



**TRLIA**  
**17<sup>th</sup> QUARTERLY WORK PLAN**  
**FOR**  
**FEATHER RIVER LEVEE**  
**IMPROVEMENT PROJECT**

1. 17<sup>th</sup> Quarterly Work Plan for quarter: April 1 – June 30, 2012
2. Date of Report: March 13, 2012
3. 17<sup>th</sup> Quarter Work Plan Summary:

<b>Summary</b>				
<b>Description</b>	<b>State Share</b>	<b>Local Share</b>	<b>Total</b>	<b>Local Credit Applied</b>
Element 1 & 8 - Land Acquisition	\$321,720	\$137,880	\$459,600	\$0
Elements 2 through 7, 9A	\$326,646	\$102,350	\$428,996	\$0
<b>Total</b>	<b>\$648,366</b>	<b>\$240,230</b>	<b>\$888,596</b>	<b>\$0</b>

<b>Quarterly Summary for Real Estate Activity</b>	
State Share Elements 1 & 8	\$321,720
Local Credit Applied Elements 1 & 8	\$137,880
<b>Estimated Total Quarterly State Real Estate Funding</b>	<b>\$459,600</b>

<b>Quarterly Advance Summary for Non-Real Estate Activity</b>	
75% of State Share Elements 2 through 7 & 9A	\$244,985
Prior State Share Underfunded (Estimated Based on 16 <sup>th</sup> Quarter Advance & Reconciliation Below)	-\$2,670,929
<b>Total Advance Request of State Share for 17th Quarter</b>	<b>\$0</b>

The calculation of Total Funding due for State Share at the start of 17<sup>th</sup> Quarter is subject to True-Ups to account for actual expenses incurred through the 16<sup>th</sup> and 17<sup>th</sup> Quarter (based on the most recent 16<sup>th</sup> QPR Submission and advance funding received to date). The State has processed advance payments through the 14<sup>th</sup> Quarter and, to date, based upon the calculations provided below, when including credits accrued from real estate settlements, interest on advance funding and other payments, the State has over funded its share of expenses net of the 10% retention

requirement on construction funding. No advance would be expected from the State based upon this 17<sup>th</sup> Quarterly Work Plan.

**Reconciliation of Prior State Funding for Construction**

**Per 15th Quarter QPR (True-Up)**

Total Construction Expenses (Elements 2 - 9A) [1]	\$127,102,894
Total State Share of Construction Expenses [2]	\$102,630,123
Total State Funding Due (net of 10% Retention)	<b>\$92,367,111</b>
Total State Advance/True-Up Funding Received to Date for Construction	<b>\$95,218,989</b>
State Share Construction Funding Due as of 14th Quarter True-Up	<b>-\$2,851,878</b>

[1] Excludes Creditable Expenses.

[2] DWR Review of 15th QPR Statement of Costs still in process.

**Per 16th Quarter Approved QWP**

Total Construction Projection (Elements 2 - 9A) [1]	\$917,546
State Share of Projection	\$675,278
75% of State Share for Quarterly Advance	<b>\$506,459</b>

**State Accumulated Credit Reported in 15th QPR**

Interest Earned on State Advanced Funding	\$130,116
State Share of Ag Lease Payments on FR Lands	\$53,740
State Share of Condemnation Settlements Less than Advance	\$141,653
Total State Funding Credits with TRLIA to Date	<b>\$325,509</b>

<b>Net State Share Due (15th Qtr True Up plus 16th Qtr Advance)</b>	<b>-\$2,670,929</b>
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**4. Project Element Information:**

- **Engineering and construction matters:**
  - **Project Element 1 - Land Acquisition:**
    - **Feature 1 (Segment 2/Setback levee):**
      - The tables below reflect the current status of Real Estate actions.

**Table 1 – Final Accounting Packages Submitted to DWR – Pending clarification on issues.**

CVFPB NO.	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	PENDING DWR PAYMENT	ISSUE ON F.A.P	TRLIA RESPONSE
14008	T. Rice, et ux	Submitted to DWR	\$211,721.00	\$38,294.72	DWR concerned about the lease to Rice for farming.	Scheduling meeting with DWR.
14006	Nora Lee Terry Trust	Submitted to DWR	\$125,500.00	\$106,678.00	DWR Concerns: 1. Update Value of Appraisal 2. Provide TRLIA Resolution 07-02 3. Submit Waiver Valuation for approval 4. Provide Recorded Easement 5. Provide Road Abandonment 6. Provide Title Insurance.	Title Policy Pending. All items will be submitted once title policy complete
14009	B. Heir, et al.	Submitted to DWR	\$535,515.12	\$217,324.05	DWR Concerns: 1. Provide stipulation for entry of judgment; 2. Provide legal description; 3. Provide interest calculation; 4. Revised invoice to reflect date of deposit.	Information sent on 11-11-11
14010	Quinn X. Dang	Submitted to DWR	\$330,000.00	-\$719.51	DWR Concerns: 1. Interest Calculations 2. Title Insurance	All items will be submitted upon receipt of title insurance
14011	R.S. Uppal, et. ux	Submitted to DWR	\$731,350.00	\$301,932.92	DWR Concerns: Need a final Title Insurance Policy prior to approval.	Title insurance policy to be provided.
14012	Gene R. Anderson estate	Submitted to DWR	\$536,512.24	\$173,783.39	Drainage Easement needs to be investigated and removed.	TRLIA investigating owners of easement to get quitclaim.
14018	Harold D. Hadley, Jr., Trust et al.	Submitted to DWR	\$432,154.40	\$36,769.31	DWR Concerns: 1. Explain Statutory Costs 2. Provide Lease and DWR Approval of Lease 3. Update Appraisal approval value	Information sent on 2-1-12
14021	P. Heir	Submitted to DWR	\$18,500.00	\$14,136.36	DWR Concerns: 1. Provide back-up documentation for legal description; 2. Provide litigation guarantee; 3. Provide stipulation for entry of judgment.	All required information sent to DWR 12-28-11
14025	Eleanor Herold	Submitted DWR 1-17-12	\$414,524.49	\$288,513.20		
14017	P.F. Rice, et ux	Submitted DWR 11-9-11	\$382,500.00	\$2,167.04		
				\$1,178,879.48		

**Table 2 – Final Accounting Packages Submitted to DWR – Approved. Please note there is one item on Naumes parcels that needs to be cleared prior to the land transfer process beginning.**

CVFPB NO.	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	PENDING DWR PAYMENT	ISSUE ON F.A.P
14026	Herminita Flores and Mark Aldrin Flores	Approved by DWR	\$165,000.00	\$0.00	Approved 6-15-11
14007	Naumes, Inc.	Approved by DWR	\$17,548,012.12	\$50,000.00	Clear 85 acres of oil and gas rights
14007	Naumes, Inc.	Approved by DWR			4/14/2010
14014	Jaspal S. Clar, et ux (Johl)	Approved by DWR	\$780,000.00	\$0.00	APPROVED 3-14-10
14016	H&H Trenching, Inc.	Approved by DWR	\$288,500.00	\$0.00	APPROVED 3-14-10
14020	Steve Maxey, et ux	Approved by DWR	\$495,000.00	\$0.00	APPROVED 3-14-10
14019	T. Miller	Approved by DWR	\$1,020,000.00	\$0.00	APPROVED 3-14-10
14015	R. E. Webb, et ux		\$785,000.00	\$0.00	APPROVED 3-14-10
			Sub Total	\$50,000.00	

**Table #3 – Final Accounting Packages in Process**

CVFPB NO.	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	PENDING DWR PAYMENT	ISSUE ON F.A.P
14022	Foster Ranch	Processing (BRI)	\$4,880,000.00	\$41,412.30	State already paid \$4,000,447.50. FAP tentatively scheduled for submittal in April 2012
14022	Foster House	Processing (BRI)	\$45,000.00	\$18,221.41	State already paid \$19,049.75. FAP tentatively scheduled for submittal in April 2012
14027	Foster Bar	Processing (BRI)	\$100,000.00	\$0.00	State already paid \$82,825.00. FAP tentatively scheduled to be submitted in April 2012.
14033	Susanna M. Nieschulz Trust	Processing (BRI)	\$1,335,000.00	-\$5.34	State already Paid \$1,105,713.75 FAP tentatively scheduled to be submitted in March 2012.
14023	Lloyd R. Nieschulz, et al.	Processing (BRI)	\$30,000.00	\$10,602.38	FAP tentatively scheduled to be submitted in March 2012.
14004	Joga S. Mann, et ux	Processing (BRI)	\$1,400,000.00	\$124,236.90	FAP tentatively scheduled to be submitted in March 2012. In 2008, DWR credited TRLIA \$1,035,307.50.
14003	Joga S. Mann, et ux	Processing (BRI)	\$11,200.00	\$9,276.36	FAP tentatively scheduled to be submitted in March 2012.
14024	F.D. Souza Trust	N/A	\$900.00	\$745.42	<b>Land Transferring to RD 784. No FAP required.</b>
14002	Danna Investments	Sent to DB 1-18-12	1,282,139.24	-\$150,058.27	Need oil & gas lease cleared prior to submitting to DWR. DB working on clearing.
14001	J.M. Smith, et al.	Sent to DB 2-2-2012	\$306,900.00	\$144,031.70	FAP tentatively scheduled to be submitted in March 2012.
14005	Satinder N. Davit, et ux	Processing (BRI)		-\$165,000.00	FAP tentatively scheduled to be submitted in April 2012
14013	Nordic Industries, Inc.	Sent to DB 1-25-12	\$1,125,000.00	-\$294,033.25	FAP tentatively scheduled to be submitted in March 2012.
				-\$236,001.00	

**Table #4 – Relocation Final Accounting Packages in Process**

CVFPB NO.	OWNER	STATUS OF FAP	SETTLEMENT AMOUNT	DWR PAYMENT	PENDING DWR PAYMENT	ISSUE ON F.A.P
14002	Eden (Danna)	Processing	\$126,218.86	\$93,177.68	\$11,362.59	FAP tentatively schedule for submittal in 16th Quarter.
14017	Cummings (P.F.Rice)	COMPLETED	\$900.00		\$745.42	FAP Submitted 2-14-12
14025	Herold	Processing	\$195,000.00		\$161,507.97	FAP tentatively schedule for submittal in 16th Quarter.
14015	Webb	Processing	\$307,767.36	\$155,296.13	\$99,610.96	FAP tentatively schedule for submittal in 16th Quarter.
14014	Mincher (Johl)	COMPLETED	\$21,238.91	\$124,236.90	-\$106,645.86	FAP submitted and approved. Invoice Pending.
14014	Johl	COMPLETED	\$1,882.75		\$1,559.38	FAP submitted and approved. Invoice Pending.
14016	Timmins (H & H)	COMPLETED	\$26,331.00	\$43,482.92	-\$21,674.37	FAP Submitted 2-14-12
14019	Miller	Sent to Downey Brand for Review	\$149,688.50	\$155,296.13	-\$31,317.22	FAP tentatively schedule for submittal in 16th Quarter.
					\$115,148.87	



CVFPB NO.	OWNER	STATUS OF ACQUISITION	STATUS OF FAP	SETTLEMENT AMOUNT	DWR SHARE
14002	Danna Investment Co.	COMPLETE	In Review	4100	\$3,395.81
14000	Steven Stewart, et ux	NEGOTIATING	Needs Updating to reflect additional	\$800.00	\$840.00
	Steven Stewart, et ux	COMPLETE		\$400.00	
14034	Linda County Water District	IN ESCROW	Needs Updating to reflect additional acquisition	\$3,000.00	\$13,832.00
	Linda County Water District	IN ESCROW		\$10,360.00	
	Linda County Water District	IN ESCROW		\$6,400.00	
	Linda County Water District	IN ESCROW			
14028	Trumon G. Cooper Trust	E.D. AMENDED FINAL	Needs Updating to reflect additional acquisition	\$15,000.00	
	Trumon G. Cooper Trust				
	Trumon G. Cooper Trust				
14030	Jourbee Khang, et ux	COMPLETE	NEEDS TI POLICY	\$67,500.00	\$47,250.00
14031	State of California	COMPLETE	APPROVED BY DWR	\$7,100.00	\$4,970.00
	R.D. 784	COMPLETE	NO FAP - RD784 ONLY	\$1,500.00	\$0.00
14029	Richard G. Wilbur Trust	NEGOTIATING		\$4,100.00	
	Richard G. Wilbur Trust	COMPLETE	Needs Updating to reflect additional acquisition	\$2,550.00	
14029	Richard G. Wilbur	COMPLETE	Needs Updating to reflect additional acquisition	\$1,500.00	\$5,705.00
14033	Henry P. Smith	COMPLETE		\$25,100.00	
	Henry P. Smith	IN ESCROW	Needs Updating to reflect additional acquisition	\$9,050.00	\$23,905.00
14037	E Street MX, Inc.	IN ESCROW		\$1,250.00	
14037	E Street MX, Inc.	IN ESCROW	Needs Updating to reflect additional acquisition	\$1,300.00	\$1,785.00
14038	John Roth	ON HOLD		\$200.00	\$140.00
14039	California Capital Loans	ON HOLD		\$220.00	\$154.00
14040	John Roth	ON HOLD		\$150.00	\$105.00
14041	Carolyn J. & Gary D. Tindel	ON HOLD		\$1,950.00	\$1,365.00
14042	Salvation Army	ON HOLD		\$62,600.00	\$43,820.00
14043	Forrest Ray Miller	ON HOLD		\$1,650.00	\$1,155.00
14044	William Rea	ON HOLD		\$100.00	\$70.00
14045	Ronald R. & Marcia E. Wessels	ON HOLD		\$2,450.00	\$1,715.00
14046	ROADWAY				

\$150,206.81

- ✓ Additional costs that are associated with the additional real estate property rights that are being proposed to be acquired in the addendum (Erosion Site 2, and Water/Landside Access Corridor). These costs have been revised from the costs reflected in the 15<sup>th</sup> Quarter Work Plan.

Category	Total Estimated Costs
<b>Flood Control Structure Fee</b>	<b>\$260,660</b>
Flood Control Structure Easement	\$7,100.00
Access/Haul Routes	\$8,640.00
Staging	\$6,160.00
Private Utility Relocation	\$22,000.00
Relocation Assistance Program	\$50,000.00
<b>Support Costs</b>	<b>\$334,400.00</b>
<b>TOTAL</b>	<b>\$688,860.00</b>

✓ **There are additional construction costs that are listed in Project Element 5 Features 5.1.3 and 5.3.**

- Landside and Waterside Toe Access Corridor License Agreements: In support of the amended RE plan, TRLIA submitted to DWR proposed license agreements for joint use of the Toe Access Corridor. The license agreement would potentially be used with any property owner who owns agriculture property that abuts the levee and who wants to use the Toe Access Corridor for farming purposes. The licenses will be presented to the Central Valley Flood Protection Board as an information item at its meeting on March 2, 2012
- **Element 2: Feather River Segment 2 setback levee w/o tie-ins and new levee construction requiring federal action (except as noted in features):**  
*Note: Features noted below with the retention release requested are shown highlighted in orange on the detailed quarterly projection tables.*
- Feature 1.1 Design: Completed – Retention Release requested by TRLIA
  - Feature 1.2 Permitting:
    - TRLIA will continue to work with DWR floodway maintenance staff and F&WS to develop an acceptable approach to floodway maintenance for all parties in the Feather River Floodway during this quarter. DWR has set up a committee to guide the actions within the Feather River Corridor, with participation from various branches of DWR, the CVFPB, the local maintenance districts, TRLIA, the counties, and other interested stakeholder groups. The Committee is called the Feather River Corridor Management Plan development team.

- The OMRR&R Agreement was approved and signed by CVFPB, TRLIA and RD784 in August 2011. TRLIA will continue to perform its obligations under this Agreement during the 17<sup>th</sup> Quarter.
  - Evaluation of the State's Interim Levee 200-year Design Criteria will continue this quarter.
- Feature 1.3 Right of Way Support: Both legal and real estate activity will continue during the 17<sup>th</sup> quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 1.
- Feature 2 Construction Management: Completed – Retention Release requested by TRLIA on August 15, 2011. Any additional construction management oversight for Project Element 2 Feature 4 work will be accounted for under Project Element 6 as part of land management activities.
- Feature 3.1 Construction of Setback Levee Foundation: Completed – Retention release requested by TRLIA on August 15, 2011.
- Feature 3.2 Construction of Setback Levee Embankment and related items: Completed – Retention release requested by TRLIA on August 15, 2011.
- Feature 3.3 Relocation of PG&E facilities: Completed – Retention release requested by TRLIA on August 15, 2011.
- Feature 4 Environmental and Cultural Mitigation:
  - Monitoring of the Vegetated Wave Buffer will continue in the 17<sup>th</sup> quarter.
  - Environmental Mitigation/Restoration: Environmental monitoring of the Wetlands Mitigation, Swale, and Elderberry Mitigation Area will continue in the 17<sup>th</sup> quarter.
  - Cultural Mitigation: Monitoring of the planting at the Cultural Site will continue during the 17<sup>th</sup> quarter.
- Feature 5 O&M Manual:
  - During the 14<sup>th</sup> quarter the Segment 2 addendum was amended per the Corps request to combine the previously approved Segment 1 and 3 addendum into a single addendum for the Feather River levee. Also work was done on this Manual to reflect additional sections for



OMRR&R requirements. This comprehensive addendum was completed in the 15<sup>th</sup> quarter and issued on December 12, 2011.

- **Element 3:** Feather River Segment 2 tie-ins and new levee construction requiring federal action.
  - Feature 1 - Construction (Embankment Feature 1.1 and Foundation Feature 1.2): Completed – Retention release requested by TRLIA on August 15, 2011.
  
- **Element 4:** Feather River Segment 2 existing levee degrade
  - Feature 4.1 Construction: Completed – Retention release requested by TRLIA on August 15, 2011.
  
- **Element 5:** Feather River Segment 3 levee improvement:
  - Feature 1.1 Design: Completed – Retention release requested by TRLIA on August 15, 2011.
  - Feature 1.2 Permitting: Evaluation of the State’s Interim Levee 200-year Design Criteria will continue in the 17<sup>th</sup> quarter.
  - Feature 1.3 Right of Way Support: Both legal and real estate activity will continue during the 17<sup>th</sup> quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 2.
  - Feature 2 Construction Management: Construction Management work will be performed during the 17<sup>th</sup> quarter to accommodate construction actions needed to support the ongoing Project Element 1 Feature 2 (Segment 3) additional property rights acquisition.
  - **Feature 3 Construction:** This feature was reopened in the 13<sup>th</sup> quarter to accommodate construction actions needed to support the ongoing Project Element 1 Feature 2 (Segment 3) additional property rights acquisition. Work will continue during the 17<sup>th</sup> quarter. During investigations to assure a clear landside toe access corridor, it was discovered that most of the fences on the landside of the levee north of Island Avenue to the junction with the Yuba River levee had encroached onto land owned by the State. Establishing the property line in the correct location would provide the 20 foot clear corridor that would meet recently established DWR levee criteria. To establish the real estate line in the correct location, TRLIA will remove existing fences, build a new fence, clear trees and other woody vegetation from the corridor, remove trash and other encroachments from the corridor, grade the corridor to improve travel, and place aggregate base rock to improve travel. There may also be some

slight grading of the landside levee slope to improve access along the levee toe. The cost for this effort was incorporated into the workplan budget in the 14<sup>th</sup> QWP.

In the 14<sup>th</sup> quarter the CVFPB sent enforcement letters to the affected residents requesting they vacate the State property. TRLIA and CVFPB staff held a community meeting on August 16<sup>th</sup> with impacted residents. Two residents have protested this action to the CVFPB staff. The CVFPB heard the protests of the residents and considered CVFPB staff enforcement actions at their December 2 meeting. The CVFPB approved the enforcement action, but asked TRLIA and CVFPB staff to work with residents and come back in January 2012 with a solution that allows encroached permanent structures to stay and state property in excess of the 20 ft corridor be transferred (lease, fee, other way) to the impacted residents.

In the 16<sup>th</sup> quarter TRLIA held a public meeting with impacted residents to discuss the preferred alternative of placing the new fence 20 feet from the levee toe and allowing residents to use the remainder of State land subject to a license with the State. The CVFPB held a hearing on January 26, 2012 to consider adopting actions on these encroachments and an encroachment permit to build the fence. No actions were taken by the CVFPB at the hearing due to the fact that hearing materials had not been delivered to impacted residents 10 days prior to the hearing. A subsequent CVFPB hearing was scheduled for March 2, 2012 and at the meeting the CVFPB again continued the encroachment hearings due to further noticing irregularities but granted a permit to TRLIA to construct the fence. However, a condition precedent to fence construction is that the Board resolve the outstanding enforcement actions.

- Feature 4: O&M manual addendum: Final O&M manual addendum was issued in December 2009. During the 14<sup>th</sup> quarter the Segment 2 addendum was amended per the Corps request to combine the previously approved Segment 1 and 3 addendum into a single addendum for the Feather River levee. Also work was done on this Manual to reflect additional sections for OMRR&R requirements. This comprehensive addendum was completed in the 15<sup>th</sup> quarter and issued on December 12, 2011.
- **Element 5A:** Feather River Segment 3 Erosion Site 2 (Added to Agreement)
  - Feature A1.1 Design: Completed – Retention release requested by TRLIA on August 15, 2011.
  - Feature A1.2 Permitting: Completed – Retention release requested by TRLIA on August 15, 2011.

- Feature A1.3 Right of Way Support: Right of Way support for this Project Element is now complete – Retention Release requested by TRLIA. Future right of way costs will be included in Project Element 5 Feature 1.3.
  - Feature A2 Construction Management: Completed – Retention release requested by TRLIA on August 15, 2011.
  - Feature A3 Construction: Completed – Retention release requested by TRLIA on August 15, 2011.
  - Feature A4 O&M Manual: Final O&M manual addendum was issued on December 12, 2011, which included this Project Element (Erosion Site 2). Completed – Retention release requested by TRLIA on August 15, 2011.
- **Element 6: Feather River Land Management:**
- Feature 1 Environmental Maintenance Activities: Environmental monitoring of the Elderberry Mitigation Area, Wetlands, and Swale will continue during the quarter.
  - Feature 2 Lease Back Activities (e.g. farming): TRLIA has 7 farming leases in the Feather Setback area and one Revocable Easement and Profit Agreement (Rice) in the landside levee toe access corridor. Management of these items will continue in 17<sup>th</sup> quarter. These items are listed below:
    1. Foster (016-020-005, 014-370-030, 014-370-029, 016-010-002, 016-010-007) - 248 Acre Walnut Orchard. Term of lease January 1, 2009 through December 31, 2014.
    2. T. Miller (014-370-033) – 20 Acre Walnut Orchard. Term of lease August 16, 2008 to August 15, 2013.
    3. Maxey (014-370-024) – 27.38 Acres Walnut, persimmons, mandarins, chestnuts and jujube Orchards. Term of lease August 16, 2008 to August 15, 2013.
    4. Hadley (014-370-020) – 9 Acre Walnut Orchard. Term of lease November 1, 2008 to November 30, 2013.
    5. Davit (013-010-014) – 99 Acre Walnut & Peach Orchard. Term of lease October 21, 2009 to December 31, 2014.
    6. F. Miller (014-370-003 and 014-370-037) – 66 Acres Walnut Orchard. Term of lease July 21, 2010 through December 31, 2013.

7. Nieschulz (016-010-016) – 35.07 Acres of Orchard trees. Term of lease retroactively to January 1, 2009 and terminating at the end of the 2011 crop year. The lease was executed in September 2010. After the 3 year term, the lease is automatically extended each Jan 1.
8. Rice (014-250-029) – 4.82 Acres of Peach Orchard. Term of Agreement June 22, 2010 through December 31, 2013. Agreement may be terminated earlier by DWR or CVFPB.

A schedule of payments is shown below for the 17<sup>th</sup> Quarter: (Note: A Summary of Ag Lease Payments in also included with the regular Quarterly Progress Reports)

- No payments are due in the 17<sup>th</sup> quarter.
- As of December 4, 2011 the following payments had not been received for the 15<sup>th</sup> quarter. TRLIA will follow up.
  - ✓ F. Miller – Rent payment is due on (80%) December 31 and (20%) on April 15.
  - ✓ Nieschulz –Rent due on December 1<sup>st</sup>.
- Feature 3 Land Maintenance of Setback area (e.g. security) post construction and prior to transfer of property to State: TRLIA will continue to manage activities on the 1600 acres that are required for the Setback levee.
  - TRLIA will continue to perform interim land operations and maintenance activities during this quarter for the Feather Setback area per the Setback Area O&M Plan. The plan included short-term and long-term operations and maintenance of the borrow sites, security/maintenance road, onsite mitigation areas (wetlands, swale, and elderberry area), farm leases, security of setback area, and trash pickup. On November 15, 2011 the Broadway levee ramp was opened for public access to the setback area. TRLIA will continue to install security cameras during this quarter.
  - During this quarter TRLIA will participate in the DWR Lower Feather River Floodway Corridor Management committee and the following subcommittees: Funding, Hydraulics, Permitting, and Policy.
  - During the 16<sup>th</sup> quarter DWR finished their review of the TRLIA EIP Feather Setback Flood Plain Corridor Funding application. The corridor plan was not selected for funding under this program.

- **Element 7:** Project Management, legal support, community relations support, land management support, and overhead
  - Activity is anticipated in all Features during this quarter.
  - Feature 2 Consulting Support: TRLIA will continue to work with the State on the preparation of final closeout documentation as it relates to those elements and features of the project deemed complete with goal of processing a partial release of retention.
  - For this 17<sup>th</sup> quarter work plan TRLIA has shifted the allocation of its administration and overhead expenses to reflect an apportionment of these expenses to additional work TRLIA has underway in the Goldfields in addition to its EIP projects. The projections for expenses allocated to the FRLIP for this quarter have been reduced to reflect a lower allocation.
  
- **Element 8:** Feather River EIP Land Acquisition (formally Associated Project Element 1)
  - Feature 1 Feather Segment 1: The TRLIA Segment 1 Real Estate Plan was approved in November 2011. During this quarter TRLIA continues negotiations with property owners within Feather Segment 1 to appraise and acquire the 50 foot wide levee O&M toe access corridor. Below are tables that reflect the estimated acquisition costs reflected in the Segment 1 Real Estate Plan:

**Property Acquisition Estimated Values**

Name	APN	Estate	Acres	Estimated Value	First Written Offer
Danna & Danna, Inc.	016-120-015, 016	TCE FEE	.96 1.504	\$1,800. \$20,178	9-9-11
Shoei Food USA, Inc	016-060-019	TCE FEE	9.09 19.636	\$48,500* \$126,653	9-1-11
Danna Properties LP	016-120-008	TCE FEE	10.49 11.20	\$19,400 \$25,532	9-9-11
Valley View Packing Co.	016-090-008	FEE	.553	\$2,700	9-8-11
TCE			<b>20.54</b>	<b>\$69,700</b>	
FEE			<b>32.893</b>	<b>\$175,063</b>	
<b>TOTAL</b>			<b>53.433</b>	<b>\$244,763</b>	

### Capital Outlay and Support Costs

Category	Estimated Costs
Capital Outlay	\$244,763
Temporary Damages	\$37,500.
Support Costs	\$177,200.
<b>TOTAL</b>	<b>\$459,463</b>

- Shoei Foods Access Agreement: Shoei Foods and RD 784 entered into a Right of Entry agreement that gives RD 784 a non-exclusive license to enter upon the Shoei Property with personnel and necessary equipment to inspect and maintain the levee and to flood fight in times of high water.
  - Landside and Waterside Access Toe Corridor License Agreements: In support of the RE plan, TRLIA submitted to DWR proposed license agreements for joint use of the Toe Access Corridor. The license agreement would potentially be used with any property owner who owns agriculture property that abuts the levee and who wants to use the Toe Access Corridor for farming purposes. The licenses were presented to the Central Valley Flood Protection Board as an information item at its meeting on March 2, 2012.
- **Element 9:** Feather River Segment 1 Levee Improvement (formally Associated Project Element 2)
  - Feature 1.1 Design: Completed – Retention Release requested by TRLIA.
  - Feature 1.2 Permitting: Evaluation of the State’s Interim Levee Design Criteria is expected to take place this quarter under this Element and Feature.
  - Feature 1.3 Right of Way Support: Both legal and real estate activity will continue during this quarter to support the ongoing land acquisition activities associated with Project Element 8 Feature 1.
  - Feature 2 Construction Management: Completed – Retention Release requested by TRLIA
  - Feature 3 Construction: Completed – Retention Release requested by TRLIA
  - Feature 4 O&M manual addendum: Final O&M manual addendum was issued in December 2009. During the 14<sup>th</sup> quarter the Segment 2 addendum was amended per the Corps request to combine the previously approved Segment 1 and 3 addendum into a single addendum for the

Feather River levee. Also work was done on this Manual to reflect additional sections for OMRR&R requirements. This comprehensive addendum was completed in the 15<sup>th</sup> quarter and issued on December 12, 2011.

- **Element 9A:** Feather River Segment 1 Crack Repair (Added to Work Plan)
  - Feature A1.1 Engineering and Construction Management: Crack monitoring (e.g. inclinometers) will continue by TRLIA during this quarter.
  - Feature A1.2 Right of Way Support: See Project Element 9 Feature 1.3
  - Feature A2 Construction: Completed – Retention Release requested by TRLIA
  - Feature A3 O&M manual addendum: See Project Element 9 Feature 4
- **Environmental matters:**
  - Project Element 2:
    - River Partners continues to maintain the Feather River Elderberry mitigation area, wetlands, and drainage swale.
    - Vegetated Wave Buffer: See Project Element 2 Feature 1.2 for update.
    - Elderberry Mitigation Area: See Project Element 2 Feature 1.2 for update.
- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
  - **Project Elements 2, 3, 4, 5, 5A, 9, & 9A:**
    - See Attachment 1 for the Permit status update.
  - **Project Element 2:**
    - TRLIA will continue to work with DWR floodway maintenance staff and F&WS to develop an acceptable approach to floodway maintenance for all parties in the Feather River Floodway during this quarter. DWR has set up a committee to guide the actions within the Feather River Corridor, with participation from various branches of DWR, the CVFPB, the local maintenance districts, TRLIA, the counties, and other interested

stakeholder groups. The Committee is called the Feather River Corridor Management Plan development team.

- Evaluation of the State's Interim Levee 200-year Design Criteria will continue this quarter.

➤ **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.):**

- **Project Element 5, Feature 3: Construction** – Resolve all CVFPB conditions precedent to fence construction related to outstanding enforcement actions.
- **Project Element 7, Feature 2: Consulting Support** – Complete the final documentation report related to TRLIA's outstanding partial release of retention request.

● **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**

- **Issue 1:** TRLIA submitted a partial retention release on August 15, 2011 and requested payment by November 30, 2011. The length of time to approve and release the partial retention has begun to impact TRLIA's cash flow for the completion of this project. It is understood that \$5 million of the retention has been approved to be released. No retention payment has been received to date.

**Recommended Resolution:** Request DWR immediate payment of the partial release.

- **Issue 2:** The funding agreement currently expires June 30, 2012. Feather River Segment 1, 2, and 3 Real Estate transfers to the State will not be completed by the June date. Plus Feather River Segment 3 levee toe access corridor work will not be completed by the June date.

**Recommended Resolution:** Recommend the Feather River EIP funding agreement be extended to September 30, 2013.

- **Issue 3:** Due to the length of time that may be needed to transfer property to the state, TRLIA's land use responsibilities, which are part of the Feather EIP work, may extend beyond the current agreement completion date (June 30, 2012). To cover this time gap, TRLIA has recommended that DWR and TRLIA jointly create an escrow account and then jointly place the needed funding based on the current agreement cost sharing ratios into this escrow to pay for the TRLIA land maintenance costs until the land is transferred to the



State. Procedures can be established for both DWR and TRLIA to sign off on any expenditure from the escrow account. The establishment of the escrow account would allow DWR and TRLIA to manage the property past the agreement time period.

**Recommended Resolution:** DWR agree to the establishment of the escrow account.

- **Issue 4:** Segment 1 Crack monitoring (e.g. inclinometers) continues at expense to both TRLIA and RD784. The recent monitoring report identifies minimal movement in the inclinometers. On October 20, 2011 TRLIA asked CVFPB to reduce the monitoring from monthly to quarterly and after any large flood events. While some movement is still discernable, it is very small and the section is considered stable by TRLIA. The CVFPB has refused to reduce the requirements.

**Recommended Resolution:** DWR intercede with the CVFPB staff and work out a solution agreeable to all parties.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**

- No changes have been identified as part of this Quarterly Work Plan.

- **Any litigation, proceedings or claims relating to the Project:**

- Elements 1 thru 7: None at this time

- 5. Cost Information by Project Element:** TRLIA is submitting this 17th Quarterly Work Plan after the completion of the 15th Quarterly Progress report. The budgets and remaining budgeted expenditures are reflective of actual costs incurred as of the 15<sup>th</sup> Quarter and estimated costs to be incurred for the 16<sup>th</sup> Quarter. As result, the financial information included within this Quarterly Work Plan presents the projected remaining budget as of March 31, 2012 expected in each element taking into consideration projected expenses for the 16<sup>th</sup> Quarter based on the 16<sup>th</sup> QWP and actual expenditures as they are known.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed) :**

No changes are planned at this. The modifications provided as of the 14<sup>th</sup> QWP are reflected here.

- **Projected costs compared to Overall Work Plan Budget:**
- Element 1 – Real Estate (Segments 2 & 3): The Revised Overall Work Plan Budget for Element 1 is \$43.124 million. Through March 2012, TRLIA expects to have expended approximately 95.5% of the revised total budget, about \$41.2 million. In the 17<sup>th</sup> quarter of the project, TRLIA expects to be acquiring additional Property in Segment 3 related to the Toe Access corridor. The estimate for these expenses during the 17<sup>th</sup> Quarter is approximately \$459,600. This estimate may vary based upon the timing for completion of court trials and the ultimate outcomes. The remaining funding for this element is anticipated to be used to settle remaining eminent domain cases.
  - Element 8 – Real Estate (Segment 1): The Overall Work Plan Budget for Element 8 is \$630,272. Through March 2012, TRLIA expects to have expended approximately 15.3% of the revised total budget, about \$96,000. In the 17<sup>th</sup> quarter of the project, TRLIA does not expect to be able to start the acquisition of toe access corridor easements this quarter, and expects costs to be incurred in the 18<sup>th</sup> Quarter. Funding for these acquisitions will come from TRLIA and the State through the Real Estate Acquisition process.
  - Element 2 – Segment 2 Construction (Except Tie-Ins): The revised overall budget for Element 2 is \$89.37 million. Through the end of the 16<sup>th</sup> Quarter (March 2012) TRLIA expects to have expended \$88.3 million. During the 17<sup>th</sup> Quarter TRLIA expects to incur an additional \$104,000. This will be related to 200-Year compliance determination, ROW support and environmental monitoring expenses. Including this work, through December 2011, TRLIA expects to have expended approximately 99% of the revised budget for Element 2.
  - Element 3 – Segment 2 Construction (Tie-Ins): This element is complete and no work is forecasted this Quarter.
  - Element 4 – Segment 2 Existing Levee Degrade: This element is complete and no work is forecasted this Quarter.
  - Element 5 – Segment 3 Levee Improvements: The revised overall budget for Element 5 is \$12.16 million. Through end of the 16<sup>th</sup> Quarter (March 2012), TRLIA expects to have expended approximately 94.5% of the total revised budget, about \$11.5 million. Through the 17<sup>th</sup> Quarter (June 2012), TRLIA expects to continue working on the acquisition required maintenance easement land acquisition and associated construction pending approval from the CVFPP. During the 17<sup>th</sup> Quarter TRLIA expects to incur an additional \$153,000. This will predominately be ROW support expenses associated with the Toe-Access Corridor work. Including this work, through March 2011, TRLIA expects to have expended approximately 95.8% of the revised budget for Element 5. To the extent TRLIA is able to start the fence construction work, an additional \$390,000 could be spent.

- Element 5A – Segment 3 Erosion Site 2: This element is complete and no work is forecasted this Quarter.
- Element 6 – Feather River Land Management: The revised overall budget for Element 6 is \$2,605,000. Through the 16<sup>th</sup> Quarter, March 2012, TRLIA will have expended approximately 27% (\$702,000) of this budget. Additional activities related to managing the 1,600 acre setback area will continue this quarter. TRLIA expects to expend an additional \$86,000 on set-back area maintenance activities (ongoing monitoring and repairs) during the quarter. Additionally, as previously discussed, TRLIA will continue to manage all Agricultural Leases on the property and any income produced from the property will go to offset the costs of the project.
- Element 7 - Project Management, Legal, Community Relations, Land Management, and Overhead: The revised overall budget for Element 7 is \$5.6 million. Through the 15<sup>th</sup> Quarter (March 2012), TRLIA expects to have expended the entire budget. Due to the extended duration of the project additional overhead expenses will be incurred. Through the 17<sup>th</sup> Quarter (June 2012), TRLIA expects to expend an additional \$31,000. TRLIA has adjusted the overhead allocation to the Feather River project and will continue to monitor the budget of this element and, if the expenses are forecasted to exceed the budget by more than 5%, TRLIA will adjust the overall budget for the element. The primary cause for the cost of this element exceeding budget is due to the extended duration of the project.
- Element 9 – Segment 1 Levee Improvements: The overall budget for Element 9 is \$12.5 million. Through end of the 16<sup>th</sup> Quarter (March 2011), TRLIA expects to have expended approximately 97.6% of the total budget, about \$12.1 million. Through the 17<sup>th</sup> Quarter (June 2012), TRLIA expects to work on the acquisition of the required levee toe access corridor. Expenses for the quarter are primarily related to Real Estate support activities.
- Element 9A –Segment 1 Crack Repair: This element is complete and no work is forecasted this Quarter.
- **The amount of funds sought from the State and the Amount of Local Funds intended to be expended**

As presented in Item 3 of this 17<sup>th</sup> Quarter Work Plan:

<b>Summary</b>				
<b>Description</b>	<b>State Share</b>	<b>Local Share</b>	<b>Total</b>	<b>Local Credit Applied</b>
Element 1 & 8 - Land Acquisition	\$321,720	\$137,880	\$459,600	\$0
Elements 2 through 7, 9A	\$326,646	\$102,350	\$428,996	\$0
<b>Total</b>	<b>\$648,366</b>	<b>\$240,230</b>	<b>\$888,596</b>	<b>\$0</b>

<b>Quarterly Summary for Real Estate Activity</b>	
State Share Elements 1 & 8	\$321,720
Local Credit Applied Elements 1 & 8	\$137,880
<b>Estimated Total Quarterly State Real Estate Funding</b>	<b>\$459,600</b>

<b>Quarterly Advance Summary for Non-Real Estate Activity</b>	
75% of State Share Elements 2 through 7 & 9A	\$244,985
Prior State Share Underfunded (Estimated Based on 16 <sup>th</sup> Quarter Advance & Reconciliation Below)	-\$2,670,929
<b>Total Advance Request of State Share for 17th Quarter</b>	<b>\$0</b>

The calculation of Total Funding due for State Share at the start of 17<sup>th</sup> Quarter is subject to True-Ups to account for actual expenses incurred through the 16<sup>th</sup> and 17<sup>th</sup> Quarter (based on the most recent 16<sup>th</sup> QPR Submission and advance funding received to date). The State has processed advance payments through the 14<sup>th</sup> Quarter and, to date, based upon the calculations provided below, when including credits accrued from real estate settlements, interest on advance funding and other payments, the State has over funded its share of expenses net of the 10% retention requirement on construction funding. No advance would be expected from the State based upon this 17<sup>th</sup> Quarterly Work Plan.

**Reconciliation of Prior State Funding for Construction**

**Per 15th Quarter QPR (True-Up)**

Total Construction Expenses (Elements 2 - 9A) [1]	\$127,102,894
Total State Share of Construction Expenses [2]	\$102,630,123
Total State Funding Due (net of 10% Retention)	<b>\$92,367,111</b>
Total State Advance/True-Up Funding Received to Date for Construction	<b>\$95,218,989</b>
State Share Construction Funding Due as of 14th Quarter True-Up	<b>-\$2,851,878</b>

[1] Excludes Creditable Expenses.

[2] DWR Review of 15th QPR Statement of Costs still in process.

**Per 16th Quarter Approved QWP**

Total Construction Projection (Elements 2 - 9A) [1]	\$917,546
State Share of Projection	\$675,278
75% of State Share for Quarterly Advance	<b>\$506,459</b>

**State Accumulated Credit Reported in 15th QPR**

Interest Earned on State Advanced Funding	\$130,116
State Share of Ag Lease Payments on FR Lands	\$53,740
State Share of Condemnation Settlements Less than Advance	\$141,653
Total State Funding Credits with TRLIA to Date	<b>\$325,509</b>

<b>Net State Share Due (15th Qtr True Up plus 16th Qtr Advance)</b>	<b>-\$2,670,929</b>
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➤ **Detailed Quarterly Work Plan Budget Forecast**

The following tables present Element and Feature level details for this 17<sup>th</sup> Quarterly Work Plan.

# Summary of TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

**SUMMARY ALL ELEMENTS**

Elements and Features	Revised Overall Budget [1]			Forecasted Expenses (through June 2011)				Forecasted Remaining Budget			Quarterly Forecast			Advance
	Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share	Total	State	Local	State Share
	b	c*	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e	j=c-f-g	k=d-h	l	m=lx	n=l-m	o=m*75%
Formula		<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>							
<b>Quarterly Advance Request</b>														
Element 2: Segment 2 New Levee	\$89,373,546	\$74,023,282	\$15,350,265	\$88,342,987	\$6,777,121	\$66,392,589	\$15,173,277	\$1,030,560	\$853,572	\$176,988	\$104,215	\$86,315	\$17,899	\$64,737
Element 3: Segment 2 Levee Tie Ins	\$7,690,052	\$6,369,255	\$1,320,797	\$7,684,448	\$0	\$6,364,612	\$1,319,836	\$5,604	\$4,643	\$961	\$0	\$0	\$0	\$0
Element 4: Segment 2 Levee Degradation	\$16,541,213	\$13,700,193	\$2,841,019	\$16,524,427	\$0	\$13,686,287	\$0	\$16,786	\$13,906	\$2,880	\$0	\$0	\$0	\$0
Element 5: Segment 3 Levee Improvement	\$12,160,917	\$8,512,642	\$3,648,275	\$11,491,401	\$3,155,224	\$4,888,757	\$3,447,420	\$669,516	\$468,661	\$200,855	\$153,847	\$107,693	\$46,154	\$80,770
Element 5A: Segment 3 Erosion Site 2	\$688,000	\$481,600	\$206,400	\$684,518	\$0	\$479,163	\$205,355	\$3,482	\$2,437	\$1,045	\$0	\$0	\$0	\$0
Element 6: Land Management	\$2,605,000	\$2,157,581	\$447,419	\$702,201	\$0	\$581,595	\$120,606	\$1,902,799	\$1,575,986	\$326,813	\$86,000	\$71,229	\$14,771	\$53,422
Element 7: PM & Overhead	\$5,601,840	\$4,275,298	\$1,326,543	\$5,702,662	\$1,464,186	\$2,888,073	\$1,350,402	-\$100,821	-\$76,962	-\$23,860	\$30,929	\$23,605	\$7,324	\$17,704
Element 9: Segment 1 Levee Improvement	\$12,429,728	\$8,700,810	\$3,728,918	\$12,132,911	\$987,512	\$7,505,525	\$3,639,873	\$296,818	\$207,772	\$89,045	\$52,505	\$36,754	\$15,752	\$27,565
Element 9A: Segment 1 Crack Repair	\$575,000	\$402,500	\$172,500	\$507,246	\$0	\$355,072	\$152,174	\$67,754	\$47,428	\$20,326	\$1,500	\$1,050	\$450	\$788
<b>Subtotal Elements 2 through 9A</b>	<b>\$147,665,296</b>	<b>\$118,623,160</b>	<b>\$29,042,137</b>	<b>\$143,772,799</b>	<b>\$12,384,043</b>	<b>\$103,141,673</b>	<b>\$25,408,944</b>	<b>\$3,892,497</b>	<b>\$3,097,443</b>	<b>\$795,054</b>	<b>\$428,996</b>	<b>\$326,646</b>	<b>\$102,350</b>	<b>\$244,985</b>
<b>Totals for Quarter Eligible for 75% Advance of State Share</b>											<b>\$428,996</b>	<b>\$326,646</b>	<b>\$102,350</b>	<b>\$244,985</b>
<b>Real Estate Forecast for Quarter</b>														
				<b>Cumulative Estimated Expenses (through December)</b>										
Element 1: Land Acquisition	\$43,124,165	\$35,630,284	\$7,493,881	\$41,163,477	\$3,022,287	\$31,043,011	\$7,098,178	\$1,960,688	\$1,564,986	\$395,703	\$459,600	\$321,720	\$137,880	
Element 8: Segment 1 Land Acquisition	\$630,272	\$441,190	\$189,082	\$96,453	\$47,728	\$19,789	\$28,936	\$533,819	\$373,673	\$160,146	\$0	\$0	\$0	
<b>Subtotal Real Estate Forecast</b>	<b>\$43,754,437</b>	<b>\$36,071,475</b>	<b>\$7,682,962</b>	<b>\$41,259,930</b>	<b>\$3,070,016</b>	<b>\$31,062,800</b>	<b>\$7,127,114</b>	<b>\$2,494,507</b>	<b>\$1,938,659</b>	<b>\$555,848</b>	<b>\$459,600</b>	<b>\$321,720</b>	<b>\$137,880</b>	
<b>Total Elements 1 through 9A</b>	<b>\$191,419,733</b>	<b>\$154,694,634</b>	<b>\$36,725,099</b>	<b>\$185,032,729</b>	<b>\$15,454,058</b>	<b>\$134,204,473</b>	<b>\$32,536,058</b>	<b>\$6,387,005</b>	<b>\$5,036,102</b>	<b>\$1,350,902</b>	<b>\$888,596</b>	<b>\$648,366</b>	<b>\$240,230</b>	

### Local Credit Reconciliation

<b>Total Local Share of Expenses this Quarter</b>	<b>\$102,350 (From Above)</b>
<b>Total Approved Local Credit</b>	<b>\$15,454,060 (Based on Approval Letter)</b>
<b>Use of Local Credit</b>	
Use of Local Credit for Real Estate to Date	-\$5,282,078
Payments of Credit w/ 1st Quarterly Advance (Per Invoice Advance - 1)	-\$2,461,666
Payments of Credit w/ 2nd Quarterly Advance (Per Revised Invoice Advance-2)	-\$1,469,507
Payments of Credit w/2nd Quarter True-Up	-\$3,812,451
Payments of Credit w/3rd Quarterly Advance	-\$567,189
Payments of Credit w/3rd Quarterly Advance (Update based on Addtl. Costs)	-\$825,928
Payments for 9th and 10th Quarter Progress Reports	-\$1,035,241
<b>Use of Local Credit</b>	<b>-\$15,454,060</b>
<b>Total Local Credit Used</b>	<b>-\$15,454,060</b>
Remaining Local Credit	<b>\$0</b>
<b>Requested Local Credit to be Paid with Advance</b>	<b>\$0 (No Local Credit Remaining)</b>

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

[2] Reflects costs incurred through submitted 14th QPR (September '11) with estimates of expenses forecasted in 15th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2011 plus forecasted amount (ref. Note 2).

## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

**ELEMENT 1**

Elements and Features	State Share	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)				Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share Amounts		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payment g	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lx	
<b>Element 1: Feather River EIP Land Acquisition (see real estate Acquisition Plan)</b>																
Feature 1 - Feather Segment :	82.8246%	\$42,444,743	\$35,154,689	\$7,290,054	\$40,943,748	\$2,983,247	\$30,928,241	\$7,032,260	\$1,500,995	\$1,243,201	\$257,795	4%	\$0	\$0	\$0	0%
Feature 2 - Feather Segment :	70.0000%	\$679,422	\$475,595	\$203,827	\$219,729	\$39,040	\$114,770	\$65,919	\$459,693	\$321,785	\$137,908	68%	\$459,600	\$321,720	\$137,880	100%
<b>Subtotal Element 1</b>		<b>\$43,124,165</b>	<b>\$35,630,284</b>	<b>\$7,493,881</b>	<b>\$41,163,477</b>	<b>\$3,022,287</b>	<b>\$31,043,011</b>	<b>\$7,098,178</b>	<b>\$1,960,688</b>	<b>\$1,564,986</b>	<b>\$395,703</b>	<b>5%</b>	<b>\$459,600</b>	<b>\$321,720</b>	<b>\$137,880</b>	<b>23%</b>

"17QElem1"

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

[2] Reflects costs incurred through submitted 14th QPR (September '11) with estimates of expenses forecasted in 15th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2011 plus forecasted amount (ref. Note 2).

## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

**ELEMENT 8**

Elements and Features	State Share Percentag e	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)				Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remainin g Budget
		Total	State	Local	Total	State Share Amounts		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payment g	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>				[2]	[3]	[4]										
<b>Element 8: Segment 1 Feather River EIP Land Acquisition</b>																
Feature 1 - Feather Segment	70.0000%	\$630,272	\$441,190	\$189,082	\$96,453	\$47,728	\$19,789	\$28,936	\$533,819	\$373,673	\$160,146	85%	\$0	\$0	\$0	0%
<b>Subtotal Element 8</b>		<b>\$630,272</b>	<b>\$441,190</b>	<b>\$189,082</b>	<b>\$96,453</b>	<b>\$47,728</b>	<b>\$19,789</b>	<b>\$28,936</b>	<b>\$533,819</b>	<b>\$373,673</b>	<b>\$160,146</b>	<b>85%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

\*17QElem1\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

[2] Reflects costs incurred through submitted 14th QPR (September '11) with estimates of expenses forecasted in 15th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2011 plus forecasted amount (ref. Note 2).



## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

### ELEMENT 2

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)				Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>**Not less than zero</small>								
<b>Element 2: Feather River Segment 2 new levee (Excludes Levee Tie Ins and Existing Levee Degradation)</b>																
2.1.1: Design	82.8246%	\$6,577,466	\$5,447,760	\$1,129,706	\$6,604,016	\$4,533,871	\$935,878	\$1,134,267	-\$26,550	-\$21,989	-\$4,561	0%	\$0	\$0	\$0	0%
2.1.2: Permitting	82.8246%	\$3,175,659	\$2,630,226	\$545,433	\$2,978,740	\$1,204,454	\$1,262,675	\$511,611	\$196,919	\$163,097	\$33,822	6%	\$12,000	\$9,939	\$2,061	6%
2.1.3: Right of Way Support	82.8246%	\$6,218,380	\$5,150,348	\$1,068,032	\$5,621,828	\$940,436	\$3,715,820	\$965,572	\$596,552	\$494,092	\$102,459	10%	\$30,000	\$24,847	\$5,153	5%
2.2: Construction Management	82.8246%	\$7,344,051	\$6,082,681	\$1,261,370	\$7,366,914	\$14,362	\$6,087,254	\$1,265,298	-\$22,862	-\$18,934	-\$3,928	0%	\$0	\$0	\$0	0%
2.3 Construction of Setback																
2.3.1: Foundation	82.8246%	\$23,605,485	\$19,551,149	\$4,054,337	\$23,606,069	\$0	\$19,551,628	\$4,054,441	-\$583	-\$479	-\$104	0%	\$0	\$0	\$0	0%
2.3.2: Embankment	82.8246%	\$34,128,507	\$28,266,800	\$5,861,708	\$34,127,788	\$42,486	\$28,223,711	\$5,861,590	\$719	\$602	\$118	0%	\$0	\$0	\$0	0%
2.3.3: Relocation of PG&E Fac	82.8246%	\$2,605,894	\$2,158,322	\$447,573	\$2,605,433	\$41,412	\$2,116,527	\$447,494	\$461	\$382	\$79	0%	\$0	\$0	\$0	0%
2.4: Environmental & Cultural Mitigation																
2.4.1: Mitigation & Restoration	82.8246%	\$5,500,000	\$4,555,353	\$944,647	\$5,412,180	\$99	\$4,482,516	\$929,565	\$87,820	\$72,737	\$15,083	2%	\$62,215	\$51,529	\$10,686	71%
2.4.2: Cultural Mitigation/Sectic	82.8246%	\$50,000	\$41,412	\$8,588	\$0	\$0	\$0	\$0	\$50,000	\$41,412	\$8,588	100%	\$0	\$0	\$0	0%
2.5: Operation and Maintenance	82.8246%	\$168,103	\$139,231	\$28,872	\$20,018	\$0	\$16,580	\$3,438	\$148,086	\$122,651	\$25,434	88%	\$0	\$0	\$0	0%
<b>Subtotal Element 2</b>		<b>\$89,373,546</b>	<b>\$74,023,282</b>	<b>\$15,350,265</b>	<b>\$88,342,987</b>	<b>\$6,777,121</b>	<b>\$66,392,589</b>	<b>\$15,173,277</b>	<b>\$1,030,560</b>	<b>\$853,572</b>	<b>\$176,988</b>	<b>1%</b>	<b>\$104,215</b>	<b>\$86,315</b>	<b>\$17,899</b>	<b>10%</b>

\*17QElem2\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

[2] Reflects costs incurred through submitted 14th QPR (September '11) with estimates of expenses forecasted in 15th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2011 plus forecasted amount (ref. Note 2).

## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

### ELEMENT 3

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)			Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Local	Total	State		Local	Total	State		Local
		a	b	c*	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]									
<b>Element 3: Feather River Segment 2 Levee Tie Ins</b>																
3.1.1: Foundation	82.8246%	\$5,149,347	\$4,264,926	\$884,421	\$5,146,426	\$0	\$4,262,505	\$883,920	\$2,921	\$2,420	\$501	0%	\$0	\$0	\$0	0%
3.1.2: Embankment	82.8246%	\$2,540,705	\$2,104,329	\$436,376	\$2,538,022	\$0	\$2,102,106	\$435,916	\$2,683	\$2,223	\$460	0%	\$0	\$0	\$0	0%
<b>Subtotal Element 3</b>		<b>\$7,690,052</b>	<b>\$6,369,255</b>	<b>\$1,320,797</b>	<b>\$7,684,448</b>	<b>\$0</b>	<b>\$6,364,612</b>	<b>\$1,319,836</b>	<b>\$5,604</b>	<b>\$4,643</b>	<b>\$961</b>	<b>0%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

"17QElem3"

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

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## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

### ELEMENT 4

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)			Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Local Share	Total	State Share		Local Share	Total	State		Local
		a	b	c*	d = b-c	e	f	g	h = e-f-g	i = b-e		j = c-f-g	k = d-h	l		m = l x a
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 4: Feather River Segment 2 Levee Degradation</b>																
4.1: Construction	82.8246%	\$16,541,213	\$13,700,193	\$2,841,019	\$16,524,427	\$0	\$13,686,287	\$2,838,139	\$16,786	\$13,906	\$2,880	0%	\$0	\$0	\$0	0%
<b>Subtotal Element 4</b>		<b>\$16,541,213</b>	<b>\$13,700,193</b>	<b>\$2,841,019</b>	<b>\$16,524,427</b>	<b>\$0</b>	<b>\$13,686,287</b>	<b>\$0</b>	<b>\$16,786</b>	<b>\$13,906</b>	<b>\$2,880</b>	<b>0%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

\*17QElem4\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

[2] Reflects costs incurred through submitted 14th QPR (September '11) with estimates of expenses forecasted in 15th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

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## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

### ELEMENT 5

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)				Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lx	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 5: Feather River Segment 3 levee improvement</b>																
5.1.1: Design	70.0000%	\$945,083	\$661,558	\$283,525	\$937,396	\$582,099	\$74,079	\$281,219	\$7,686	\$5,380	\$2,306	1%	\$0	\$0	\$0	0%
5.1.2: Permitting	70.0000%	\$303,096	\$212,167	\$90,929	\$224,612	\$91,124	\$66,104	\$67,384	\$78,484	\$54,939	\$23,545	26%	\$25,597	\$17,918	\$7,679	33%
5.1.3: Right of Way Support	70.0000%	\$793,821	\$555,674	\$238,146	\$619,899	\$136,399	\$297,530	\$185,970	\$173,921	\$121,745	\$52,176	22%	\$98,750	\$69,125	\$29,625	57%
5.2: Construction Management	70.0000%	\$1,170,663	\$819,464	\$351,199	\$1,137,912	\$438,350	\$358,188	\$341,373	\$32,751	\$22,926	\$9,825	3%	\$29,500	\$20,650	\$8,850	90%
5.3 Construction	70.0000%	\$8,919,203	\$6,243,442	\$2,675,761	\$8,564,898	\$1,907,252	\$4,088,177	\$2,569,469	\$354,304	\$248,013	\$106,291	4%	\$0	\$0	\$0	0%
5.4: O&M Manual	70.0000%	\$29,052	\$20,336	\$8,716	\$6,683	\$0	\$4,678	\$2,005	\$22,369	\$15,658	\$6,711	77%	\$0	\$0	\$0	0%
<b>Subtotal Element 5</b>		<b>\$12,160,917</b>	<b>\$8,512,642</b>	<b>\$3,648,275</b>	<b>\$11,491,401</b>	<b>\$3,155,224</b>	<b>\$4,888,757</b>	<b>\$3,447,420</b>	<b>\$669,516</b>	<b>\$468,661</b>	<b>\$200,855</b>	<b>6%</b>	<b>\$153,847</b>	<b>\$107,693</b>	<b>\$46,154</b>	<b>23%</b>

\*17QElem5\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

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## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

### ELEMENT 5A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)			Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Local Share	Total	State Share		Local Share	Total	State		Local
		a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx
<b>Formula</b>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 5A: Feather River Segment 3 Erosion Site 2</b>																
5A.1.1 - Design	70.0000%	\$54,247	\$37,973	\$16,274	\$53,962	\$0	\$37,773	\$16,189	\$285	\$200	\$86	1%	\$0	\$0	\$0	0%
5A.1.2 - Permitting	70.0000%	\$112,302	\$78,611	\$33,691	\$111,341	\$0	\$77,939	\$33,402	\$961	\$672	\$288	1%	\$0	\$0	\$0	0%
5A.1.3 - ROW Support	70.0000%	\$6,000	\$4,200	\$1,800	\$5,830	\$0	\$4,081	\$1,749	\$170	\$119	\$51	3%	\$0	\$0	\$0	0%
5A.2 - Construction Managemer	70.0000%	\$32,239	\$22,567	\$9,672	\$31,634	\$0	\$22,144	\$9,490	\$605	\$423	\$181	2%	\$0	\$0	\$0	0%
5A.3 - Construction	70.0000%	\$482,212	\$337,548	\$144,664	\$481,751	\$0	\$337,226	\$144,525	\$461	\$323	\$138	0%	\$0	\$0	\$0	0%
5A.4 - O&M manual addendum	70.0000%	\$1,000	\$700	\$300	\$0	\$0	\$0	\$0	\$1,000	\$700	\$300	100%	\$0	\$0	\$0	0%
<b>Subtotal Element 5A</b>		<b>\$688,000</b>	<b>\$481,600</b>	<b>\$206,400</b>	<b>\$684,518</b>	<b>\$0</b>	<b>\$479,163</b>	<b>\$205,355</b>	<b>\$3,482</b>	<b>\$2,437</b>	<b>\$1,045</b>	<b>1%</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0%</b>

\*17QElem5A\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

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## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

### ELEMENT 6

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)			Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share		Local Share	Total	State Share		Local Share	Total	State		Local
		a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lx
<b>Formula</b>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 6: Feather River Land Management</b>																
Feature 6.1: Env. Maintenance	82.8246%	\$210,000	\$173,932	\$36,068	\$123,914	\$0	\$102,631	\$21,283	\$86,086	\$71,301	\$14,786	41%	\$16,000	\$13,252	\$2,748	19%
Feature 6.2: Lease Back	82.8246%	\$25,000	\$20,706	\$4,294	\$2,321	\$0	\$1,923	\$399	\$22,679	\$18,784	\$3,895	91%	\$0	\$0	\$0	0%
Feature 6.3: Land Maintenance	82.8246%	\$2,370,000	\$1,962,943	\$407,057	\$575,966	\$0	\$477,042	\$98,925	\$1,794,034	\$1,485,901	\$308,132	76%	\$70,000	\$57,977	\$12,023	4%
<b>Subtotal Element 6</b>		<b>\$2,605,000</b>	<b>\$2,157,581</b>	<b>\$447,419</b>	<b>\$702,201</b>	<b>\$0</b>	<b>\$581,595</b>	<b>\$120,606</b>	<b>\$1,902,799</b>	<b>\$1,575,986</b>	<b>\$326,813</b>	<b>73%</b>	<b>\$86,000</b>	<b>\$71,229</b>	<b>\$14,771</b>	<b>5%</b>

\*17QElem6\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

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## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

### ELEMENT 7

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)			Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget	
		Total	State	Local	Total	State Share	Local Share	Total	State Share	Local Share		Total	State	Local		
		a	b	c* <small>*Based on Overall Workplan</small>	d = b-c	e	Credit f	Payments g	Local Share (after credit) h=e-f-g	i=b-e		j=c-f-g	k=d-h	l		m=lxa
<b>Formula</b>					[2]	[3]	[4]									
<b>Element 7: Project Management, Legal, Community Relations support, Land Management, and Overhead</b>																
Feature 7.1: Salaries	76.3198%	\$1,384,737	\$1,056,829	\$327,909	\$1,397,475	\$373,722	\$692,829	\$330,925	-\$12,738	-\$9,721	-\$3,017	-1%	\$13,500	\$10,303	\$3,197	-106%
Feature 7.2: Consulting	76.3198%	\$1,714,571	\$1,308,542	\$406,029	\$1,806,472	\$461,956	\$916,740	\$427,776	-\$91,901	-\$70,154	-\$21,747	-5%	\$7,500	\$5,724	\$1,776	-8%
Feature 7.3: Legal	76.3198%	\$1,220,497	\$931,481	\$289,016	\$1,210,020	\$381,323	\$542,162	\$286,535	\$10,477	\$7,996	\$2,481	1%	\$7,500	\$5,724	\$1,776	72%
Feature 7.4: Travel	76.3198%	\$9,827	\$7,500	\$2,327	\$8,519	\$3,933	\$2,568	\$2,017	\$1,309	\$999	\$310	13%	\$0	\$0	\$0	0%
Feature 7.5: General	76.3198%	\$350,756	\$267,696	\$83,060	\$320,136	\$60,919	\$183,408	\$75,809	\$30,620	\$23,369	\$7,251	9%	\$2,250	\$1,717	\$533	7%
Feature 7.6: Insurance	76.3198%	\$876,375	\$668,848	\$207,528	\$931,162	\$174,173	\$536,488	\$220,501	-\$54,787	-\$41,813	-\$12,974	-6%	\$0	\$0	\$0	0%
Feature 7.7: Travel/Vehicle	76.3198%	\$26,273	\$20,051	\$6,221	\$22,187	\$6,230	\$10,702	\$5,254	\$4,086	\$3,119	\$968	16%	\$179	\$137	\$42	4%
Feature 7.8: Memberships	76.3198%	\$18,804	\$14,351	\$4,453	\$6,691	\$1,930	\$3,176	\$1,584	\$12,113	\$9,245	\$2,868	64%	\$0	\$0	\$0	0%
<b>Subtotal Element 7</b>		<b>\$5,601,840</b>	<b>\$4,275,298</b>	<b>\$1,326,543</b>	<b>\$5,702,662</b>	<b>\$1,464,186</b>	<b>\$2,888,073</b>	<b>\$1,350,402</b>	<b>-\$100,821</b>	<b>-\$76,962</b>	<b>-\$23,860</b>	<b>-2%</b>	<b>\$30,929</b>	<b>\$23,605</b>	<b>\$7,324</b>	<b>-31%</b>

\*17QElem7\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

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# TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

**ELEMENT 9**

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)				Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	Credit	Payments	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lxa	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 9: Feather River Segment 1 levee improvement</b>																
Feature 9.1.1 - Design	70.0000%	\$1,185,322	\$829,725	\$355,597	\$1,192,319	\$740,120	\$94,503	\$357,696	-\$6,997	-\$4,898	-\$2,099	-1%	\$0	\$0	\$0	0%
Feature 9.1.2 - Permitting	70.0000%	\$295,934	\$207,154	\$88,780	\$206,057	\$112,717	\$31,523	\$61,817	\$89,877	\$62,914	\$26,963	30%	\$10,000	\$7,000	\$3,000	11%
Feature 9.1.3 - ROW Support	70.0000%	\$475,781	\$333,047	\$142,734	\$281,491	\$0	\$197,044	\$84,447	\$194,289	\$136,003	\$58,287	41%	\$42,505	\$29,754	\$12,752	22%
Feature 9.2 - Construction Mgt.	70.0000%	\$1,381,680	\$967,176	\$414,504	\$1,373,820	\$132,417	\$829,257	\$412,146	\$7,860	\$5,502	\$2,358	1%	\$0	\$0	\$0	0%
Feature 9.3 - Construction	70.0000%	\$9,078,414	\$6,354,890	\$2,723,524	\$9,076,695	\$2,258	\$6,351,429	\$2,723,009	\$1,719	\$1,203	\$516	0%	\$0	\$0	\$0	0%
Feature 9.4 - O&M Segment 1	70.0000%	\$12,597	\$8,818	\$3,779	\$2,529	\$0	\$1,770	\$759	\$10,069	\$7,048	\$3,021	80%	\$0	\$0	\$0	0%
<b>Subtotal Element 9</b>		<b>\$12,429,728</b>	<b>\$8,700,810</b>	<b>\$3,728,918</b>	<b>\$12,132,911</b>	<b>\$987,512</b>	<b>\$7,505,525</b>	<b>\$3,639,873</b>	<b>\$296,818</b>	<b>\$207,772</b>	<b>\$89,045</b>	<b>2%</b>	<b>\$52,505</b>	<b>\$36,754</b>	<b>\$15,752</b>	<b>18%</b>

\*17QElem9\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

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## TRLIA Quarterly Work Plan Budget

For Period April/May/June 2012

### ELEMENT 9A

Elements and Features	State Share Percentage	Revised Overall Budget [1]			Cumulative Expenses (through March 2011)				Forecasted Remaining Budget			% of Total Budget Remaining	16th Quarterly Forecast			% of Remaining Budget
		Total	State	Local	Total	State Share		Local Share	Total	State Share	Local Share		Total	State	Local	
		a	b	c*	d = b-c	e	f	g	h=e-f-g	i=b-e	j=c-f-g		k=d-h	l	m=lx	
<i>Formula</i>			<small>*Based on Overall Workplan</small>		[2]	[3]	[4]	<small>*Not less than zero</small>								
<b>Element 9A: Feather River Segment 1 Crack Repair</b>																
Feature 1.1 - Engineering, Desi	70.0000%	\$125,000	\$87,500	\$37,500	\$75,127	\$0	\$52,589	\$22,538	\$49,873	\$34,911	\$14,962	40%	\$1,500	\$1,050	\$450	3%
Feature 1.2 - Right of Way Supr	70.0000%	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Feature 2 - Construction	70.0000%	\$440,000	\$308,000	\$132,000	\$432,119	\$0	\$302,483	\$129,636	\$7,881	\$5,517	\$2,364	2%	\$0	\$0	\$0	0%
Feature 3 - Operations & Mainte	70.0000%	\$10,000	\$7,000	\$3,000	\$0	\$0	\$0	\$0	\$10,000	\$7,000	\$3,000	100%	\$0	\$0	\$0	0%
<b>Subtotal Element 9A</b>		<b>\$575,000</b>	<b>\$402,500</b>	<b>\$172,500</b>	<b>\$507,246</b>	<b>\$0</b>	<b>\$355,072</b>	<b>\$152,174</b>	<b>\$67,754</b>	<b>\$47,428</b>	<b>\$20,326</b>	<b>12%</b>	<b>\$1,500</b>	<b>\$1,050</b>	<b>\$450</b>	<b>2%</b>

\*17QElem9A\*

[1] Revised per the OAWP Budget Submitted for the 14th QWP submitted June 1, 2011.

[2] Reflects costs incurred through submitted 14th QPR (September '11) with estimates of expenses forecasted in 15th QWP. Adjustments have been made as necessary for actual expenses incurred and schedule/scope of work changes.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March 2011 plus forecasted amount (ref. Note 2).

**5. Schedule Information by Project Element:** Shown in the figure below is an updated & truncated Exhibit A (overall project schedule) that was provided in the 15<sup>th</sup> QPR.

Project Elements and Features	2009												2010												2011											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Element 1: Land Acquisition (see real estate Acquisition Plan)																																				
Feature 1 - Feather Segment 2																																				
Feature 2 - Feather Segment 3																																				
Element 2: Feather River Segment 2 at-bank levee into 6-ft-0in, new levee construction requiring federal action, and levee degrade (except as noted in features)																																				
Feature 1.1 - all segment 2 Design																																				
Feature 1.2 - all segment 2 Permitting																																				
Feature 1.3 - all segment 2 ROW support																																				
Feature 2 - all Construction Mng.																																				
Feature 3.1 - Foundation Construction																																				
Feature 3.2 - Embankment Construction																																				
Feature 4 - Environmental & Cultural Mitigation																																				
Feature 5 - all O&M Manual Segment 2																																				
Element 3: Feather River Segment 2 6-ft-0in and new levee construction requiring federal action																																				
Feature 1 - Construction																																				
Element 4: Feather River Segment 2 levee degradation																																				
Feature 1 - Segment 2 Existing Levee Degrade																																				
Element 5: Feather River Segment 2 levee improvement																																				
Feature 1.1 - Design																																				
Feature 1.2 - Permitting																																				
Feature 1.3 - ROW Support																																				
Feature 2 - Construction Mng.																																				
Feature 3 - Construction																																				
Feature 4 - O&M manual																																				
Element 5A: Erosion Site 2																																				
Feature 1.1 - Design																																				
Feature 1.2 - Permitting																																				
Feature 1.3 - ROW Support																																				
Feature 2 - Construction Mng.																																				
Feature 3 - Construction																																				
Feature 4 - O&M Manual																																				
Element 6: Feather River Land Management																																				
Feature 1 - Erosion Maintenance																																				
Feature 2 - Lease back activities																																				
Feature 3 - Land Monitor/Security																																				
Element 7: Project Management, legal support, community relations support, land management support, and overhead																																				
Feature 1 - TRIA Salaries/Benefits																																				
Feature 2 - TRIA Consultant Exp.																																				
Feature 3 - TRIA Legal Support																																				
Feature 4 - TRIA Travel Expenses																																				
Feature 5 - TRIA General Expenses																																				
Feature 6 - TRIA Insurance																																				
Feature 7 - TRIA Travel																																				
Feature 8 - TRIA Membership																																				
Element 8: Feather River RIP Land Acquisition (see real estate Acquisition Plan)																																				
Feature 1 - Segment 1																																				
Element 9: Feather River Segment 1 levee improvement																																				
Feature 1.1 - Design																																				
Feature 1.2 - Permitting																																				
Feature 1.2 - ROW Support																																				
Feature 2 - Construction Mng.																																				
Feature 3 - Construction																																				
Feature 4 - O&M Manual																																				
Element 9A: Feather River Segment 1 Crack Repair																																				
Feature 1.1 - Design & Permitting																																				
Feature 1.2 - ROW Support																																				
Feature 2 - Construction																																				
Feature 3 - O&M Manual																																				

**Red:** Original Schedule in Exhibit A    **Yellow:** Prior Adjustments    **Green:** 15 QWP Changes described below

- **A discussion on how the projected schedule compares to original or last reported schedule:**
  - None

### **Attachments**

1. Permit Status Update for Project Element 2, 3, 4, 5, 5A, 9, & 9A

**Attachment 3 – Updated Permit Tables for Project Elements 2, 3, 4, 5,  
5A, 9, & 9A**

<b><u>Project Element 2</u> Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b>			
<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>ACTION DONE</b>	<b>STATUS/COMMENTS</b>
The Central Valley Flood Protection Board	Encroachment Permits	Yes	The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008 that allows construction in non-federal jurisdictional areas
California Central Valley Flood Protection Board	Encroachment Permit – Vegetated Wave Buffer	Yes	CVFPB approved permit #18556 at the February 2011 CVFPB meeting
California Central Valley Flood Protection Board	Encroachment Permit – Elderberry Mitigation Area	Yes	CVFPB approved permit #18637 at the March 25, 2011 CVFPB meeting
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted Fugitive Dust Plan to FRAQMD
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Central Valley

			Flood Protection Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	EIR Addendum #1	Yes	EIR addendum for offsite Platter Borrow site
CEQA	EIR Addendum #2	Yes	EIR addendum for Ella offsite borrow site
CEQA	EIR Addendum #3	Yes	EIR addendum for Vegetated Wave Buffer
Section 104 Credit Application	Future federal projects cost Sharing	Yes	CVFPB submitted a request on June 15, 2007 for \$154.3M Section 104 credit to the Sacramento District.
Section 104 Credit Approval (N/A)	Future federal projects cost sharing	Yes	At the March 21 CVFPB meeting DWR stated it would waive the need for 104 credits – DWR waiver letter issued on May 2, 2008

**Project Element 3 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)**

<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>Action Done</b>	<b>STATUS/COMMENTS</b>
The Central Valley Flood Protection Board	Encroachment Permits	Yes	The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008. The permit has two parts: Part A – Project Element 2 work to be done outside of jurisdictional waters of the U.S., and Part B – Project Element 3 work to be within jurisdictional waters of the U.S.
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record

			of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.
Corps	408 approval of project levee alteration	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008.
Corps	Wetlands Delineation	Yes	Completed March 11, 2008
Corps	Wetlands Delineation	Yes	Amended September 30, 2008
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008. Copy available on the
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish species under NMFS jurisdiction.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Section 2081 Permit received in August 2009
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All project facilities are outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of	Yes	No Williamson Act contract

	Williamson Act Contract		cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.
NEPA	EIS	Yes	Corps of Engineers Finalized the Environmental Impact Statement and signed the Record of Decision on December 8, 2008.
Section 104 Credit	Future federal projects cost Sharing	Yes	The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009

**Project Element 4 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)**

<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>Action Done</b>	<b>STATUS/COMMENTS</b>
California Central Valley Flood Protection Board	Encroachment Permit	Yes	CVFPB issued permit No 18430 at the July 2009 Board meeting
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.
Corps	408 approval of project levee alteration	Yes	Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008.
Corps	Wetlands Delineation	Yes	Completed March 11, 2008
Corps	Wetlands Delineation	Yes	Amended September 30, 2008
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008.
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Biological Opinion was approved on August 28, 2008
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Request for B.O. re-initiation was deemed unnecessary – letter sent to Corps on July 25, 2011
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish species under NMFS jurisdiction.
California Department of	California Endangered	Yes	Section 2081 Permit received in



Fish and Game (DFG)	Species Act Compliance		August 2009
DFG	Streambed Alteration Agreement	Yes	The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All project facilities are outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Grading permit was received in May 2008
State Mining and Geology Board (SMGB)	Reclamation Plan	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.
CEQA	EIR	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.

NEPA	EIS	Yes	Corps of Engineers Finalized the Feather River Setback Levee Environmental Impact Statement and signed the Record of Decision on December 8, 2008.
Section 104 Credit	Future federal projects cost Sharing	Yes	The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009

<b>Project Element 5 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b>			
<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>ACTION DONE</b>	<b>STATUS/COMMENT</b>
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170 BD issued on August 7, 2007.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Pending	Applied for permit to build new levee toe access corridor fence – CVFPB to consider permit at January 26/27, 2011 meeting.
Central Valley Flood Protection Board (CVFPB)	Encroachment Permit	Yes	Permit No. 18170 BD permit modified for seepage berm to provide final fix for cutoff wall trench cave-in, issued on 7/17/08
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	No jurisdictional features affected. Corps issued “Letter of No Permit Required” on July 23, 2007
Corps	408 Approval of project levee alteration	Yes	Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on August 2, 2007.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.
Feather River Air Quality	Authority to Construct	Yes	Contractor’s Fugitive Dust Plan has

Management District (FRAQMD)			been developed and submitted to the FRAQMD.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any endangered species.
National Marine Fisheries Service	Endangered Species Act Compliance	Yes	Project will have no affect on fishery resources and habitats.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species.
DFG	Streambed Alteration Agreement	Yes	All ground disturbances is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Caltrans	Encroachment on Caltrans property	Yes	A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC has occurred and no known Native American sites or sensitive areas

			would be affected by the project
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for a CVFPB encroachment permit
Yuba County	Grading Permit	Yes	Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.
CEQA	EIR Processed for Various Permits	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	EIR Addendum # 2	Yes	EIR addendum for the Seepage berm addition needed to provide the final solution to the cutoff wall trench failure.
Section 104 Credit	Future federal projects cost sharing	Yes	\$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007

**Project Element 5A: Feather River Erosion Site 2**

<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>ACTION DONE</b>	<b>STATUS/COMMENT</b>
Central Valley Flood Protection Board	Encroachment Permits	Yes	Encroachment permit No. 18479 issued on September 10, 2009
Corps	Section 404 Permit	Yes	Obtained Nationwide Permit, application submitted to Corps on June 25, 2009
Corps	Wetlands Delineation	Yes	Delineation submitted to Corps on May 27, 2009
Corps	408 approval of project levee alteration	Yes	Corps does not consider this as eligible under the Section 408 approval given for Segments 1 & 3. Per discussion with the Corps, they will approve work under Section 208 authority when they comment on the

			CVFPB Encroachment Permit Application.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	Obtained on July 9, 2009
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	TRLIA has a current WDID # 5S5BC348901 that was issued by the Regional Water Quality Control Board. The permit covers Feather River Segments 1, 2, and 3 and encompasses the Erosion Site. This permit is current.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Used Documentation provided for Project Element 5
U.S. Fish and Wildlife Service	Endangered Species Act Compliance (Biological Opinion)	Yes	Biological opinion prepared, take avoided, no Incidental Take authorization needed.
National Marine Fisheries Service (NMFS)	Endangered Species Act Compliance	Yes	Biological opinion prepared, take avoided, no Incidental Take authorization needed.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance (2081)	Yes	No potential take of State listed species.
DFG	Streambed Alteration Agreement	Yes	Obtained on July 9, 2009
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Cultural resources surveys and consultation with Native American groups have found no evidence of burials or artifacts
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	Cultural resources surveys and consultation with Native American groups have found no evidence of significant historic or cultural resources
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with minor conditions.

Yuba County	Grading Permit	Yes	Used Documentation provided for Project Element 5
State Mining and Geology Board (SMGB)	SMARA Permit	Yes	The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009. Used Ella Site.
CEQA	EIR	Yes	ISIMND certified by TRLIA Board on 10/17/06
NEPA	EIS	Yes	No federal action constituting a NEPA trigger
Section 104 Credit	Future federal projects cost sharing	Yes	Work is not eligible for Section 104 Credit because it being accomplished under Section 208 authority and was not approved under Section 408 authority.

<b>Project Element 9, &amp; 9A Permit and Environmental Documentation</b>			
<b>PERMITTING AGENCY</b>	<b>PERMIT</b>	<b>ACTION DONE</b>	<b>STATUS/COMMENT</b>
The Central Valley Flood Protection Board	Encroachment Permit	Yes	Permit No. 18170 BD issued on August 7, 2007.
The Central Valley Flood Protection Board	Encroachment Permit	Yes	Permit No. 18170 BD modified for design changes, issued on 7/17/08
U.S. Army Corps of Engineers (Corps)	Section 10 Permit	Yes	Navigable waterways not affected
Corps	Section 404 Permit	Yes	No jurisdictional features affected. Corps issued "Letter of No Permit Required" on July 23, 2007. Subsequently, a Nationwide permit was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
Corps	408 Approval of project levee alteration	Yes	Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps

			approved Section 408 alteration on August 2, 2007. Subsequently, water quality certification was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.
Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)	Section 401 Water Quality Certification	Yes	No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary
RWQCB, Region 5	National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act	Yes	A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.
Feather River Air Quality Management District (FRAQMD)	Authority to Construct	Yes	Contractor's Fugitive Dust Plan has been developed and submitted to the FRAQMD.
U.S. Fish and Wildlife Service	Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any endangered species.
National Marine Fisheries Service	Endangered Species Act Compliance	Yes	Project will have no affect on fishery resources and habitats.
California Department of Fish and Game (DFG)	California Endangered Species Act Compliance	Yes	Project design and mitigation measures prevent take of threatened and endangered species.
DFG	Streambed Alteration Agreement	Yes	All ground disturbance is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required. Subsequently, a streambed authorization permit was received in June 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment

			of levee.
California State Lands Commission (SLC)	Encroachment Permit	Yes	All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.
California Department of Forestry	Conversion of timberland to other uses.	Yes	No timberland conversion is required for the project.
The Resources Agency	Cancellation of Williamson Act Contract	Yes	No Williamson Act contract cancellations are required to implement the project.
California Department of Transportation (Caltrans)	Encroachment on or across a State highway	Yes	Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.
Caltrans	Encroachment on Caltrans property	Yes	A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur.
Native American Heritage Commission (NAHC)	Evaluation of effects on Native American burials or artifacts	Yes	Coordination with the NAHC has occurred and no known Native American sites or sensitive areas would be affected by the project
State Office of Historic Preservation (SHPO)	Evaluation of effects on historic and cultural resources	Yes	No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.
Reclamation District 784 (RD 784)	Encroachment Permit	Yes	RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for a CVFPB encroachment permit with no objections.
Yuba County	Grading Permit	Yes	Yuba County is one of the project sponsors and coordination with the County regarding construction of this



			project continues.
CEQA	EIR Processed for Various Permits	Yes	EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007
CEQA	CEQA Addendum #2	Yes	EIR addendum for the Water Blanket modifications.
Section 104 Credit	Future federal projects cost sharing	Yes	\$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007