jujube Orchards. Term of lease August 16, 2008 to August 15, 2013.
4. Hadley (014-370-020) – 9 Acre Walnut Orchard. Term of lease November 1, 2008 to November 30, 2013.
5. Davit (013-010-014) – 99 Acre Walnut & Peach Orchard. Term of lease October 21, 2009 to December 31, 2014.
6. F. Miller (014-370-003 and 014-370-037) – 66 Acres Walnut Orchard. Term of lease July 21, 2010 through December 31, 2013.
7. Nieschulz (016-010-016) – 35.07 Acres of Orchard trees. Term of lease retroactively to January 1, 2009 and terminating at the end of the 2011 crop year. The lease was executed in September 2010. After the 3 year term, the lease is automatically extended each Jan 1.
8. Rice (014-250-029) – 4.82 Acres of Peach Orchard. Term of Agreement June 22, 2010 through December 31, 2013. Agreement may be terminated earlier by DWR or CVFPB.

A schedule of payments is shown below for the 18th Quarter: (Note: A Summary of Ag Lease Payments in also included with the regular Quarterly Progress Reports)

- ✓ S. Hadley – Rent payment is due on September 1.
 - ✓ S. Maxey – Rent payment is due on August 15.
 - ✓ T. Miller – Rent payment is due on August 15.
 - ✓ Rice – Rent payment is due on September 1.
- Feature 3 Land Maintenance of Setback area (e.g. security) post construction and prior to transfer of property to State: TRLIA will continue to manage activities on the 1600 acres that are required for the Setback levee in the 18th quarter.
 - TRLIA will continue to perform interim land operations and maintenance activities for the Feather Setback area per the Setback Area O&M Plan. The plan included short-term and long-term operations and maintenance of the borrow sites, security/maintenance road, onsite mitigation areas (wetlands, swale, and elderberry area),

farm leases, security of setback area, and trash pickup. On November 15, 2011 the Broadway levee ramp was opened for public access to the setback area. TRLIA will continue to install security cameras during this quarter.

- During this quarter TRLIA will participate in the DWR Lower Feather River Floodway Corridor Management committee and the following subcommittees: Funding, Hydraulics, Permitting, and Policy.

➤ **Element 7:** Project Management, legal support, community relations support, land management support, and overhead

During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this Element was extended to June, 2014.

- Activity is anticipated in all Features during the 18th quarter.
- Feature 2 Consulting Support: TRLIA will continue to work with the State on the preparation of final closeout documentation as it relates to those elements and features of the project deemed complete with goal of processing a partial release of retention.
- For this 17th quarter work plan TRLIA has shifted the allocation of its administration and overhead expenses to reflect an apportionment of these expenses to additional work TRLIA has underway in the Goldfields in addition to its EIP projects. The projections for expenses allocated to the FRLIP for this quarter have been reduced to reflect a lower allocation.

➤ **Element 8:** Feather River EIP Land Acquisition (formally Associated Project Element 1)

During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this Element was extended to March, 2014.

- Feature 1 Feather Segment 1: The TRLIA Segment 1 Real Estate Plan was approved in November 2011. During the 18th quarter TRLIA will continue negotiations with property owners within Feather Segment 1 to appraise and acquire the 50 foot wide levee O&M toe access corridor. Below are tables that reflect the estimated acquisition costs reflected in the Segment 1 Real Estate Plan:

Property Acquisition Estimated Values

Name	APN	Estate	Acres	Estimated Value	First Written Offer
Danna & Danna, Inc.	016-120-015, 016	TCE FEE	.96 1.504	\$1,800. \$20,178	9-9-11
Shoei Food USA, Inc	016-060-019	TCE FEE	9.09 19.636	\$48,500* \$126,653	9-1-11
Danna Properties LP	016-120-008	TCE FEE	10.49 11.20	\$19,400 \$25,532	9-9-11
Valley View Packing Co.	016-090-008	FEE	.553	\$2,700	9-8-11
TCE			20.54	\$69,700	
FEE			32.893	\$175,063	
TOTAL			53.433	\$244,763	

Capital Outlay and Support Costs

Category	Estimated Costs
Capital Outlay	\$244,763
Temporary Damages	\$37,500.
Support Costs	\$177,200.
TOTAL	\$459,463

- Shoei Foods Access Agreement: Shoei Foods and RD 784 entered into a Right of Entry agreement that gives RD 784 a non-exclusive license to enter upon the Shoei Property with personnel and necessary equipment to inspect and maintain the levee and to flood fight in times of high water. No additional action is anticipated in the 18th quarter.

- Landside and Waterside Access Toe Corridor License Agreements: In support of the RE plan, TRLIA submitted to DWR proposed license agreements for joint use of the Toe Access Corridor. The license agreement would potentially be used with any property owner who owns agriculture property that abuts the levee and who wants to use the Toe Access Corridor for farming purposes. The licenses were presented to the Central Valley Flood Protection Board as an information item at its meeting on March 2, 2012. The CVFPB had no objections. The following properties within this feature are proposed to have licenses: Danna, land and waterside access corridor; Shoei, landside access corridor; and Valley View, waterside access corridor. Danna wishes to retain ownership (control) of the access corridors. Downey Brand has presented Danna Properties, LP an explanation of

the easement v. fee question raised by Danna. This item may go to eminent domain.

- **Element 9:** Feather River Segment 1 Levee Improvement (formally Associated Project Element 2)
 - Feature 1.1 Design: Completed – Retention Release previously requested by TRLIA.
 - Feature 1.2 Permitting:
 - During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this Element was extended to June, 2013.
 - Evaluation of the State’s Interim Levee Design Criteria is expected to take place the 18th quarter. Hydraulic evaluation profiles will be developed this quarter and work will begin on developing the geotechnical evaluation sections.
 - Feature 1.3 Right of Way Support:
 - During the 17th quarter it is believed that DWR amended the funding agreement end date to June 30, 2014. The schedule for this Element was extended to March, 2014.
 - Both legal and real estate activity will continue during this quarter to support the ongoing land acquisition activities associated with Project Element 8 Feature 1.
 - Feature 2 Construction Management: Completed – Retention Release previously requested by TRLIA
 - Feature 3 Construction: Completed – Retention Release previously requested by TRLIA
 - Feature 4 O&M manual addendum: This Feature is completed – This item has very little costs allocated to the Feature, a separate retention request would not be efficient and worthwhile to process. Retention release for this item will be requested later with another separate larger milestone.
- **Element 9A:** Feather River Segment 1 Crack Repair (Added to Work Plan by Addendum 1)
 - Feature A1.1 Engineering and Construction Management: Crack monitoring (e.g. inclinometers) will continue by TRLIA during this quarter.

- Feature A1.2 Right of Way Support: See Project Element 9 Feature 1.3
- Feature A2 Construction: Completed – Retention Release previously requested by TRLIA
- Feature A3 O&M manual addendum: See Project Element 9 Feature 4
- **Element 10 (Added by Amendment 2): Closeout Process post June 30, 2012:**
 - 10.1 Feature 1: Closeout Process post June 30, 2012:
 - During the 17th quarter DWR amended the funding agreement end date to June 30, 2014. This Project Element was added to the Work Plan with a schedule that extended to June, 2014.
 - During the 18th Quarter TRLIA will be working with the State to produce final closeout documentation packages for DWR and associated reviewers for formal project closeout review.

- **Environmental matters:**

- Project Element 2:
 - River Partners continues to maintain the Feather River Elderberry mitigation area, wetlands, and drainage swale.
 - Vegetated Wave Buffer: See Project Element 2 Feature 1.2 for update.
 - Elderberry Mitigation Area: See Project Element 2 Feature 1.2 for update.
- Project Element 5:
 - Construction of the Feather River Segment 3 landside access corridor fence is impacted by the need to transplant elderberry shrubs. Currently, TRLIA does not have a federal nexus (Section 7) with FWS to quickly obtain the necessary Biological Opinion requirements for the transplanting.

On May 8, 2012 TRLIA requested the CVFPB revise encroachment permit 18170 BD (Feather Segments 1 and 3) to add the work for vegetation removal and corridor establishment to meet the USACE and DWR policies for levees. The intention for the revision is to create the federal nexus so that FWS can provide the needed consultation.

Work will be done on this item during the 18th quarter. This item is listed in the Issues/Concerns portion of this report.

- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**

- **Project Elements 2, 3, 4, 5, 5A, 9, & 9A:**

- See Attachment 1 for the Permit status update.

- **Project Element 2:**

- TRLIA will continue to work with DWR floodway maintenance staff and F&WS to develop an acceptable approach to floodway maintenance for all parties in the Feather River Floodway during this quarter. DWR has set up a committee to guide the actions within the Feather River Corridor, with participation from various branches of DWR, the CVFPB, the local maintenance districts, TRLIA, the counties, and other interested stakeholder groups. The Committee is called the Feather River Corridor Management Plan development team.
- Evaluation of the State's Interim Levee 200-year Design Criteria will continue this quarter.

- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.):**

- Project Element 5, Feature 3: Construction – Resolve all CVFPB conditions precedent to fence construction related to outstanding enforcement actions.

- Project Element 2, Feature 1.3: Right of Way Support – Working with DWR complete the process to transfer property to the state.

- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**

- **Issue 1:** The funding agreement currently expires June 30, 2012. Feather River Segment 1, 2, and 3 Real Estate transfers to the State will not be completed by the June date. Plus Feather River Segment 3 levee toe access corridor work will not be completed by the June date.

Recommended Resolution: Recommend the Feather River EIP funding agreement be extended. It is anticipated that DWR will have extended the funding agreement to June 30, 2014 via Funding Amendment # 2.

- **Issue 2:** Segment 1 Crack monitoring (e.g. inclinometers) continues at expense to both TRLIA and RD784. Recent monitoring reports identified minimal movement in the inclinometers. On October 20, 2011 TRLIA asked CVFPB to reduce the monitoring from monthly to quarterly and after any large flood events. While some movement is still discernable, it is very small and the section is considered stable by TRLIA. The CVFPB has refused to reduce the requirements. TRLIA proposes to monitor monthly for 6 more months to discern movement trends. TRLIA will evaluate the movement in 6 months and discuss monitoring frequency with CVFPB staff.

Recommended Resolution: DWR intercede with the CVFPB staff and work out a solution agreeable to all parties.

- **Issue 3:** Construction of the Feather River Segment 3 (Project Element 5) landside access corridor fence is impacted by the need to transplant elderberry shrubs. Currently, TRLIA does not a federal nexus (Section 7) with FWS to quickly obtain the necessary Biological Opinion requirements for the transplanting.

Recommend Resolution: DWR support TRLIA's request to CVFPB to revise encroachment permit 18170 BD Feather River (Segment 3 Levee work) to add the work for vegetation removal and corridor establishment to meet the USACE and DWR policies for levees. This permit revision should drive the USACE to request FWS to provide the needed Biological Opinion.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- No changes have been identified as part of this Quarterly Work Plan.

- **Any litigation, proceedings or claims relating to the Project:**

- Elements 1 thru 10: None at this time

5. Cost Information by Project Element: TRLIA is submitting this 18th Quarterly Work Plan after the completion of the 16th Quarterly Progress report. The budgets and remaining budgeted expenditures are reflective of actual costs incurred as of the 16th Quarter and estimated costs to be incurred for the 17th Quarter. As result, the financial information included within this Quarterly Work Plan presents the projected remaining budget as of June 30, 2012 expected in each element taking into consideration projected expenses for the 17th Quarter based on the 17th QWP and actual expenditures as they are known.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed) :**

No changes are planned at this. The modifications provided as of the Overall Work Plan Budget submitted with the 2nd Amendment to Funding Agreement are reflected here.

- **Projected costs compared to Overall Work Plan Budget:**

- Element 1 – Real Estate (Segments 2 & 3): The Revised Overall Work Plan Budget for Element 1 is \$42.024 million. Through June 2012, TRLIA expects to have expended approximately 98% of the revised total budget, about \$41.2 million. In the 18th quarter of the project, TRLIA expects to be acquiring additional Property in Segment 3 related to the Toe Access corridor. The estimate for these expenses during the 18th Quarter is approximately \$150,000. This estimate may vary based upon the timing for completion of court trials and the ultimate outcomes. The remaining funding for this element is anticipated to be used to settle remaining eminent domain cases.
- Element 8 – Real Estate (Segment 1): The Overall Work Plan Budget for Element 8 is \$630,272. Through June 2012, TRLIA expects to have expended approximately 15% of the revised total budget, about \$96,000. In the 18th quarter of the project, TRLIA expects to be able to start the acquisition of toe access corridor easements this quarter and expend approximately \$500,000. Funding for these acquisitions will come from TRLIA and the State through the Real Estate Acquisition process.
- Element 2 – Segment 2 Construction (Except Tie-Ins): The revised overall budget for Element 2 is \$89.4 million. Through the end of the 17th Quarter (June 2012) TRLIA expects to have expended \$88.5 million. During the 18th Quarter TRLIA expects to incur an additional \$80,000. This will be related to 200-Year compliance determination, ROW support and environmental monitoring expenses. Including this work, through August 2012, TRLIA expects to have expended approximately 99% of the revised budget for Element 2.
- Element 3 –Segment 2 Construction (Tie-Ins): This element is complete and no work is forecasted this Quarter.
- Element 4 – Segment 2 Existing Levee Degrade: This element is complete and no work is forecasted this Quarter.
- Element 5 – Segment 3 Levee Improvements: The revised overall budget for Element 5 is \$13.1 million. Through end of the 17th Quarter (June 2012), TRLIA expects to have expended approximately 88.4% of the total revised budget, about \$11.6 million. Through the 18th Quarter (August 2012), TRLIA expects to continue working on the acquisition required maintenance easement land acquisition and associated construction pending approval from the CVFPP. During the 18th Quarter TRLIA expects to incur an additional \$113,000. This will predominately be ROW support expenses associated with

the Toe-Access Corridor work. Including this work, through August 2012, TRLIA expects to have expended approximately 89% of the revised budget for Element 5. To the extent TRLIA is able to start the fence construction work, an additional \$390,000 could be spent.

- Element 5A – Segment 3 Erosion Site 2: This element is complete and no work is forecasted this Quarter.
- Element 6 – Feather River Land Management: The revised overall budget for Element 6 is \$2,305,000. Through the 17th Quarter, June 2012, TRLIA will have expended approximately 39% (\$914,000) of this budget. Additional activities related to managing the 1,600 acre setback area will continue this quarter. TRLIA expects to expend an additional \$70,000 on set-back area maintenance activities (ongoing monitoring and repairs) during the quarter. Additionally, as previously discussed, TRLIA will continue to manage all Agricultural Leases on the property and any income produced from the property will go to offset the costs of the project.
- Element 7 - Project Management, Legal, Community Relations, Land Management, and Overhead: The revised overall budget for Element 7 is \$6.2 million. The budget has been adjusted to reflect the extended duration of the project related to the second amendment to the funding agreement. Through the 17th Quarter (June 2012), TRLIA expects to have expended \$5.7 million. Through the 18th Quarter (August 2012), TRLIA expects to expend an additional \$31,000. TRLIA has adjusted the overhead allocation to the Feather River project and will continue to monitor the budget of this element and, if the expenses are forecasted to exceed the budget by more than 5%, TRLIA will adjust the overall budget for the element.
- Element 9 – Segment 1 Levee Improvements: The overall budget for Element 9 is \$12.5 million. Through end of the 17th Quarter (June 2012), TRLIA expects to have expended approximately 97.4% of the total budget, about \$12.1 million. Through the 18th Quarter (August 2012), TRLIA expects to work on the acquisition of the required levee toe access corridor. Expenses for the quarter are primarily related to Real Estate support activities.
- Element 9A –Segment 1 Crack Repair: This element is complete and no work is forecasted this Quarter.
- Element 10 – Project Closeout efforts: This a new Element added to the project as part of the 2nd Amendment to the funding agreement. The overall budget for Element 10 is \$100,000. Through end of the 17th Quarter (June 2012), TRLIA will not have expended any efforts on the is element. Through the 18th Quarter (August 2012), TRLIA expects to expend approximately \$15,000 associated with compiling closeout documentation associated with the completed work to date.

- **The amount of funds sought from the State and the Amount of Local Funds intended to be expended**

As presented in Item 3 of this 18th Quarter Work Plan:

Summary				
Description	State Share	Local Share	Total	Local Credit Applied
Element 1 & 8 - Land Acquisition	\$455,000	\$195,000	\$650,000	\$0
Elements 2 through 7, 9A	\$292,191	\$93,453	\$385,643	\$0
Total	\$747,191	\$288,453	\$1,035,643	\$0

Quarterly Summary for Real Estate Activity	
State Share Elements 1 & 8	\$455,000
Local Credit Applied Elements 1 & 8	\$195,000
Estimated Total Quarterly State Real Estate Funding	\$650,000

Quarterly Advance Summary for Non-Real Estate Activity	
75% of State Share Elements 2 through 7 & 9A	\$219,143
Prior State Share Underfunded <i>(Estimated Based on 17th Quarter Advance & Reconciliation Below)</i>	\$2,870,586
Total Advance Request of State Share for 18th Quarter	\$0

The calculation of Total Funding due for State Share at the start of 18th Quarter is subject to True-Ups to account for actual expenses incurred through the 16th and 17th Quarter (based on the most recent 16th QPR Submission and advance funding received to date). The State has processed advance payments through the 14th Quarter and, to date, based upon the calculations provided below, when including credits accrued from real estate settlements, interest on advance funding and other payments, the State has underfunded its share of expenses for construction funding. *Note: This does not take into consideration any retention on State funding which is still a requirement of the funding agreement. As of the completion of the 17th Quarter, the State has release a portion of its retention. The following analysis presents TRLIA's estimate of State funding due with no retention held. The purpose of this analysis is to provide the State, given the accrued actual expenses to date an estimate of the funding due to TRLIA for construction related expenditures. This would represent the amount of retention held on State funding due. Given this fact, no advance would be expected from the State based upon this 18th Quarterly Work Plan.*

Reconciliation of Prior State Funding for Construction

Per 16th Quarter QPR (True-Up)

Total Construction Expenses (Elements 2 - 9A) [1]	\$127,807,963
Total State Share of Construction Expenses [2]	\$103,202,186
Total State Funding Due[3]	\$103,202,186
Total State Advance/True-Up Funding Received to Date for Construction	\$95,218,989
State Share Construction Funding Due as of 14th Quarter True-Up	-\$2,851,878

[1] Excludes Creditable Expenses.

[2] DWR Review of 15th QPR Statement of Costs still in process.

[3] The analysis, for demonstrative purposes assumes that retention is no longer held, however, the State has only authorized the release of \$5,000,000 of retention to date.

Per 17th Quarter Approved QWP

Total Construction Projection (Elements 2 - 9A) [1]	\$428,996
State Share of Projection	\$326,646
75% of State Share for Quarterly Advance	\$244,985

State Accumulated Credit Reported in 15th QPR

Interest Earned on State Advanced Funding	\$131,204
State Share of Ag Lease Payments on FR Lands	\$84,738
State Share of Condemnation Settlements Less than Advance	\$141,653
Total State Funding Credits with TRLIA to Date	\$357,596

Net State Share Due (16th Qtr True Up plus 17th Qtr Advance) <i>Assumes no retention held</i>	\$2,870,586
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➤ **Detailed Quarterly Work Plan Budget Forecast**

The following tables present Element and Feature level details for this 18th Quarterly Work Plan.

Summary of TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

SUMMARY ALL ELEMENTS

Elements and Features	Revised Overall Budget [1]			Forecasted Expenses (through June 2011)				Forecasted Remaining Budget			Quarterly Forecast			Advance
	Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share	Total	State	Local	State Share
	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e	j=c-f-g	k=d-h	l	m=lx	n=l-m	o=m*75%
Formula		<small>*Based on Overall Workplan</small>		<small>[2]</small>	<small>[3]</small>	<small>[4]</small>	<small>*Not less than zero</small>							
Quarterly Advance Request														
Element 2: Segment 2 New Levee	\$89,422,362	\$74,063,713	\$15,358,649	\$88,485,346	\$6,777,121	\$66,510,497	\$15,197,727	\$937,017	\$776,095	\$160,922	\$80,786	\$66,911	\$13,875	\$50,183
Element 3: Segment 2 Levee Tie Ins	\$7,684,448	\$6,364,613	\$1,319,835	\$7,684,448	\$0	\$6,364,612	\$1,319,836	\$0	\$2	-\$1	\$0	\$0	\$0	\$0
Element 4: Segment 2 Levee Degradation	\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$0	\$13,686,287	\$0	\$0	\$3	-\$3	\$0	\$0	\$0	\$0
Element 5: Segment 3 Levee Improvement	\$13,103,230	\$9,172,261	\$3,930,969	\$11,579,201	\$3,155,224	\$4,950,217	\$3,473,760	\$1,524,029	\$1,066,820	\$457,209	\$113,464	\$79,425	\$34,039	\$59,568
Element 5A: Segment 3 Erosion Site 2	\$684,518	\$479,163	\$205,355	\$684,518	\$0	\$479,163	\$205,355	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Element 6: Land Management	\$2,305,000	\$1,909,107	\$395,893	\$913,689	\$0	\$756,759	\$156,930	\$1,391,311	\$1,152,348	\$238,963	\$70,000	\$57,977	\$12,023	\$43,483
Element 7: PM & Overhead	\$6,263,840	\$4,780,533	\$1,483,307	\$5,706,371	\$1,464,186	\$2,890,904	\$1,351,281	\$557,469	\$425,443	\$132,026	\$30,929	\$23,605	\$7,324	\$17,704
Element 9: Segment 1 Levee Improvement	\$12,429,728	\$8,700,810	\$3,728,918	\$12,104,394	\$987,512	\$7,485,564	\$3,631,318	\$325,334	\$227,734	\$97,600	\$73,964	\$51,775	\$22,189	\$38,831
Element 9A: Segment 1 Crack Repair	\$575,000	\$402,500	\$172,500	\$508,746	\$0	\$356,122	\$152,624	\$66,254	\$46,378	\$19,876	\$1,500	\$1,050	\$450	\$788
Element 10: Project Closeout Efforts	\$100,000	\$76,320	\$23,680	\$0	\$0	\$0	\$0	\$100,000	\$76,320	\$23,680	\$15,000	\$11,448	\$3,552	\$8,586
Subtotal Elements 2 through 9A	\$148,992,554	\$119,558,991	\$29,433,563	\$144,191,139	\$12,384,043	\$103,480,125	\$25,488,832	\$4,801,415	\$3,694,823	\$1,106,592	\$385,643	\$292,191	\$93,453	\$219,143
Totals for Quarter Eligible for 75% Advance of State Share											\$385,643	\$292,191	\$93,453	\$219,143
Real Estate Forecast for Quarter														
Element 1: Land Acquisition	\$42,024,165	\$34,693,564	\$7,330,601	\$41,165,127	\$3,022,287	\$31,044,166	\$7,098,673	\$859,038	\$627,111	\$231,927	\$150,000	\$105,000	\$45,000	
Element 8: Segment 1 Land Acquisition	\$630,272	\$441,190	\$189,082	\$96,453	\$47,728	\$19,789	\$28,936	\$533,819	\$373,673	\$160,146	\$500,000	\$350,000	\$150,000	
Subtotal Real Estate Forecast	\$42,654,437	\$35,134,755	\$7,519,682	\$41,261,580	\$3,070,016	\$31,063,955	\$7,127,609	\$1,392,857	\$1,000,784	\$392,073	\$650,000	\$455,000	\$195,000	
Total Elements 1 through 9A	\$191,646,990	\$154,693,745	\$36,953,245	\$185,452,719	\$15,454,058	\$134,544,080	\$32,616,441	\$6,194,272	\$4,695,607	\$1,498,665	\$1,035,643	\$747,191	\$288,453	

Local Credit Reconciliation

Total Local Share of Expenses this Quarter	\$93,453 (From Above)
Total Approved Local Credit	\$15,454,060 (Based on Approval Letter)
Use of Local Credit	
Use of Local Credit for Real Estate to Date	-\$5,282,078
Payments of Credit w/ 1st Quarterly Advance (Per Invoice Advance - 1)	-\$2,461,666
Payments of Credit w/ 2nd Quarterly Advance (Per Revised Invoice Advance-2)	-\$1,469,507
Payments of Credit w/2nd Quarter True-Up	-\$3,812,451
Payments of Credit w/3rd Quarterly Advance	-\$567,189
Payments of Credit w/3rd Quarterly Advance (Update based on Addtl. Costs)	-\$825,928
Payments for 9th and 10th Quarter Progress Reports	-\$1,035,241
Use of Local Credit	-\$15,454,060
Total Local Credit Used	-\$15,454,060
Remaining Local Credit	\$0
Requested Local Credit to be Paid with Advance	\$0 (No Local Credit Remaining)

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

[2] Reflects costs incurred through submitted 16th QPR (December '11) with estimates of expenses forecasted in 17th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through December 2011 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

ELEMENT 1

Elements and Features	State Share	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)			Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share Amounts		Local Share	Total	State Share		Local Share	Total	State		Local
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f <small>Credit</small>	g <small>Payment</small>	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx

**Element 1: Feather River EIP Land Acquisition
(see real estate Acquisition Plan)**

Feature 1 - Feather Segment : 82.8246%	\$41,144,743	\$34,077,969	\$7,066,774	\$40,943,748	\$2,983,247	\$30,928,241	\$7,032,260	\$200,995	\$166,481	\$34,514	0%	\$0	\$0	\$0	0%
Feature 2 - Feather Segment : 70.0000%	\$879,422	\$615,595	\$263,827	\$221,379	\$39,040	\$115,925	\$66,414	\$658,043	\$460,630	\$197,413	75%	\$150,000	\$105,000	\$45,000	23%
Subtotal Element 1	\$42,024,165	\$34,693,564	\$7,330,601	\$41,165,127	\$3,022,287	\$31,044,166	\$7,098,673	\$859,038	\$627,111	\$231,927	2%	\$150,000	\$105,000	\$45,000	17%

17QElem1

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012
 [2] Reflects costs incurred through submitted 16th QPR (December '11) with estimates of expenses forecasted in 17th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.
 [3] Amount paid from credit.
 [4] Represents State Share of actual expenses through December 2011 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

ELEMENT 8

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)			Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share Amounts		Local Share	Total	State Share		Local Share	Total	State		Local
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payment g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lxa
Element 8: Segment 1 Feather River EIP Land Acquisition																
Feature 1 - Feather Segment	70.0000%	\$630,272	\$441,190	\$189,082	\$96,453	\$47,728	\$19,789	\$28,936	\$533,819	\$373,673	\$160,146	85%	\$500,000	\$350,000	\$150,000	94%
Subtotal Element 8		\$630,272	\$441,190	\$189,082	\$96,453	\$47,728	\$19,789	\$28,936	\$533,819	\$373,673	\$160,146	85%	\$500,000	\$350,000	\$150,000	94%

17QElem1

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[2] Reflects costs incurred through submitted 16th QPR (December '11) with estimates of expenses forecasted in 17th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

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TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

ELEMENT 2

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)				Forecasted Remaining Budget			18th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share	Total	State	Local		
		a	b	c*	d = b-c	e	Credit f	Payments g	h=e-f-g	i=b-e	j=c-f-g	k=d-h	l	m=lxa		n=l-m
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
Element 2: Feather River Segment 2 new levee (Excludes Levee Tie Ins and Existing Levee Degradation)																
2.1.1: Design	82.8246%	\$6,604,016	\$5,469,750	\$1,134,266	\$6,604,016	\$4,533,871	\$935,878	\$1,134,267	\$0	\$1	-\$1	0%	\$0	\$0	\$0	0%
2.1.2: Permitting	82.8246%	\$3,175,659	\$2,630,226	\$545,433	\$2,994,348	\$1,204,454	\$1,275,602	\$514,292	\$181,312	\$150,171	\$31,141	6%	\$25,572	\$21,180	\$4,392	14%
2.1.3: Right of Way Support	82.8246%	\$6,218,380	\$5,150,348	\$1,068,032	\$5,674,531	\$940,436	\$3,759,470	\$974,624	\$543,849	\$450,442	\$93,407	9%	\$42,000	\$34,786	\$7,214	8%
2.2: Construction Management	82.8246%	\$7,366,914	\$6,101,617	\$1,265,297	\$7,366,914	\$14,362	\$6,087,254	\$1,265,298	\$0	\$2	-\$1	0%	\$0	\$0	\$0	0%
2.3 Construction of Setback																
2.3.1: Foundation	82.8246%	\$23,606,069	\$19,551,632	\$4,054,437	\$23,606,069	\$0	\$19,551,628	\$4,054,441	\$0	\$4	-\$4	0%	\$0	\$0	\$0	0%
2.3.2: Embankment	82.8246%	\$34,127,788	\$28,266,204	\$5,861,584	\$34,127,788	\$42,486	\$28,223,711	\$5,861,590	\$0	\$6	-\$6	0%	\$0	\$0	\$0	0%
2.3.3: Relocation of PG&E Fac	82.8246%	\$2,605,433	\$2,157,939	\$447,494	\$2,605,433	\$41,412	\$2,116,527	\$447,494	\$0	\$0	-\$1	0%	\$0	\$0	\$0	0%
2.4: Environmental & Cultural Mitigation																
2.4.1: Mitigation & Restoration	82.8246%	\$5,500,000	\$4,555,353	\$944,647	\$5,485,819	\$99	\$4,543,507	\$942,212	\$14,181	\$11,746	\$2,435	0%	\$13,215	\$10,945	\$2,270	93%
2.4.2: Cultural Mitigation/Sectic	82.8246%	\$50,000	\$41,412	\$8,588	\$0	\$0	\$0	\$0	\$50,000	\$41,412	\$8,588	100%	\$0	\$0	\$0	0%
2.5: Operation and Maintenance	82.8246%	\$168,103	\$139,231	\$28,872	\$20,429	\$0	\$16,920	\$3,509	\$147,674	\$122,311	\$25,364	88%	\$0	\$0	\$0	0%
Subtotal Element 2		\$89,422,362	\$74,063,713	\$15,358,649	\$88,485,346	\$6,777,121	\$66,510,497	\$15,197,727	\$937,017	\$776,095	\$160,922	1%	\$80,786	\$66,911	\$13,875	9%

17QElem2

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

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TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)			Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share		Local Share	Total	State	
Formula	a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e	j=c-f-g	k=d-h	l	m=lx	n=l-m	o
			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>							

Element 3: Feather River Segment 2 Levee Tie Ins

3.1.1: Foundation	82.8246%	\$5,146,426	\$4,262,507	\$883,919	\$5,146,426	\$0	\$4,262,505	\$883,920	\$0	\$1	-\$1	0%	\$0	\$0	\$0	0%
3.1.2: Embankment	82.8246%	\$2,538,022	\$2,102,107	\$435,915	\$2,538,022	\$0	\$2,102,106	\$435,916	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Subtotal Element 3		\$7,684,448	\$6,364,613	\$1,319,835	\$7,684,448	\$0	\$6,364,612	\$1,319,836	\$0	\$2	-\$1	0%	\$0	\$0	\$0	0%

17QElem3

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

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TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

ELEMENT 4

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)			Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx
Formula			<small>*Based on Overall Workplan</small>		<small>[2]</small>	<small>[3]</small>	<small>[4]</small>	<small>*Not less than zero</small>								
Element 4: Feather River Segment 2 Levee Degradation																
4.1: Construction	82.8246%	\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$0	\$13,686,287	\$2,838,139	\$0	\$3	-\$3	0%	\$0	\$0	\$0	0%
Subtotal Element 4		\$16,524,427	\$13,686,291	\$2,838,136	\$16,524,427	\$0	\$13,686,287	\$0	\$0	\$3	-\$3	0%	\$0	\$0	\$0	0%

17QElem4

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

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TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

ELEMENT 5

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)				Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lx	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
Element 5: Feather River Segment 3 levee improvement																
5.1.1: Design	70.0000%	\$937,396	\$656,177	\$281,219	\$937,396	\$582,099	\$74,079	\$281,219	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5.1.2: Permitting	70.0000%	\$303,096	\$212,167	\$90,929	\$224,612	\$91,124	\$66,104	\$67,384	\$78,484	\$54,939	\$23,545	26%	\$35,964	\$25,175	\$10,789	46%
5.1.3: Right of Way Support	70.0000%	\$893,821	\$625,674	\$268,146	\$689,093	\$136,399	\$345,966	\$206,728	\$204,727	\$143,309	\$61,418	23%	\$60,000	\$42,000	\$18,000	29%
5.2: Construction Management	70.0000%	\$1,170,663	\$819,464	\$351,199	\$1,161,212	\$438,350	\$374,498	\$348,363	\$9,451	\$6,616	\$2,835	1%	\$17,500	\$12,250	\$5,250	185%
5.3 Construction	70.0000%	\$9,769,203	\$6,838,442	\$2,930,761	\$8,565,204	\$1,907,252	\$4,088,391	\$2,569,561	\$1,203,998	\$842,799	\$361,199	12%	\$0	\$0	\$0	0%
5.4: O&M Manual	70.0000%	\$29,052	\$20,336	\$8,716	\$1,683	\$0	\$1,178	\$505	\$27,369	\$19,158	\$8,211	94%	\$0	\$0	\$0	0%
Subtotal Element 5		\$13,103,230	\$9,172,261	\$3,930,969	\$11,579,201	\$3,155,224	\$4,950,217	\$3,473,760	\$1,524,029	\$1,066,820	\$457,209	12%	\$113,464	\$79,425	\$34,039	7%

17QElem5

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TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

ELEMENT 5A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)				Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	Credit	Payments	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		<small>[2]</small>	<small>[3]</small>	<small>[4]</small>	<small>*Not less than zero</small>								
Element 5A: Feather River Segment 3 Erosion Site 2																
5A.1.1 - Design	70.0000%	\$53,962	\$37,773	\$16,189	\$53,962	\$0	\$37,773	\$16,189	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.1.2 - Permitting	70.0000%	\$111,341	\$77,939	\$33,402	\$111,341	\$0	\$77,939	\$33,402	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.1.3 - ROW Support	70.0000%	\$5,830	\$4,081	\$1,749	\$5,830	\$0	\$4,081	\$1,749	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.2 - Construction Managemer	70.0000%	\$31,634	\$22,144	\$9,490	\$31,634	\$0	\$22,144	\$9,490	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.3 - Construction	70.0000%	\$481,751	\$337,226	\$144,525	\$481,751	\$0	\$337,226	\$144,525	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
5A.4 - O&M manual addendum	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Subtotal Element 5A		\$684,518	\$479,163	\$205,355	\$684,518	\$0	\$479,163	\$205,355	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%

17QElem5A

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TRLIA Quarterly Work Plan Budget

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ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)			Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c*	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx
					[2]	[3]	[4]	*Not less than zero								
Element 6: Feather River Land Management																
Feature 6.1: Env. Maintenance	82.8246%	\$210,000	\$173,932	\$36,068	\$123,914	\$0	\$102,631	\$21,283	\$86,086	\$71,301	\$14,786	41%	\$0	\$0	\$0	0%
Feature 6.2: Lease Back	82.8246%	\$25,000	\$20,706	\$4,294	\$2,321	\$0	\$1,923	\$399	\$22,679	\$18,784	\$3,895	91%	\$0	\$0	\$0	0%
Feature 6.3: Land Maintenance	82.8246%	\$2,070,000	\$1,714,469	\$355,531	\$787,454	\$0	\$652,206	\$135,249	\$1,282,546	\$1,062,264	\$220,282	62%	\$70,000	\$57,977	\$12,023	5%
Subtotal Element 6		\$2,305,000	\$1,909,107	\$395,893	\$913,689	\$0	\$756,759	\$156,930	\$1,391,311	\$1,152,348	\$238,963	60%	\$70,000	\$57,977	\$12,023	5%

17QElem6

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TRLIA Quarterly Work Plan Budget
For Period July/August/September 2012

ELEMENT 7

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)			Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
Formula	a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f <small>[2]</small>	g <small>[3]</small>	h=e-f-g <small>[4]</small> <small>*Not less than zero</small>	i=b-e	j=c-f-g	k=d-h		l	m=lxa	n=l-m	
Element 7: Project Management, Legal, Community Relations support, Land Management, and Overhead																
Feature 7.1: Salaries	76.3198%	\$1,464,737	\$1,117,885	\$346,853	\$1,406,214	\$373,722	\$699,498	\$332,994	\$58,524	\$44,665	\$13,858	4%	\$13,500	\$10,303	\$3,197	23%
Feature 7.2: Consulting	76.3198%	\$1,864,571	\$1,423,020	\$441,551	\$1,786,950	\$461,956	\$901,840	\$423,153	\$77,621	\$59,224	\$18,397	4%	\$7,500	\$5,724	\$1,776	10%
Feature 7.3: Legal	76.3198%	\$1,270,497	\$969,641	\$300,856	\$1,222,870	\$381,323	\$551,969	\$289,578	\$47,627	\$36,349	\$11,278	4%	\$7,500	\$5,724	\$1,776	16%
Feature 7.4: Travel	76.3198%	\$10,827	\$8,263	\$2,564	\$8,519	\$3,933	\$2,568	\$2,017	\$2,309	\$1,762	\$547	21%	\$0	\$0	\$0	0%
Feature 7.5: General	76.3198%	\$550,756	\$420,336	\$130,420	\$321,712	\$60,919	\$184,610	\$76,182	\$229,044	\$174,806	\$54,238	42%	\$2,250	\$1,717	\$533	1%
Feature 7.6: Insurance	76.3198%	\$1,056,375	\$806,223	\$250,152	\$931,162	\$174,173	\$536,488	\$220,501	\$125,213	\$95,563	\$29,651	12%	\$0	\$0	\$0	0%
Feature 7.7: Travel/Vehicle	76.3198%	\$27,273	\$20,815	\$6,458	\$22,254	\$6,230	\$10,754	\$5,270	\$5,018	\$3,830	\$1,188	18%	\$179	\$137	\$42	4%
Feature 7.8: Memberships	76.3198%	\$18,804	\$14,351	\$4,453	\$6,691	\$1,930	\$3,176	\$1,584	\$12,113	\$9,245	\$2,868	64%	\$0	\$0	\$0	0%
Subtotal Element 7		\$6,263,840	\$4,780,533	\$1,483,307	\$5,706,371	\$1,464,186	\$2,890,904	\$1,351,281	\$557,469	\$425,443	\$132,026	9%	\$30,929	\$23,605	\$7,324	6%

17QElem7

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ELEMENT 9

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)			Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Total	State Share	Local Share		Total	State	Local		
		<i>b</i>	<i>c*</i>	<i>d = b-c</i>	<i>e</i>	<i>f</i>	<i>g</i>	<i>h=e-f-g</i>	<i>i=b-e</i>	<i>j=c-f-g</i>		<i>k=d-h</i>	<i>l</i>	<i>m=lx</i>		<i>n=l-m</i>
<i>Formula</i>	<i>a</i>	<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>									
Element 9: Feather River Segment 1 levee improvement																
Feature 9.1.1 - Design	70.0000%	\$1,185,322	\$829,725	\$355,597	\$1,192,319	\$740,120	\$94,503	\$357,696	-\$6,997	-\$4,898	-\$2,099	-1%	\$0	\$0	\$0	0%
Feature 9.1.2 - Permitting	70.0000%	\$295,934	\$207,154	\$88,780	\$176,998	\$112,717	\$11,182	\$53,099	\$118,936	\$83,255	\$35,681	40%	\$35,464	\$24,825	\$10,639	30%
Feature 9.1.3 - ROW Support	70.0000%	\$475,781	\$333,047	\$142,734	\$283,534	\$0	\$198,473	\$85,060	\$192,247	\$134,573	\$57,674	40%	\$38,500	\$26,950	\$11,550	20%
Feature 9.2 - Construction Mgt.	70.0000%	\$1,381,680	\$967,176	\$414,504	\$1,373,820	\$132,417	\$829,257	\$412,146	\$7,860	\$5,502	\$2,358	1%	\$0	\$0	\$0	0%
Feature 9.3 - Construction	70.0000%	\$9,078,414	\$6,354,890	\$2,723,524	\$9,076,695	\$2,258	\$6,351,429	\$2,723,009	\$1,719	\$1,203	\$516	0%	\$0	\$0	\$0	0%
Feature 9.4 - O&M Segment 1	70.0000%	\$12,597	\$8,818	\$3,779	\$1,029	\$0	\$720	\$309	\$11,569	\$8,098	\$3,471	92%	\$0	\$0	\$0	0%
Subtotal Element 9		\$12,429,728	\$8,700,810	\$3,728,918	\$12,104,394	\$987,512	\$7,485,564	\$3,631,318	\$325,334	\$227,734	\$97,600	3%	\$73,964	\$51,775	\$22,189	23%

17QElem9

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

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TRLIA Quarterly Work Plan Budget

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ELEMENT 9A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)				Forecasted Remaining Budget			% of Total Budget Remaining	18th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
Element 9A: Feather River Segment 1 Crack Repair																
Feature 1.1 - Engineering, Design	70.0000%	\$125,000	\$87,500	\$37,500	\$76,627	\$0	\$53,639	\$22,988	\$48,373	\$33,861	\$14,512	39%	\$1,500	\$1,050	\$450	3%
Feature 1.2 - Right of Way Support	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Feature 2 - Construction	70.0000%	\$440,000	\$308,000	\$132,000	\$432,119	\$0	\$302,483	\$129,636	\$7,881	\$5,517	\$2,364	2%	\$0	\$0	\$0	0%
Feature 3 - Operations & Maintenance	70.0000%	\$10,000	\$7,000	\$3,000	\$0	\$0	\$0	\$0	\$10,000	\$7,000	\$3,000	100%	\$0	\$0	\$0	0%
Subtotal Element 9A		\$575,000	\$402,500	\$172,500	\$508,746	\$0	\$356,122	\$152,624	\$66,254	\$46,378	\$19,876	12%	\$1,500	\$1,050	\$450	2%

**17QElem9A*

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

[2] Reflects costs incurred through submitted 16th QPR (December '11) with estimates of expenses forecasted in 17th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through December 2011 plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period July/August/September 2012

ELEMENT 10

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through June 2012)				Forecasted Remaining Budget			18th Quarterly Forecast					
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share	% of Total Budget Remaining	Total	State	Local	% of Remaining Budget	
							Credit	Payments	(after credit)								
Formula	a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	f	g	h=e-f-g	i=b-e	j=c-f-g	k=d-h		l	m=lxa	n=l-m		
					[2]	[3]	[4]	<small>*Not less than zero</small>									
Element 10: Project Closeout Efforts																	
Feature 1.1 - Engineering, Desi	76.3198%	\$100,000	\$76,320	\$23,680	\$0	\$0	\$0	\$0	\$100,000	\$76,320	\$23,680	100%	\$15,000	\$11,448	\$3,552	15%	
Subtotal Element 10		\$100,000	\$76,320	\$23,680	\$0	\$0	\$0	\$0	\$100,000	\$76,320	\$23,680	100%	\$15,000	\$11,448	\$3,552	15%	

"17QElem9A"

[1] From Exhibit A of the OAWP Budget Included as part of the Draft Second Amendment to the Funding Agreement Dated May 24, 2012

[2] Reflects costs incurred through submitted 16th QPR (December '11) with estimates of expenses forecasted in 17th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through December 2011 plus forecasted amount (ref. Note 2).

5. Schedule Information by Project Element: Shown in the figure below is an updated & truncated Exhibit A (overall project schedule) that reflects the requested funding agreement extension to June 30, 2014.

Project Elements and Features																																			
Year	2012												2013												2014										
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov
Element 1: Land Acquisition (see real estate Acquisition Plan)																																			
Feature 1 - Feather Segment 2																																			
Feature 2 - Feather Segment 3																																			
Element 2: Feather River Segment 2 setback levee w/o tie-ins, new levee construction requiring federal action, and levee degrade (except as noted in features)																																			
Feature 1.1 - all segment 2 Design - Completed																																			
Feature 1.2 - all segment 2 Permitting																																			
Feature 1.3 - all segment 2 ROW support																																			
Feature 2 - all Construction Mang. Completed																																			
Feature 3.1 - Foundation Construction Completed																																			
Feature 3.2 - Embankment Construction Completed																																			
Feature 4 - Environmental & Cultural Mitigation																																			
Feature 5 - all O&M Manual Segment 2 Completed																																			
Element 3: Feather River Segment 2 tie-ins and new levee construction requiring federal action																																			
Feature 1: Construction Completed																																			
Element 4: Feather River Segment 2 levee degradation																																			
Feature 1 - Segment 2 Existing Levee Degrade Completed																																			
Element 5: Feather River Segment 3 levee improvement																																			
Feature 1.1 - Design Completed																																			
Feature 1.2 - Permitting																																			
Feature 1.3 - ROW Support																																			
Feature 2 - Construction Mang.																																			
Feature 3 - Construction																																			
Feature 4 - O&M manual Completed																																			
Element 5A: Erosion Site 2																																			
Feature 1.1 - Design Completed																																			
Feature 1.2 - Permitting Completed																																			
Feature 1.3 - ROW Support Completed																																			
Feature 2 - Construction Mang. Completed																																			
Feature 3 - Construction Completed																																			
Feature 4 - O&M Manual Completed																																			
Element 6: Feather River Land Management																																			
Feature 1 - Environ. Maintenance																																			
Feature 2 - Lease back activities																																			
Feature 3 - Land Mainten/Security																																			
Element 7: Project Management, legal support, community relations support, land management support, and overhead																																			
Feature 1 - TRLIA Salaries/Benefits																																			
Feature 2 - TRLIA Consultant Exp.																																			
Feature 3 - TRLIA Legal Support																																			
Feature 4 - TRLIA Travel Expenses																																			
Feature 5 - TRLIA General Expenses																																			
Feature 6 - TRLIA Insurance																																			
Feature 7 - TRLIA Travel																																			
Feature 8 - TRLIA Memberships																																			
Element 8: Feather River EIP Land Acquisition (see real estate Acquisition Plan)																																			
Feature 1 - Segment 1																																			
Element 9: Feather River Segment 1 levee improvement																																			
Feature 1.1 - Design Completed																																			
Feature 1.2 - Permitting																																			
Feature 1.2 - ROW Support																																			
Feature 2 - Construction Mang. Completed																																			
Feature 3 - Construction Completed																																			
Feature 4 - O&M Manual Completed																																			
Element 9A: Feather River Segment 1 Crack Repair																																			
Feature 1.1 - Design & Permitting Completed																																			
Feature 1.2 - ROW Support Completed																																			
Feature 2 - Construction Completed																																			
Feature 3 - O&M Manual Completed																																			
Element 10: Closeout Process post June 30, 2012																																			
Feature 1 - Closeout Process post June 30, 2012																																			

Red: Original Schedule in Exhibit A **Yellow:** Prior Adjustments **Green:** 18 QWP Changes described below

- **A discussion on how the projected schedule compares to original or last reported schedule:**
 - The schedule has been significantly been extended by Funding Agreement Amendment #2. The overall completion date is extended from June 30, 2012 to June 30, 2014. A new Project Element 10: Project Closeout Post June 30, 2012 was added by Funding Agreement Amendment #2.

Attachments

1. Permit Status Update for Project Element 2, 3, 4, 5, 5A, 9, & 9A

**Attachment 1 - Updated Permit Tables for Project Elements 2, 3, 4, 5,
5A, 9, & 9A**

<u>Project Element 2</u> Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)			
PERMITTING AGENCY	PERMIT	ACTION DONE	STATUS/COMMENTS
The Central Valley Flood Protection Board	Encroachment Permits	Yes	The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008 that allows construction in non-federal jurisdictional areas
California Central Valley Flood Protection Board	Encroachment Permit – Vegetated Wave Buffer	Yes	CVFPB approved permit #18556 at the February 2011 CVFPB meeting
California Central Valley Flood Protection Board	Encroachment Permit – Elderberry Mitigation Area	Yes	CVFPB approved permit #18637 at the March 25, 2011 CVFPB meeting
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted Fugitive Dust Plan to FRAQMD
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784

			regarding levee encroachments is ongoing. RD 784 signed the application for the Central Valley Flood Protection Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	EIR Addendum #1	Yes	EIR addendum for offsite Platter Borrow site
CEQA	EIR Addendum #2	Yes	EIR addendum for Ella offsite borrow site
CEQA	EIR Addendum #3	Yes	EIR addendum for Vegetated Wave Buffer
Section 104 Credit Application	Future federal projects cost Sharing	Yes	CVFPB submitted a request on June 15, 2007 for \$154.3M Section 104 credit to the Sacramento District.
Section 104 Credit Approval (N/A)	Future federal projects cost sharing	Yes	At the March 21 CVFPB meeting DWR stated it would waive the need for 104 credits – DWR waiver letter issued on May 2, 2008

Project Element 3 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)

PERMITTING AGENCY	PERMIT	Action Done	STATUS/COMMENTS
The Central Valley Flood Protection Board	Encroachment Permits	Yes	The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008. The permit has two parts: Part A – Project Element 2 work to be done outside of jurisdictional waters of the U.S., and Part B – Project Element 3 work to be within jurisdictional waters of the U.S.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected

Corps	Section 404 Permit	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.
Corps	408 approval of project levee alteration	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008.
Corps	Wetlands Delineation	Yes	Completed March 11, 2008
Corps	Wetlands Delineation	Yes	Amended September 30, 2008
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008. Copy available on the
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish species under NMFS jurisdiction.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Section 2081 Permit received in August 2009
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All project facilities are outside SLC jurisdiction.
California Department of	Conversion of	Yes	No timberland conversion is required

Forestry	timberland to other uses.		for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.
NEPA	EIS	Yes	Corps of Engineers Finalized the Environmental Impact Statement and signed the Record of Decision on December 8, 2008.
Section 104 Credit	Future federal projects cost Sharing	Yes	The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009

Project Element 4 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)			
PERMITTING AGENCY	PERMIT	Action Done	STATUS/COMMENTS
California Central Valley Flood Protection Board	Encroachment Permit	Yes	CVFPB issued permit No 18430 at the July 2009 Board meeting
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.
Corps	408 approval of project levee alteration	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008.
Corps	Wetlands Delineation	Yes	Completed March 11, 2008
Corps	Wetlands Delineation	Yes	Amended September 30, 2008
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	NMFS sent letter on December 13, 2007 concurring that setback levee is

			not likely to adversely affect any fish species under NMFS jurisdiction.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Section 2081 Permit received in August 2009
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All project facilities are outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of

			Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.
NEPA	EIS	Yes	Corps of Engineers Finalized the Feather River Setback Levee Environmental Impact Statement and signed the Record of Decision on December 8, 2008.
Section 104 Credit	Future federal projects cost Sharing	Yes	The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009

Project Element 5 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)			
PERMITTING AGENCY	PERMIT	ACTION DONE	STATUS/COMMENT
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170 BD issued on August 7, 2007.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	CVFPB issued landside access corridor fence permit No. 18690 BD on March 12, 2012 meeting.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170 BD permit modified for seepage berm to provide final fix for cutoff wall trench cave-in, issued on 7/17/08
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	No jurisdictional features affected. Corps issued "Letter of No Permit Required" on July 23, 2007
Corps	408 Approval of project levee alteration	Yes	Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on August 2, 2007.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been

	of the Clean Water Act		developed and is being followed.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor's Fugitive Dust Plan has been developed and submitted to the FRAQMD.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any endangered species.
National Marine Fisheries Service	Endangered Species Act Compliance	Yes	Project will have no affect on fishery resources and habitats.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species.
DFG	Streambed Alteration Agreement	Yes	All ground disturbances is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Caltrans	Encroachment on Caltrans property	Yes	A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur.
Native American Heritage	Evaluation of effects on	Yes	Coordination with the NAHC has

Commission (NAHC)	Native American burials or artifacts		occurred and no known Native American sites or sensitive areas would be affected by the project
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for a CVFPB encroachment permit
Yuba County	Grading Permit	Yes	Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.
CEQA	EIR Processed for Various Permits	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	EIR Addendum # 2	Yes	EIR addendum for the Seepage berm addition needed to provide the final solution to the cutoff wall trench failure.
Section 104 Credit	Future federal projects cost sharing	Yes	\$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007

Project Element 5A: Feather River Erosion Site 2

PERMITTING AGENCY	PERMIT	ACTION DONE	STATUS/COMMENT
Central Valley Flood Protection Board	Encroachment Permits	Yes	Encroachment permit No. 18479 issued on September 10, 2009
Corps	Section 404 Permit	Yes	Obtained Nationwide Permit, application submitted to Corps on June 25, 2009
Corps	Wetlands Delineation	Yes	Delineation submitted to Corps on May 27, 2009
Corps	408 approval of project levee alteration	Yes	Corps does not consider this as eligible under the Section 408 approval given for Segments 1 & 3. Per discussion with the Corps, they

			will approve work under Section 208 authority when they comment on the CVFPB Encroachment Permit Application.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	Obtained on July 9, 2009
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	TRLIA has a current WDID # 5S5BC348901 that was issued by the Regional Water Quality Control Board. The permit covers Feather River Segments 1, 2, and 3 and encompasses the Erosion Site. This permit is current.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Used Documentation provided for Project Element 5
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	Biological opinion prepared, take avoided, no Incidental Take authorization needed.
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	Biological opinion prepared, take avoided, no Incidental Take authorization needed.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance (2081)	Yes	No potential take of State listed species.
DFG	Streambed Alteration Agreement	Yes	Obtained on July 9, 2009
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Cultural resources surveys and consultation with Native American groups have found no evidence of burials or artifacts
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Cultural resources surveys and consultation with Native American groups have found no evidence of significant historic or cultural resources

Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with minor conditions.
Yuba County	Grading Permit	Yes	Used Documentation provided for Project Element 5
State Mining and Geology Board (SMGB)	SMARA Permit	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009. Used Ella Site.
CEQA	EIR	Yes	ISIMND certified by TRLIA Board on 10/17/06
NEPA	EIS	Yes	No federal action constituting a NEPA trigger
Section 104 Credit	Future federal projects cost sharing	Yes	Work is not eligible for Section 104 Credit because it being accomplished under Section 208 authority and was not approved under Section 408 authority.

Project Element 9, & 9A Permit and Environmental Documentation			
PERMITTING AGENCY	PERMIT	ACTION DONE	STATUS/COMMENT
The Central Valley Flood Protection Board	Encroachment Permit	Yes	Permit No. 18170 BD issued on August 7, 2007.
The Central Valley Flood Protection Board	Encroachment Permit	Yes	Permit No. 18170 BD modified for design changes, issued on 7/17/08
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	No jurisdictional features affected. Corps issued "Letter of No Permit Required" on July 23, 2007. Subsequently, a Nationwide permit was received in July 2008 allowing repairs to sinkholes observed on the

			waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
Corps	408 Approval of project levee alteration	Yes	Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on August 2, 2007. Subsequently, water quality certification was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor's Fugitive Dust Plan has been developed and submitted to the FRAQMD.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any endangered species.
National Marine Fisheries Service	Endangered Species Act Compliance	Yes	Project will have no affect on fishery resources and habitats.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species.
DFG	Streambed Alteration Agreement	Yes	All ground disturbance is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a

			Streambed Alteration Agreement was not required. Subsequently, a streambed authorization permit was received in June 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Caltrans	Encroachment on Caltrans property	Yes	A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC has occurred and no known Native American sites or sensitive areas would be affected by the project
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is

			ongoing. RD 784 signed the application for a CVFPB encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.
CEQA	EIR Processed for Various Permits	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	CEQA Addendum #2	Yes	EIR addendum for the Water Blanket modifications.
Section 104 Credit	Future federal projects cost sharing	Yes	\$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007