



**TRLIA**  
**11<sup>th</sup> QUARTERLY WORK PLAN**  
**FOR**  
**FEATHER RIVER LEVEE**  
**IMPROVEMENT PROJECT**

1. 11<sup>th</sup> Quarterly Work Plan for quarter: Oct 1 – Dec 31, 2010
2. Date of Report: September 14, 2010
3. 11<sup>th</sup> Quarter Work Plan Summary:

| <b>Summary</b>                   |                    |                    |                    |                             |
|----------------------------------|--------------------|--------------------|--------------------|-----------------------------|
| <b>Description</b>               | <b>State Share</b> | <b>Local Share</b> | <b>Total</b>       | <b>Local Credit Applied</b> |
| Element 1 & 8 - Land Acquisition | \$1,179,789        | \$244,654          | \$1,424,443        | \$0                         |
| Elements 2 through 7, 9A, & 9B   | \$1,446,938        | \$319,009          | \$1,765,947        | \$319,009                   |
| <b>Total</b>                     | <b>\$2,626,727</b> | <b>\$563,663</b>   | <b>\$3,190,390</b> | <b>\$319,009</b>            |

| <b>Quarterly Summary for Real Estate Activity</b>                     |                    |
|---|--------------------|
| State Share Elements 1 & 8  | \$1,179,789        |
| Local Credit Applied Elements 1 & 8                                   | \$0                |
| (less) State Share of Direct Real Estate Funding Received by TRLIA[1] | <b>-\$93,201</b>   |
| <b>Estimated Total Quarterly State Real Estate Funding</b>            | <b>\$1,086,588</b> |

[1] Less State Share of Davit and Danna payments already received. See table showing Direct Real Estate Revenue Received by TRLIA below.

| <b>Quarterly Advance Summary for Non-Real Estate Activity</b>    |             |
|--|-------------|
| 75% of State Share Elements 2 through 7 & 9A                     | \$1,085,204 |
| Prior State Share Underfunded                                    | \$0         |
| (less) State Share of Interest and Lease Revenue [1]             |             |
| <b>Total Advance Request of State Share for 11th Quarter [2]</b> | <b>\$0</b>  |

[1] Interest Earned on Prior State Funding has not yet been determined. TRLIA is working with State on an analysis to determine this amount. See Table Below.

[2] Subject to finalized True-Up for 9<sup>th</sup> and 10<sup>th</sup> Quarters

The calculation of Total Advance for State Share at Start of 11<sup>th</sup> Quarter is subject to True-Ups to account for actual expenses incurred in the 9<sup>th</sup> and 10<sup>th</sup> Quarters. TRLIA is requesting that DWR start processing the 11<sup>th</sup> Quarter advance as soon as invoices for work related to the 10<sup>th</sup> Quarter is received.

| <b>Direct Real Estate Revenue Received by TRLIA to Date</b> |                      |                   |                    |
|---|----------------------|-------------------|--------------------|
| <b>Item</b>   | <b>Date Received</b> | <b>Total Item</b> | <b>State Share</b> |
| Danna   | June, 2010           | \$47,300          | \$39,176           |
| Davit   | January, 2010        | \$65,228          | \$54,025           |
| <b>Total</b>  |                      | <b>\$112,528</b>  | <b>\$93,201</b>    |

TRLIA receipt of the above revenues will be reconciled against any future Real Estate funding to be received by TRLIA from the State as part of the Final Accounting Packages to be received for the close out of all Real Estate transactions.

| <b>Interest and Farming Lease Revenue Detail</b> |                      |                   |                    |
|--|----------------------|-------------------|--------------------|
| <b>Item</b>                                      | <b>Date Received</b> | <b>Total Item</b> | <b>State Share</b> |
| Interest Received                                | TBD                  | \$TBD             | \$TBD              |
| Miller Lease                                     | Multiple Payments    | \$1,722.56        | \$1,427            |
| Maxey Lease                                      | Multiple Payments    | \$3,143.13        | \$2,603            |
| <b>Total</b>                                     |                      | <b>\$TBD</b>      | <b>\$TBD</b>       |

TRLIA receipt of the above revenues will be reconciled against any future Construction Advances, True-Ups or Retention payments to be received by TRLIA from the State as part of the construction funding process. TRLIA and the State will work together to finalize a process for determining the apportionment of interest received on prior State Advance funding and the continuous reporting of interest received in the future.

#### **4. Project Element Information:**

- **Note:** Element 9B has been added to the Work Plan. The transmittal letter of this Work Plan requests the addition of this Element. The Element is for additional work within Segment 1 to fix a relief well V-Ditch that has been found to defective.

- **Engineering and construction matters:**

- **Element 1: Land Acquisition:**

| <u>Eminent Domain Case</u>         | <u>Deposit of Probable Compensation</u> | <u>Settlement</u>   | <u>Relocation Expense</u>   |
|------------------------------------|---|---|---|
| APN 014-250-027 & 028 (Naumes)     | \$15,580,000                            | Settled for \$17,500,000 plus statutory interest and costs  | \$0   |
| APN 013-010-010, 34, & 35 (Danna)  | \$1,455,000                             | Jury verdict of \$1,266,625 plus statutory interest and costs   | \$0   |
| APN 013-010-014 (Davitt)           |   | Appeal resolved via mediation   |   |
| APN 014-250-029 (Thomas Rice)      | \$155,000                               | Settled for \$205,000 and three miscellaneous items   | \$0   |
| APN 016-010-009 (Herold)           | \$58,500                                | Deposit was based on a partial take. The entire property eventually needed to be acquired due to a change in the project footprint. The case settled for \$609,524.47 | \$195,000 (this sum is included in the settlement amount of \$609,524.47) |
| APN 014-370-017 (Heir Trust)       | \$1,400                                 | Settled for \$18,500  | \$0   |
| APN 014-370-006 and 039 (Anderson) | \$321,000                               | Settled for \$521,393.63 plus statutory interest and costs  | \$0   |
| APN 014-290-004 (Heir Family)      | \$267,000                               | Settled for \$520,000 plus statutory interest and costs   | \$0   |
| APN 014-370-020 (Hadley)           | \$375,000                               | Settled for \$411,000 plus statutory interest and costs   | \$0   |
| APN 016-010-016 (S. Nieschulz)     | \$1,335,000                             | Settled for \$1,335,000 plus statutory interest and costs   | \$0   |
| APN 016-010-006 (L. Nieschulz)     | \$17,200                                | Settled for \$30,000 plus statutory interest and costs  | \$0   |

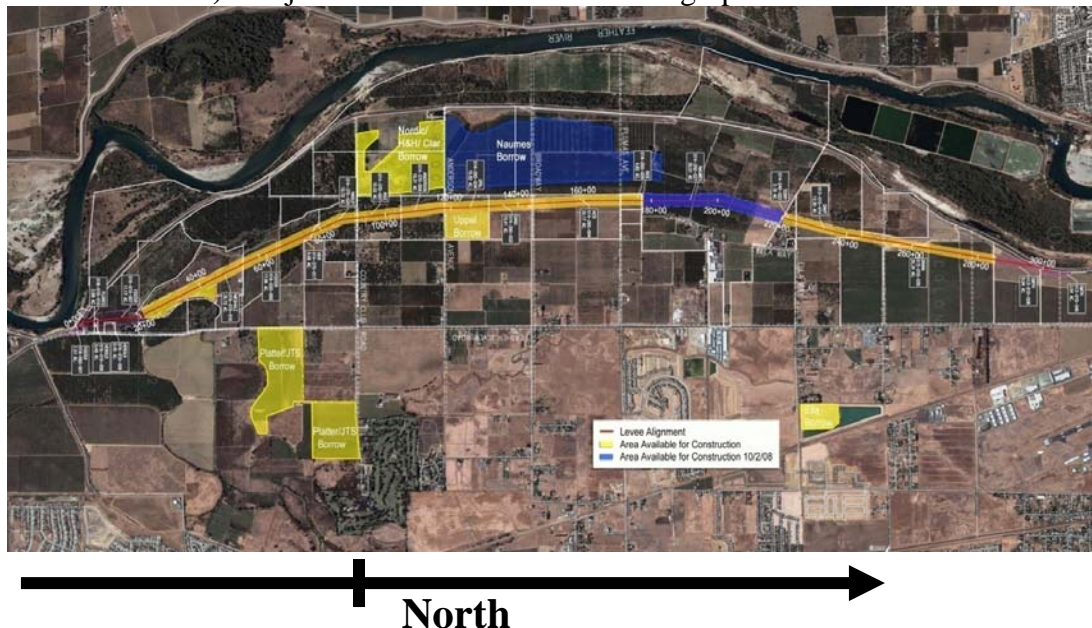
- Project Element 1 Feature 1: There are 4 Eminent Domain cases still pending settlement. The **Nieschulz Cases** were settled in the 10<sup>th</sup> Quarter, which will be reported on in the 10<sup>th</sup> Quarterly Progress Report. As settlements occur TRLIA will submit the court order and request the State share to be deposited into the appropriate escrow account.

- Listed below is the status of the recent eminent domain settlements.
  1. Naumes: The final court documents were provided to DWR in March 2010. DWR reimbursed the State share (\$1,508,596.36) to TRLIA on June 16, 2010.
  2. Danna: The final court documents were received in February 2010. The amount owed by Danna after statutory interest and court costs are deducted is \$161,899.83. TRLIA agreed to the following items in order to avoid further legal costs due to Danna appealing the court decision:
    - ❖ Reduce the amount owed by \$20,000
    - ❖ Danna pays the amount owed of \$141,899.83 in 3 equal installments over 18 months: 1/3 on June 15, 2010 (**Paid**), 1/3 on December 15, 2010 and 1/3 on June 15, 2011
    - ❖ No payment of interest
  3. Davit: The returned \$65,228.18 was received by TRLIA during the 8<sup>th</sup> quarter. This revenue will be used to offset future construction funding provided by State. Further discussions and details on this matter will be worked out between TRLIA and DWR.
  4. Thomas Rice: Final Settlement with the Rices was reached and the stipulated judgment was entered by the Court on June 25, 2010 and the final order of condemnation was recorded on August 19, 2010. The terms of the agreement are:
    - ❖ Settlement was for \$205,000. TRLIA paid the Rices the additional \$50,000 and will submit an invoice to the State to pay its share of the additional cost.
    - ❖ TRLIA prepared and submitted a lot line adjustment to the County. TRLIA will submit the costs to the State for payment of the State's share.
    - ❖ TRLIA prepared and submitted paperwork to the County to limit the use of Plumas Ave from Rice house to Setback levee. TRLIA will submit the costs to the State for payment of the State's share.
    - ❖ TRLIA fenced the area containing peach trees within the TRLIA levee easement right of way. TRLIA will submit the costs to the State for payment of the State's share.
  5. Herold: TRLIA settled with the property owner during the 6<sup>th</sup> quarter and the property owner has been paid. Because

additional property was sought, TRLIA had to re-serve the other parties who had an interest in the property and who had previously disclaimed or defaulted. Services were completed in the 8th Quarter and defaults will be entered in the 9th Quarter. The Court entered judgment on June 25, 2010, and TRLIA anticipates that the final order of condemnation will be recorded during the 10th Quarter. TRLIA will thereafter submit its final invoice package to the State for reimbursement of the State's share.

6. Heir Trust: The final settlement documents were received in January 2010. The judgment has been entered and the final order of condemnation recorded. The owners were paid the settlement sum in the 9th Quarter and TRLIA will submit its final accounting package to the State for reimbursement of the State's share.
  7. Anderson: The judgment was entered on May 27, 2010 and the defendants have been paid the settlement sum. The final order of condemnation was recorded on August 19, 2010. TRLIA will submit its final accounting package to the State for reimbursement of the State's share.
  8. Neischulz S.: TRLIA reached a settlement with the property owner on August 23, 2010. The parties are in the process of documenting their settlement through a stipulation for entry of judgment and a final order of condemnation.
  9. Neischulz L.: TRLIA reached a settlement with the property owners on August 23, 2010. The parties are in the process of documenting their settlement through a stipulation for entry of judgment and a final order of condemnation.
- Below is a list of the remaining eminent domain cases. The list includes the scheduled trial and exchange dates for those cases, and the amount of probable compensation TRLIA deposited with the State based on its pre-condemnation appraisals.
    1. APN 016-060-001 (Foster Bar) Trial 11/16/10 Exchange 9/10/10 Deposit \$100,000
    2. APN 016-010-008 (Foster House) Trial 11/16/10 Exchange 9/10/10 Deposit \$23,000
    3. APN 014-370-029 and 6 others (Foster Ranch) Trial 11/16/10 Exchange 9/10/10 Deposit \$4,830,000
    4. APN 013-010-013 (Mann) Trial 3/29/11 Exchange 12/29/10 Deposit \$1,250,000

- o **Feature 2 (Segment 3):** The information below reflects the recent Real Estate actions.
  - In late August/early September 2010 TRLIA anticipates modifying the Segment 3 real estate plan to acquire a landside 10-ft-wide levee O&M toe access corridor. Acquisition of the O&M corridor will most likely continue into 2011.
- **Element 2: Feather River Segment 2 setback levee w/o tie-ins and new levee construction requiring federal action (except as noted in features):** Project Element 2 is shown in the graphic below



- o Feature 1.1 Design: This feature is completed.
- o Feature 1.2 Permitting:
  - o TRLIA submitted 100-year FEMA certification documentation to FEMA for Project Elements 2, 3, 5, 5A, 9, and 9A on May 5, 2010. On May 27, 2010 FEMA indicated that the TRLIA levee system was accredited.
  - o TRLIA submitted an encroachment permit application to the CVFPB for the Vegetated Wave Buffer on September 3, 2009. In order to process the permit application the CVFPB requested TRLIA submit a long term maintenance plan as part of the application. In the 9<sup>th</sup> quarter TRLIA and RD784 prepared this maintenance plan. RD784 maintenance costs for vegetated wave buffer will come from revenues collected from the TRLIA levee O&M Assessment District. The assessment district is an

appropriate source of funding since the vegetated wave buffer is part of the levee system (200-year protection). TRLIA submitted the final maintenance plan to the CVFPB on August 16, 2010. It is anticipated that the CVFPB will issue the encroachment permit during the 10<sup>th</sup> quarter.

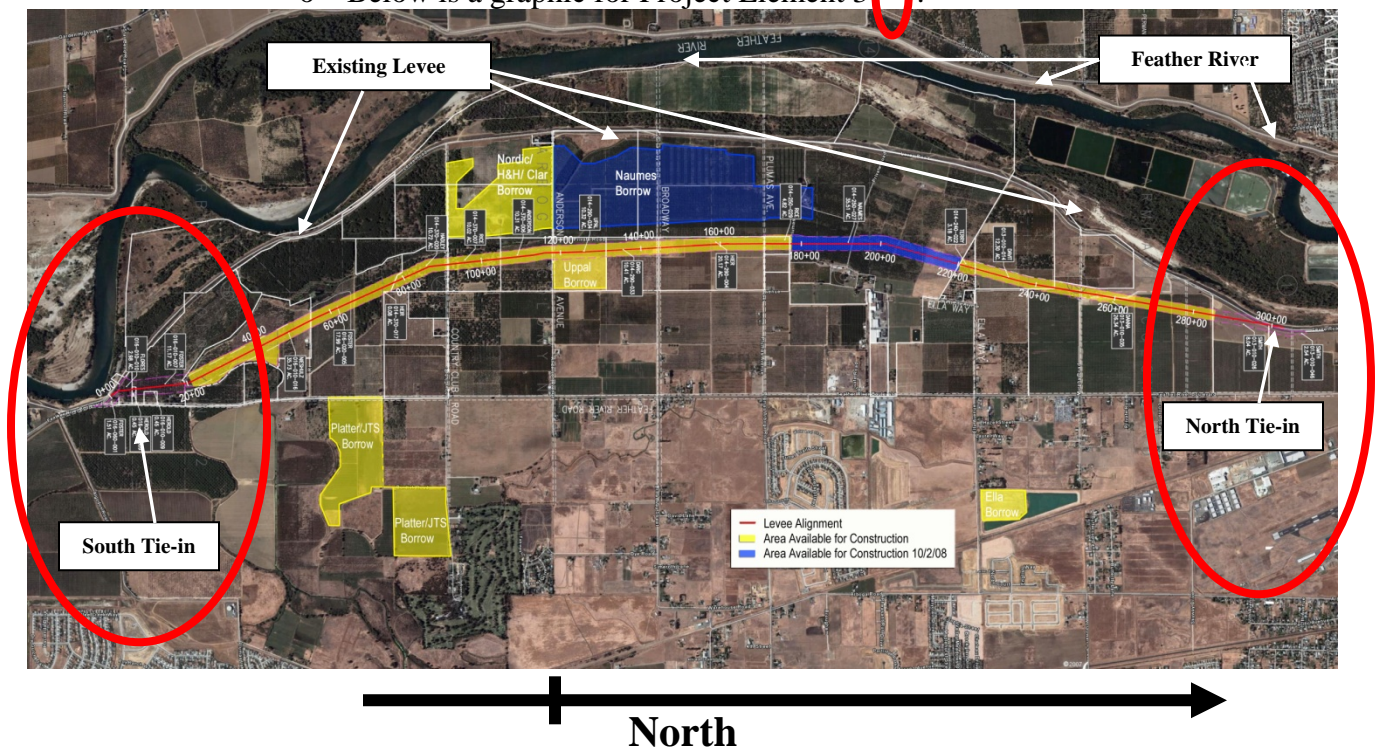
- TRLIA will continue to work on the encroachment permit application for the Elderberry Mitigation Area during the 11<sup>th</sup> quarter.
- Feature 1.3 Right of Way Support: Both legal and real estate activity will continue during the 11<sup>th</sup> quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 1.
- Feature 2: Construction Management will continue during the 11<sup>th</sup> quarter to match the level of work associated with completing punch list items and Environmental work (Project Element 2, Feature 4). Close out documentation preparation will continue during this Quarter see list below.
  - Design Report: submitted January 2008; Addendum 1 April 2008
  - Design Drawings and Specifications used for construction: submitted April 2008
  - Permits: previously submitted. See permit table that is attached for listing.
  - CEQA and NEPA documents: previously submitted. See permit table for listing (attachment 1).
  - As-built drawings: As-built drawings for the completed levee were submitted with the Construction Completion Report during the 9<sup>th</sup> Quarter (May 2010). As-built drawings for non levee Project Element 2, 3, and 4 work items are anticipated to be submitted in the 11<sup>th</sup> Quarter.
- Feature 3.1 Construction of Setback Levee Foundation: Completed
- Feature 3.2 Construction of Setback Levee Embankment and related items: All work is scheduled to be completed in the 11<sup>th</sup> quarter. It is anticipated that only punch list items and final site clean-up will remain to be completed early in the 11<sup>th</sup> quarter.
- Feature 3.3 Relocation of PG&E facilities: Completed
- Feature 4 Environmental and Cultural Mitigation:
  - TRLIA submitted an encroachment permit application to the CVFPB for the Vegetated Wave Buffer on September 3, 2009. In order to process the permit application the CVFPB requested



TRLIA submit a long term maintenance plan as part of the application. TRLIA submitted the final maintenance plan to the CVFPB on August 16, 2010. It is anticipated that the CVFPB will issue the encroachment permit during the 11<sup>th</sup> quarter.

- TRLIA will continue to work on the encroachment permit application for the Elderberry Mitigation Area during the 11<sup>th</sup> quarter.
- Environmental Mitigation/Restoration: Environmental work (Wetlands Mitigation & Swale and Wind Wave Buffer) will continue in the 11<sup>th</sup> quarter.
- Cultural Mitigation: Work is completed
- Feature 5 O&M Manual:
  - A draft O&M manual addendum was issued to DWR in March 2010. No comments have been received yet. The addendum is anticipated to be finalized in the 11<sup>th</sup> Quarter.
- **Element 3:** Feather River Segment 2 tie-ins and new levee construction requiring federal action.

○ Below is a graphic for Project Element 3 .





- Feature 1 - Construction (Embankment Feature 1.1 and Foundation Feature 1.2): Work is complete.
- Project Element close out documentation will be prepared during this quarter as part of Project Element 2 Feature 2.
- **Element 4:** Feather River Segment 2 existing levee degrade
  - Feature 4.1 Construction: Work is complete
  - Project Element close out documentation will be prepared during this quarter as part of Project Element 2 Feature 2.
- **Element 5:** Feather River Segment 3 levee improvement:
  - Feature 1.1 Design: This feature is completed.
  - Feature 1.2 Permitting: Feature 1.2 Permitting: Work is completed. TRLIA submitted 100-year FEMA certification documentation to FEMA for Project Elements 2, 3, 5, 5A, 9, and 9A on May 5, 2010. On May 27, 2010 FEMA indicated that the TRLIA levee system was accredited.
  - Feature 1.3 Right of Way Support: Both legal and real estate activity will continue during this quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 2. In late August/early September 2010 TRLIA anticipates modifying the Segment 3 real estate plan to acquire a landside 10-ft-wide levee O&M toe access corridor. Acquisition of the O&M corridor will most likely continue into 2011.
  - Feature 2 Construction Management: Completed. Close out documentation has been completed. Below is the status of the Project Element Deliverables:
    - Design Report: submitted March 28, 2007
    - Design Drawings and Specifications used for construction: submitted August 22, 2007
    - Permits: previously submitted – see permit table for list (Attachment 1)
    - CEQA and NEPA documents: previously submitted see permit table (Attachment 1)
    - As-built drawings: Submitted June 2009. Supplemental as-builts for the Segment 1 crack and Segment 3 erosion site repairs were submitted in December 2009.

- Feature 3 Construction: Construction Completed
- Feature 4: O&M manual addendum: Completed - Final O&M manual addendum was issued in December 2009.
- **Element 5A:** Feather River Segment 3 Erosion Site 2 (Added to Agreement)
  - Feature A1.1 Design: This feature is completed.
  - Feature A1.2 Permitting: Work is completed. TRLIA submitted 100-year FEMA certification documentation to FEMA for Project Elements 2, 3, 5, 5A, 9, and 9A on May 5, 2010. On May 27, 2010 FEMA indicated that the TRLIA levee system was accredited.
  - Feature A1.3 Right of Way Support: Both legal and real estate activity will continue during this quarter to support the ongoing land acquisition activities associated with Project Element 1 Feature 2. In late August/early September 2010 TRLIA anticipates modifying the Segment 3 real estate plan to acquire a landside 10-ft-wide levee O&M toe access corridor. Acquisition of the O&M corridor will most likely continue into 2011.
  - Feature A2 Construction Management: Completed. See Project Element 5 for Closeout documents.
  - Feature A3 Construction: Completed
  - Feature A4 O&M Manual: Completed. Final O&M manual addendum was issued in December 2009, which included this Project Element (Erosion Site 2).
- **Element 6:** Feather River Land Management:
  - Feature 1 Environmental Maintenance Activities: Limited environmental activities will be done this quarter.
  - Feature 2 Lease Back Activities (e.g. farming): TRLIA has 6 farming leases and 1 pending farming leases in the Feather Setback area. They are listed below:
    - Foster (016-020-005, 014-370-030, 014-370-029, 016-010-002, 016-010-007) - 248 Acre Walnut Orchard. Term of lease January 1, 2009 through December 31, 2014.

- T. Miller (014-370-033) – 20 Acre Walnut Orchard. Term of lease August 16, 2008 to August 15, 2013.
- Maxey (014-370-024) – 27.38 Acres Walnut, persimmons, manderenes, chestnuts and jujube Orchards. Term of lease August 16, 2008 to August 15, 2013.
- Hadley (014-370-020) – 9 Acre Walnut Orchard. Term of lease November 1, 2008 to November 30, 2013.
- Davit (013-010-014) – 99 Acre Walnut & Peach Orchard. Term of lease October 21, 2009 to December 31, 2014.
- F. Miller (014-370-003 and 014-370-037) – 66 Acres Walnut Orchard. Term of lease July 21, 2010 through December 31, 2013.
- Nieschulz (Pending) – This lease has been delayed due to a death in the Nieschulz family. Also this lease is somewhat entangled with the ongoing eminent domain process. Anticipated to be signed in the 11<sup>th</sup> quarter

A schedule of payments is shown below:

- Foster - Second year's rent due on or before May 1, 2011. Rent is 10% of the Adjusted Gross Income.
  - Hadley - Second year's rent due on or before September 1, 2011. Rent is 10% of the Gross Sales.
  - Maxey - Second year's due on or before August 15, 2011. \$3,143.13 was received. Rent is 10% of the Gross Income on walnuts and 10 % of the Adjusted Gross Income for other crops.
  - T. Miller - Second year's due on or before August 15, 2011. Miller paid \$1,722.56 for the first year's rent.
  - Davit – First year's rent due on or before May 1, 2011. Rent is 10% of the Adjusted Gross Income.
  - F. Miller – First year's rent due on or before: 80% December 31, 2011 and 20% April 15, 2012. Rent is 30% of the Gross Income.
  - Nieschulz – To be determined
- Feature 3 Land Maintenance of Setback area (e.g. security) post construction and prior to transfer of property to State: TRLIA will

continue to manage activities on the 1600 acres that were required for the Setback levee.

- During this quarter TRLIA will be implementing the interim land operations and maintenance plan for the Feather Setback area that was provided to DWR during the 8<sup>th</sup> Quarter. The plan includes short-term and long-term operations and maintenance of the borrow sites, onsite mitigation areas. **TRLIA will complete constructing the gravel roads within the setback area in order to perform maintenance and security activities. These gravel roads will be placed by the Project Element 4 (levee degrade) contractor.**
  - Due to the length of time that may be needed to transfer property to the state, TRLIA's land use responsibilities, which are part of the Feather EIP work, may extend beyond the current agreement completion date (June 30, 2012). To cover this time gap, TRLIA has recommended that DWR and TRLIA jointly create an escrow account and then jointly place the needed funding based on the current agreement cost sharing ratios into this escrow to pay for the TRLIA land maintenance costs until the land is transferred to the State. Procedures can be established for both DWR and TRLIA to sign off on any expenditure from the escrow account. The establishment of the escrow account would allow DWR and TRLIA to manage the property past the agreement time period.
  - During the 11<sup>th</sup> quarter TRLIA will participate in the DWR Lower Feather River Floodway Corridor Management committee.
- **Element 7:** Project Management, legal support, community relations support, land management support, and overhead
- Activity is anticipated in all Features during this quarter
- **Element 8:** Feather River EIP Land Acquisition (formally Associated Project Element 1)
- Feature 1 Feather Segment 1: During the 11<sup>th</sup> quarter TRLIA anticipates submitting the Segment 1 real estate plan to DWR. The plan is to acquire a landside 50-ft wide-levee O&M toe access corridor. Support efforts for the acquisition of the O&M corridor will continue during the 11<sup>th</sup> Quarter and occur throughout 2011. TRLIA expects to incur the capital costs in early 2011.
- **Element 9:** Feather River Segment 1 Levee Improvement (formally Associated Project Element 2)

- Feature 1.1 Design: Completed
  - Feature 1.2 Permitting: Work is completed. TRLIA submitted 100-year FEMA certification documentation to FEMA for Project Elements 2, 3, 5, 5A, 9, and 9A on May 5, 2010. On May 27, 2010 FEMA indicated that the TRLIA levee system was accredited.
  - Feature 1.3 Right of Way Support: Both legal and real estate activity will continue during this quarter to support the ongoing land acquisition activities associated with Project Element 8 Feature 1.
  - Feature 2 Construction Management: Completed. Close out documentation has been completed. Below is the status of the Project Element Deliverables:
    - Design Report: submitted March 28, 2007
    - Design Drawings and Specifications used for construction: submitted August 22, 2007
    - Permits: previously submitted – see permit table for list
    - CEQA and NEPA documents: previously submitted see permit table (Attachment 2)
    - As-built drawings: Submitted June 2009. Supplemental as-builts for the Segment 1 crack and Segment 3 erosion site repairs were submitted in December 2009.
  - Feature 3 Construction: Completed
  - Feature 4 O&M manual addendum: Completed. Final O&M manual addendum was issued in December 2009.
- **Element 9A:** Feather River Segment 1 Crack Repair (Added to Work Plan)
- Feature A1.1 Engineering and Construction Management: Work is completed
  - Feature A1.2 Right of Way Support: Both legal and real estate activity will continue during this quarter to support the ongoing land acquisition activities associated with Project Element 8 Feature 1.
  - Feature A2 Construction: Work is Completed
  - Feature A3 O&M manual addendum: Work is completed. Final O&M manual addendum was issued in December 2009.

- **Element 9B:** Feather River Segment 1 V-Ditch Repair (Added to Work Plan with this Report)
  - Feature B1 Engineering, Construction Management, and O&M Manual Addendum: Design is anticipated to begin this quarter
  - Feature B2 Construction: No Work will be accomplished this quarter. Work is planned to commence in the 13<sup>th</sup> Quarter (April / May / June 2011)
- **Environmental matters:**
  - **Project Element 2:**
    - TRLIA submitted an encroachment permit application to the CVFPB for the Vegetated Wave Buffer on September 3, 2009. In order to process the permit application the CVFPB requested TRLIA submit a long term maintenance plan as part of the application. TRLIA submitted the final maintenance plan to the CVFPB on August 16, 2010. It is anticipated that the CVFPB will issue the encroachment permit during the 11<sup>th</sup> quarter.
    - TRLIA will continue to work on the encroachment permit application for the Elderberry Mitigation Area during the 11<sup>th</sup> quarter.
    - Environmental Mitigation/Restoration: Environmental work (Wetlands Mitigation & Swale and Wind Wave Buffer) will continue in the 11<sup>th</sup> quarter. River Partners is the contractor selected doing this work.
    - River Partners continues to maintain the Feather River Elderberry mitigation site.
- **Status of permits, easements, rights-of-way, and approvals as may be required by other State, federal, and/or local agencies:**
  - **Project Elements 2, 3, 4, 5, 5A, 9, & 9A:**
    - See Attachment 1 for the Permit status update.
  - **Project Element 2:**
    - The Corps signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8,



2008, and issued the final Feather River Setback 404 permit on December 15, 2008, which allowed Project Element 3 to proceed.

- Incorporated into the 404 permit is the approval of the on-site Wetlands Mitigation Plan.
- The construction of the onsite Wetland mitigation area is pending the completion of the levee degrade (Project Element 4). Wetland mitigation construction is anticipated to be completed in the 11<sup>th</sup> quarter and then monitoring will begin.
- A permit condition is that prior to construction, TRLIA needs to provide a construction and performance bond or escrow for the on-site Wetlands project. This was completed in April 2009.
- A permit condition is that prior to construction, TRLIA needs to provide a fully funded endowment for the on-site Wetlands project. This was completed in April 2009.
- The CVFPB approved permit No. 18430 which allows levee degradation at the July 2009 Meeting. TRLIA is to submit separate encroachment permit applications to the CVFPB for the Vegetated Wave Buffer and for the Elderberry Mitigation Area.
  - TRLIA submitted an encroachment permit application to the CVFPB for the Vegetated Wave Buffer on September 3, 2009. In order to process the permit application the CVFPB requested TRLIA submit a long term maintenance plan as part of the application. TRLIA submitted the final maintenance plan to the CVFPB on August 16, 2010. It is anticipated that the CVFPB will issue the encroachment permit during the 11<sup>th</sup> quarter.
  - Anticipate submission of application in 11<sup>th</sup> quarter. CVFPB may decide to not issue permit until safe harbor like agreements are in place for the Feather River floodway.
- **Major accomplishments planned for the quarter (i.e. tasks to be completed, milestones to be met, meetings to be held or attended, etc.);**
  - **Project Element 2 Feature 3.2:** Embankment construction completed
  - **Project Element 2 Feature 4:** Wetlands and Swale Mitigation completed
  - **Project Element 6 Feature 3:** Security-Maintenance gravel road completed

- **Issues/concerns that have, will, or could affect the schedule or budget, with a recommendation on how to correct the matter:**

- Issue 1: Due to the length of time that may be needed to transfer property to the state, TRLIA's land use responsibilities, which are part of the Feather EIP work, may extend beyond the current agreement completion date (June 30, 2012). To cover this time gap, TRLIA has recommended that DWR and TRLIA jointly create an escrow account and then jointly place the needed funding based on the current agreement cost sharing ratios into this escrow to pay for the TRLIA land maintenance costs until the land is transferred to the State. Procedures can be established for both DWR and TRLIA to sign off on any expenditure from the escrow account. The establishment of the escrow account would allow DWR and TRLIA to manage the property past the agreement time period.

Recommended Resolution: DWR agree to the establishment of the escrow account.

- Issue 2: The CVFPB may decide to not issue permit until safe harbor like agreements are in place for the Feather River floodway. DWR has established a Lower Feather River Corridor Management team that consists of local, state, and federal stakeholder to develop a Lower Feather River Corridor Management Plan. TRLIA is on this team. The DWR goal for the team is that over the next two (2) years the plan, which includes the safe harbor agreement concept, will be worked out. The two year timeframe for the plan to be completed does not meet TRLIA needs for Feather Setback Elderberry Area to be completed.

Recommended Resolution: DWR work with TRLIA to develop a plan that will allow the CVFPB approval of an encroachment permit for the Feather River Setback Area Elderberry Mitigation Area prior to the completion of the Lower Feather River Corridor Management Plan.

- Issue 3: As discussed above, TRLIA submitted an encroachment permit application to the CVFPB for the Vegetated Wave Buffer on September 3, 2009. In order to process the permit application the CVFPB requested TRLIA submit a long term maintenance plan as part of the application. TRLIA finalized the maintenance plan portion of the application in August and will need CVFPB encroachment permit this fall in order to complete the vegetative wave buffer planting in 2010.

Recommended Resolution: DWR and TRLIA work with the CVFPB to get the wave buffer encroachment permit approved this fall.

- **Describe differences between the work to be performed and the work outlined in the Overall Work Plan, including anticipated change orders:**
  - **Project Element 2 & 3:** Due to weather impacts, the contractor was not able to complete all ancillary setback levee activities in 2009. Work remaining includes completion of pump station punch list and testing, relief wells, miscellaneous gates, and final grading and clean-up. TRLIA worked with the contractor to approve Change Orders' 5 & 6 in the combined amount of \$1,021,538.50, these change orders represent costs for phasing, including mobilization, additional erosion control, and environmental work that was added to the contract. Work is being completed during the 10<sup>th</sup> quarter.
  - **Project Element 9B:** TRLIA plans to add a new Project Element, Element 9B which includes repair of the Corps constructed concrete-lined V-Ditch north of Pump Station 2 in Site 7 Extension of the Feather River levee. This element consists of the needed Design, Engineering, Construction Management and Construction of the Repair of the V-Ditch. The estimated cost for the Design, Engineering and CM is \$190,000 and the estimated cost of construction is \$600,000. TRLIA is not requesting any additional State funding for this work as part of the Overall Work Plan. The budget for this work is planned to come from cost savings associated with the previously approved Segment 1 Crack Repair budget.
- **Any litigation, proceedings or claims relating to the Project:**
  - Elements 1 thru 7: None at this time

**5. Cost Information by Project Element:** TRLIA is submitting this 11<sup>th</sup> Quarterly Work Plan after the completion of the 9<sup>th</sup> Quarterly Progress report. The budgets and remaining budgeted expenditures are reflective of actual costs incurred as of the 9<sup>th</sup> Quarter and estimated costs incurred for the 10<sup>th</sup> Quarter. As result, the financial information included within this Quarterly Work Plan presents the projected remaining budget as of September 30, 2010 expected in each element taking into consideration projected expenses for the 10<sup>th</sup> Quarter based on the 10<sup>th</sup> QWP and actual expenditures as they are known.

- **List of changes planned to Overall Work Plan Budget and revised Overall Work Plan Budget (if needed)**

TRLIA has spent a significant amount of time in the preparation of this work plan refining the Overall Work Plan Budget to be reflective of actual expenses on completed Features and Elements and balancing the Overall Work Plan Budget to be reflective of actual cost shares for the project based upon actual State cost sharing assumptions, TRLIA previously submitted a modified

Overall Work Plan Budget as part of the 10<sup>th</sup> QWP and that budget is the starting point for this Work Plan's Overall Work Plan Budget modification.

This modified OAWP Budget reflects the addition of Project Element 9B as well a reduction in OAWP budgets for the completed Features and Elements to reflect actual expenses incurred. DWR approved a modified funding agreement and augmented the total funding of State Share from \$138.5 Million to \$154.79 Million. This modified Overall Work Plan Budget is reflective of this limit on State Funding but now revises the Overall Work Plan Budget costs based upon actual State Cost Sharing assumptions while keeping the actual Feature and Element Budgets fully funded. Previously, the prior budgets reflected Total Project Costs that, based upon prescribed individual feature and element cost shares would have exceeded the available State Funding; however, now as a result of cost savings in the project, Overall Work Plan budget is reflective of full State cost sharing of the Total Project Costs. Within the Overall Work Plan Budget, TRLIA is now reflecting a reduced Total Project budget of \$191,419,734.

As previously stated within this Quarterly Work Plan, the financial information reflects the modified OAWP Budget. The following table presents the modified OAWP Budget taking into consideration this augmentation of funding.

Most of the modifications within the Overall Work Plan Budget reflect a rebalancing of the State Cost Share; however, the following modifications are reflective of the most significant changes.

➤ **Element 1 – Real Estate**

The budget for Feature 1 Segment 2 Real Estate has been reduced by \$1.9 million to adjust to reflect the actual State Cost Share of 82.8246%. The State Budget has been increased slightly to create a balance of the State Maximum allocated funded.

➤ **Element 2 – Segment 2 New Levee**

The budget for Feature 3.1, Foundation Construction has been reduced by \$1.4 million and Feature 3.3, PG&E relocation by \$440,000. The remaining feature budgets have been adjusted to reflect actual and remaining costs and the associated State Budgets have been adjusted to reflect the actual cost share of 82.8246%.

➤ **Element 3 – Segment 2 New Levee Tie Ins**

The budget for Features 1.1 and 1.2 have been reduced to reflect actual costs given the completion of the Element. The associated State Budgets have been adjusted to reflect the actual cost share of 82.8246%.

➤ **Element 4 – Segment 2 Levee Degradation**

The budget for Element 2 has been increased slightly to reflect potential costs in the 10<sup>th</sup> Quarter. The associated State Budget has been adjusted to reflect the actual cost share of 82.8246%.

➤ **Elements 5 and 5A – Segment 3**

The feature budgets for Elements 5 and 5A have been adjusted to reflect actual costs incurred and cost savings.

➤ **Element 6 – Segment 2 Land Management**

The associated State Budgets for Element 6 Features have been adjusted to reflect the actual cost share of 82.8246%.

➤ **Element 7 – Overhead & Admin**

Element 7 Feature budgets have been adjusted for actual costs incurred, additionally; the associated State Budgets for Element 7 Features has been adjusted to reflect the actual cost share of 76.3198%.

➤ **Element 9 & 9A– Segment 1 & Crack Repair**

The feature budgets for Elements 9 and 9A have been adjusted to reflect actual costs incurred and the completion of the work. The budgets have been reduced to reflect cost savings.

➤ **Element 9B – V-Ditch Repair**

This Element has been added and the budget for this Element has been incorporated into the Overall Work Plan Budget through the use of cost savings from other Elements.

TRLIA Proposed Overall Work Plan Budget Modifications

| Project Elements and Features   | Current Updated Budget [1] |                     |                     | Resulting State Cost Share | Proposed Budget Modification |                     |                     | Revised Overall Budget |                     |                     | Resulting State Cost Share |
|---|----------------------------|---------------------|---------------------|----------------------------|------------------------------|---------------------|---------------------|------------------------|---------------------|---------------------|----------------------------|
|   | State Budget (\$)          | Local Budget (\$)   | Total Budget (\$)   |                            | State Budget (\$)            | Local Budget (\$)   | Total Budget (\$)   | State Budget (\$)      | Local Budget (\$)   | Total Budget (\$)   |                            |
| <b>Element 1: Feather River EIP Land Acquisition (see real estate Acquisition Plan)</b>                     |                            |                     |                     |                            |                              |                     |                     |                        |                     |                     |                            |
| Feature 2 - Feather Segment 2   | \$35,426,237               | \$9,478,763         | \$44,905,000        | 78.8915%                   | \$8,399                      | -\$2,130,656        | -\$2,122,257        | \$35,434,636           | \$7,348,107         | \$42,782,743        | 82.8246%                   |
| Feature 3 - Feather Segment 3   | \$328,595                  | \$140,827           | \$469,422           | 70.0000%                   | \$0*                         | \$0                 | \$0                 | \$328,595              | \$140,827           | \$469,422           | 70.0000%                   |
| <b>Subtotal Element 1</b>   | <b>\$35,754,832</b>        | <b>\$9,619,590</b>  | <b>\$45,374,422</b> | <b>78.7995%</b>            | <b>\$8,399</b>               | <b>-\$2,130,656</b> | <b>-\$2,122,257</b> | <b>\$35,763,231</b>    | <b>\$7,488,934</b>  | <b>\$43,252,165</b> | <b>82.6854%</b>            |
| <b>Element 2: Feather River Segment 2 new levee (Excludes Levee Tie Ins and Existing Levee Degradation)</b> |                            |                     |                     |                            |                              |                     |                     |                        |                     |                     |                            |
| Feature 1.1 - Design  | \$5,361,919                | \$1,130,547         | \$6,492,466         | 82.5868%                   | \$15,440                     | -\$15,440           | \$0                 | \$5,377,359            | \$1,115,107         | \$6,492,466         | 82.8246%                   |
| Feature 1.2 - Permitting  | \$2,165,581                | \$449,079           | \$2,614,659         | 82.8246%                   | \$108,500                    | \$22,500            | \$131,000           | \$2,274,081            | \$471,578           | \$2,745,659         | 82.8246%                   |
| Feature 1.3 - ROW Support   | \$4,563,633                | \$1,084,746         | \$5,648,380         | 80.7954%                   | \$114,614                    | -\$114,614          | \$0                 | \$4,678,248            | \$970,132           | \$5,648,380         | 82.8246%                   |
| Feature 2 - Construction Management   | \$5,462,613                | \$1,356,439         | \$6,819,051         | 80.1081%                   | \$557,950                    | -\$107,950          | \$450,000           | \$6,020,563            | \$1,248,489         | \$7,269,051         | 82.8246%                   |
| Feature 3.1 - Foundation Construction   | \$20,583,811               | \$4,341,674         | \$24,925,485        | 82.5814%                   | -\$1,098,922                 | -\$301,078          | -\$1,400,000        | \$19,484,889           | \$4,040,596         | \$23,525,485        | 82.8246%                   |
| Feature 3.2 - Embankment Construction   | \$26,871,773               | \$7,341,734         | \$34,213,507        | 78.5414%                   | \$1,494,416                  | -\$1,459,416        | \$35,000            | \$28,366,189           | \$5,882,318         | \$34,248,507        | 82.8246%                   |
| Feature 3.3 - PG&E Relocation   | \$2,401,913                | \$649,981           | \$3,051,894         | 78.7024%                   | -\$238,622                   | -\$201,378          | -\$440,000          | \$2,163,291            | \$448,603           | \$2,611,894         | 82.8246%                   |
| Feature 4.1 - Environmental Mitigation  | \$4,141,457                | \$858,543           | \$5,000,000         | 82.8291%                   | \$579,545                    | \$120,455           | \$700,000           | \$4,721,002            | \$978,998           | \$5,700,000         | 82.8246%                   |
| Feature 4.2 - Cultural Mitigation   | \$289,886                  | \$60,114            | \$350,000           | 82.8246%                   | \$0                          | \$0                 | \$0                 | \$289,886              | \$60,114            | \$350,000           | 82.8246%                   |
| Feature 5 - O&M Segment 2   | \$139,231                  | \$28,872            | \$168,103           | 82.8246%                   | \$0                          | \$0                 | \$0                 | \$139,231              | \$28,872            | \$168,103           | 82.8246%                   |
| <b>Subtotal Element 2</b>   | <b>\$71,981,817</b>        | <b>\$17,301,730</b> | <b>\$89,283,546</b> | <b>80.6216%</b>            | <b>\$1,532,922</b>           | <b>-\$2,056,922</b> | <b>-\$524,000</b>   | <b>\$73,514,739</b>    | <b>\$15,244,808</b> | <b>\$88,759,546</b> | <b>82.8246%</b>            |
| <b>Element 3: Feather River Segment 2 Levee Tie Ins</b>   |                            |                     |                     |                            |                              |                     |                     |                        |                     |                     |                            |
| Feature 1.1 - Foundation Construction   | \$5,546,048                | \$1,153,298         | \$6,699,347         | 82.7849%                   | -\$1,281,122                 | -\$268,878          | -\$1,550,000        | \$4,264,926            | \$884,421           | \$5,149,347         | 82.8246%                   |
| Feature 1.2 - Embankment Construction   | \$4,560,827                | \$949,878           | \$5,510,705         | 82.7630%                   | -\$2,398,521                 | -\$501,479          | -\$2,900,000        | \$2,162,306            | \$448,399           | \$2,610,705         | 82.8246%                   |
| <b>Subtotal Element 3</b>   | <b>\$10,106,875</b>        | <b>\$2,103,177</b>  | <b>\$12,210,052</b> | <b>82.7750%</b>            | <b>-\$3,679,643</b>          | <b>-\$770,357</b>   | <b>-\$4,450,000</b> | <b>\$6,427,232</b>     | <b>\$1,332,820</b>  | <b>\$7,760,052</b>  | <b>82.8246%</b>            |
| <b>Element 4: Feather River Segment 2 Levee Degradation</b>   |                            |                     |                     |                            |                              |                     |                     |                        |                     |                     |                            |
| Feature 1 - Existing Levee Degradation  | \$11,806,236               | \$5,909,977         | \$17,716,213        | 66.6409%                   | \$3,032,796                  | -\$2,832,796        | \$200,000           | \$14,839,032           | \$3,077,181         | \$17,916,213        | 82.8246%                   |
| <b>Subtotal Element 4</b>   | <b>\$11,806,236</b>        | <b>\$5,909,977</b>  | <b>\$17,716,213</b> | <b>66.6409%</b>            | <b>\$3,032,796</b>           | <b>-\$2,832,796</b> | <b>\$200,000</b>    | <b>\$14,839,032</b>    | <b>\$3,077,181</b>  | <b>\$17,916,213</b> | <b>82.8246%</b>            |
| <b>Element 5: Feather River Segment 3 Levee Improvement</b>   |                            |                     |                     |                            |                              |                     |                     |                        |                     |                     |                            |
| Feature 1.1 - Design  | \$635,031                  | \$320,052           | \$955,083           | 66.4896%                   | \$5,527                      | -\$45,527           | -\$40,000           | \$640,558              | \$274,525           | \$915,083           | 70.0000%                   |
| Feature 1.2 - Permitting  | \$219,167                  | \$93,929            | \$313,096           | 70.0000%                   | -\$77,000                    | -\$33,000           | -\$110,000          | \$142,167              | \$60,929            | \$203,096           | 70.0000%                   |
| Feature 1.3 - ROW Support   | \$199,695                  | \$144,126           | \$343,821           | 58.0811%                   | \$40,979                     | -\$40,979           | \$0                 | \$240,674              | \$103,146           | \$343,821           | 70.0000%                   |
| Feature 2 - Construction Management   | \$775,809                  | \$349,854           | \$1,125,663         | 68.9202%                   | \$12,155                     | -\$12,155           | \$0                 | \$787,964              | \$337,699           | \$1,125,663         | 70.0000%                   |
| Feature 3 - Construction  | \$5,966,867                | \$2,592,336         | \$8,559,203         | 69.7129%                   | -\$3,425                     | -\$36,575           | -\$40,000           | \$5,963,442            | \$2,555,761         | \$8,519,203         | 70.0000%                   |
| Feature 4 - O&M manual  | \$55,336                   | \$23,716            | \$79,052            | 70.0000%                   | -\$35,000                    | -\$15,000           | -\$50,000           | \$20,336               | \$8,716             | \$29,052            | 70.0000%                   |
| <b>Subtotal Element 5</b>   | <b>\$7,851,905</b>         | <b>\$3,524,012</b>  | <b>\$11,375,917</b> | <b>69.0222%</b>            | <b>-\$56,763</b>             | <b>-\$183,237</b>   | <b>-\$240,000</b>   | <b>\$7,795,142</b>     | <b>\$3,340,775</b>  | <b>\$11,135,917</b> | <b>70.0000%</b>            |

"revisedbudget12"

[1] Reflects Revisions Made in the 10th Quarterly Work Plan dated April 26, 2010.



TRLIA Proposed Overall Work Plan Budget Modifications

| Project Elements and Features   | Current Updated Budget [1] |                    |                     | Resulting State Cost Share | Proposed Budget Modification |                   |                     | Revised Overall Budget |                    |                     | Resulting State Cost Share |
|---|----------------------------|--------------------|---------------------|----------------------------|------------------------------|-------------------|---------------------|------------------------|--------------------|---------------------|----------------------------|
|   | State Budget (\$)          | Local Budget (\$)  | Total Budget (\$)   |                            | State Budget (\$)            | Local Budget (\$) | Total Budget (\$)   | State Budget (\$)      | Local Budget (\$)  | Total Budget (\$)   |                            |
| <b>Element 5A: Feather River Segment 3 Erosion Site 2</b>   |                            |                    |                     |                            |                              |                   |                     |                        |                    |                     |                            |
| Feature 1.1 - Design  | \$47,073                   | \$20,174           | \$67,247            | 70.0000%                   | -\$9,100                     | -\$3,900          | -\$13,000           | \$37,973               | \$16,274           | \$54,247            | 70.0000%                   |
| Feature 1.2 - Permitting  | \$94,711                   | \$40,591           | \$135,302           | 70.0000%                   | -\$16,100                    | -\$6,900          | -\$23,000           | \$78,611               | \$33,691           | \$112,302           | 70.0000%                   |
| Feature 1.3 - ROW Support   | \$14,000                   | \$6,000            | \$20,000            | 70.0000%                   | \$0                          | \$0               | \$0                 | \$14,000               | \$6,000            | \$20,000            | 70.0000%                   |
| Feature 2 - Construction Management   | \$38,667                   | \$16,572           | \$55,239            | 70.0000%                   | -\$11,200                    | -\$4,800          | -\$16,000           | \$27,467               | \$11,772           | \$39,239            | 70.0000%                   |
| Feature 3 - Construction  | \$400,548                  | \$171,664          | \$572,212           | 70.0000%                   | -\$63,000                    | -\$27,000         | -\$90,000           | \$337,548              | \$144,664          | \$482,212           | 70.0000%                   |
| Feature 4 - O&M manual addendum   | \$3,500                    | \$1,500            | \$5,000             | 70.0000%                   | -\$2,800                     | -\$1,200          | -\$4,000            | \$700                  | \$300              | \$1,000             | 70.0000%                   |
| <b>Subtotal Element 5A</b>  | <b>\$598,500</b>           | <b>\$256,500</b>   | <b>\$855,000</b>    | <b>70.0000%</b>            | <b>-\$102,200</b>            | <b>-\$43,800</b>  | <b>-\$146,000</b>   | <b>\$496,300</b>       | <b>\$212,700</b>   | <b>\$709,000</b>    | <b>70.0000%</b>            |
| <b>Element 6: Feather River Land Management</b>   |                            |                    |                     |                            |                              |                   |                     |                        |                    |                     |                            |
| Feature 1 - Environmental Restoration Activities  | \$86,966                   | \$18,034           | \$105,000           | 82.8246%                   | \$37,271                     | \$7,729           | \$45,000            | \$124,237              | \$25,763           | \$150,000           | 82.8246%                   |
| Feature 2 - Lease Back activities   | \$41,412                   | \$8,588            | \$50,000            | 82.8246%                   | \$0                          | \$0               | \$0                 | \$41,412               | \$8,588            | \$50,000            | 82.8246%                   |
| Feature 3 - Operation And Maintenance   | \$1,280,908                | \$869,092          | \$2,150,000         | 59.5771%                   | \$499,821                    | -\$499,821        | \$0                 | \$1,780,729            | \$369,271          | \$2,150,000         | 82.8246%                   |
| <b>Subtotal Element 6</b>   | <b>\$1,409,286</b>         | <b>\$895,714</b>   | <b>\$2,305,000</b>  | <b>61.1404%</b>            | <b>\$537,092</b>             | <b>-\$492,092</b> | <b>\$45,000</b>     | <b>\$1,946,378</b>     | <b>\$403,622</b>   | <b>\$2,350,000</b>  | <b>82.8246%</b>            |
| <b>Element 7: Project Management, legal support, community relations support, land management support, and overhead</b> |                            |                    |                     |                            |                              |                   |                     |                        |                    |                     |                            |
| Feature 1 - TRLIA Salaries/Benefits   | \$953,797                  | \$295,940          | \$1,249,737         | 76.3198%                   | \$45,792                     | \$14,208          | \$60,000            | \$999,589              | \$310,148          | \$1,309,737         | 76.3198%                   |
| Feature 2 - TRLIA Consultant Exp.   | \$946,038                  | \$293,533          | \$1,239,571         | 76.3198%                   | \$267,105                    | \$82,895          | \$350,000           | \$1,213,143            | \$376,428          | \$1,589,571         | 76.3189%                   |
| Feature 3 - TRLIA Legal Support   | \$912,401                  | \$283,096          | \$1,195,497         | 76.3198%                   | \$0                          | \$0               | \$0                 | \$912,401              | \$283,096          | \$1,195,497         | 76.3198%                   |
| Feature 4 - TRLIA Travel Expenses   | \$7,500                    | \$2,327            | \$9,827             | 76.3198%                   | \$0                          | \$0               | \$0                 | \$7,500                | \$2,327            | \$9,827             | 76.3198%                   |
| Feature 5 - TRLIA General Expenses  | \$267,696                  | \$83,060           | \$350,756           | 76.3198%                   | \$0                          | \$0               | \$0                 | \$267,696              | \$83,060           | \$350,756           | 76.3198%                   |
| Feature 6 - TRLIA Insurance   | \$535,288                  | \$166,087          | \$701,375           | 76.3198%                   | \$0                          | \$0               | \$0                 | \$535,288              | \$166,087          | \$701,375           | 76.3198%                   |
| Feature 7 - TRLIA Travel  | \$20,051                   | \$6,221            | \$26,273            | 76.3198%                   | \$0                          | \$0               | \$0                 | \$20,051               | \$6,221            | \$26,273            | 76.3198%                   |
| Feature 8 - TRLIA Memberships   | \$14,351                   | \$4,453            | \$18,804            | 76.3198%                   | \$0                          | \$0               | \$0                 | \$14,351               | \$4,453            | \$18,804            | 76.3198%                   |
| <b>Subtotal Element 7</b>   | <b>\$3,657,123</b>         | <b>\$1,134,718</b> | <b>\$4,791,840</b>  | <b>76.3198%</b>            | <b>\$312,897</b>             | <b>\$97,103</b>   | <b>\$410,000</b>    | <b>\$3,970,020</b>     | <b>\$1,231,821</b> | <b>\$5,201,840</b>  | <b>76.3195%</b>            |
| <b>Element 8: Segment 1 Feather River EIP Land Acquisition (Previously Associated Element 1)</b>                        |                            |                    |                     |                            |                              |                   |                     |                        |                    |                     |                            |
| Feature 1 - Feather River Segment 1   | \$441,190                  | \$189,082          | \$630,272           | 70.0000%                   | \$0                          | \$0               | \$0                 | \$441,190              | \$189,082          | \$630,272           | 70.0000%                   |
| <b>Subtotal Element 8</b>   | <b>\$441,190</b>           | <b>\$189,082</b>   | <b>\$630,272</b>    | <b>70.0000%</b>            | <b>\$0</b>                   | <b>\$0</b>        | <b>\$0</b>          | <b>\$441,190</b>       | <b>\$189,082</b>   | <b>\$630,272</b>    | <b>70.0000%</b>            |
| <b>Element 9: Feather River Segment 1 levee improvement (Previously Associated Element 2)</b>                           |                            |                    |                     |                            |                              |                   |                     |                        |                    |                     |                            |
| Feature 1.1 - Design  | \$976,725                  | \$418,597          | \$1,395,322         | 70.0000%                   | -\$140,000                   | -\$60,000         | -\$200,000          | \$836,725              | \$358,597          | \$1,195,322         | 70.0000%                   |
| Feature 1.2 - Permitting  | \$249,154                  | \$106,780          | \$355,934           | 70.0000%                   | -\$94,500                    | -\$40,500         | -\$135,000          | \$154,654              | \$66,280           | \$220,934           | 70.0000%                   |
| Feature 1.3 - ROW Support   | \$172,047                  | \$73,734           | \$245,781           | 70.0000%                   | \$35,000                     | \$15,000          | \$50,000            | \$207,047              | \$88,734           | \$295,781           | 70.0000%                   |
| Feature 2 - Construction Management   | \$1,093,176                | \$468,504          | \$1,561,680         | 70.0000%                   | -\$105,000                   | -\$45,000         | -\$150,000          | \$988,176              | \$423,504          | \$1,411,680         | 70.0000%                   |
| Feature 3 - Construction  | \$7,222,890                | \$3,095,524        | \$10,318,414        | 70.0000%                   | -\$840,000                   | -\$360,000        | -\$1,200,000        | \$6,382,890            | \$2,735,524        | \$9,118,414         | 70.0000%                   |
| Feature 4 - O&M manual  | \$64,818                   | \$27,779           | \$92,597            | 70.0000%                   | -\$56,000                    | -\$24,000         | -\$80,000           | \$8,818                | \$3,779            | \$12,597            | 70.0000%                   |
| <b>Subtotal Element 9</b>   | <b>\$9,778,810</b>         | <b>\$4,190,918</b> | <b>\$13,969,728</b> | <b>70.0000%</b>            | <b>-\$1,200,500</b>          | <b>-\$514,500</b> | <b>-\$1,715,000</b> | <b>\$8,578,310</b>     | <b>\$3,676,418</b> | <b>\$12,254,728</b> | <b>70.0000%</b>            |

"revisedbudget12"

[1] Reflects Revisions Made in the 10th Quarterly Work Plan dated April 26, 2010.

TRLIA Proposed Overall Work Plan Budget Modifications

| Project Elements and Features                             | Current Updated Budget [1] |                     |                      | Resulting State Cost Share | Proposed Budget Modification |                     |                               | Revised Overall Budget |                     |                      | Resulting State Cost Share |
|---|----------------------------|---------------------|----------------------|----------------------------|------------------------------|---------------------|-------------------------------|------------------------|---------------------|----------------------|----------------------------|
|   | State Budget (\$)          | Local Budget (\$)   | Total Budget (\$)    |                            | State Budget (\$)            | Local Budget (\$)   | Total Budget (\$)             | State Budget (\$)      | Local Budget (\$)   | Total Budget (\$)    |                            |
| <b>Element 9A: Feather River Segment 1 Crack Repair</b>   |                            |                     |                      |                            |                              |                     |                               |                        |                     |                      |                            |
| Feature 1.1 - Engineering & CM                            | \$472,500                  | \$202,500           | \$675,000            | 70.0000%                   | -\$385,000                   | -\$165,000          | -\$550,000                    | \$87,500               | \$37,500            | \$125,000            | 70.0000%                   |
| Feature 1.2 - ROW Support                                 | \$10,500                   | \$4,500             | \$15,000             | 70.0000%                   | \$0                          | \$0                 | \$0                           | \$10,500               | \$4,500             | \$15,000             | 70.0000%                   |
| Feature 2 - Construction                                  | \$910,000                  | \$390,000           | \$1,300,000          | 70.0000%                   | -\$553,000                   | -\$237,000          | -\$790,000                    | \$357,000              | \$153,000           | \$510,000            | 70.0000%                   |
| Feature 3 - O&M manual addendum                           | \$7,000                    | \$3,000             | \$10,000             | 70.0000%                   | \$0                          | \$0                 | \$0                           | \$7,000                | \$3,000             | \$10,000             | 70.0000%                   |
| <b>Subtotal Element 9A</b>                                | <b>\$1,400,000</b>         | <b>\$600,000</b>    | <b>\$2,000,000</b>   | <b>70.0000%</b>            | <b>-\$938,000</b>            | <b>-\$402,000</b>   | <b>-\$1,340,000</b>           | <b>\$462,000</b>       | <b>\$198,000</b>    | <b>\$660,000</b>     | <b>70.0000%</b>            |
| <b>Element 9B: Feather River Segment 1 V-Ditch Repair</b> |                            |                     |                      |                            |                              |                     |                               |                        |                     |                      |                            |
| Feature 1 - Eng., Des., & CM                              | \$0                        | \$0                 | \$0                  | N/A                        | \$133,000                    | \$57,000            | \$190,000                     | \$133,000              | \$57,000            | \$190,000            | 70.0000%                   |
| Feature 2 - Construction                                  | \$0                        | \$0                 | \$0                  | N/A                        | \$420,000                    | \$180,000           | \$600,000                     | \$420,000              | \$180,000           | \$600,000            | 70.0000%                   |
| <b>Subtotal Element 9B</b>                                | <b>\$0</b>                 | <b>\$0</b>          | <b>\$0</b>           | <b>N/A</b>                 | <b>\$553,000</b>             | <b>\$237,000</b>    | <b>\$790,000</b>              | <b>\$553,000</b>       | <b>\$237,000</b>    | <b>\$790,000</b>     | <b>70.0000%</b>            |
| <b>TOTAL ALL ELEMENTS</b>                                 | <b>\$154,786,573</b>       | <b>\$45,725,417</b> | <b>\$200,511,990</b> | <b>77.1957%</b>            | <b>\$0</b>                   | <b>-\$9,092,257</b> | <b>-\$9,092,257</b>           | <b>\$154,786,573</b>   | <b>\$36,633,160</b> | <b>\$191,419,733</b> | <b>80.8624%</b>            |
|   |                            |                     |                      |                            |                              |                     | <b>Non Real Estate Budget</b> | <b>\$119,023,342</b>   | <b>\$29,144,226</b> | <b>\$148,167,568</b> |                            |

"revisedbudget12"

[1] Reflects Revisions Made in the 10th Quarterly Work Plan dated April 26, 2010.

- **Projected costs compared to Revised Overall Work Plan Budget:**
  - **Element 1 – Real Estate (Segments 2 & 3):** The revised Overall Work Plan Budget for Element 1 is now \$43.25 million. Through September 2010, TRLIA expects to have expended approximately 93.0% of the revised total budget, about \$40.2 million. In the 11<sup>th</sup> quarter of the project, TRLIA expects to be resolving eminent domain cases either through settlement or trial. The estimate for these expenses during the 11<sup>th</sup> Quarter is \$1,424,443. This estimate may vary based upon the timing for completion of court trials and the ultimate outcomes. The remaining funding for this element is anticipated to be used to settle remaining eminent domain cases.
  - **Element 8 – Real Estate (Segment 1):** The revised Overall Work Plan Budget for Element 8 is now \$630,272. Through September 2010, TRLIA expects to have expended approximately 15.3% of the revised total budget, about \$96,453 million. In the 11<sup>th</sup> quarter of the project, TRLIA does not expend any additional budget.
  - **Element 2 – Segment 2 Construction (Except Tie-Ins):** The revised overall budget for Element 2 is now \$88.76 million. Through the end of the 10<sup>th</sup> Quarter (September '10) TRLIA expects to have expended \$86.3 million. During the 11<sup>th</sup> Quarter TRLIA expects to incur an additional \$1.520 million. This will predominately be costs associated with legal costs associated with the settlement of eminent domain court cases, and construction management expenses and environmental mitigation (plantings). Including this work, through December 2010, TRLIA expects to have expended approximately 99% of the revised budget for this element.
  - **Element 3 –Segment 2 Construction (Tie-Ins):** The revised overall budget for Element 3 is \$7.76 million. Through the 10<sup>th</sup> Quarter, September 2010, TRLIA expects to have expended \$7.7 million of expenses. Element 3 will be completed before the 11<sup>th</sup> Quarter, as such TRLIA has not forecasted any additional expenses in the 11<sup>th</sup> Quarter. TRLIA will be working to close this element out prior to the 11<sup>th</sup> Quarter. Any remaining budget for the Element after the close out will be transferred as needed to remaining Elements to the extent additional budget is needed.
  - **Element 4 – Segment 2 Existing Levee Degrade:** The revised overall budget for Element 4 is \$17.9 million. Through the 10<sup>th</sup> Quarter, September 2010, expects to have expended \$17.9 million, approximately 99% of the overall budget for this element. TRLIA expects in the 10<sup>th</sup> quarter complete to the work and not to incur additional expenses in the 11<sup>th</sup> Quarter.

- **Element 5 – Segment 3 Levee Improvements:** The overall budget for Element 5 is \$11.1 million. Through end of the 10<sup>th</sup> Quarter (September 2010), TRLIA expects to have expended approximately 99% of the total revised budget, about \$11.0 million. Through the 11<sup>th</sup> Quarter (December 2010), TRLIA expects to continue working on the acquisition of required maintenance easement land acquisition.
- **Element 5A – Segment 3 Erosion Site 2:** The overall budget for Element 5A is \$709,000. Through end of the 10<sup>th</sup> Quarter (September 2010), TRLIA expects to have expended approximately 97.6% of the total budget, about \$692,000. Through the 11<sup>th</sup> Quarter (December 2010), TRLIA expects to start working of the acquisition of required maintenance easement land acquisition.
- **Element 6 – Feather River Land Management:** The revised overall budget for Element 6 is \$2,350,000. As noted in the 10<sup>th</sup> Quarter, the Land Maintenance Budget has been increased significantly to address the long term maintenance needs of the set back area. Through the 10<sup>th</sup> Quarter, June 2010, TRLIA will have expended \$165,000 of this budget. Additional activities related to managing the 1,600 acre setback area will continue this quarter.
- **Element 7 - Project Management, Legal, Community Relations, Land Management, and Overhead:** The revised overall budget for Element 7 is \$5.2 million. Through the 10<sup>th</sup> Quarter (September 2010), TRLIA expects to have expended \$4.8 million or 93.4% of the total revised budget. Through the 11<sup>th</sup> Quarter (December 2010), TRLIA expects to have expended an additional \$147,000. The remaining budget for this Element will continue TRLIA overhead and management efforts. As if October 2009, the commencement of the 7<sup>th</sup> quarter, the allocation of TRLIA's overhead has been split between the Feather River project and the Yuba River Project.
- **Element 9 – Segment 1 Levee Improvements:** The revised overall budget for Element 9 is \$12.25 million. Through end of the 10<sup>th</sup> Quarter (September 2010), TRLIA expects to have expended approximately 98.3% of the total revised budget, about \$12.0 million. Through the 11<sup>th</sup> Quarter (December 2010), TRLIA expects to start working of the acquisition of required maintenance easement land acquisition.
- **Element 9A –Segment 1 Crack Repair:** The overall budget for Element 9A has been revised down to \$660,000. Through the 10<sup>th</sup> Quarter, June 2010, TRLIA expects to have expended \$503,971 of expenses. Element 9A will have been completed before the 10<sup>th</sup> Quarter, as such TRLIA has not forecasted any additional expenses in the 11<sup>th</sup> Quarter. TRLIA will be working to close this element out prior to the 11<sup>th</sup> Quarter. The remaining budget for the Element has now been transferred to Element 9B, the V-Ditch Repair.

- Element 9B – Segment 1 V-Ditch Repair: The overall budget for Element 9B is \$790,000 million. Through end of the 10<sup>th</sup> Quarter (September 2010), TRLIA will not have commenced this item. TRLIA expects to commence work in the 11<sup>th</sup> Quarter and has forecasted expenditures of \$15,000.
- **The amount of funds sought from the State and the Amount of Local Funds intended to be expended**

As presented in Item 4 of this 11<sup>th</sup> Quarter Work Plan:

| <b>Summary</b>                   |                    |                    |                    |                             |
|----------------------------------|--------------------|--------------------|--------------------|-----------------------------|
| <b>Description</b>               | <b>State Share</b> | <b>Local Share</b> | <b>Total</b>       | <b>Local Credit Applied</b> |
| Element 1 & 8 - Land Acquisition | \$1,179,789        | \$244,654          | \$1,424,443        | \$0                         |
| Elements 2 through 7, 9A, & 9B   | \$1,446,938        | \$319,009          | \$1,765,947        | \$319,009                   |
| <b>Total</b>                     | <b>\$2,626,727</b> | <b>\$563,663</b>   | <b>\$3,190,390</b> | <b>\$319,009</b>            |

| <b>Quarterly Summary for Real Estate Activity</b>                     |                    |
|---|--------------------|
| State Share Elements 1 & 8  | \$1,179,789        |
| Local Credit Applied Elements 1 & 8                                   | \$0                |
| (less) State Share of Direct Real Estate Funding Received by TRLIA[1] | <b>-\$93,201</b>   |
| <b>Estimated Total Quarterly State Real Estate Funding</b>            | <b>\$1,086,588</b> |

[1] Less State Share of Davit and Danna payments already received. See table showing Direct Real Estate Revenue Received by TRLIA below.

| <b>Quarterly Advance Summary for Non-Real Estate Activity</b>    |             |
|--|-------------|
| 75% of State Share Elements 2 through 7 & 9A                     | \$1,085,204 |
| Prior State Share Underfunded                                    | \$0         |
| (less) State Share of Interest and Lease Revenue [1]             |             |
| <b>Total Advance Request of State Share for 11th Quarter [2]</b> | <b>\$0</b>  |

[1] Interest Earned on Prior State Funding has not yet been determined. TRLIA is working with State on an analysis to determine this amount. See Table Below.

[2] Subject to finalized True-Up for 9<sup>th</sup> and 10<sup>th</sup> Quarters

The calculation of Total Advance for State Share at Start of 11<sup>th</sup> Quarter is subject to True-Ups to account for actual expenses incurred in the 9<sup>th</sup> and 10<sup>th</sup> Quarters. TRLIA is requesting that DWR start processing the 11<sup>th</sup> Quarter advance as soon as invoices for work related to the 10<sup>th</sup> Quarter is received.

- **Detailed Quarterly Work Plan Budget Forecast**

The following tables present Element and Feature level details for this 10<sup>th</sup> Quarter Work Plan.

Summary of TRLIA Quarterly Work Plan Budget

TRLIA 11<sup>th</sup> QWP  
September 14, 2010

For Period October/November/December 2010

SUMMARY ALL ELEMENTS

| Elements and Features<br><i>Formula</i>                           | Revised Overall Budget [1] |   |              | Forecasted Expenses (through September 2010) |                    |                    |                                    | Forecasted Remaining Budget |             |             | Quarterly Forecast |                    |                  | Advance            |
|---|----------------------------|---|--------------|--|--------------------|--------------------|------------------------------------|-----------------------------|-------------|-------------|--------------------|--------------------|------------------|--------------------|
|   | Total                      | State                                     | Local        | Total  | State Share        |                    | Local Share                        | Total                       | State       | Local       | Total              | State              | Local            | State Share        |
|   | b                          | c*  | d = b-c      | e  | Credit f           | Payments g         | (after credit) h=e-f-g             | i=b-e                       | j=c-f-g     | k=d-h       | l                  | m=lxa              | n=l-m            | o=m*75%            |
|   |                            | <small>*Based on Overall Workplan</small> |              | <small>[2]</small>                           | <small>[3]</small> | <small>[4]</small> | <small>*Not less than zero</small> |                             |             |             |                    |                    |                  |                    |
| <b>Quarterly Advance Request</b>                                  |                            |   |              |  |                    |                    |                                    |                             |             |             |                    |                    |                  |                    |
| Element 2: Segment 2 New Levee                                    | \$88,759,546               | \$73,514,739                              | \$15,244,808 | \$86,319,583                                 | \$6,777,121        | \$64,716,713       | \$14,825,749                       | \$2,439,964                 | \$2,020,905 | \$419,059   | \$1,520,732        | \$1,259,540        | \$261,192        | \$944,655          |
| Element 3: Segment 2 Levee Tie Ins                                | \$7,760,052                | \$6,427,232                               | \$1,332,820  | \$7,684,448                                  | \$0                | \$6,364,612        | \$1,319,836                        | \$75,604                    | \$62,620    | \$12,984    | \$0                | \$0                | \$0              | \$0                |
| Element 4: Segment 2 Levee Degradation                            | \$17,916,213               | \$14,839,032                              | \$3,077,181  | \$17,910,507                                 | \$0                | \$14,834,302       | \$0                                | \$5,706                     | \$4,729     | \$977       | \$0                | \$0                | \$0              | \$0                |
| Element 5: Segment 3 Levee Improvement                            | \$11,135,917               | \$7,795,142                               | \$3,340,775  | \$11,043,260                                 | \$3,155,224        | \$4,575,059        | \$3,312,978                        | \$92,656                    | \$64,859    | \$27,797    | \$7,500            | \$5,250            | \$2,250          | \$3,938            |
| Element 5A: Segment 3 Erosion Site 2                              | \$709,000                  | \$496,300                                 | \$212,700    | \$692,018                                    | \$0                | \$484,413          | \$207,605                          | \$16,982                    | \$11,887    | \$5,095     | \$5,000            | \$3,500            | \$1,500          | \$2,625            |
| Element 6: Land Management  | \$2,350,000                | \$1,946,378                               | \$403,622    | \$165,014                                    | \$0                | \$136,672          | \$28,342                           | \$2,184,986                 | \$1,809,706 | \$375,280   | \$50,000           | \$41,412           | \$8,588          | \$31,059           |
| Element 7: PM & Overhead  | \$5,201,840                | \$3,970,020                               | \$1,231,821  | \$4,859,578                                  | \$1,464,186        | \$2,244,634        | \$1,150,758                        | \$342,262                   | \$261,200   | \$81,062    | \$147,715          | \$112,736          | \$34,979         | \$84,552           |
| Element 9: Segment 1 Levee Improvement                            | \$12,254,728               | \$8,578,310                               | \$3,676,418  | \$12,047,325                                 | \$987,512          | \$7,445,616        | \$3,614,197                        | \$207,403                   | \$145,182   | \$62,221    | \$15,000           | \$10,500           | \$4,500          | \$7,875            |
| Element 9A: Segment 1 Crack Repair                                | \$660,000                  | \$462,000                                 | \$198,000    | \$503,971                                    | \$0                | \$352,780          | \$151,191                          | \$156,029                   | \$109,220   | \$46,809    | \$5,000            | \$3,500            | \$1,500          | \$2,625            |
| Element 9B: Feather River Segment 1 V-Ditch R                     | \$790,000                  | \$553,000                                 | \$237,000    | \$0  | \$0                | \$0                | \$0                                | \$790,000                   | \$553,000   | \$237,000   | \$15,000           | \$10,500           | \$4,500          | \$7,875            |
| Subtotal Elements 2 through 9A                                    | \$147,537,296              | \$118,582,151                             | \$28,955,145 | \$141,225,704                                | \$12,384,043       | \$101,154,799      | \$24,610,657                       | \$6,311,592                 | \$5,043,309 | \$1,268,283 |                    |                    |                  |                    |
|   |                            |   |              |  |                    |                    |                                    | <b>\$113,538,842</b>        |             |             |                    |                    |                  |                    |
| <b>Totals for Quarter Eligible for 75% Advance of State Share</b> |                            |   |              |  |                    |                    |                                    |                             |             |             | <b>\$1,765,947</b> | <b>\$1,446,938</b> | <b>\$319,009</b> | <b>\$1,085,204</b> |
| <b>Real Estate Forecast for Quarter</b>                           |                            |   |              |  |                    |                    |                                    |                             |             |             |                    |                    |                  |                    |
| Element 1: Land Acquisition                                       | \$43,252,165               | \$35,763,231                              | \$7,488,934  | \$40,236,162                                 | \$3,022,288        | \$30,277,248       | \$6,936,625                        | \$3,016,003                 | \$2,463,695 | \$552,308   | \$1,424,443        | \$1,179,789        | \$244,654        |                    |
| Element 8: Segment 1 Land Acquisition                             | \$630,272                  | \$441,190                                 | \$189,082    | \$96,453                                     | \$47,728           | \$19,789           | \$28,936                           | \$533,819                   | \$373,673   | \$160,146   | \$0                | \$0                | \$0              |                    |
| Subtotal Real Estate Forecast                                     | \$43,882,437               | \$36,204,422                              | \$7,678,015  | \$40,332,615                                 | \$3,070,016        | \$30,297,037       | \$6,965,561                        | \$3,549,822                 | \$2,837,368 | \$712,454   | \$1,424,443        | \$1,179,789        | \$244,654        |                    |
| Total Elements 1 through 9A                                       | \$191,419,733              | \$154,786,573                             | \$36,633,160 | \$181,558,318                                | \$15,454,059       | \$131,451,837      | \$31,576,218                       | \$9,861,415                 | \$7,880,677 | \$1,980,737 | \$3,190,390        | \$2,626,727        | \$563,663        |                    |

**Local Credit Reconciliation**

|   |  |
|---|--|
| Total Local Share of Expenses this Quarter                                  | \$319,009 (From Above)                         |
| Total Approved Local Credit   | \$15,454,060 (Based on Approval Letter)        |
| <b>Use of Local Credit</b>  |  |
| Use of Local Credit for Real Estate to Date                                 | -\$5,282,078                                   |
| Payments of Credit w/ 1st Quarterly Advance (Per Invoice Advance - 1)       | -\$2,461,666                                   |
| Payments of Credit w/ 2nd Quarterly Advance (Per Revised Invoice Advance-2) | -\$1,469,507                                   |
| Payments of Credit w/2nd Quarter True-Up                                    | -\$3,812,451                                   |
| Payments of Credit w/3rd Quarterly Advance                                  | -\$567,189                                     |
| Payments of Credit w/3rd Quarterly Advance (Update based on Addtl. Costs)   | -\$825,928                                     |
| <b>Use of Local Credit</b>  | <b>-\$14,418,819</b>                           |
| Total Local Credit Used   | -\$14,418,819                                  |
| Remaining Local Credit  | \$1,035,241                                    |
| Requested Local Credit to be Paid with Advance                              | <u>\$1,035,241 (No Local Credit Remaining)</u> |

"11QSumm"

[1] Revised per this 10th QWP to account for funding agreement modifications.

[2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).



TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 1

| Elements and Features   | State Share Percentage | Revised Overall Budget [1] |                            |                    | Cumulative Expenses (through Sep 2010) |                     |                     |                    | Forecasted Remaining Budget |                    |                  | % of Total Budget Remaining | 11th Quarterly Forecast |                    |                  | % of Remaining Budget |
|---|------------------------|----------------------------|----------------------------|--------------------|--|---------------------|---------------------|--------------------|-----------------------------|--------------------|------------------|-----------------------------|-------------------------|--------------------|------------------|-----------------------|
|   |                        | Total                      | State                      | Local              | Total                                  | State Share Amounts |                     | Local Share        | Total                       | State Share        | Local Share      |                             | Total                   | State              | Local            |                       |
| Formula   | a                      | b                          | c*                         | d = b-c            | e                                      | f                   | g                   | h=e-f-g            | i=b-e                       | j=c-f-g            | k=d-h            |                             | l                       | m=lx               | n=l-m            |                       |
|   |                        |                            | *Based on Overall Workplan |                    | [2]                                    | [3]                 | [4]                 |                    |                             |                    |                  |                             |                         |                    |                  |                       |
| <b>Element 1: Feather River EIP Land Acquisition (see real estate Acquisition Plan)</b> |                        |                            |                            |                    |  |                     |                     |                    |                             |                    |                  |                             |                         |                    |                  |                       |
| Feature 1 - Feather Segment 2   | 82.8246%               | \$42,782,743               | \$35,434,636               | \$7,348,107        | \$40,034,232                           | \$2,983,248         | \$30,174,938        | \$6,876,046        | \$2,748,511                 | \$2,276,451        | \$472,061        | 6%                          | \$1,424,443             | \$1,179,789        | \$244,654        | 52%                   |
| Feature 2 - Feather Segment 3   | 70.0000%               | \$469,422                  | \$328,595                  | \$140,827          | \$201,930                              | \$39,040            | \$102,311           | \$60,579           | \$267,492                   | \$187,245          | \$80,248         | 57%                         | \$0                     | \$0                | \$0              | 0%                    |
| <b>Subtotal Element 1</b>   |                        | <b>\$43,252,165</b>        | <b>\$35,763,231</b>        | <b>\$7,488,934</b> | <b>\$40,236,162</b>                    | <b>\$3,022,288</b>  | <b>\$30,277,248</b> | <b>\$6,936,625</b> | <b>\$3,016,003</b>          | <b>\$2,463,695</b> | <b>\$552,308</b> | <b>7%</b>                   | <b>\$1,424,443</b>      | <b>\$1,179,789</b> | <b>\$244,654</b> | <b>47%</b>            |

"11QElem1"

[1] Revised per this 10th QWP to account for funding agreement modifications.  
 [2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.  
 [3] Amount paid from credit.  
 [4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 8

| Elements and Features  | State Share Percentage | Revised Overall Budget [1] |                            |                  | Cumulative Expenses (through Sep 2010) |                 |                 |                 | Forecasted Remaining Budget |                  |                  | % of Total Budget Remaining | 11th Quarterly Forecast |            |            | % of Remaining Budget |
|--|------------------------|----------------------------|----------------------------|------------------|--|-----------------|-----------------|-----------------|-----------------------------|------------------|------------------|-----------------------------|-------------------------|------------|------------|-----------------------|
|  |                        | Total                      | State                      | Local            | Total                                  | State Share     | Credit          | Payment         | Local Share                 | Total            | State Share      |                             | Local Share             | Total      | State      |                       |
| Formula  | a                      | b                          | c*                         | d = b-c          | e                                      | f               | g               | h=e-f-g         | i=b-e                       | j=c-f-g          | k=d-h            |                             | l                       | m=lx-a     | n=l-m      |                       |
|  |                        |                            | *Based on Overall Workplan |                  | [2]                                    | [3]             | [4]             |                 |                             |                  |                  |                             |                         |            |            |                       |
| <b>Element 8: Segment 1 Feather River EIP Land Acquisition</b> |                        |                            |                            |                  |  |                 |                 |                 |                             |                  |                  |                             |                         |            |            |                       |
| Feature 1 - Feather Segment 1                                  | 70.0000%               | \$630,272                  | \$441,190                  | \$189,082        | \$96,453                               | \$47,728        | \$19,789        | \$28,936        | \$533,819                   | \$373,673        | \$160,146        | 85%                         | \$0                     | \$0        | \$0        | 0%                    |
| <b>Subtotal Element 8</b>                                      |                        | <b>\$630,272</b>           | <b>\$441,190</b>           | <b>\$189,082</b> | <b>\$96,453</b>                        | <b>\$47,728</b> | <b>\$19,789</b> | <b>\$28,936</b> | <b>\$533,819</b>            | <b>\$373,673</b> | <b>\$160,146</b> | <b>85%</b>                  | <b>\$0</b>              | <b>\$0</b> | <b>\$0</b> | <b>0%</b>             |

"11QElem1"

[1] Revised per this 10th QWP to account for funding agreement modifications.

[2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget  
For Period October/November/December 2010

ELEMENT 2

| Elements and Features  | State Share Percentage | Revised Overall Budget [1] |   |                     | Cumulative Expenses (through Sep 2010) |                            |                              | Forecasted Remaining Budget              |                    |                    | % of Total Budget Remaining | 11th Quarterly Forecast |                    |                    | % of Remaining Budget |            |
|--|------------------------|----------------------------|---|---------------------|--|----------------------------|------------------------------|--|--------------------|--------------------|-----------------------------|-------------------------|--------------------|--------------------|-----------------------|------------|
|  |                        | Total                      | State   | Local               | Total                                  | State Share                | Local Share                  | Total                                    | State Share        | Local Share        |                             | Total                   | State              | Local              |                       |            |
| Formula  | a                      | b                          | c*<br><small>*Based on Overall Workplan</small> | d = b-c             | e                                      | f<br><small>Credit</small> | g<br><small>Payments</small> | h=e-f-g<br><small>(after credit)</small> | i=b-e              | j=c-f-g            | k=d-h                       |                         | l                  | m=lxa              | n=l-m                 |            |
|  |                        |                            |   |                     | [2]                                    | [3]                        | [4]                          | <small>*Not less than zero</small>       |                    |                    |                             |                         |                    |                    |                       |            |
| <b>Element 2: Feather River Segment 2 new levee</b>            |                        |                            |   |                     |  |                            |                              |  |                    |                    |                             |                         |                    |                    |                       |            |
| <b>(Excludes Levee Tie Ins and Existing Levee Degradation)</b> |                        |                            |   |                     |  |                            |                              |  |                    |                    |                             |                         |                    |                    |                       |            |
| 2.1.1: Design  | 82.8246%               | \$6,492,466                | \$5,377,359                                     | \$1,115,107         | \$6,479,631                            | \$4,533,871                | \$832,856                    | \$1,112,904                              | \$12,835           | \$10,632           | \$2,203                     | 0%                      | \$0                | \$0                | \$0                   | 0%         |
| 2.1.2: Permitting  | 82.8246%               | \$2,745,659                | \$2,274,081                                     | \$471,578           | \$2,745,587                            | \$1,204,454                | \$1,069,566                  | \$471,566                                | \$73               | \$60               | \$12                        | 0%                      | \$0                | \$0                | \$0                   | 0%         |
| 2.1.3: Right of Way Support                                    | 82.8246%               | \$5,648,380                | \$4,678,248                                     | \$970,132           | \$5,195,913                            | \$940,436                  | \$3,363,057                  | \$892,420                                | \$452,467          | \$374,755          | \$77,712                    | 8%                      | \$450,000          | \$372,711          | \$77,289              | 99%        |
| 2.2: Construction Management                                   | 82.8246%               | \$7,269,051                | \$6,020,563                                     | \$1,248,489         | \$6,968,098                            | \$14,362                   | \$5,756,936                  | \$1,196,800                              | \$300,953          | \$249,265          | \$51,689                    | 4%                      | \$300,000          | \$248,474          | \$51,526              | 100%       |
| 2.3 Construction of Setback                                    |                        |                            |   |                     |  |                            |                              |  |                    |                    |                             |                         |                    |                    |                       |            |
| 2.3.1: Foundation  | 82.8246%               | \$23,525,485               | \$19,484,889                                    | \$4,040,596         | \$23,524,070                           | \$0                        | \$19,483,713                 | \$4,040,357                              | \$1,415            | \$1,176            | \$239                       | 0%                      | \$0                | \$0                | \$0                   | 0%         |
| 2.3.2: Embankment  | 82.8246%               | \$34,248,507               | \$28,366,189                                    | \$5,882,318         | \$34,170,004                           | \$42,486                   | \$28,258,677                 | \$5,868,841                              | \$78,504           | \$65,026           | \$13,477                    | 0%                      | \$75,000           | \$62,118           | \$12,882              | 96%        |
| 2.3.3: Relocation of PG&E Fac.                                 | 82.8246%               | \$2,611,894                | \$2,163,291                                     | \$448,603           | \$2,605,433                            | \$41,412                   | \$2,116,527                  | \$447,494                                | \$6,461            | \$5,352            | \$1,109                     | 0%                      | \$0                | \$0                | \$0                   | 0%         |
| 2.4: Environmental & Cultural Mitigation                       |                        |                            |   |                     |  |                            |                              |  |                    |                    |                             |                         |                    |                    |                       |            |
| 2.4.1: Mitigation & Restoration                                | 82.8246%               | \$5,700,000                | \$4,721,002                                     | \$978,998           | \$4,608,046                            | \$99                       | \$3,816,495                  | \$791,451                                | \$1,091,954        | \$904,408          | \$187,547                   | 19%                     | \$635,366          | \$526,239          | \$109,127             | 58%        |
| 2.4.2: Cultural Mitigation/Section                             | 82.8246%               | \$350,000                  | \$289,886                                       | \$60,114            | \$12,862                               | \$0                        | \$10,653                     | \$2,209                                  | \$337,138          | \$279,233          | \$57,905                    | 96%                     | \$35,366           | \$29,292           | \$6,074               | 10%        |
| 2.5: Operation and Maintenance                                 | 82.8246%               | \$168,103                  | \$139,231                                       | \$28,872            | \$9,940                                | \$0                        | \$8,233                      | \$1,707                                  | \$158,164          | \$130,998          | \$27,165                    | 94%                     | \$25,000           | \$20,706           | \$4,294               | 16%        |
| <b>Subtotal Element 2</b>                                      |                        | <b>\$88,759,546</b>        | <b>\$73,514,739</b>                             | <b>\$15,244,808</b> | <b>\$86,319,583</b>                    | <b>\$6,777,121</b>         | <b>\$64,716,713</b>          | <b>\$14,825,749</b>                      | <b>\$2,439,964</b> | <b>\$2,020,905</b> | <b>\$419,059</b>            | <b>3%</b>               | <b>\$1,520,732</b> | <b>\$1,259,540</b> | <b>\$261,192</b>      | <b>62%</b> |

"11QElem2"

[1] Revised per this 10th QWP to account for funding agreement modifications.  
 [2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.  
 [3] Amount paid from credit.  
 [4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 3

| Elements and Features                                   | State Share Percentage | Revised Overall Budget [1] |                            |                    | Cumulative Expenses (through Sep 2010) |             |                    | Forecasted Remaining Budget |                 |                 | % of Total Budget Remaining | 11th Quarterly Forecast |            |            | % of Remaining Budget |           |
|---|------------------------|----------------------------|----------------------------|--------------------|--|-------------|--------------------|-----------------------------|-----------------|-----------------|-----------------------------|-------------------------|------------|------------|-----------------------|-----------|
|   |                        | Total                      | State                      | Local              | Total                                  | State Share | Local Share        | Total                       | State Share     | Local Share     |                             | Total                   | State      | Local      |                       |           |
| Formula   | a                      | b                          | c*                         | d = b-c            | e                                      | f           | g                  | h=e-f-g                     | i=b-e           | j=c-f-g         | k=d-h                       | l                       | m=lx       | n=l-m      |                       |           |
|   |                        |                            | *Based on Overall Workplan |                    | [2]                                    | [3]         | [4]                | *Not less than zero         |                 |                 |                             |                         |            |            |                       |           |
| <b>Element 3: Feather River Segment 2 Levee Tie Ins</b> |                        |                            |                            |                    |  |             |                    |                             |                 |                 |                             |                         |            |            |                       |           |
| 3.1.1: Foundation                                       | 82.8246%               | \$5,149,347                | \$4,264,926                | \$884,421          | \$5,146,426                            | \$0         | \$4,262,505        | \$883,920                   | \$2,921         | \$2,420         | \$501                       | 0%                      | \$0        | \$0        | \$0                   | 0%        |
| 3.1.2: Embankment                                       | 82.8246%               | \$2,610,705                | \$2,162,306                | \$448,399          | \$2,538,022                            | \$0         | \$2,102,106        | \$435,916                   | \$72,683        | \$60,200        | \$12,483                    | 3%                      | \$0        | \$0        | \$0                   | 0%        |
| <b>Subtotal Element 3</b>                               |                        | <b>\$7,760,052</b>         | <b>\$6,427,232</b>         | <b>\$1,332,820</b> | <b>\$7,684,448</b>                     | <b>\$0</b>  | <b>\$6,364,612</b> | <b>\$1,319,836</b>          | <b>\$75,604</b> | <b>\$62,620</b> | <b>\$12,984</b>             | <b>1%</b>               | <b>\$0</b> | <b>\$0</b> | <b>\$0</b>            | <b>0%</b> |

"11QElem3"

[1] Revised per this 10th QWP to account for funding agreement modifications.  
 [2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.  
 [3] Amount paid from credit.  
 [4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget  
For Period October/November/December 2010

ELEMENT 4

| Elements and Features                                       | State Share Percentage | Revised Overall Budget [1] |   |                    | Cumulative Expenses (through Sep 2010) |             |                     | Forecasted Remaining Budget        |                |                | % of Total Budget Remaining | 11th Quarterly Forecast |            |            | % of Remaining Budget |           |
|---|------------------------|----------------------------|---|--------------------|--|-------------|---------------------|------------------------------------|----------------|----------------|-----------------------------|-------------------------|------------|------------|-----------------------|-----------|
|   |                        | Total                      | State   | Local              | Total                                  | State Share | Local Share         | Total                              | State Share    | Local Share    |                             | Total                   | State      | Local      |                       |           |
|   |                        | b                          | c*  | d = b-c            | e                                      | f           | g                   | h=e-f-g                            | i=b-e          | j=c-f-g        |                             | k=d-h                   | l          | m=lx       |                       | n=l-m     |
| <i>Formula</i>  | a                      | b                          | c*<br><small>*Based on Overall Workplan</small> | d = b-c            | e                                      | f           | g                   | h=e-f-g                            | i=b-e          | j=c-f-g        | k=d-h                       |                         | l          | m=lx       | n=l-m                 |           |
|   |                        |                            |   |                    | [2]                                    | [3]         | [4]                 | <small>*Not less than zero</small> |                |                |                             |                         |            |            |                       |           |
| <b>Element 4: Feather River Segment 2 Levee Degradation</b> |                        |                            |   |                    |  |             |                     |                                    |                |                |                             |                         |            |            |                       |           |
| 4.1: Construction   | 82.8246%               | \$17,916,213               | \$14,839,032                                    | \$3,077,181        | \$17,910,507                           | \$0         | \$14,834,302        | \$3,076,204                        | \$5,706        | \$4,729        | \$977                       | 0%                      | \$0        | \$0        | \$0                   | 0%        |
| <b>Subtotal Element 4</b>                                   |                        | <b>\$17,916,213</b>        | <b>\$14,839,032</b>                             | <b>\$3,077,181</b> | <b>\$17,910,507</b>                    | <b>\$0</b>  | <b>\$14,834,302</b> | <b>\$0</b>                         | <b>\$5,706</b> | <b>\$4,729</b> | <b>\$977</b>                | <b>0%</b>               | <b>\$0</b> | <b>\$0</b> | <b>\$0</b>            | <b>0%</b> |

"11QElem4"

[1] Revised per this 10th QWP to account for funding agreement modifications.  
 [2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.  
 [3] Amount paid from credit.  
 [4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 5

| Elements and Features                                       | State Share Percentage | Revised Overall Budget [1] |   |                    | Cumulative Expenses (through Sep 2010) |                         |                         |   | Forecasted Remaining Budget |                 |                 | % of Total Budget Remaining | 11th Quarterly Forecast |                |                | % of Remaining Budget |
|---|------------------------|----------------------------|---|--------------------|--|-------------------------|-------------------------|---|-----------------------------|-----------------|-----------------|-----------------------------|-------------------------|----------------|----------------|-----------------------|
|   |                        | Total                      | State   | Local              | Total                                  | State Share             |                         | Local Share                                   | Total                       | State Share     | Local Share     |                             | Total                   | State          | Local          |                       |
|   |                        | b                          | c*  | d = b-c            | e                                      | Credit f                | Payments g              | (after credit) h=e-f-g                        | i=b-e                       | j=c-f-g         | k=d-h           |                             | l                       | m=lx           | n=l-m          |                       |
| <i>Formula</i>  | a                      | b                          | c*<br><small>*Based on Overall Workplan</small> | d = b-c            | e<br><small>[2]</small>                | f<br><small>[3]</small> | g<br><small>[4]</small> | h=e-f-g<br><small>*Not less than zero</small> | i=b-e                       | j=c-f-g         | k=d-h           |                             | l                       | m=lx           | n=l-m          |                       |
| <b>Element 5: Feather River Segment 3 levee improvement</b> |                        |                            |   |                    |  |                         |                         |   |                             |                 |                 |                             |                         |                |                |                       |
| 5.1.1: Design   | 70.0000%               | \$915,083                  | \$640,558                                       | \$274,525          | \$913,761                              | \$582,099               | \$57,534                | \$274,128                                     | \$1,322                     | \$925           | \$396           | 0%                          | \$0                     | \$0            | \$0            | 0%                    |
| 5.1.2: Permitting   | 70.0000%               | \$203,096                  | \$142,167                                       | \$60,929           | \$199,015                              | \$91,124                | \$48,187                | \$59,704                                      | \$4,081                     | \$2,857         | \$1,224         | 2%                          | \$0                     | \$0            | \$0            | 0%                    |
| 5.1.3: Right of Way Support [4]                             | 70.0000%               | \$343,821                  | \$240,674                                       | \$103,146          | \$302,868                              | \$136,399               | \$75,608                | \$90,860                                      | \$40,953                    | \$28,667        | \$12,286        | 12%                         | \$7,500                 | \$5,250        | \$2,250        | 18%                   |
| 5.2: Construction Management                                | 70.0000%               | \$1,125,663                | \$787,964                                       | \$337,699          | \$1,116,020                            | \$438,350               | \$342,864               | \$334,806                                     | \$9,643                     | \$6,750         | \$2,893         | 1%                          | \$0                     | \$0            | \$0            | 0%                    |
| 5.3 Construction  | 70.0000%               | \$8,519,203                | \$5,963,442                                     | \$2,555,761        | \$8,509,913                            | \$1,907,252             | \$4,049,688             | \$2,552,974                                   | \$9,289                     | \$6,502         | \$2,787         | 0%                          | \$0                     | \$0            | \$0            | 0%                    |
| 5.4: O&M Manual   | 70.0000%               | \$29,052                   | \$20,336  | \$8,716            | \$1,683                                | \$0                     | \$1,178                 | \$505   | \$27,369                    | \$19,158        | \$8,211         | 94%                         | \$0                     | \$0            | \$0            | 0%                    |
| <b>Subtotal Element 5</b>                                   |                        | <b>\$11,135,917</b>        | <b>\$7,795,142</b>                              | <b>\$3,340,775</b> | <b>\$11,043,260</b>                    | <b>\$3,155,224</b>      | <b>\$4,575,059</b>      | <b>\$3,312,978</b>                            | <b>\$92,656</b>             | <b>\$64,859</b> | <b>\$27,797</b> | <b>1%</b>                   | <b>\$7,500</b>          | <b>\$5,250</b> | <b>\$2,250</b> | <b>8%</b>             |

"11QElem5"

[1] Revised per this 10th QWP to account for funding agreement modifications.

[2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.

[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).



TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 5A

| Elements and Features                                     | State Share Percentage | Revised Overall Budget [1] |                  |   | Cumulative Expenses (through Sep 2010) |             |                            | Forecasted Remaining Budget  |  |                 | % of Total Budget Remaining | 11th Quarterly Forecast |                |                | % of Remaining Budget |            |
|---|------------------------|----------------------------|------------------|---|--|-------------|----------------------------|------------------------------|--|-----------------|-----------------------------|-------------------------|----------------|----------------|-----------------------|------------|
|   |                        | Total                      | State            | Local   | Total                                  | State Share | Local Share                | Total                        | State Share                              | Local Share     |                             | Total                   | State          | Local          |                       |            |
|   |                        | a                          | b                | c*<br><small>*Based on Overall Workplan</small> | d = b-c                                | e           | f<br><small>Credit</small> | g<br><small>Payments</small> | h=e-f-g<br><small>(after credit)</small> | i=b-e           |                             | j=c-f-g                 | k=d-h          | l              |                       | m=lxa      |
| <b>Formula</b>  |                        |                            |                  |   | [2]                                    | [3]         | [4]                        |                              |  |                 |                             |                         |                |                |                       |            |
| <b>Element 5A: Feather River Segment 3 Erosion Site 2</b> |                        |                            |                  |   |  |             |                            |                              |  |                 |                             |                         |                |                |                       |            |
| 5A.1.1 - Design   | 70.0000%               | \$54,247                   | \$37,973         | \$16,274  | \$53,962                               | \$0         | \$37,773                   | \$16,189                     | \$285                                    | \$200           | \$86                        | 1%                      | \$0            | \$0            | \$0                   | 0%         |
| 5A.1.2 - Permitting                                       | 70.0000%               | \$112,302                  | \$78,611         | \$33,691  | \$111,341                              | \$0         | \$77,939                   | \$33,402                     | \$961                                    | \$672           | \$288                       | 1%                      | \$0            | \$0            | \$0                   | 0%         |
| 5A.1.3 - ROW Support                                      | 70.0000%               | \$20,000                   | \$14,000         | \$6,000   | \$5,830                                | \$0         | \$4,081                    | \$1,749                      | \$14,170                                 | \$9,919         | \$4,251                     | 71%                     | \$5,000        | \$3,500        | \$1,500               | 35%        |
| 5A.2 - Construction Managemen                             | 70.0000%               | \$39,239                   | \$27,467         | \$11,772  | \$39,134                               | \$0         | \$27,394                   | \$11,740                     | \$105                                    | \$73            | \$31                        | 0%                      | \$0            | \$0            | \$0                   | 0%         |
| 5A.3 - Construction                                       | 70.0000%               | \$482,212                  | \$337,548        | \$144,664                                       | \$481,751                              | \$0         | \$337,226                  | \$144,525                    | \$461                                    | \$323           | \$138                       | 0%                      | \$0            | \$0            | \$0                   | 0%         |
| 5A.4 - O&M manual addendum                                | 70.0000%               | \$1,000                    | \$700            | \$300   | \$0                                    | \$0         | \$0                        | \$0                          | \$1,000                                  | \$700           | \$300                       | 100%                    | \$0            | \$0            | \$0                   | 0%         |
| <b>Subtotal Element 5A</b>                                |                        | <b>\$709,000</b>           | <b>\$496,300</b> | <b>\$212,700</b>                                | <b>\$692,018</b>                       | <b>\$0</b>  | <b>\$484,413</b>           | <b>\$207,605</b>             | <b>\$16,982</b>                          | <b>\$11,887</b> | <b>\$5,095</b>              | <b>2%</b>               | <b>\$5,000</b> | <b>\$3,500</b> | <b>\$1,500</b>        | <b>29%</b> |

"11QElem5A"

[1] Revised per this 10th QWP to account for funding agreement modifications.  
 [2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.  
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TRLIA Quarterly Work Plan Budget  
For Period October/November/December 2010

ELEMENT 6

| Elements and Features                           | State Share Percentage | Revised Overall Budget [1] |   |                  | Cumulative Expenses (through Sep 2010) |                            |                              | Forecasted Remaining Budget              |                    |                    | % of Total Budget Remaining | 11th Quarterly Forecast |                 |                 | % of Remaining Budget |           |
|---|------------------------|----------------------------|---|------------------|--|----------------------------|------------------------------|--|--------------------|--------------------|-----------------------------|-------------------------|-----------------|-----------------|-----------------------|-----------|
|   |                        | Total                      | State   | Local            | Total                                  | State Share                | Local Share                  | Total                                    | State Share        | Local Share        |                             | Total                   | State           | Local           |                       |           |
| Formula   | a                      | b                          | c*<br><small>*Based on Overall Workplan</small> | d = b-c          | e                                      | f<br><small>Credit</small> | g<br><small>Payments</small> | h=e-f-g<br><small>(after credit)</small> | i=b-e              | j=c-f-g            | k=d-h                       | l                       | m=l-x           | n=l-m           |                       |           |
|   |                        |                            |   |                  | [2]                                    | [3]                        | [4]                          | <small>*Not less than zero</small>       |                    |                    |                             |                         |                 |                 |                       |           |
| <b>Element 6: Feather River Land Management</b> |                        |                            |   |                  |  |                            |                              |  |                    |                    |                             |                         |                 |                 |                       |           |
| Feature 6.1: Env. Maintenance                   | 82.8246%               | \$150,000                  | \$124,237                                       | \$25,763         | \$112,914                              | \$0                        | \$93,520                     | \$19,393                                 | \$37,086           | \$30,717           | \$6,370                     | 25%                     | \$0             | \$0             | \$0                   | 0%        |
| Feature 6.2: Lease Back                         | 82.8246%               | \$50,000                   | \$41,412  | \$8,588          | \$780                                  | \$0                        | \$646                        | \$134                                    | \$49,220           | \$40,766           | \$8,454                     | 98%                     | \$0             | \$0             | \$0                   | 0%        |
| Feature 6.3: Land Maintenance                   | 82.8246%               | \$2,150,000                | \$1,780,729                                     | \$369,271        | \$51,320                               | \$0                        | \$42,506                     | \$8,814                                  | \$2,098,680        | \$1,738,223        | \$360,457                   | 98%                     | \$50,000        | \$41,412        | \$8,588               | 2%        |
| <b>Subtotal Element 6</b>                       |                        | <b>\$2,350,000</b>         | <b>\$1,946,378</b>                              | <b>\$403,622</b> | <b>\$165,014</b>                       | <b>\$0</b>                 | <b>\$136,672</b>             | <b>\$28,342</b>                          | <b>\$2,184,986</b> | <b>\$1,809,706</b> | <b>\$375,280</b>            | <b>93%</b>              | <b>\$50,000</b> | <b>\$41,412</b> | <b>\$8,588</b>        | <b>2%</b> |

"11QElem6"

[1] Revised per this 10th QWP to account for funding agreement modifications.  
 [2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.  
 [3] Amount paid from credit.  
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TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 7

| Elements and Features   | State Share Percentage | Revised Overall Budget [1] |   |                    | Cumulative Expenses (through Sep 2010) |                    |                    |                                     | Forecasted Remaining Budget |                  |                 | % of Total Budget Remaining | 11th Quarterly Forecast |                  |                 | % of Remaining Budget |
|---|------------------------|----------------------------|---|--------------------|--|--------------------|--------------------|-------------------------------------|-----------------------------|------------------|-----------------|-----------------------------|-------------------------|------------------|-----------------|-----------------------|
|   |                        | Total                      | State   | Local              | Total                                  | State Share        | Local Share        | Total                               | State Share                 | Local Share      | Total           |                             | State                   | Local            |                 |                       |
| Formula   | a                      | b                          | c*<br><small>*Based on Overall Workplan</small> | d = b-c            | e                                      | Credit<br>f        | Payments<br>g      | (after credit)<br>h=e-f-g           | i=b-e                       | j=c-f-g          | k=d-h           |                             | l                       | m=lx             | n=l-m           |                       |
|   |                        |                            |   |                    | [2]                                    | [3]                | [4]                | <small>**Not less than zero</small> |                             |                  |                 |                             |                         |                  |                 |                       |
| <b>Element 7: Project Management, Legal, Community Relations support, Land Management, and Overhead</b> |                        |                            |   |                    |  |                    |                    |                                     |                             |                  |                 |                             |                         |                  |                 |                       |
| Feature 7.1: Salaries   | 76.3198%               | \$1,309,737                | \$999,589                                       | \$310,148          | \$1,233,767                            | \$373,722          | \$567,886          | \$292,159                           | \$75,971                    | \$57,981         | \$17,990        | 6%                          | \$58,688                | \$44,790         | \$13,897        | 77%                   |
| Feature 7.2: Consulting   | 76.3198%               | \$1,589,571                | \$1,213,143                                     | \$376,428          | \$1,457,942                            | \$461,956          | \$650,742          | \$345,244                           | \$131,629                   | \$100,445        | \$31,184        | 8%                          | \$58,688                | \$44,790         | \$13,897        | 45%                   |
| Feature 7.3: Legal  | 76.3198%               | \$1,195,497                | \$912,401                                       | \$283,096          | \$1,132,146                            | \$381,323          | \$482,728          | \$268,094                           | \$63,351                    | \$48,350         | \$15,002        | 5%                          | \$17,606                | \$13,437         | \$4,169         | 28%                   |
| Feature 7.4: Travel   | 76.3198%               | \$9,827                    | \$7,500   | \$2,327            | \$8,519                                | \$3,933            | \$2,568            | \$2,017                             | \$1,309                     | \$999            | \$310           | 13%                         | \$293                   | \$224            | \$69            | 22%                   |
| Feature 7.5: General  | 76.3198%               | \$350,756                  | \$267,696                                       | \$83,060           | \$300,155                              | \$60,919           | \$168,159          | \$71,077                            | \$50,601                    | \$38,618         | \$11,982        | 14%                         | \$11,738                | \$8,958          | \$2,779         | 23%                   |
| Feature 7.6: Insurance  | 76.3198%               | \$701,375                  | \$535,288                                       | \$166,087          | \$700,733                              | \$174,173          | \$360,625          | \$165,935                           | \$642                       | \$490            | \$152           | 0%                          | \$0                     | \$0              | \$0             | 0%                    |
| Feature 7.7: Travel/Vehicle   | 76.3198%               | \$26,273                   | \$20,051  | \$6,221            | \$19,626                               | \$6,230            | \$8,748            | \$4,648                             | \$6,647                     | \$5,073          | \$1,574         | 25%                         | \$702                   | \$536            | \$166           | 11%                   |
| Feature 7.8: Memberships  | 76.3198%               | \$18,804                   | \$14,351  | \$4,453            | \$6,691                                | \$1,930            | \$3,176            | \$1,584                             | \$12,113                    | \$9,245          | \$2,868         | 64%                         | \$0                     | \$0              | \$0             | 0%                    |
| <b>Subtotal Element 7</b>   |                        | <b>\$5,201,840</b>         | <b>\$3,970,020</b>                              | <b>\$1,231,821</b> | <b>\$4,859,578</b>                     | <b>\$1,464,186</b> | <b>\$2,244,634</b> | <b>\$1,150,758</b>                  | <b>\$342,262</b>            | <b>\$261,200</b> | <b>\$81,062</b> | <b>7%</b>                   | <b>\$147,715</b>        | <b>\$112,736</b> | <b>\$34,979</b> | <b>43%</b>            |

"11QElem7"

[1] Revised per this 10th QWP to account for funding agreement modifications.  
 [2] Reflects costs incurred through submitted 9th QPR (September '10) with estimates of expenses forecasted in 10th QWP's. Minor adjustments are made as necessary for actual expenses incurred and schedule changes in 10th Qtr.  
 [3] Amount paid from credit.  
 [4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 9

| Elements and Features                                       | State Share Percentage | Revised Overall Budget [1] |  |                    | Cumulative Expenses (through Sep 2010) |                                |                                |  | Forecasted Remaining Budget |                  |                 | % of Total Budget Remaining | 11th Quarterly Forecast |                 |                | % of Remaining Budget |
|---|------------------------|----------------------------|--|--------------------|--|--------------------------------|--------------------------------|--|-----------------------------|------------------|-----------------|-----------------------------|-------------------------|-----------------|----------------|-----------------------|
|   |                        | Total                      | State  | Local              | Total                                  | State Share                    | Local Share                    | Total  | State Share                 | Local Share      | Total           |                             | State                   | Local           |                |                       |
|   |                        |                            |  |                    |  | Credit                         | Payments                       | (after credit)                                       |                             |                  |                 |                             |                         |                 |                |                       |
| <i>Formula</i>  | <b>a</b>               | <b>b</b>                   | <b>c*</b><br><small>*Based on Overall Workplan</small> | <b>d = b-c</b>     | <b>e</b><br><small>[2]</small>         | <b>f</b><br><small>[3]</small> | <b>g</b><br><small>[4]</small> | <b>h=e-f-g</b><br><small>*Not less than zero</small> | <b>i=b-e</b>                | <b>j=c-f-g</b>   | <b>k=d-h</b>    |                             | <b>l</b>                | <b>m=lxa</b>    | <b>n=l-m</b>   |                       |
| <b>Element 9: Feather River Segment 1 levee improvement</b> |                        |                            |  |                    |  |                                |                                |  |                             |                  |                 |                             |                         |                 |                |                       |
| Feature 9.1.1 - Design                                      | 70.0000%               | \$1,195,322                | \$836,725  | \$358,597          | \$1,169,991                            | \$740,120                      | \$78,873                       | \$350,997  | \$25,331                    | \$17,732         | \$7,599         | 2%                          | \$0                     | \$0             | \$0            | 0%                    |
| Feature 9.1.2 - Permitting                                  | 70.0000%               | \$220,934                  | \$154,654  | \$66,280           | \$194,263                              | \$112,717                      | \$23,267                       | \$58,279   | \$26,672                    | \$18,670         | \$8,001         | 12%                         | \$0                     | \$0             | \$0            | 0%                    |
| Feature 9.1.3 - ROW Support                                 | 70.0000%               | \$295,781                  | \$207,047  | \$88,734           | \$233,062                              | \$0                            | \$163,144                      | \$69,919   | \$62,718                    | \$43,903         | \$18,816        | 21%                         | \$15,000                | \$10,500        | \$4,500        | 24%                   |
| Feature 9.2 - Construction Mgt.                             | 70.0000%               | \$1,411,680                | \$988,176  | \$423,504          | \$1,372,285                            | \$132,417                      | \$828,183                      | \$411,686  | \$39,395                    | \$27,576         | \$11,818        | 3%                          | \$0                     | \$0             | \$0            | 0%                    |
| Feature 9.3 - Construction                                  | 70.0000%               | \$9,118,414                | \$6,382,890  | \$2,735,524        | \$9,076,695                            | \$2,258                        | \$6,351,429                    | \$2,723,009  | \$41,719                    | \$29,203         | \$12,516        | 0%                          | \$0                     | \$0             | \$0            | 0%                    |
| Feature 9.4 - O&M Segment 1                                 | 70.0000%               | \$12,597                   | \$8,818  | \$3,779            | \$1,029                                | \$0                            | \$720                          | \$309  | \$11,569                    | \$8,098          | \$3,471         | 92%                         | \$0                     | \$0             | \$0            | 0%                    |
| <b>Subtotal Element 9</b>                                   |                        | <b>\$12,254,728</b>        | <b>\$8,578,310</b>                                     | <b>\$3,676,418</b> | <b>\$12,047,325</b>                    | <b>\$987,512</b>               | <b>\$7,445,616</b>             | <b>\$3,614,197</b>                                   | <b>\$207,403</b>            | <b>\$145,182</b> | <b>\$62,221</b> | <b>2%</b>                   | <b>\$15,000</b>         | <b>\$10,500</b> | <b>\$4,500</b> | <b>7%</b>             |

"11QElem9"

[1] Revised per this 10th QWP to account for funding agreement modifications.  
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 [3] Amount paid from credit.  
 [4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).

TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 9A

| Elements and Features                                   | State Share Percentage | Revised Overall Budget [1] |   |                  | Cumulative Expenses (through Sep 2010) |                            |                              | Forecasted Remaining Budget              |                  |                  | % of Total Budget Remaining | 11th Quarterly Forecast |                |                | % of Remaining Budget |           |
|---|------------------------|----------------------------|---|------------------|--|----------------------------|------------------------------|--|------------------|------------------|-----------------------------|-------------------------|----------------|----------------|-----------------------|-----------|
|   |                        | Total                      | State   | Local            | Total                                  | State Share                | Local Share                  | Total                                    | State Share      | Local Share      |                             | Total                   | State          | Local          |                       |           |
| Formula   | a                      | b                          | c*<br><small>*Based on Overall Workplan</small> | d = b-c          | e                                      | f<br><small>Credit</small> | g<br><small>Payments</small> | h=e-f-g<br><small>(after credit)</small> | i=b-e            | j=c-f-g          | k=d-h                       |                         | l              | m=lxa          | n=l-m                 |           |
|   |                        |                            |   |                  | [2]                                    | [3]                        | [4]                          | <small>*Not less than zero</small>       |                  |                  |                             |                         |                |                |                       |           |
| <b>Element 9A: Feather River Segment 1 Crack Repair</b> |                        |                            |   |                  |  |                            |                              |  |                  |                  |                             |                         |                |                |                       |           |
| Feature 1.1 - Engineering, Design                       | 70.0000%               | \$125,000                  | \$87,500  | \$37,500         | \$71,852                               | \$0                        | \$50,296                     | \$21,556                                 | \$53,148         | \$37,204         | \$15,944                    | 43%                     | \$0            | \$0            | \$0                   | 0%        |
| Feature 1.2 - Right of Way Support                      | 70.0000%               | \$15,000                   | \$10,500  | \$4,500          | \$0                                    | \$0                        | \$0                          | \$0                                      | \$15,000         | \$10,500         | \$4,500                     | 100%                    | \$5,000        | \$3,500        | \$1,500               | 33%       |
| Feature 2 - Construction                                | 70.0000%               | \$510,000                  | \$357,000                                       | \$153,000        | \$432,119                              | \$0                        | \$302,483                    | \$129,636                                | \$77,881         | \$54,517         | \$23,364                    | 15%                     | \$0            | \$0            | \$0                   | 0%        |
| Feature 3 - Operations & Maintenance                    | 70.0000%               | \$10,000                   | \$7,000   | \$3,000          | \$0                                    | \$0                        | \$0                          | \$0                                      | \$10,000         | \$7,000          | \$3,000                     | 100%                    | \$0            | \$0            | \$0                   | 0%        |
| <b>Subtotal Element 9A</b>                              |                        | <b>\$660,000</b>           | <b>\$462,000</b>                                | <b>\$198,000</b> | <b>\$503,971</b>                       | <b>\$0</b>                 | <b>\$352,780</b>             | <b>\$151,191</b>                         | <b>\$156,029</b> | <b>\$109,220</b> | <b>\$46,809</b>             | <b>24%</b>              | <b>\$5,000</b> | <b>\$3,500</b> | <b>\$1,500</b>        | <b>3%</b> |

"11QElem9A"

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TRLIA Quarterly Work Plan Budget

For Period October/November/December 2010

ELEMENT 9B

| Elements and Features                                     | State Share Percentage | Revised Overall Budget [1] |   |                  | Cumulative Expenses (through Sep 2010) |             |            |                                    | Forecasted Remaining Budget |                  |                  | % of Total Budget Remaining | 11th Quarterly Forecast |                 |                | % of Remaining Budget |
|---|------------------------|----------------------------|---|------------------|--|-------------|------------|------------------------------------|-----------------------------|------------------|------------------|-----------------------------|-------------------------|-----------------|----------------|-----------------------|
|   |                        | Total                      | State                                     | Local            | Total                                  | State Share |            | Local Share                        | Total                       | State Share      | Local Share      |                             | Total                   | State           | Local          |                       |
|   |                        |                            |   |                  |  | Credit      | Payments   |                                    |                             |                  |                  |                             |                         |                 |                |                       |
| Formula   | a                      | b                          | c*  | d = b-c          | e                                      | f           | g          | h=e-f-g                            | i=b-e                       | j=c-f-g          | k=d-h            |                             |                         |                 |                |                       |
|   |                        |                            | <small>*Based on Overall Workplan</small> |                  | [2]                                    | [3]         | [4]        | <small>*Not less than zero</small> |                             |                  |                  |                             |                         |                 |                |                       |
| <b>Element 9B: Feather River Segment 1 V-Ditch Repair</b> |                        |                            |   |                  |  |             |            |                                    |                             |                  |                  |                             |                         |                 |                |                       |
| Feature 1 - Engineering, Design                           | 70.0000%               | \$190,000                  | \$133,000                                 | \$57,000         | \$0                                    | \$0         | \$0        | \$0                                | \$190,000                   | \$133,000        | \$57,000         | 100%                        | \$15,000                | \$10,500        | \$4,500        | 8%                    |
| Feature 2 - Construction                                  | 70.0000%               | \$600,000                  | \$420,000                                 | \$180,000        | \$0                                    | \$0         | \$0        | \$0                                | \$600,000                   | \$420,000        | \$180,000        | 100%                        | \$0                     | \$0             | \$0            | 0%                    |
| <b>Subtotal Element 9B</b>                                |                        | <b>\$790,000</b>           | <b>\$553,000</b>                          | <b>\$237,000</b> | <b>\$0</b>                             | <b>\$0</b>  | <b>\$0</b> | <b>\$0</b>                         | <b>\$790,000</b>            | <b>\$553,000</b> | <b>\$237,000</b> | <b>100%</b>                 | <b>\$15,000</b>         | <b>\$10,500</b> | <b>\$4,500</b> | <b>2%</b>             |

"11QElem9A"

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[3] Amount paid from credit.

[4] Represents State Share of actual expenses through March plus forecasted amount (ref. Note 2).



- **A discussion on how the projected schedule compares to original or last reported schedule:**
  - Project Element 1 Feature 1 & 2: Extended schedule to mid 2011
  - Project Element 2 Feature 1.2 Permitting: Additional time was added for Elderberry site and Vegetated Wave Buffer permits.
  - Project Element 2 Feature 4 Environmental and Cultural Mitigation: Additional time was added for Elderberry site and Vegetated Wave Buffer completion. Elderberry monitoring is for 3 years.
  - Project Element 5 Feature 1.3: Added time for land acquisition
  - Project Element 5A Feature 1.3: Added time for land acquisition
  - Project Element 6 Features 1, 2, & 3: Extended time to end of Funding Agreement due to uncertainty of how long it will take to transfer this features to DWR.
  - Project Element 7 All Features: Extended time to end of Funding Agreement.
  - Project Element 8 Feature 1: Added time for land acquisition
  - Project Element 9 Feature 1.2: Added time for land acquisition
  - Project Element 9A Feature 1.2: Added time for land acquisition
  - Added Project Element 9B & Features 1 & 2 – Segment 1 V-Ditch Repair

### **Attachments**

1. Permit Status Update for Project Element 2, 3, 4, 5, 5A, 9, 9A, and 9B



**Attachment 1 – Updated Permit Tables for Project Elements 2, 3, 4, 5,  
5A, 9, 9A, & 9B**

| <b><u>Project Element 2</u> Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b> |   |                    |  |
|---|---|--------------------|--|
| <b>PERMITTING AGENCY</b>  | <b>PERMIT</b>   | <b>ACTION DONE</b> | <b>STATUS/COMMENTS</b>   |
| The Central Valley Flood Protection Board   | Encroachment Permits  | Yes                | The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008 that allows construction in non-federal jurisdictional areas  |
| California Central Valley Flood Protection Board  | Encroachment Permit – Vegetated Wave Buffer   | No                 | Application submitted in September 2009; <i>RD784 has agreed to perform maintenance. Anticipate permit to be issued in the 11<sup>th</sup> quarter</i>                                     |
| California Central Valley Flood Protection Board  | Encroachment Permit – Elderberry Mitigation Area  | No                 | <i>Anticipate submission of application in 11<sup>th</sup> quarter. CVFPB may decide to not issue permit until safe harbor like agreements are in place for the Feather River floodway</i> |
| U.S. Fish and Wildlife Service  | Endangered Species Act Compliance   | Yes                | Biological Opinion was approved on August 28, 2008   |
| Native American Heritage Commission (NAHC)  | Evaluation of effects on Native American burials or artifacts   | Yes                | Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008   |
| RWQCB, Region 5   | National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act | Yes                | A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.  |
| Feather River Air Quality Management District (FRAQMD)  | Authority to Construct  | Yes                | Contractor submitted Fugitive Dust Plan to FRAQMD  |
| DFG   | Streambed Alteration Agreement  | Yes                | The final Streambed Alteration Agreement was approved by DFG on January 2, 2008  |
| Reclamation District 784 (RD 784)   | Encroachment Permit   | Yes                | RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the   |

|                                       |                                      |     |   |
|---------------------------------------|--------------------------------------|-----|---|
|                                       |                                      |     | application for the Central Valley Flood Protection Board encroachment permit with no objections.   |
| Yuba County                           | Grading Permit                       | Yes | Grading permit was received in May 2008   |
| State Mining and Geology Board (SMGB) | Reclamation Plan                     | Yes | The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.                      |
| CEQA                                  | EIR                                  | Yes | EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007 |
| CEQA                                  | EIR Addendum #1                      | Yes | EIR addendum for offsite Platter Borrow site  |
| CEQA                                  | EIR Addendum #2                      | Yes | EIR addendum for Ella offsite borrow site   |
| Section 104 Credit Application        | Future federal projects cost Sharing | Yes | CVFPB submitted a request on June 15, 2007 for \$154.3M Section 104 credit to the Sacramento District.  |
| Section 104 Credit Approval (N/A)     | Future federal projects cost sharing | Yes | At the March 21 CVFPB meeting DWR stated it would waive the need for 104 credits – DWR waiver letter issued on May 2, 2008                                    |

| <b>Project Element 3 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b> |                      |                    |   |
|--|----------------------|--------------------|---|
| <b>PERMITTING AGENCY</b>   | <b>PERMIT</b>        | <b>Action Done</b> | <b>STATUS/COMMENTS</b>  |
| The Central Valley Flood Protection Board  | Encroachment Permits | Yes                | The CVFPB General Manager issued a phased permit (#18227) on April 7, 2008. The permit has two parts: Part A – Project Element 2 work to be done outside of jurisdictional waters of the U.S., and Part B – Project Element 3 work to be within jurisdictional waters of the U.S. |
| U.S. Army Corps of Engineers (Corps)   | Section 10 Permit    | Yes                | Navigable waterways not affected  |
| Corps  | Section 404 Permit   | Yes                | Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and  |

|  |   |     |   |
|--|---|-----|---|
|  |   |     | the issued the final Feather River Setback 404 permit on December 15, 2008.   |
| Corps  | 408 approval of project levee alteration  | Yes | Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008. |
| Corps  | Wetlands Delineation  | Yes | Completed March 11, 2008  |
| Corps  | Wetlands Delineation  | Yes | Amended September 30, 2008  |
| Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5) | Section 401 Water Quality Certification   | Yes | The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008. Copy available on the  |
| RWQCB, Region 5  | National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act | Yes | A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.   |
| Feather River Air Quality Management District (FRAQMD)                         | Authority to Construct  | Yes | Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.  |
| U.S. Fish and Wildlife Service   | Endangered Species Act Compliance   | Yes | Biological Opinion was approved on August 28, 2008  |
| National Marine Fisheries Service (NMFS)                                       | Endangered Species Act Compliance   | Yes | NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish species under NMFS jurisdiction.   |
| California Department of Fish and Game (DFG)                                   | California Endangered Species Act Compliance  | Yes | Section 2081 Permit received in August 2009   |
| DFG  | Streambed Alteration Agreement  | Yes | The final Streambed Alteration Agreement was approved by DFG on January 2, 2008.  |
| California State Lands Commission (SLC)  | Encroachment Permit   | Yes | All project facilities are outside SLC jurisdiction.  |
| California Department of Forestry  | Conversion of timberland to other uses.   | Yes | No timberland conversion is required for the project.   |
| The Resources Agency   | Cancellation of Williamson Act Contract   | Yes | No Williamson Act contract cancellations are required to implement the project.   |

|  |   |     |   |
|--|---|-----|---|
| California Department of Transportation (Caltrans) | Encroachment on or across a State highway                     | Yes | Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans. |
| Native American Heritage Commission (NAHC)         | Evaluation of effects on Native American burials or artifacts | Yes | Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.   |
| State Office of Historic Preservation (SHPO)       | Evaluation of effects on historic and cultural resources      | Yes | Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.   |
| Reclamation District 784 (RD 784)                  | Encroachment Permit   | Yes | RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.                  |
| Yuba County  | Grading Permit  | Yes | Grading permit was received in May 2008   |
| State Mining and Geology Board (SMGB)              | Reclamation Plan  | Yes | The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.  |
| CEQA   | EIR   | Yes | EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.  |
| NEPA   | EIS   | Yes | Corps of Engineers Finalized the Environmental Impact Statement and signed the Record of Decision on December 8, 2008.  |
| Section 104 Credit                                 | Future federal projects cost Sharing                          | Yes | The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009  |

| <b>Project Element 4 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b> |   |                    |   |
|--|---|--------------------|---|
| <b>PERMITTING AGENCY</b>   | <b>PERMIT</b>   | <b>Action Done</b> | <b>STATUS/COMMENTS</b>  |
| California Central Valley Flood Protection Board   | Encroachment Permit   | Yes                | CVFPB issued permit No 18430 at the July 2009 Board meeting   |
| U.S. Army Corps of Engineers (Corps)   | Section 10 Permit   | Yes                | Navigable waterways not affected  |
| Corps  | Section 404 Permit  | Yes                | Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 404 permit Record of Decision on December 8, 2008 and the issued the final Feather River Setback 404 permit on December 15, 2008.    |
| Corps  | 408 approval of project levee alteration  | Yes                | Corps of Engineers signed the Feather River Setback Levee Environmental Impact Statement 408 Authorization Record of Decision on December 8, 2008 and issued The Feather River Setback 408 Authorization on December 8, 2008. |
| Corps  | Wetlands Delineation  | Yes                | Completed March 11, 2008  |
| Corps  | Wetlands Delineation  | Yes                | Amended September 30, 2008  |
| Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)   | Section 401 Water Quality Certification   | Yes                | The Regional Water Quality Control Board signed the Section 401 Water Quality permit on November 24, 2008.  |
| RWQCB, Region 5  | National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act | Yes                | A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07 for Segments 1, 2, and 3.   |
| Feather River Air Quality Management District (FRAQMD)   | Authority to Construct  | Yes                | Contractor submitted a Fugitive Dust Plan to the FRAQMD prior to initiating construction on Segment 2.  |
| U.S. Fish and Wildlife Service   | Endangered Species Act Compliance   | Yes                | Biological Opinion was approved on August 28, 2008  |
| National Marine Fisheries Service (NMFS)   | Endangered Species Act Compliance   | Yes                | NMFS sent letter on December 13, 2007 concurring that setback levee is not likely to adversely affect any fish species under NMFS jurisdiction.   |
| California Department of Fish and Game (DFG)   | California Endangered Species Act Compliance  | Yes                | Section 2081 Permit received in August 2009   |
| DFG  | Streambed Alteration  | Yes                | The final Streambed Alteration  |

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|  | Agreement   |     | Agreement was approved by DFG on January 2, 2008.   |
| California State Lands Commission (SLC)            | Encroachment Permit   | Yes | All project facilities are outside SLC jurisdiction.  |
| California Department of Forestry                  | Conversion of timberland to other uses.                       | Yes | No timberland conversion is required for the project.   |
| The Resources Agency                               | Cancellation of Williamson Act Contract                       | Yes | No Williamson Act contract cancellations are required to implement the project.   |
| California Department of Transportation (Caltrans) | Encroachment on or across a State highway                     | Yes | Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans. |
| Native American Heritage Commission (NAHC)         | Evaluation of effects on Native American burials or artifacts | Yes | Coordination with the NAHC was completed and MOA signed by all parties, on August 13, 2008.   |
| State Office of Historic Preservation (SHPO)       | Evaluation of effects on historic and cultural resources      | Yes | Coordination with the SHPO was completed and MOA signed by all parties, on August 13, 2008.   |
| Reclamation District 784 (RD 784)                  | Encroachment Permit   | Yes | RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with no objections.                  |
| Yuba County  | Grading Permit  | Yes | Grading permit was received in May 2008   |
| State Mining and Geology Board (SMGB)              | Reclamation Plan  | Yes | The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009.  |
| CEQA   | EIR   | Yes | EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007.  |
| NEPA   | EIS   | Yes | Corps of Engineers Finalized the Feather River Setback Levee Environmental Impact Statement and   |

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|                    |                                      |     | signed the Record of Decision on December 8, 2008.                                       |
| Section 104 Credit | Future federal projects cost Sharing | Yes | The Section 104 credit for this Project Element was issued by the Corps on April 3, 2009 |

| <b>Project Element 5 Applicable Permit and Environmental Documentation (refer to exhibit A of overall TRLIA work plan)</b> |   |                    |   |
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| <b>PERMITTING AGENCY</b>   | <b>PERMIT</b>   | <b>ACTION DONE</b> | <b>STATUS/COMMENT</b>   |
| Central Valley Flood Protection Board (CVFPB)  | Encroachment Permit   | Yes                | Permit No. 18170 BD issued on August 7, 2007.   |
| Central Valley Flood Protection Board (CVFPB)  | Encroachment Permit   | Yes                | Permit No. 18170 BD permit modified for seepage berm to provide final fix for cutoff wall trench cave-in, issued on 7/17/08   |
| U.S. Army Corps of Engineers (Corps)   | Section 10 Permit   | Yes                | Navigable waterways not affected  |
| Corps  | Section 404 Permit  | Yes                | No jurisdictional features affected. Corps issued "Letter of No Permit Required" on July 23, 2007   |
| Corps  | 408 Approval of project levee alteration  | Yes                | Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on August 2, 2007.                      |
| Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5)   | Section 401 Water Quality Certification   | Yes                | No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary                                       |
| RWQCB, Region 5  | National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act | Yes                | A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.                  |
| Feather River Air Quality Management District (FRAQMD)   | Authority to Construct  | Yes                | Contractor's Fugitive Dust Plan has been developed and submitted to the FRAQMD.   |
| U.S. Fish and Wildlife Service   | Endangered Species Act Compliance   | Yes                | Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any |

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|  |   |     | endangered species.   |
| National Marine Fisheries Service                  | Endangered Species Act Compliance                             | Yes | Project will have no affect on fishery resources and habitats.  |
| California Department of Fish and Game (DFG)       | California Endangered Species Act Compliance                  | Yes | Project design and mitigation measures prevent take of threatened and endangered species.   |
| DFG  | Streambed Alteration Agreement                                | Yes | All ground disturbances is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required.  |
| California State Lands Commission (SLC)            | Encroachment Permit   | Yes | All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.  |
| California Department of Forestry                  | Conversion of timberland to other uses.                       | Yes | No timberland conversion is required for the project.   |
| The Resources Agency                               | Cancellation of Williamson Act Contract                       | Yes | No Williamson Act contract cancellations are required to implement the project.   |
| California Department of Transportation (Caltrans) | Encroachment on or across a State highway                     | Yes | Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.           |
| Caltrans   | Encroachment on Caltrans property                             | Yes | A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur. |
| Native American Heritage Commission (NAHC)         | Evaluation of effects on Native American burials or artifacts | Yes | Coordination with the NAHC has occurred and no known Native American sites or sensitive areas would be affected by the project  |
| State Office of Historic Preservation (SHPO)       | Evaluation of effects on historic and cultural resources      | Yes | No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.  |



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| Reclamation District 784 (RD 784) | Encroachment Permit                  | Yes | RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for a CVFPB encroachment permit |
| Yuba County                       | Grading Permit                       | Yes | Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.  |
| CEQA                              | EIR Processed for Various Permits    | Yes | EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007              |
| CEQA                              | EIR Addendum # 2                     | Yes | EIR addendum for the Seepage berm addition needed to provide the final solution to the cutoff wall trench failure.   |
| Section 104 Credit                | Future federal projects cost sharing | Yes | \$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007   |

**Project Element 5A: Feather River Erosion Site 2**

| PERMITTING AGENCY  | PERMIT                                   | ACTION DONE | STATUS/COMMENT  |
|--|--|-------------|---|
| Central Valley Flood Protection Board  | Encroachment Permits                     | Yes         | Encroachment permit No. 18479 issued on September 10, 2009  |
| Corps  | Section 404 Permit                       | Yes         | Obtained Nationwide Permit, application submitted to Corps on June 25, 2009   |
| Corps  | Wetlands Delineation                     | Yes         | Delineation submitted to Corps on May 27, 2009  |
| Corps  | 408 approval of project levee alteration | Yes         | Corps does not consider this as eligible under the Section 408 approval given for Segments 1 & 3. Per discussion with the Corps, they will approve work under Section 208 authority when they comment on the CVFPB Encroachment Permit Application. |
| Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5) | Section 401 Water Quality Certification  | Yes         | Obtained on July 9, 2009  |
| RWQCB, Region 5  | National Pollution                       | Yes         | TRLIA has a current WDID #  |

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|  | Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act |     | 5S5BC348901 that was issued by the Regional Water Quality Control Board. The permit covers Feather River Segments 1, 2, and 3 and encompasses the Erosion Site. This permit is current.                         |
| Feather River Air Quality Management District (FRAQMD) | Authority to Construct   | Yes | Used Documentation provided for Project Element 5   |
| U.S. Fish and Wildlife Service                         | Endangered Species Act Compliance (Biological Opinion)                             | Yes | Biological opinion prepared, take avoided, no Incidental Take authorization needed.   |
| National Marine Fisheries Service (NMFS)               | Endangered Species Act Compliance  | Yes | Biological opinion prepared, take avoided, no Incidental Take authorization needed.   |
| California Department of Fish and Game (DFG)           | California Endangered Species Act Compliance (2081)                                | Yes | No potential take of State listed species.  |
| DFG  | Streambed Alteration Agreement   | Yes | Obtained on July 9, 2009  |
| Native American Heritage Commission (NAHC)             | Evaluation of effects on Native American burials or artifacts                      | Yes | Cultural resources surveys and consultation with Native American groups have found no evidence of burials or artifacts  |
| State Office of Historic Preservation (SHPO)           | Evaluation of effects on historic and cultural resources                           | Yes | Cultural resources surveys and consultation with Native American groups have found no evidence of significant historic or cultural resources  |
| Reclamation District 784 (RD 784)                      | Encroachment Permit  | Yes | RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for the Reclamation Board encroachment permit with minor conditions. |
| Yuba County  | Grading Permit   | Yes | Used Documentation provided for Project Element 5   |

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| State Mining and Geology Board (SMGB) | SMARA Permit                         | Yes | The Reclamation Plan was approved and SMGB mining permit (No. 91-58-0026) issued for Ella and Platter/JTS Borrow sites on Sept 22, 2009. Used Ella Site. |
| CEQA                                  | EIR                                  | Yes | ISIMND certified by TRLIA Board on 10/17/06  |
| NEPA                                  | EIS                                  | Yes | No federal action constituting a NEPA trigger  |
| Section 104 Credit                    | Future federal projects cost sharing | Yes | Work is not eligible for Section 104 Credit because it being accomplished under Section 208 authority and was not approved under Section 408 authority.  |

| <b>Project Element 9, 9A, &amp; 9B Permit and Environmental Documentation</b> |  |                    |   |
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| <b>PERMITTING AGENCY</b>  | <b>PERMIT</b>                            | <b>ACTION DONE</b> | <b>STATUS/COMMENT</b>   |
| The Central Valley Flood Protection Board                                     | Encroachment Permit                      | Yes                | Permit No. 18170 BD issued on August 7, 2007.   |
| The Central Valley Flood Protection Board                                     | Encroachment Permit                      | Yes                | Permit No. 18170 BD modified for design changes, issued on 7/17/08  |
| U.S. Army Corps of Engineers (Corps)  | Section 10 Permit                        | Yes                | Navigable waterways not affected  |
| Corps   | Section 404 Permit                       | Yes                | No jurisdictional features affected. Corps issued "Letter of No Permit Required" on July 23, 2007. Subsequently, a Nationwide permit was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee.   |
| Corps   | 408 Approval of project levee alteration | Yes                | Finding of No Significant Impact (FONSI) issued by Corps of Engineers on July 11, 2007. Corps approved Section 408 alteration on August 2, 2007. Subsequently, water quality certification was received in July 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee. |

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| Regional Water Quality Control Board (RWQCB); Central Valley Region (Region 5) | Section 401 Water Quality Certification   | Yes | No 404 permit triggering 401 compliance. RWQCB sent email on August 15, 2007 verifying that 401 certification was not necessary   |
| RWQCB, Region 5  | National Pollution Discharge Elimination System (NPDES) Permit per Section 402 of the Clean Water Act | Yes | A NPDES permit (WDID # 5S5BC348901) was issued on 9/18/07. A Storm water Pollution Prevention Plan (SWPPP) has been developed and is being followed.  |
| Feather River Air Quality Management District (FRAQMD)                         | Authority to Construct  | Yes | Contractor's Fugitive Dust Plan has been developed and submitted to the FRAQMD.   |
| U.S. Fish and Wildlife Service   | Endangered Species Act Compliance   | Yes | Project design and mitigation measures prevent take of threatened and endangered species. FWS sent a letter on May 7, 2007 agreeing that there were no impacts to any endangered species.   |
| National Marine Fisheries Service  | Endangered Species Act Compliance   | Yes | Project will have no affect on fishery resources and habitats.  |
| California Department of Fish and Game (DFG)                                   | California Endangered Species Act Compliance  | Yes | Project design and mitigation measures prevent take of threatened and endangered species.   |
| DFG  | Streambed Alteration Agreement  | Yes | All ground disturbance is above the Feather River ordinary high water mark. Neither the bed nor bank of the river will be disturbed. DFG sent letter on May 2, 2007 verifying that a Streambed Alteration Agreement was not required. Subsequently, a streambed authorization permit was received in June 2008 allowing repairs to sinkholes observed on the waterside toe of the existing levee in a reach of the Site 7 Extension segment of levee. |
| California State Lands Commission (SLC)  | Encroachment Permit   | Yes | All ground disturbance is above the Feather River ordinary high water mark and outside SLC jurisdiction.  |
| California Department of Forestry  | Conversion of timberland to other uses.   | Yes | No timberland conversion is required for the project.   |
| The Resources Agency   | Cancellation of Williamson Act Contract   | Yes | No Williamson Act contract cancellations are required to implement the project.   |

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| California Department of Transportation (Caltrans) | Encroachment on or across a State highway                     | Yes | Project facilities do not encroach onto a State highway. However, construction equipment will likely use State Route 70 (SR 70). Development and implementation of a traffic control plan is being coordinated with Caltrans.           |
| Caltrans   | Encroachment on Caltrans property                             | Yes | A portion of a stability berm encroaches a short distance onto property owned by Caltrans used for habitat mitigation. A real estate easement for this berm was obtained from Caltrans. No adverse effects to protected habitats occur. |
| Native American Heritage Commission (NAHC)         | Evaluation of effects on Native American burials or artifacts | Yes | Coordination with the NAHC has occurred and no known Native American sites or sensitive areas would be affected by the project  |
| State Office of Historic Preservation (SHPO)       | Evaluation of effects on historic and cultural resources      | Yes | No known sensitive historic or cultural resources would be affected by the project. SHPO sent letter on July 16, 2007 agreeing that no impacts to historic or cultural resources were occurring.  |
| Reclamation District 784 (RD 784)                  | Encroachment Permit   | Yes | RD 784 is one of the project sponsors and coordination with RD 784 regarding levee encroachments is ongoing. RD 784 signed the application for a CVFPB encroachment permit with no objections.  |
| Yuba County  | Grading Permit  | Yes | Yuba County is one of the project sponsors and coordination with the County regarding construction of this project continues.   |
| CEQA   | EIR Processed for Various Permits                             | Yes | EIR certified by the TRLIA board on February 6, 2007 and a Notice of Determination filed with the State Clearinghouse (Number 2006062071) on February 8, 2007   |
| CEQA   | CEQA Addendum #2  | Yes | EIR addendum for the Water Blanket modifications.   |
| Section 104 Credit                                 | Future federal projects cost sharing                          | Yes | \$32.7 M Section 104 credit approved by Corps HQ on August 7, 2007  |